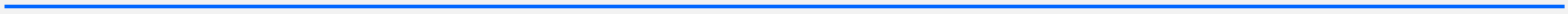




Silicon and Substrates

Victor Ovchinnikov

Chapters 4, 23.1



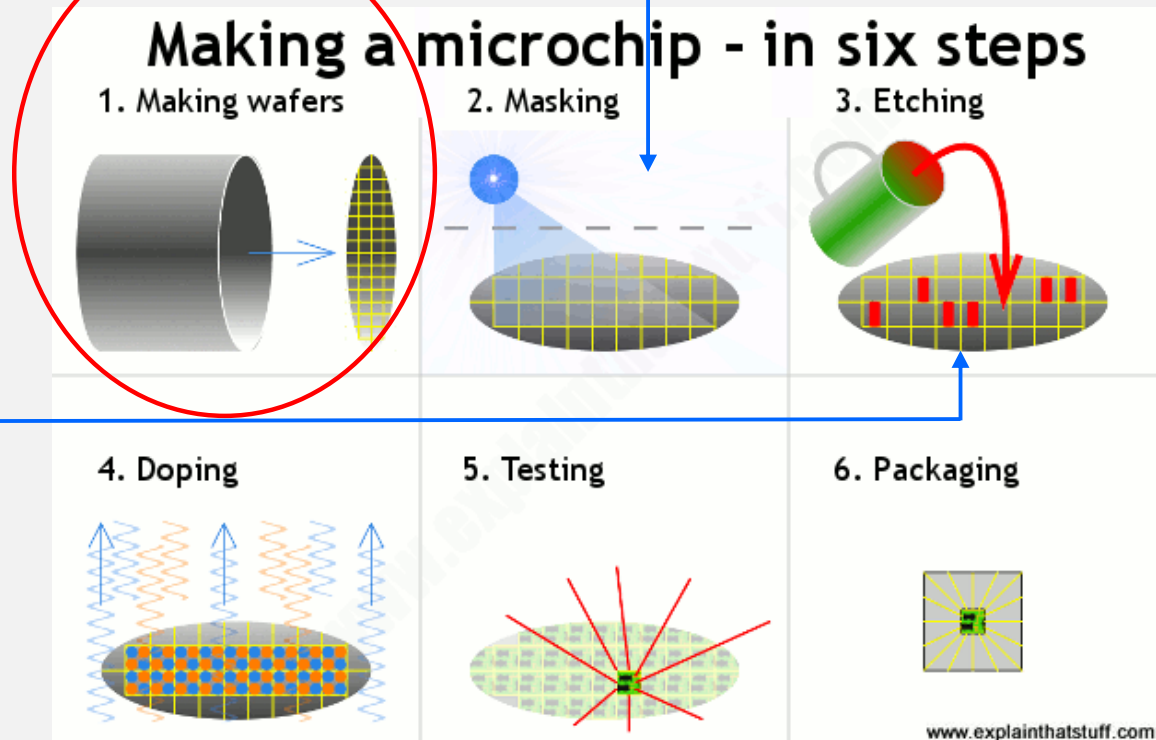
Outline I

Previous lecture

Current lecture

- Introduction
- **Litho and Etching**

Next lecture





Outline II

- Silicon unique status and properties
- Silicon production:
 - growth
 - wafering
- Miller indices
- Defects
- Substrate materials

Silicon I

Natural



16 x 26 mm²

Melted poly



32 x 45 mm²

Single crystal

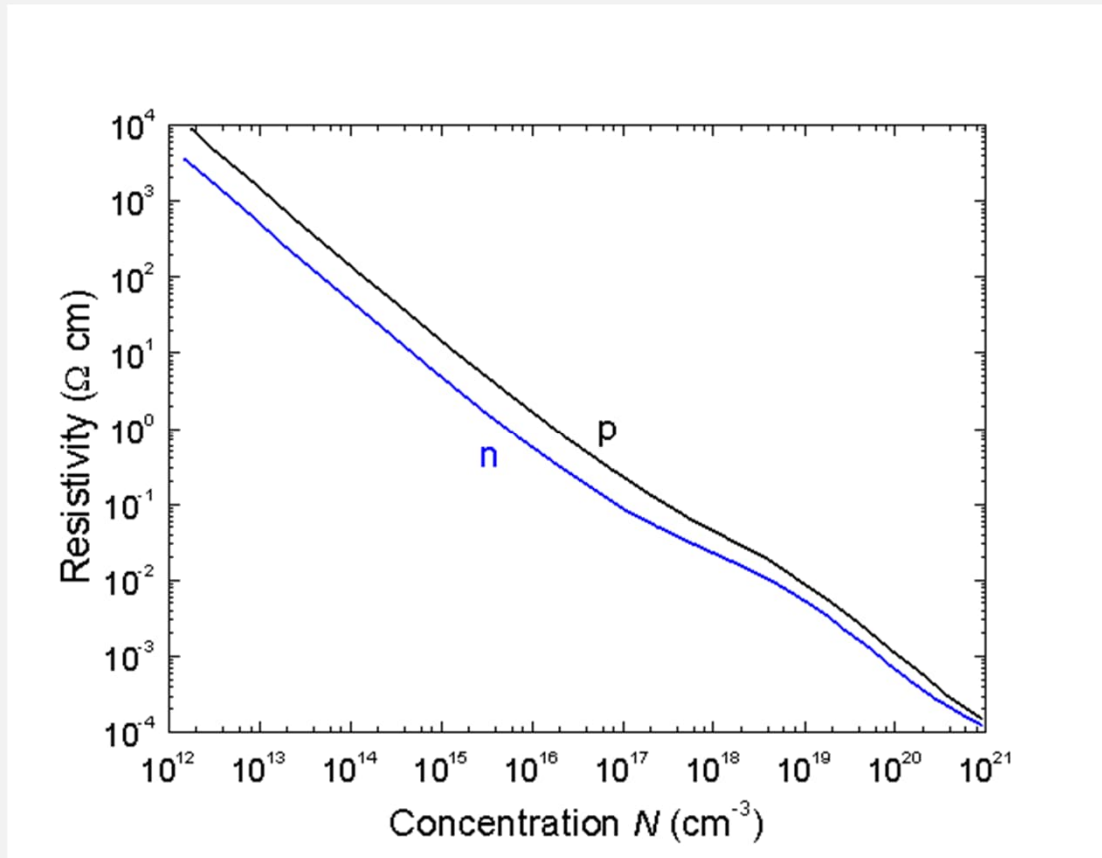


Silicon II

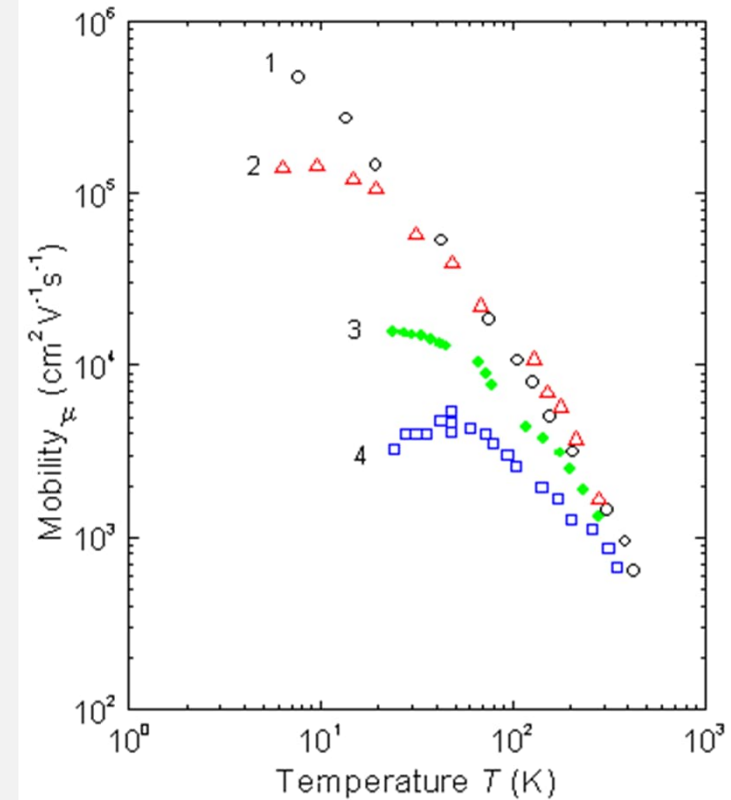
- Silicon is useful (see Introduction)
- Silicon is monocrystalline
- Silicon is used as a flat circular substrate

Electronic properties

Resistivity



Mobility



1. High purity Si ($N_d < 10^{-12} \text{ cm}^{-3}$); time-of-flight technique ([Canali et al. \[1973\]](#))
2. High purity Si ($N_d < 4 \cdot 10^{-13} \text{ cm}^{-3}$); photo-Hall effect ([Norton et al. \[1973\]](#))
3. $N_d = 1.75 \cdot 10^{16} \text{ cm}^{-3}$; $N_a = 1.48 \cdot 10^{15} \text{ cm}^{-3}$; Hall effect ([Morin and Maita \[1954\]](#))
4. $N_d = 1.3 \cdot 10^{17} \text{ cm}^{-3}$; $N_a = 2.2 \cdot 10^{15} \text{ cm}^{-3}$; Hall effect ([Morin and Maita \[1954\]](#))

Donors and acceptors for Si

Antimony

Arsenic

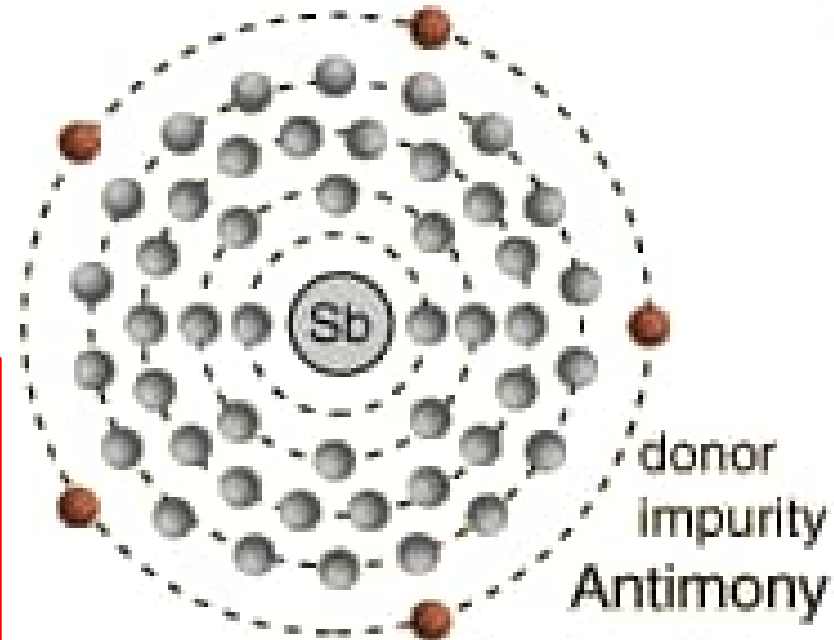
Phosphorous

In use. Why?

