



Aalto University
School of Chemical
Engineering

CHEM-E1150

BIOMASS PRETREATMENT AND FRACTIONATION

Theme 1: Kraft pulping, Module 2

Prehydrolysis

Herbert Sixta, 2019

Outline Theme 1

Module 1: Raw materials and mechanical pretreatment

Module 2: Prehydrolysis

Module 3: Kraft cooking

Module 4. Screening, washing, bleaching and drying

Module 5: Pulp properties and uses

Module 2

1. Introduction

2. Chemistry

3. Kinetics

4. Technology



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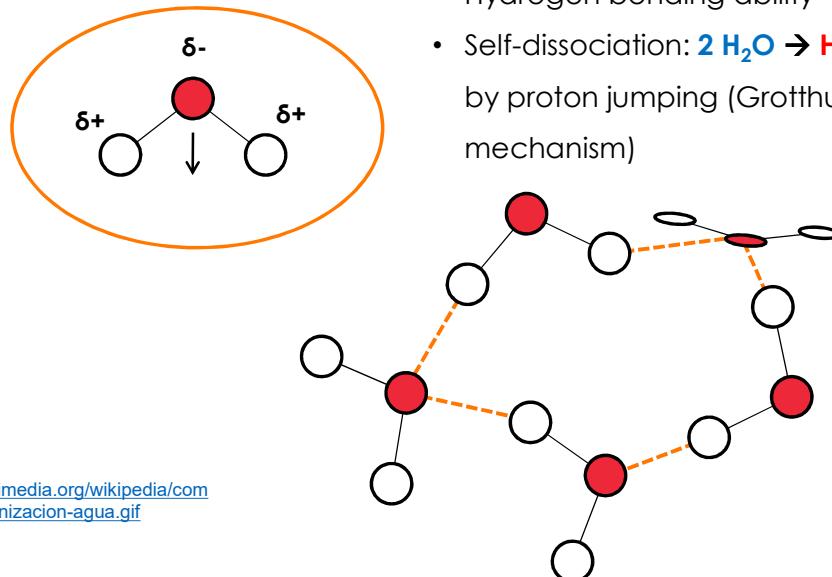
Some introductory words...History

1819	Braconnot	HCl conc	Ind.Eng.Chem. 1945,37,5-8
1923	Schöller	Percolation, 0.5 wt% SA, 170C	Ind.Eng.Chem. 1945,37,9-11
1929	Mason	Steam explosion	U.S. Patent No. 1,655,618, 1929.
1932	Babcock	Steam explosion	U.S. Patent No. 1,825,464, 1932
1937	Bergius	Batch, 40 wt% HCl at 25C	Ind.Eng.Chem. 1937, 29, 247-253
1940	Königsberg	Prehydrolysis (Soda)	Rydholm 1966
1945	Madison	Percolation, 0.5 wt% SA, 180C	report no. R1617 1946
1968	Bobleter	Hydrothermolysis	AT No. 263661, 25.7.1968
1978	Lora and Wayman	Autohydrolysis	TAPPI J. 1978, 61, 47.
1987	Overend, Chornet	Hydrothermal treatment	Phil. Trans. R. Soc. Lond., 1987, 321, 523.
1994	Antal	Aquasolv	Advances in Thermochemical Biomass Conversion; Bridgewater, A.V., Ed.; Blackie Academic and Professional: London, 1994; p. 1572.

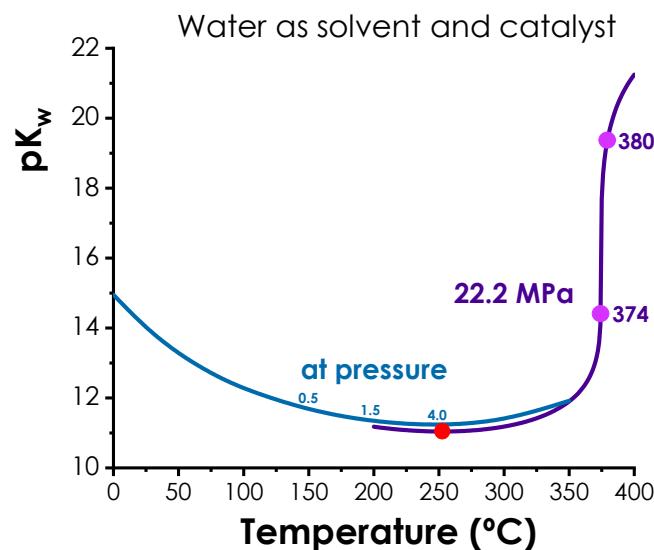
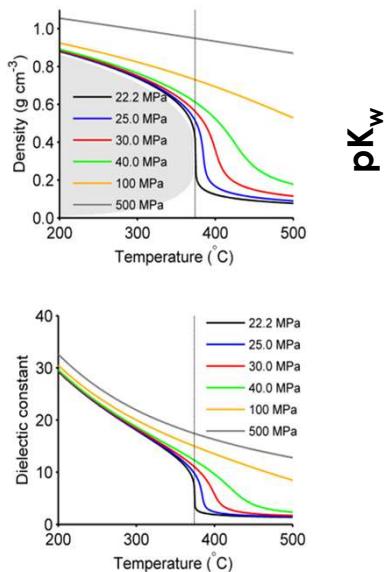
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