Boron

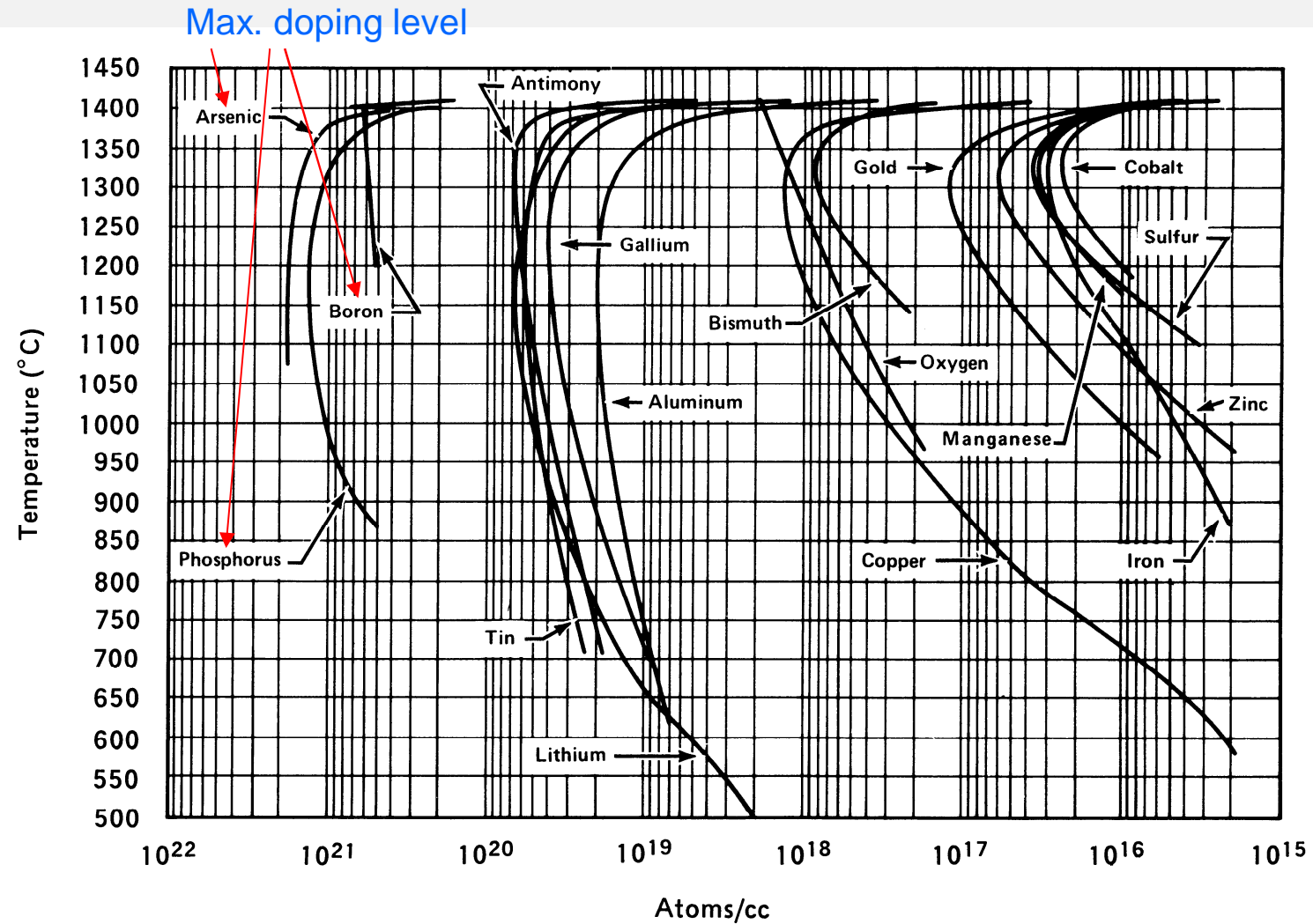
Aluminum

Gallium





Solid solubility – maximum dopant concentration in c-Si

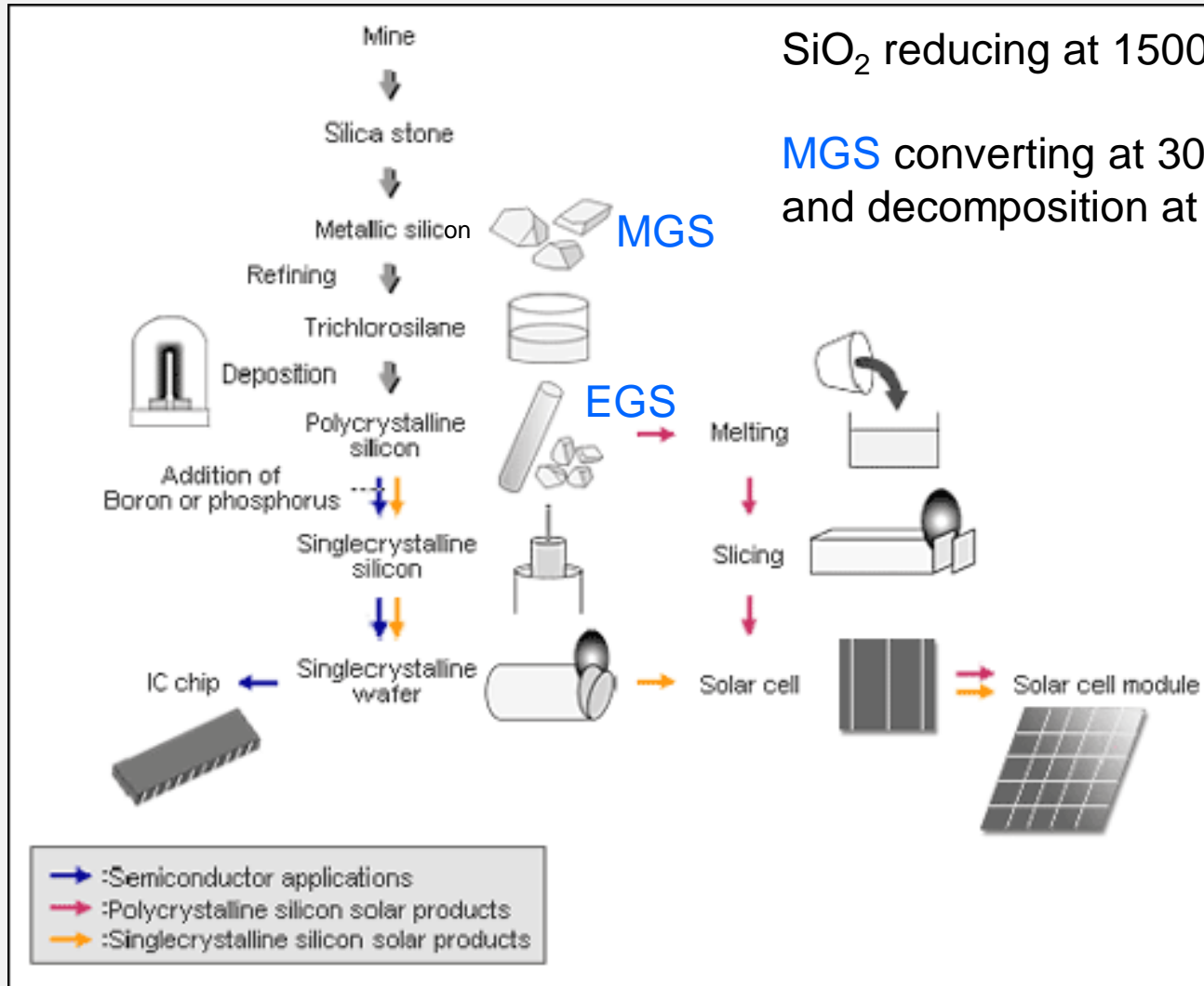




Types of doping

- Blank doping
 - during crystal growth (doped raw Si)
 - during epitaxy - > [lecture 8](#)
- Selective doping - > [lecture 8](#)
 - by ion implantation
 - by diffusion