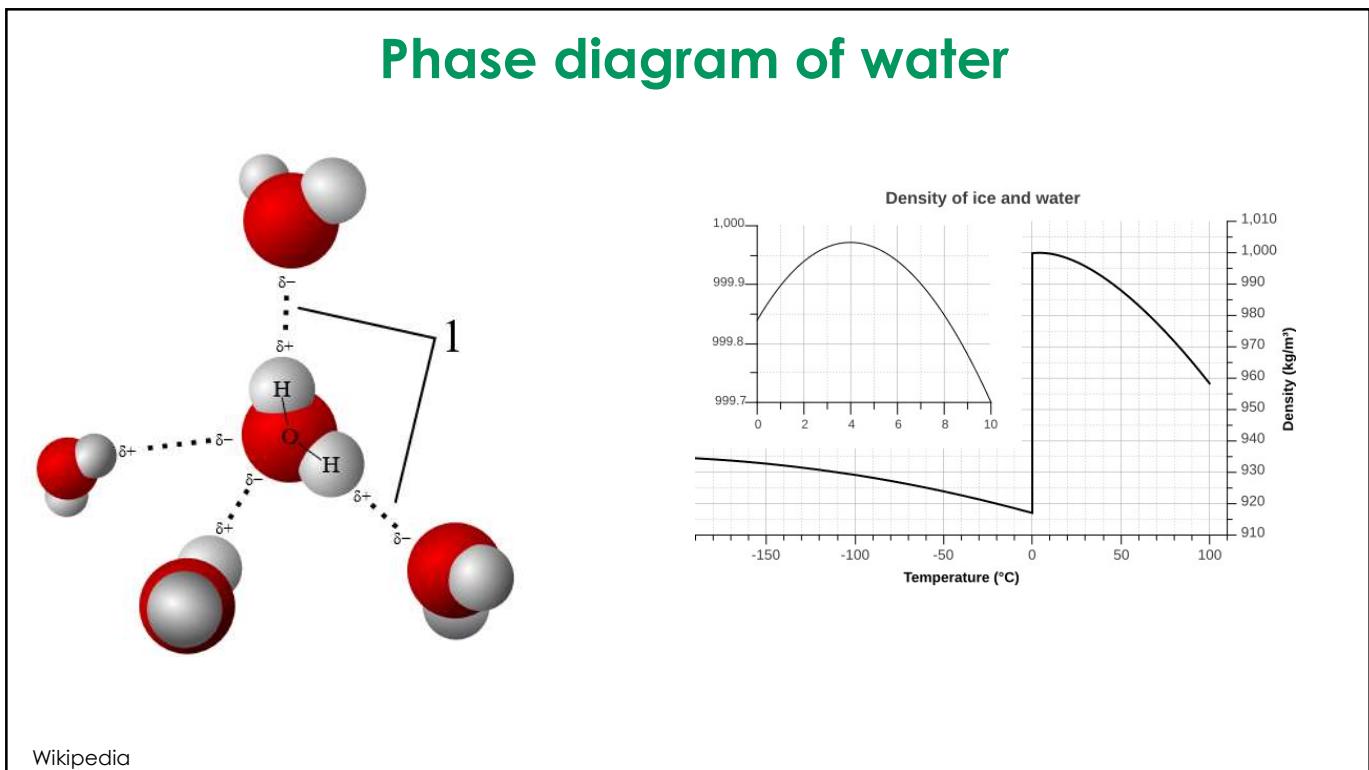
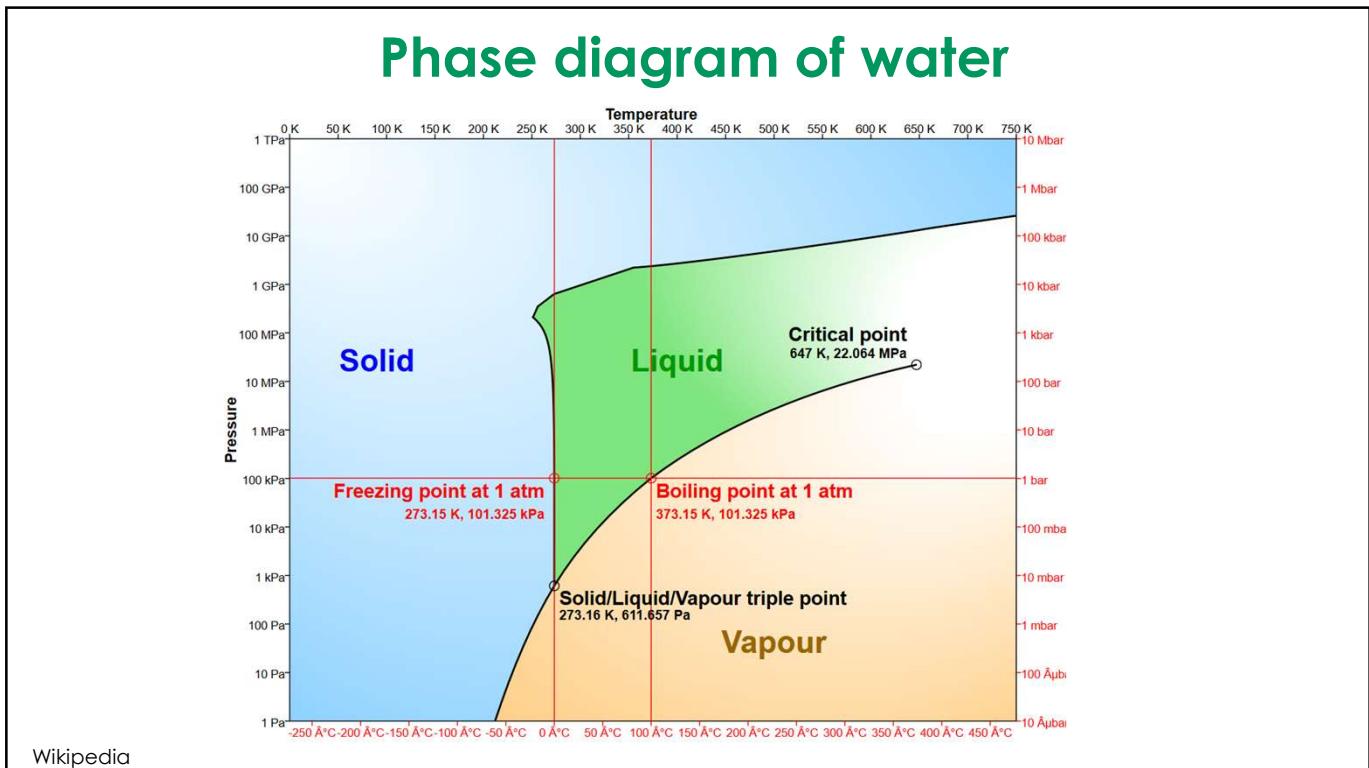
Water

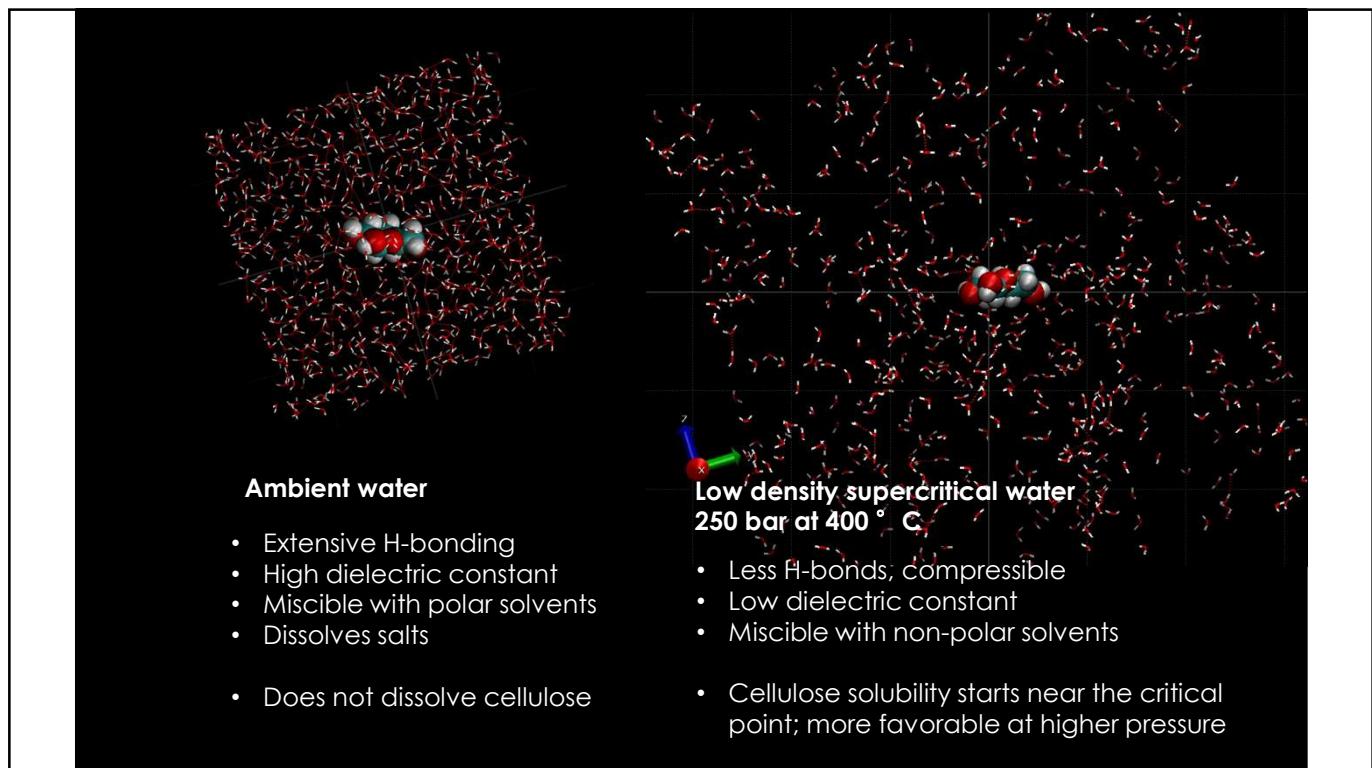
- Permanent dipole moment
- Hydrogen bonding ability
- Self-dissociation: $2 \text{H}_2\text{O} \rightarrow \text{H}_3\text{O}^+ + \text{OH}^-$
by proton jumping (Grotthus mechanism)