Si purification and production



SiO_2 reducing at 1500°C → **MGS**, 98% pure Si

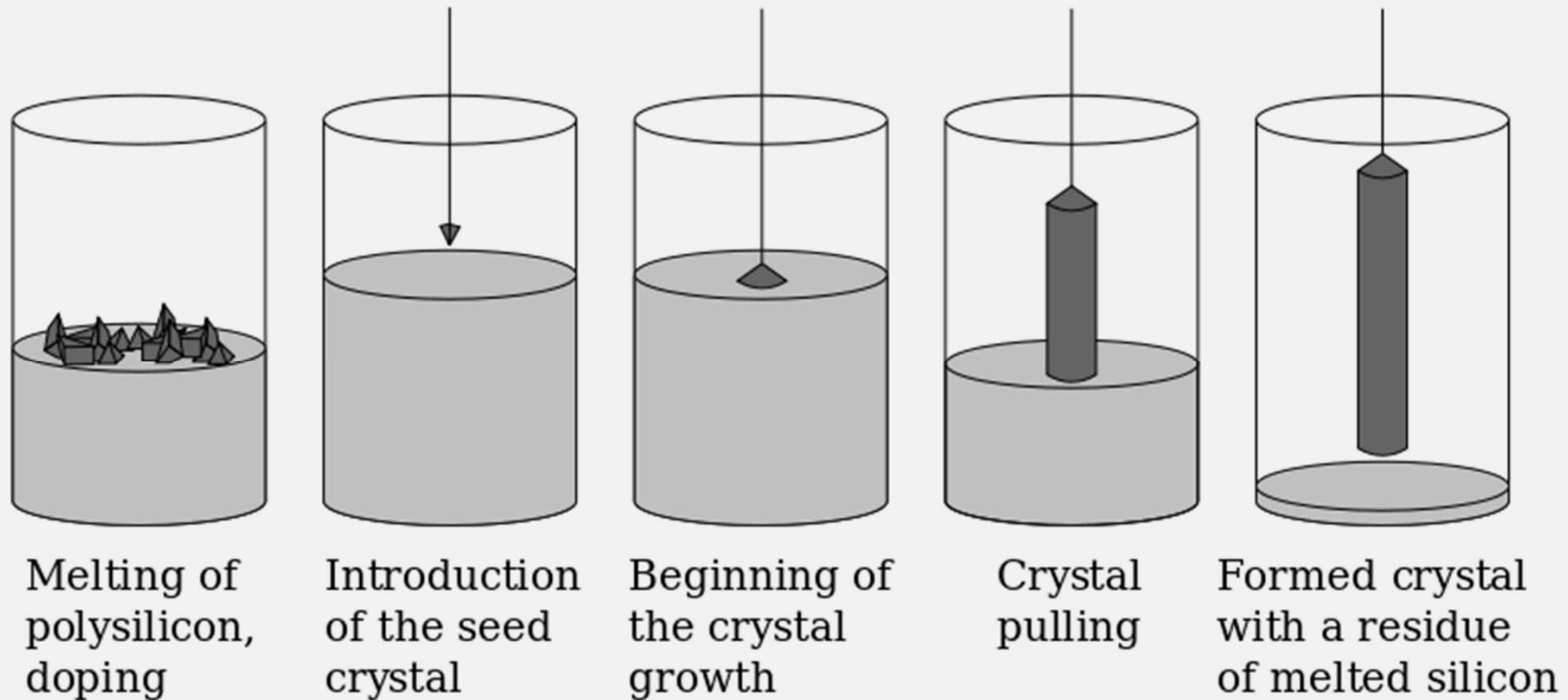
MGS converting at 300°C to SiHCl_3 , distillation and decomposition at 1100°C to Si → **EGS**, ppb purity

EGS polysilicon

It can be already used, e. g. in photovoltaic

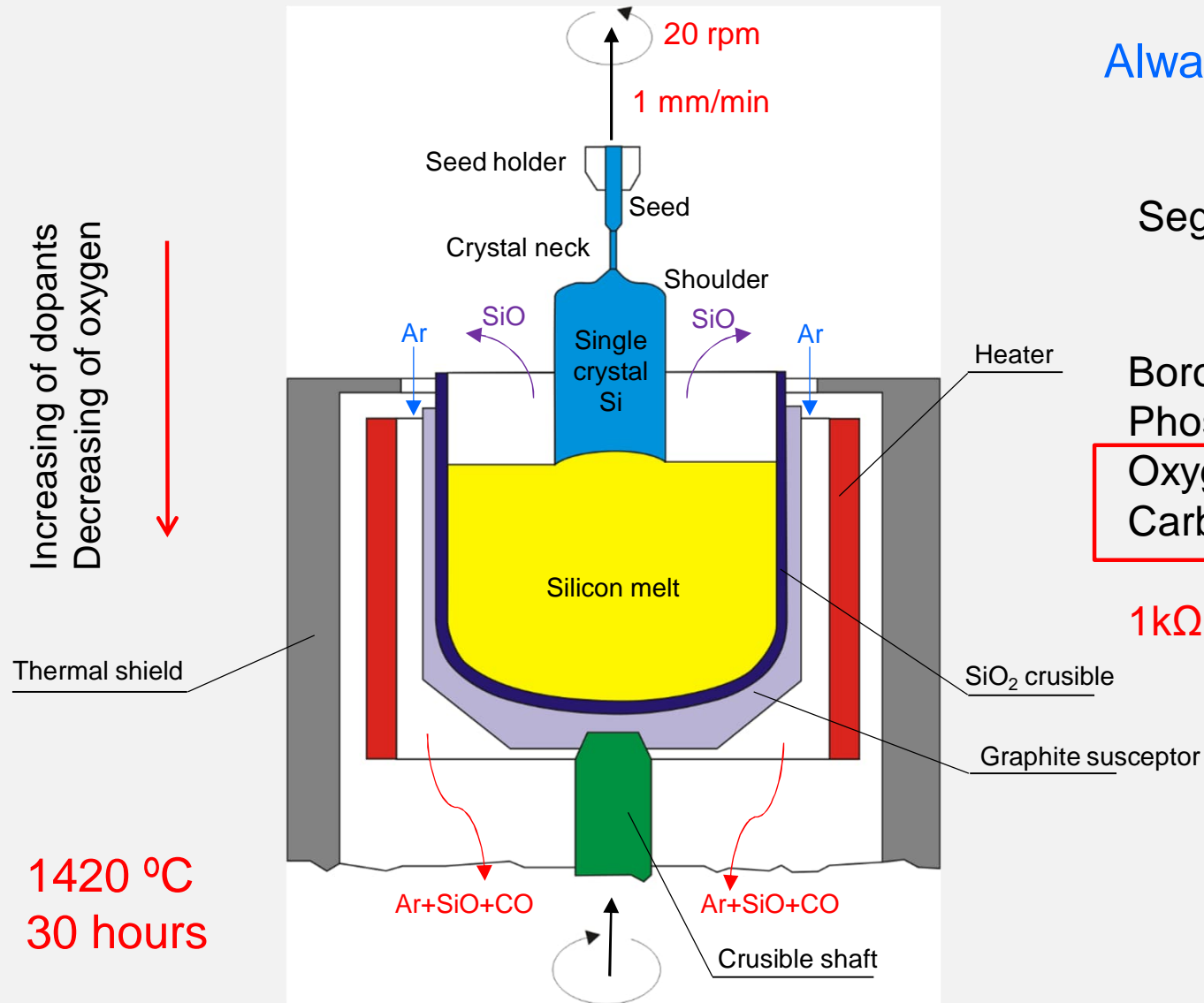


Czochralski crystal growth : liquid phase epitaxy



The method is 100 years old!

Czochralski (CZ) c-Si growth



Always there is O₂ !