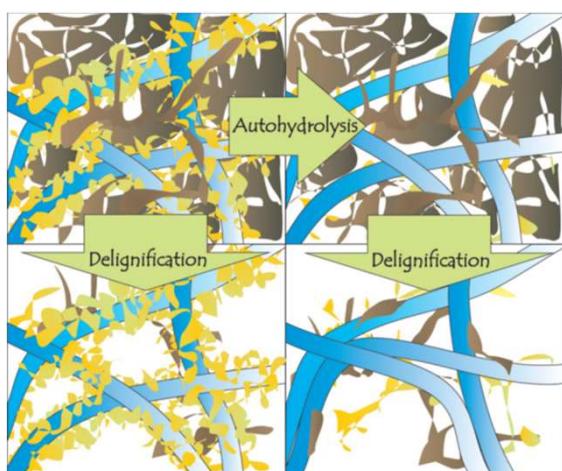
Physical properties of water







Prehydrolysis

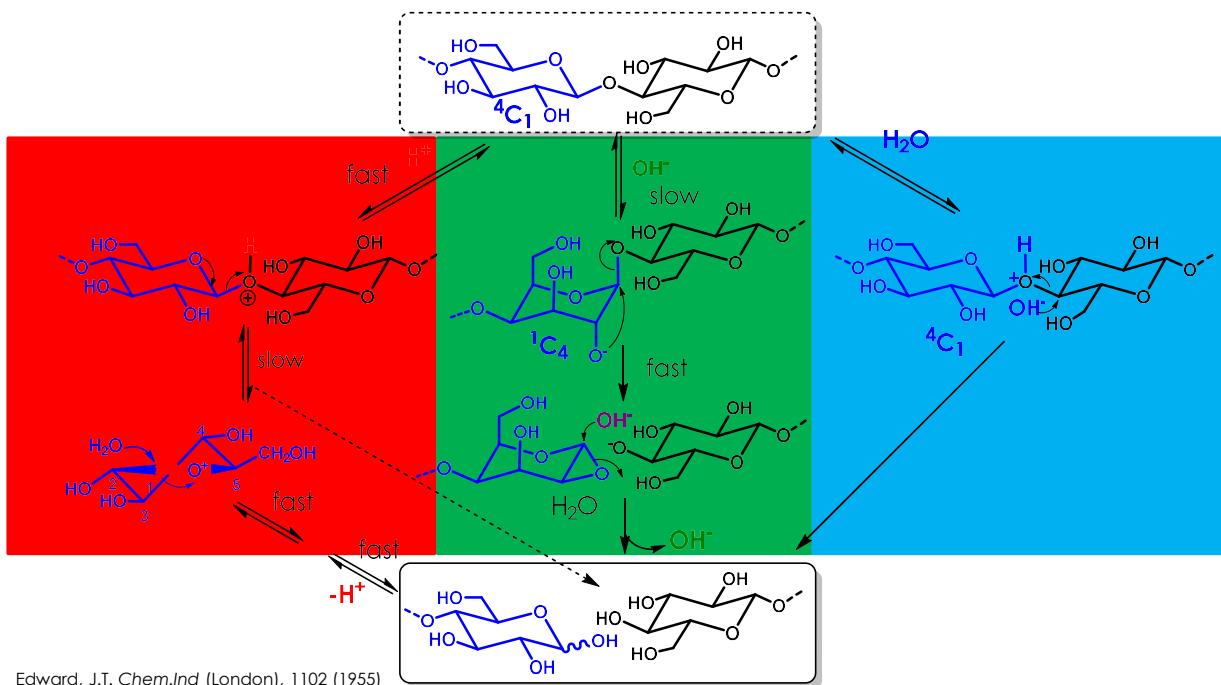


- Autohydrolysis effectively increases the cellulose surface area by hemicellulose dissolution
- The removal of lignin leads to a more accessible pore structure.
- Delignification increases the hydrophilicity of the remaining lignin, which also increases hydrolyzability

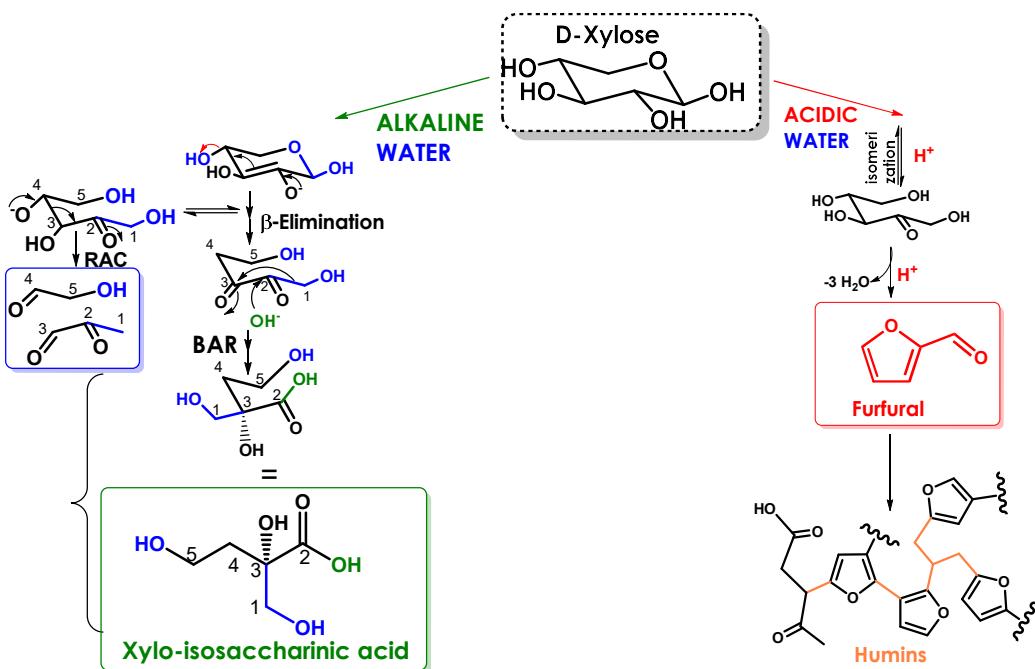
Module 2

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Chemistry of glycosidic bond cleavage



Chemistry of xylose degradation in water



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Prehydrolysis of Wood

- Comparative evaluation of birch and pine
- Selectivity of xylan removal
- Bound and free acetic acid in the hydrolysate
- Effect of liquor-to-wood-ratio
- Effect of wood particle size
- Batch- vs. percolation reactor
- Acid-catalyzed hydrolysis

Intensity of Prehydrolysis

P-factor used in PHK¹

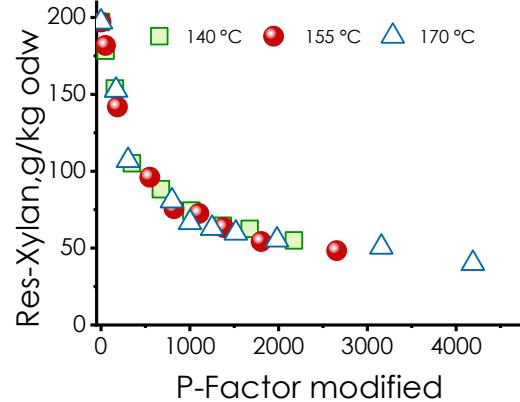
$$P = \int_{t_0}^t \frac{k_X}{k_{100^\circ\text{C}}} dt = \int_{t_0}^t \text{Exp}\left(40.48 - \frac{15106}{T}\right) dt$$

$$P_{mod} = \int_{t_0}^t \frac{k_X}{k_{100^\circ\text{C}}} dt = \int_{t_0}^t \text{Exp}\left(58.02 - \frac{21649}{T}\right) dt$$

Severity Factor^{2,3}

$$\log(R_0) = \log \left[t \cdot \exp \left(\frac{T - 100}{14.75} \right) \right]$$

$$\log(R_0^*) = \log(R_0) + |pH - 7|$$



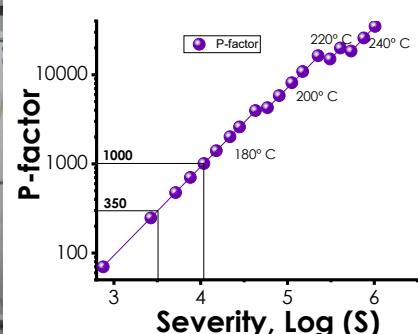
¹ Sixta, H. (2006) and (2011)

² Abatzoglou, N.J.; Chornet, E.; Belkacemi, K.; Overend, R.P. (1992). Chem. Eng Sci, 47, 1109-1122.

³ Chum, H.L., D.K. Black, S.K.; Overend, R.P. (1990). Appl. Biochemistry and Biotechnology, 24/25, 1-14

Birch vs Pine Prehydrolysis - Experimental

- Wood ground to particles < 1mm
- Liquor-to-wood ratio = 40:1 (m:m)
- Heating-up time converted to time at reaction temperature (t_{corr})



Leschinsky, M.; Stähli, M.; Borrega, M.; Testova, L.; Guetsch, J. Sixta, H.