Segregation coefficient

$$k_0 = C_{\text{solid}} / C_{\text{liquid}}$$

Boron	0.8
Phosphorous	0.35
Oxygen	1.25
Carbon	0.07

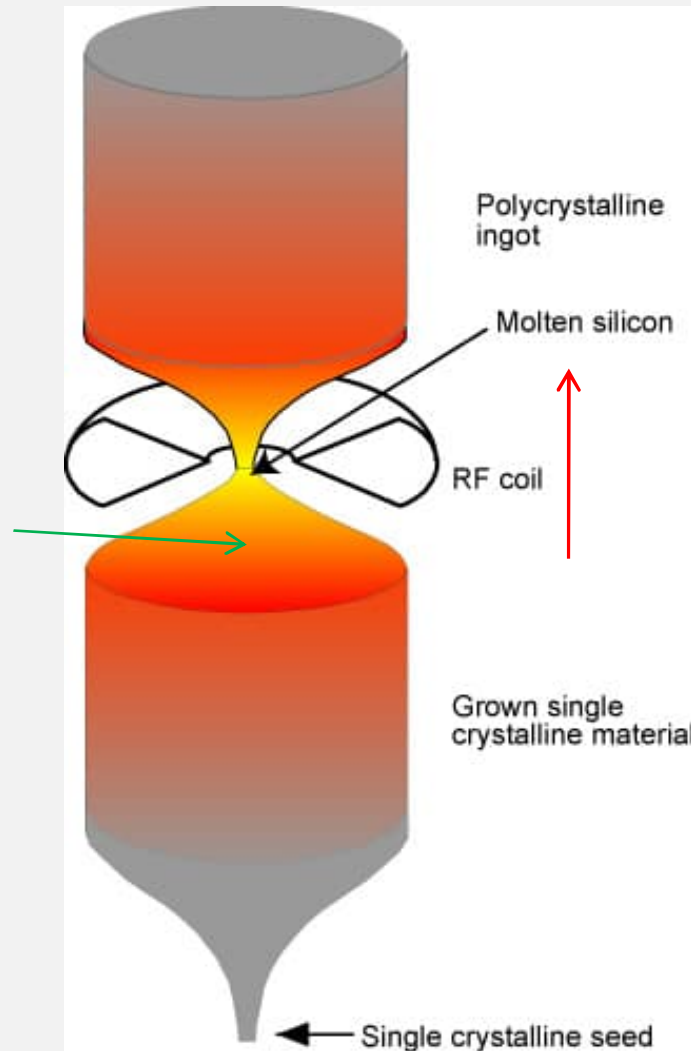
1kΩ-cm – max resistivity

c-Si ingots



Float zone (FZ) growth

Impurities move with melted region



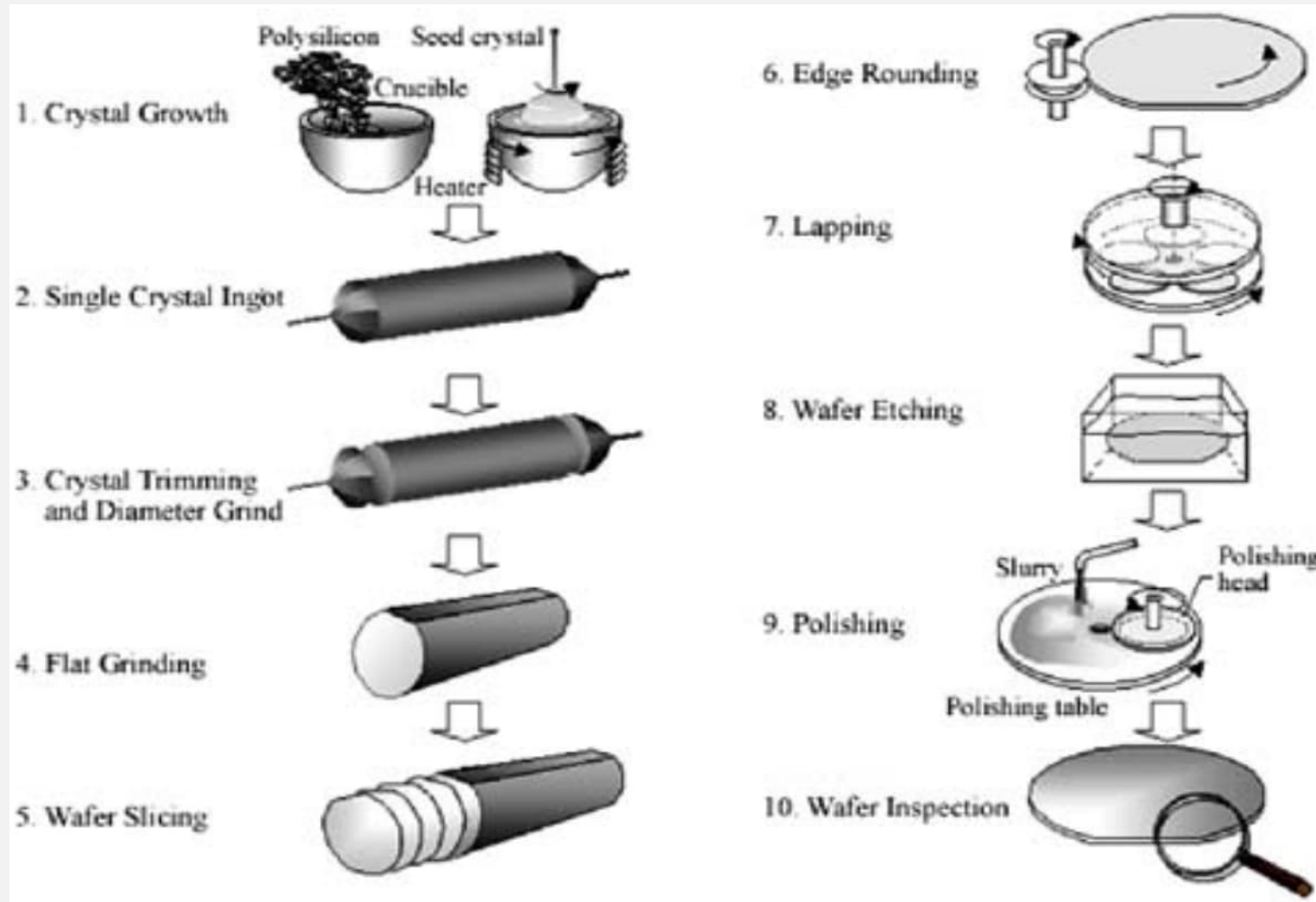
There is no O_2 !

max $20k\Omega\text{-cm}$

max 150 mm (450 mm MCZ)

Vacuum or Ar ambient

Silicon wafering



<http://www.southalabama.edu/engineering/ece/faculty/akhan/Courses/EE539-Fall04/Lecture-slides/lecture-4-crystal%20growth.pdf>

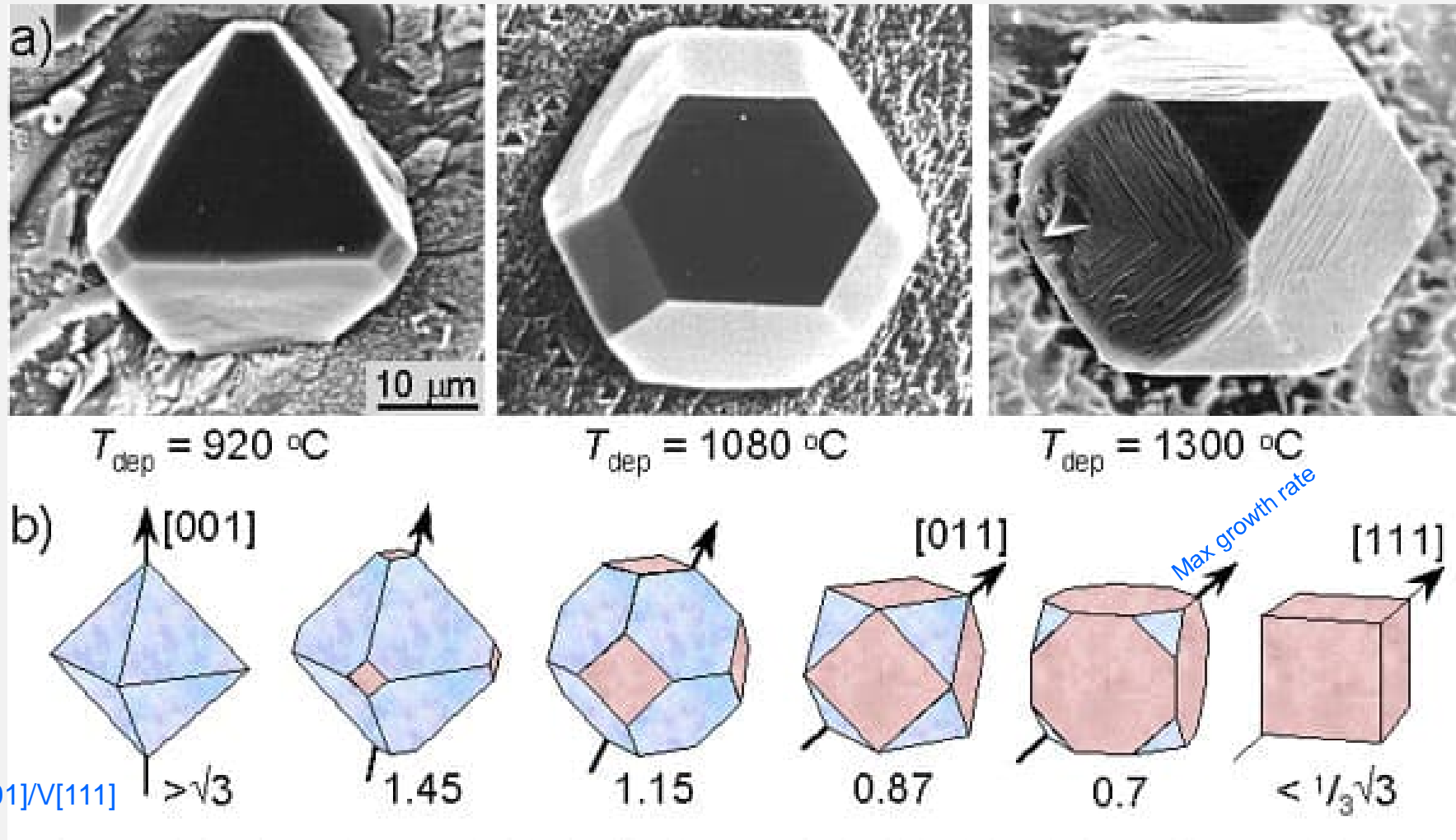


Video

- 4 min <Si> crystal growth & wafering:
- <https://www.youtube.com/watch?v=AMgQ1-HdEIM>

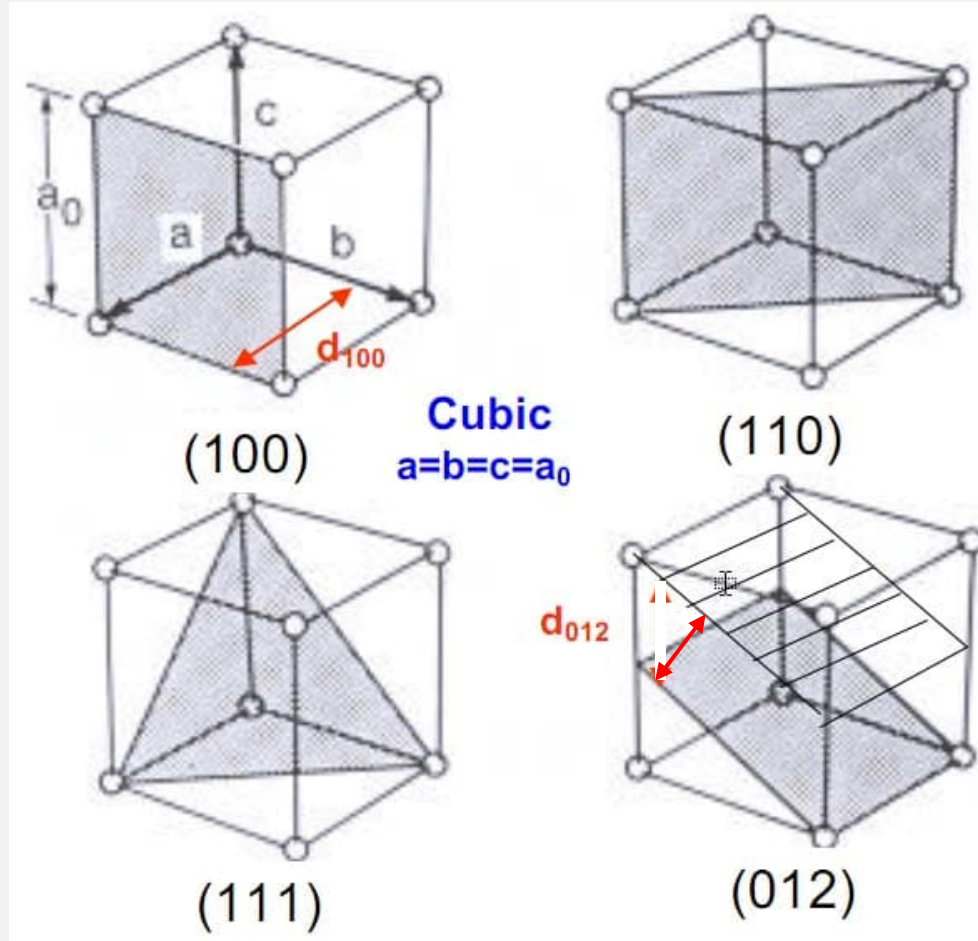
Crystal variety

Diamond shape variation



Si Miller indices

a	b	c
1	∞	∞
1	0	0



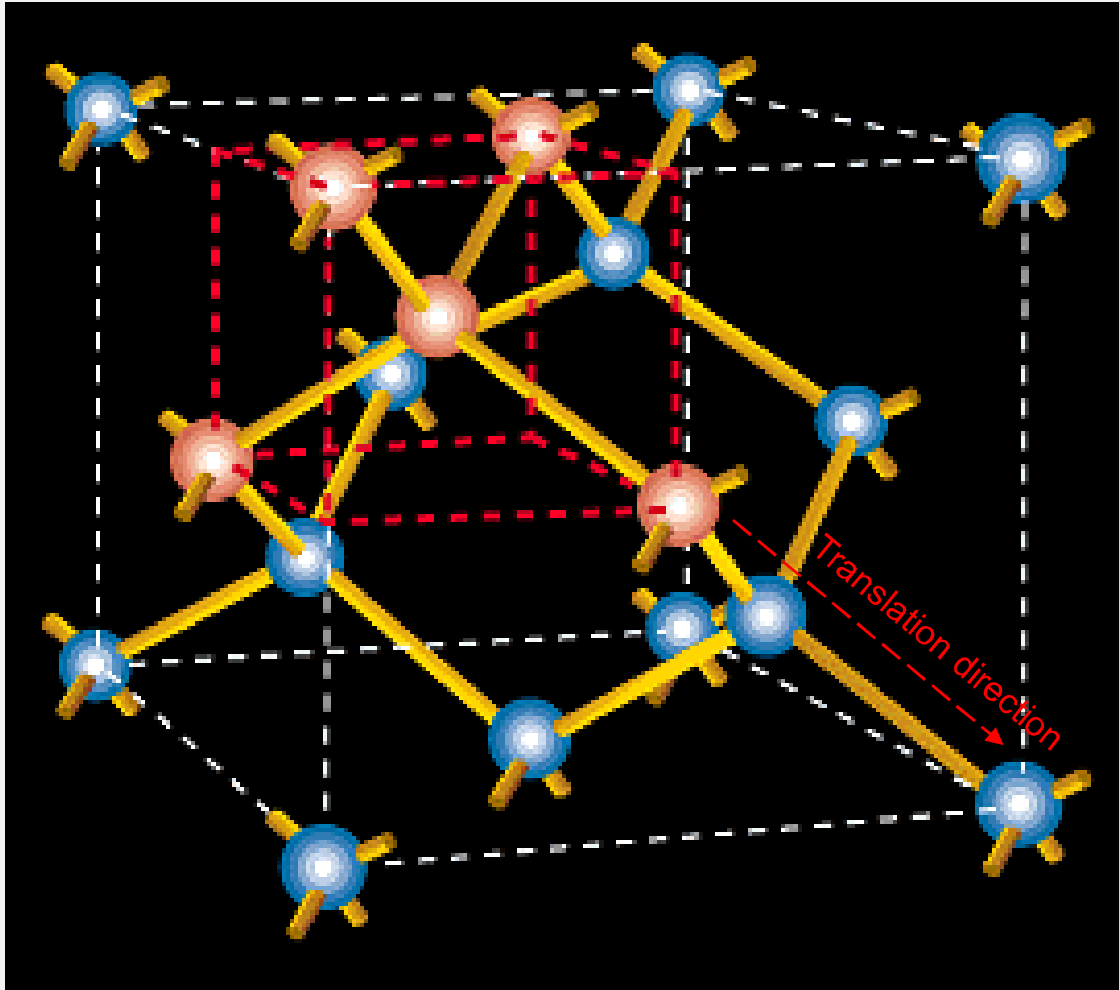
a	b	c
1	1	∞
1	1	0

a	b	c
1	1	1
1	1	1

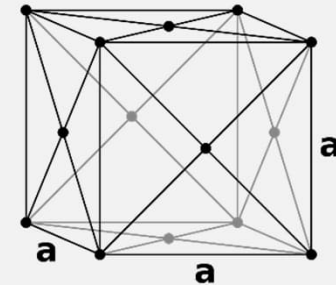
a	b	c
∞	1	$\frac{1}{2}$
0	1	2

Black numbers - fractional intercepts, Blue numbers - Miller indices

Si unit cell



FCC face-centered cubic



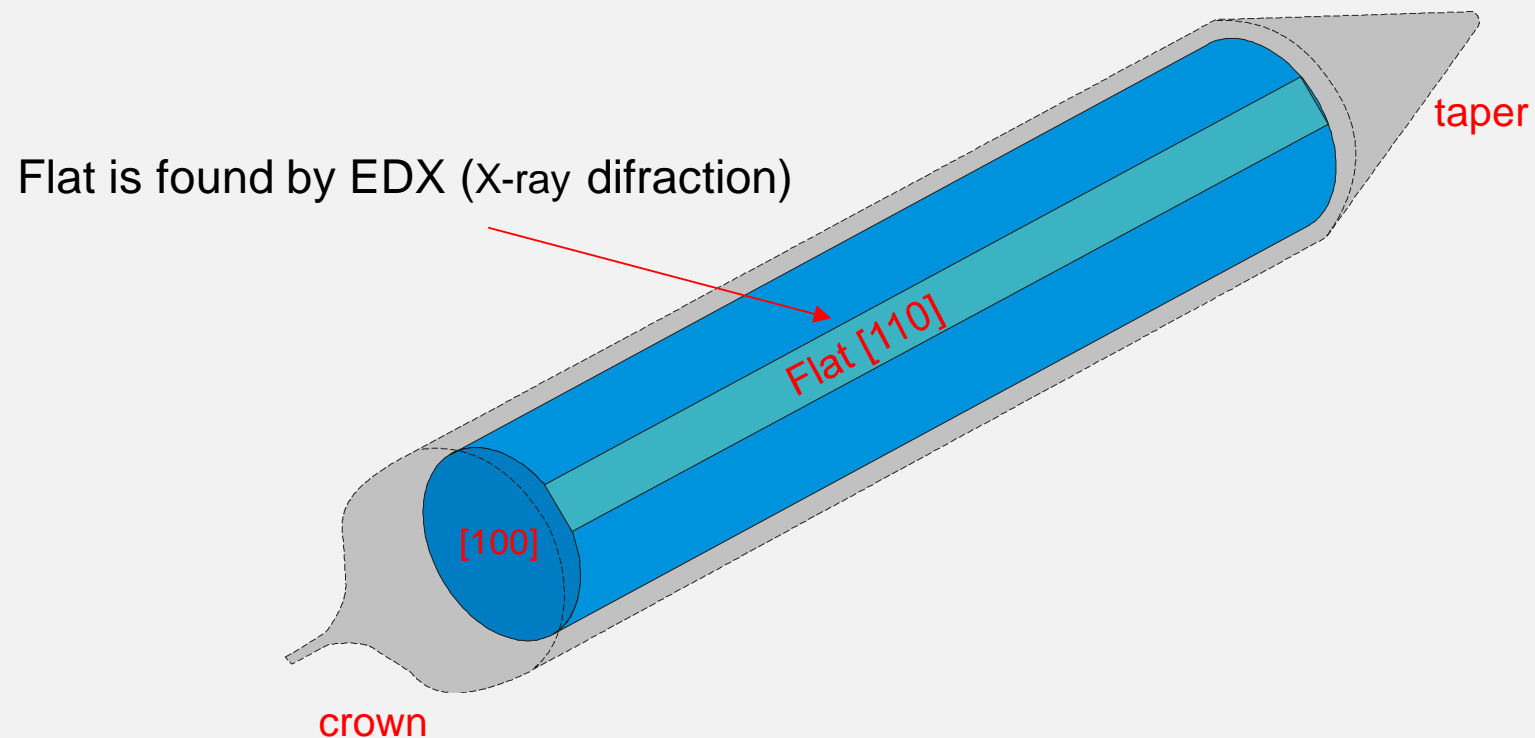
Two $\frac{1}{4}$ shifted FCC or
“diamond cubic” lattice

$$a = 5.43 \text{ \AA}$$

filled space 34%
 (hexagonal 74%)