Wood composition

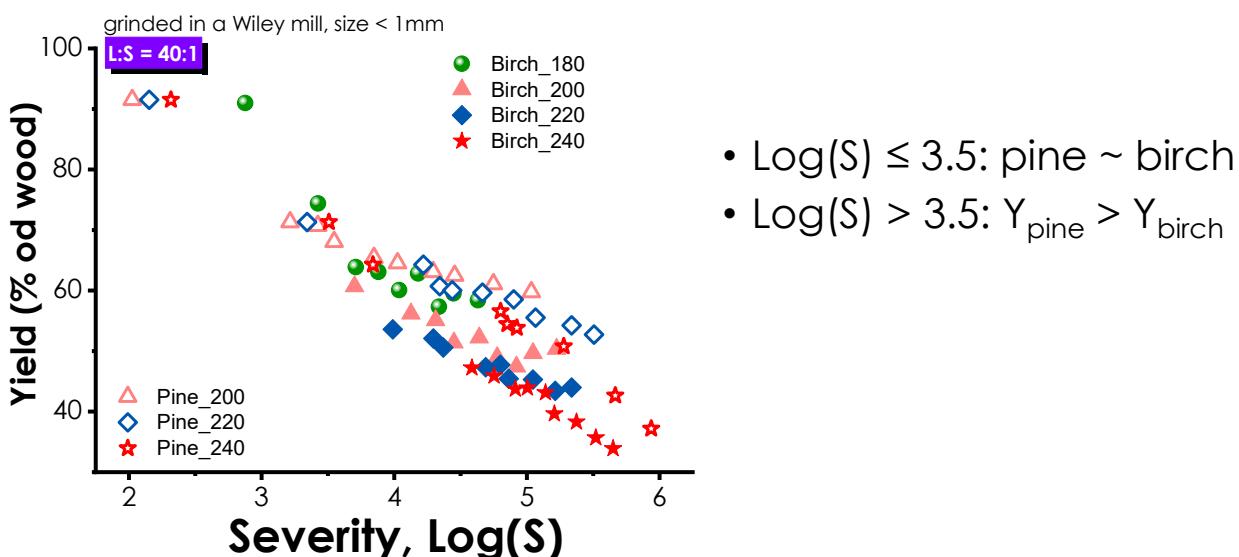
Constituent	% od birch
Acetone-Extractives	2,0
Klason Lignin	21,4
Acid soluble lignin	4,4
Total Lignin	25,8
Xylose	26,1
Arabinose	0,3
Glucose	38,3
Galactose	0,7
Mannose	1,8
4OMeGlcA	3,1
Acetyl	4,8
Total carbohydrates	75,1
Total	102,9

Constituent	% od pine
Acetone-Extractives	3,0
Klason Lignin	27,1
Acid soluble lignin	0,6
Total Lignin	27,6
Arabinoxylan (AX)	9,1
Galactoglucomannan (GGM)	15,8
Other carbohydrates	2,4
Uronic acids	2,1
Cellulose	40,9
Total carbohydrates	70,3
Total	100,9

Testova, L. et al.: Holzforschung, Vol. 65, pp. 535–542, 2011

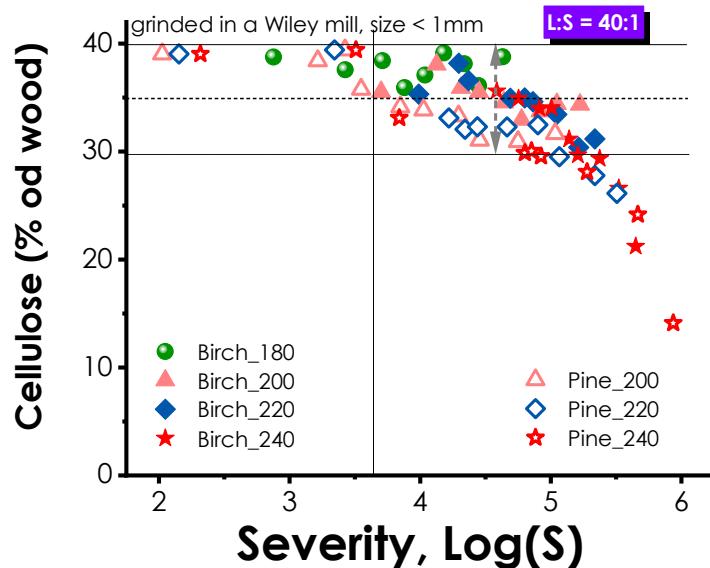
Markus Paananen et al.: Holzforschung 2015; 69(9): 1049–1058
Ståhl, M. et al. Biomass and Bioenergy 109 (2018) 100–113