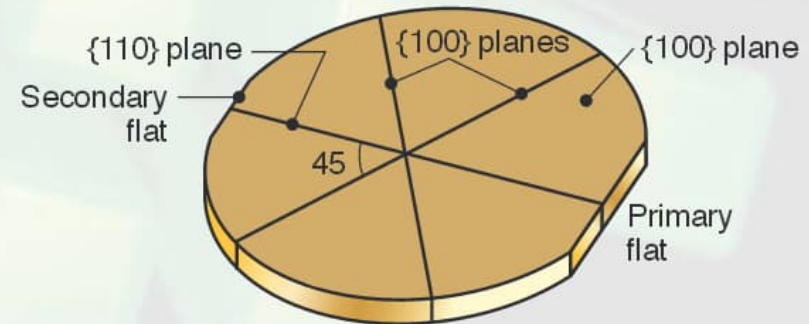
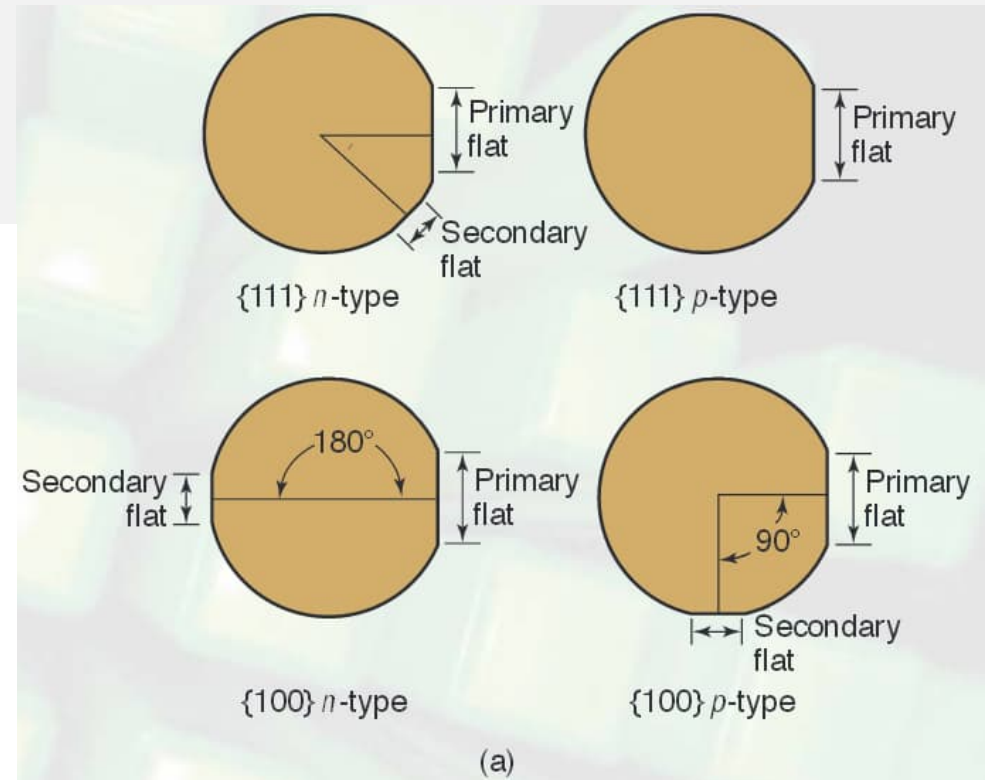
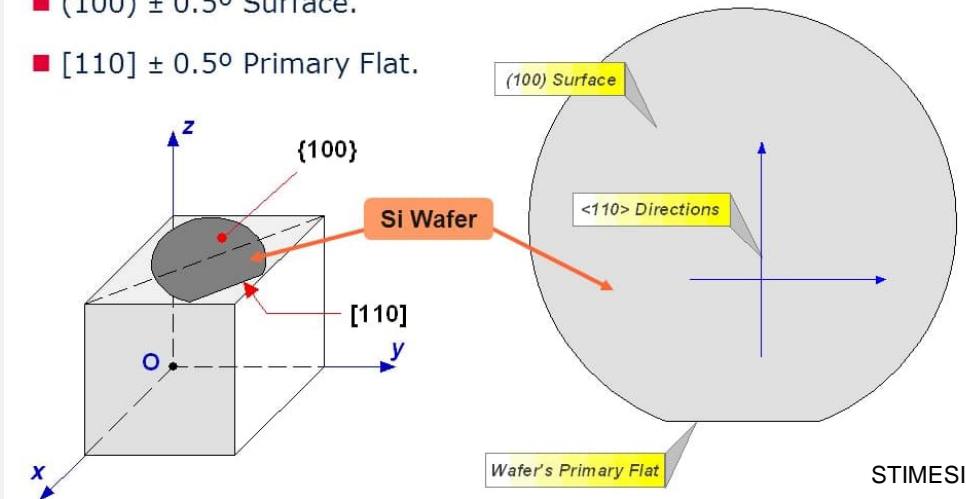
www-inst.eecs.berkeley.edu/~ee130/sp07

Crystal shaping and flat grinding for Si (100) wafers



Si wafer flats

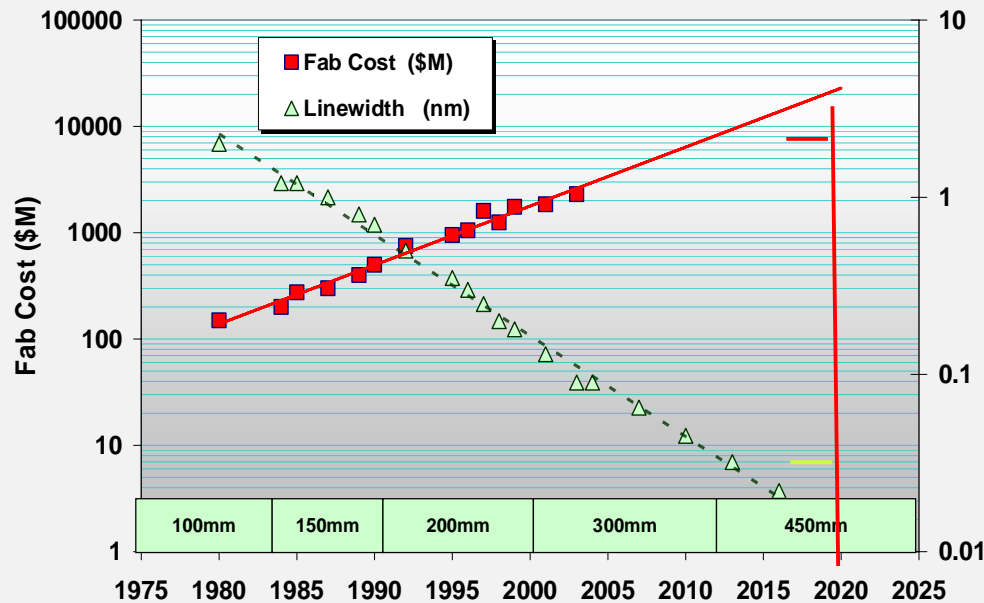
- P-type, 150 mm Si wafer.
- $(100) \pm 0.5^\circ$ Surface.
- $[110] \pm 0.5^\circ$ Primary Flat.





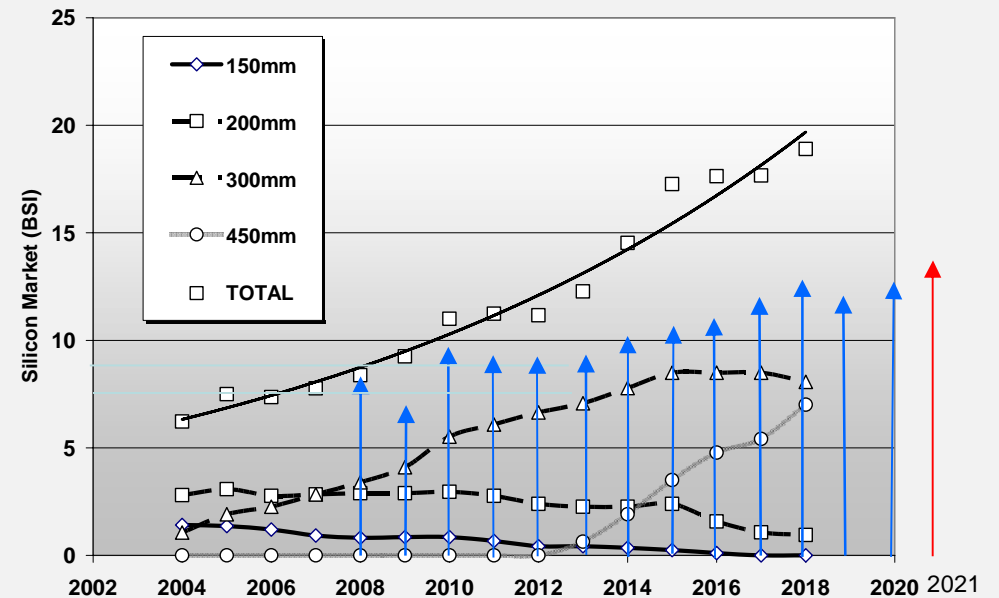
Silicon. Trends and forecast

Fab cost and wafer size



14,7 BSI - 2022

Si wafer production



200 mm - 1/3 of market

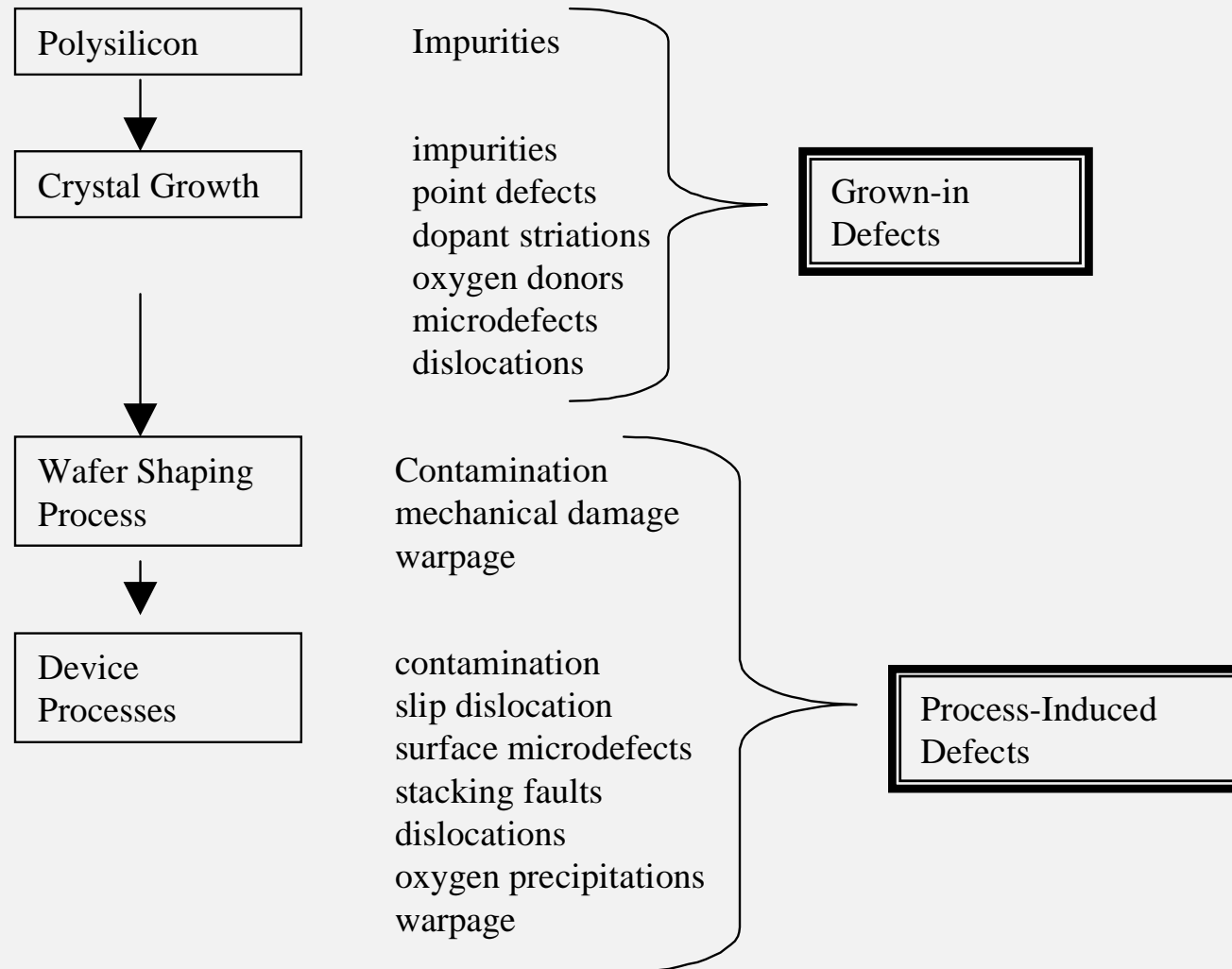
300 mm – 1/3 of market

Mainly for solar cells

US\$ 11.6 Billion

BSI – billion square inches

Defect generation



Point defects

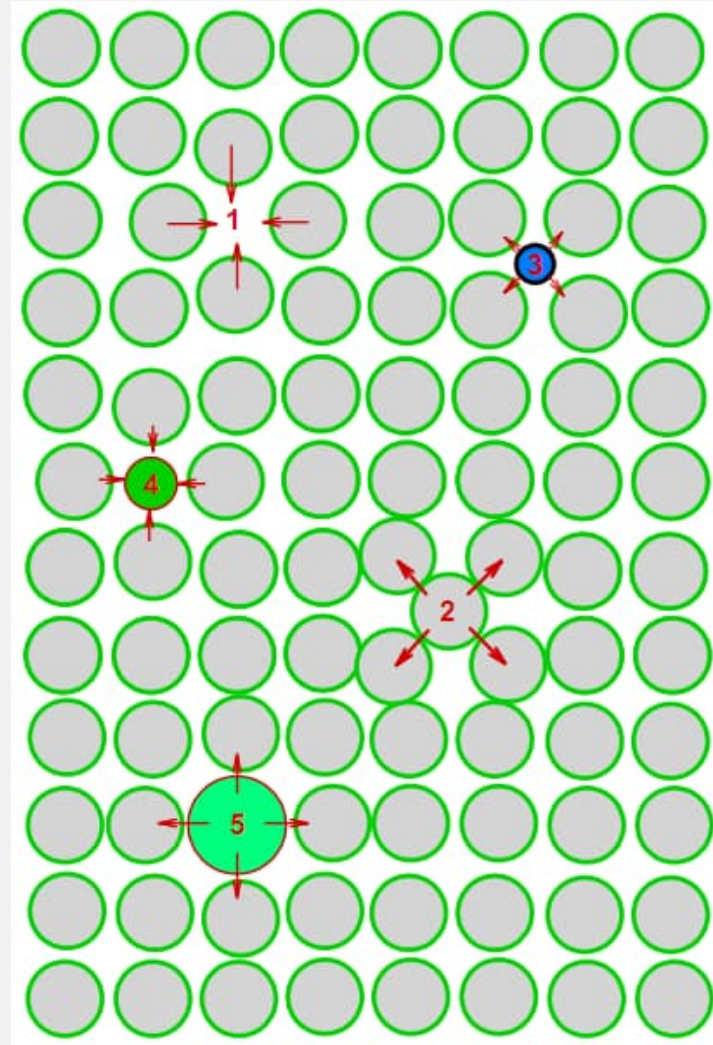
(1) vacancy

(2) self-interstitial

(3) interstitial impurity

(4),(5) substitutional impurities

arrows – local stresses



Substitutional impurities



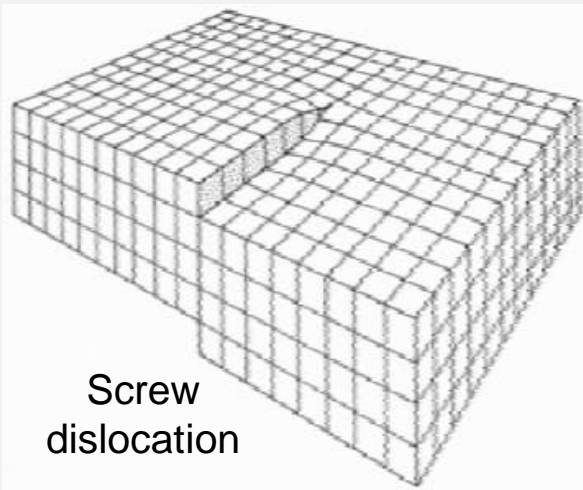
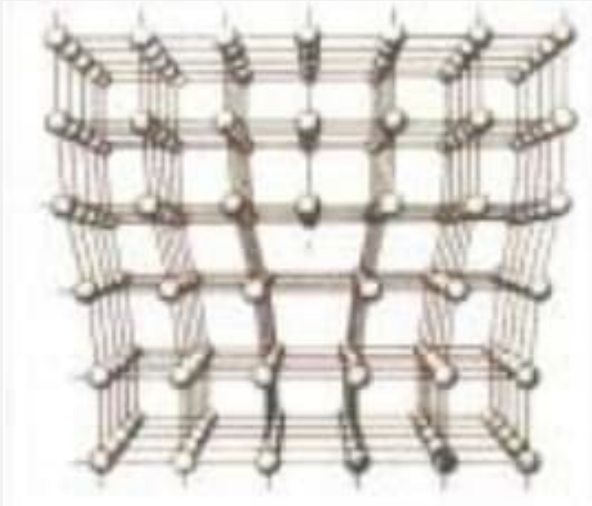
Ruby – Cr in Al_2O_3 matrix



Sapphire – Ti and Fe in Al_2O_3

Line defects (dislocations)

Edge dislocation



Screw dislocation

Damascus steel





Summary I

- Silicon possesses unique set of functional properties
 - Silicon is easily processed during fabrication
 - Si forms thermal oxide with excellent functional properties and the oxide can be used as a mask
 - Si is cheap and abundant on the Earth
- > as a result
- The best ratio "usefulness to price"

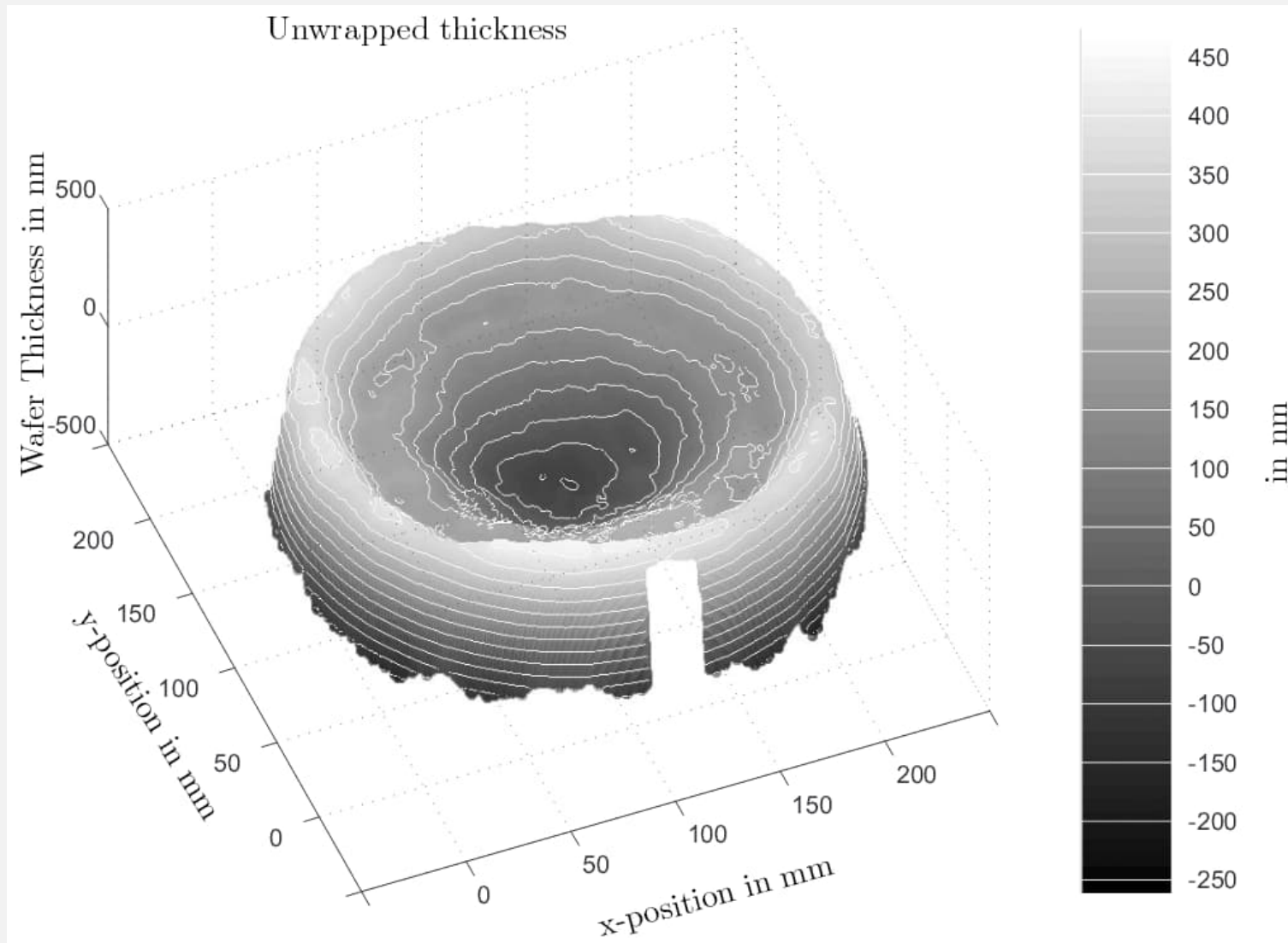


Substrates

- Functions: mechanical support and providing functional properties (electronic, optical etc.)
- Circular wafer
 - Si, GaAs, SiC, Ge, quartz, glass
- Square plate
 - glass, polysilicon, ceramics
- Film, foil
 - polymers: polyimide, PDMS, kapton
 - metals: Al, Cu

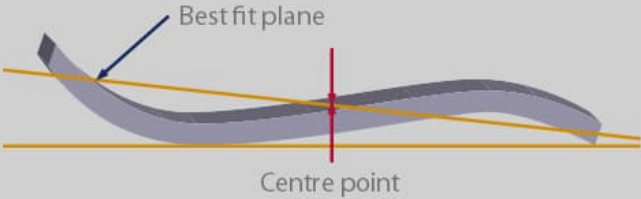
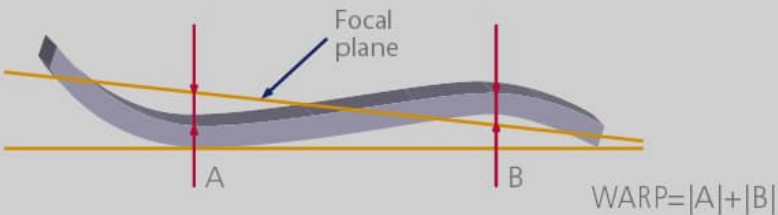
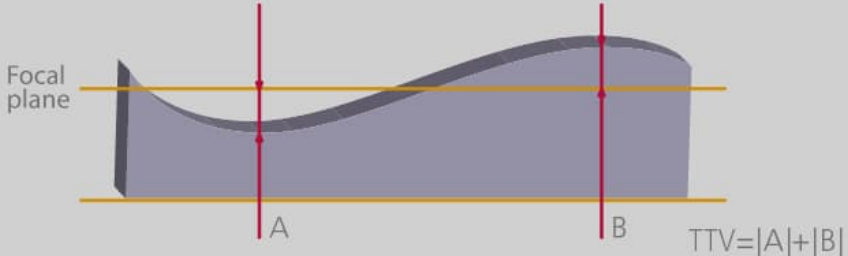
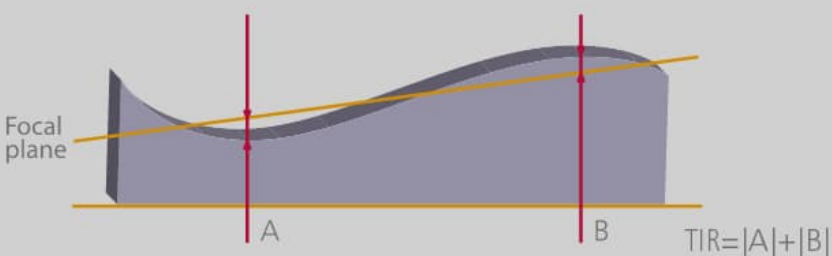
Substrate and wafer. What is the difference?

Wafer thickness measurements



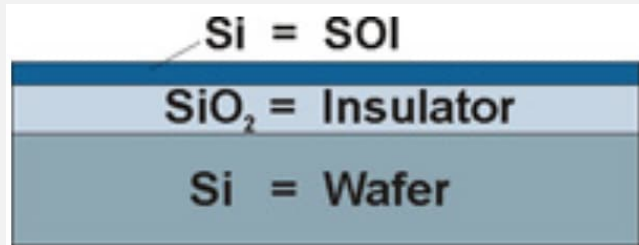
Maarten J. Jansen, Han Haitjema, Peter H.J. Schellekens
Eindhoven University of Technology, Precision Engineering Section, Eindhoven, The Netherlands

Global flatness specifications

<p>Bow</p>	<p>Distance between the surface and the best fit plane at the center of an unclamped wafer.</p>	<p>Warp</p>	<p>Sum of the maximum positive and negative deviations from the best fit plane (wafer unclamped).</p>
	 <p>$WARP= A + B$</p>		
<p>TTV Total Thickness Variation</p>	<p>Difference between the maximum and minimum values of the wafer thickness (wafer clamped).</p>	<p>TIR Total Indicated Reading</p>	<p>Sum of the maximum positive and negative deviations from the best fit plane (wafer clamped).</p>
 <p>$TTV= A + B$</p>	 <p>$TIR= A + B$</p>		

SOI and SOS wafers

Silicon on isolator (SOI)

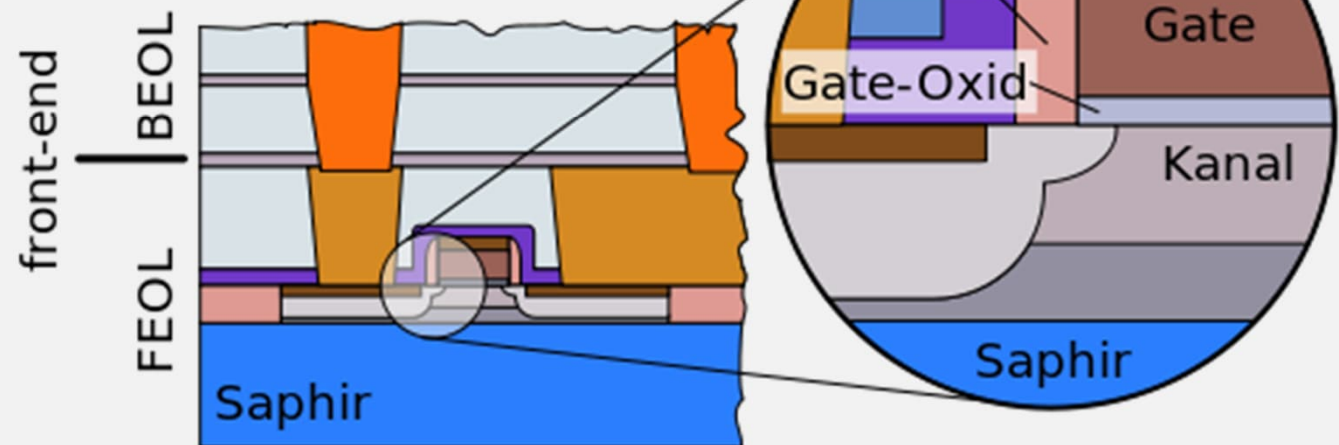


Simplifies chip fabrication, but wafers are very expensive!

Silicon on sapphire (SOS)

Legende:

Silizium (Si)	n-Si	p-Si	Wolfram (W)
Saphir			Kupfer (Cu)
Polysilizium (Poly-Si)			Siliziumnitrid (Si ₃ N ₄)
Siliziumdioxid (SiO ₂)			Cobaltdisilizid (CoSi ₂)



Unusual substrates

Table 1. Emerging materials status*

Emerging material	First year coverage	Chip products on market	Close to mainstream acceptance	Change of importance since 2005		
				Increased	No change	Decreased
Global strained Si	2003	No	No		•	
Global strained SOI	2003	No	No	•		
Germanium channel transistors	2003	No	No	•		
III-V channel transistors	2007	No	No		N/A	
Isotopically pure Si	2003	No	No	Quantum computing		•
Silicon on diamond	2005	No	No		•	
Silicon on SiC	2005	No	No		•	
Silicon on alumina	2005	No	No		•	
Channel orientation	2005	Yes	Yes	•		
Surface orientation	2005	No	No		•	
Carbon nanotubes	2005	No	No		•	
High resistivity Si	2003	Yes	Yes	•		
Optical interconnection on Si	2003	No	No		•	
Phase change memory	2007	No	No	Non-volatile memory	N/A	Ge ₂ Sb ₂ Te ₅

*listing the technologies that have been covered by the ITRS Emerging Materials committee since its inception in 2003, along with several status columns.

Mobility enhancement

Thermal management

System-on-chip

Memory solutions



Summary II

- Wafers can be distinguished by:
 - material
 - doping
 - crystal orientation
 - shape
 - size
 - polished sides
 - grown layers