Severity vs Yield



Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

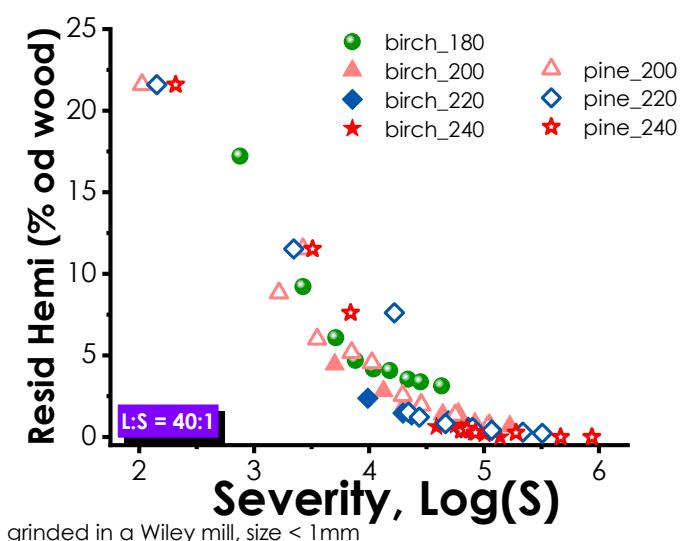
Severity vs Cellulose yield in residue



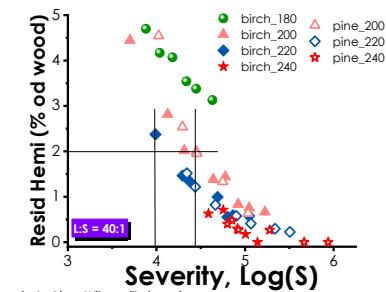
- $\text{Log}(S) \leq 3.5$: pine ~ birch
- $3.5 < \text{Log}(S) < 5.1$: $Y_{\text{pine}} < Y_{\text{birch}}$
- $5.1 \leq \text{Log}(S)$: $Y_{\text{pine}} \sim Y_{\text{birch}}$

Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

Severity vs Hemi in residue

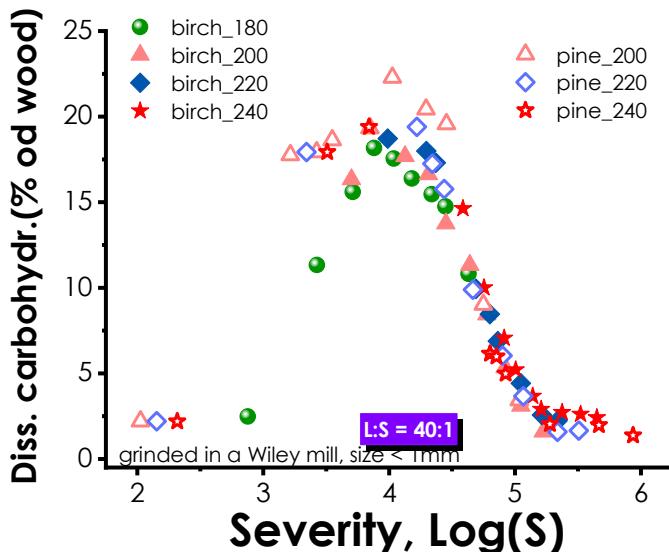


Despite the fact that pine and birch consist of different types of hemicelluloses, the removal kinetics of the sum of the hemicelluloses **seems to be quite comparable**.



Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

Severity vs Hemi in hydrolysate



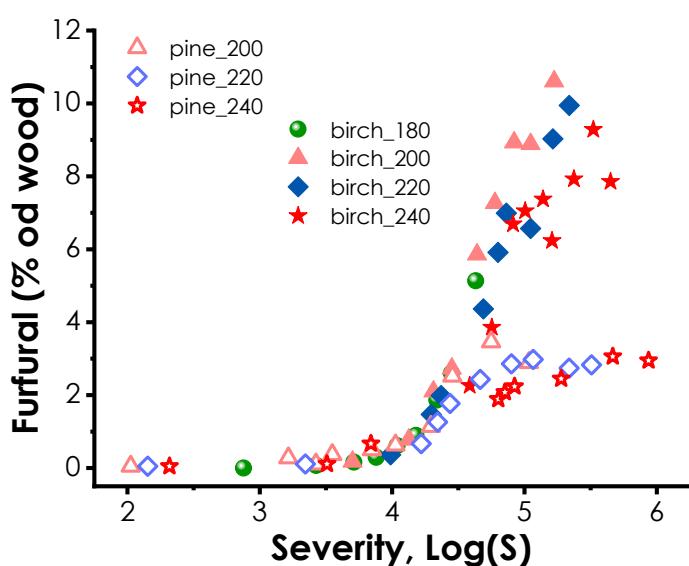
$\text{Log}(S) \sim 4.0$:
highest amount of intact carbohydrates dissolved (pine and birch)

$3.2 < \text{Log}(S) < 4.5$:
More carbohydrates dissolved from pine wood

* Side chains: 4OMeGlcA and Acetyl groups not included

Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

Severity vs Furfural in hydrolysate

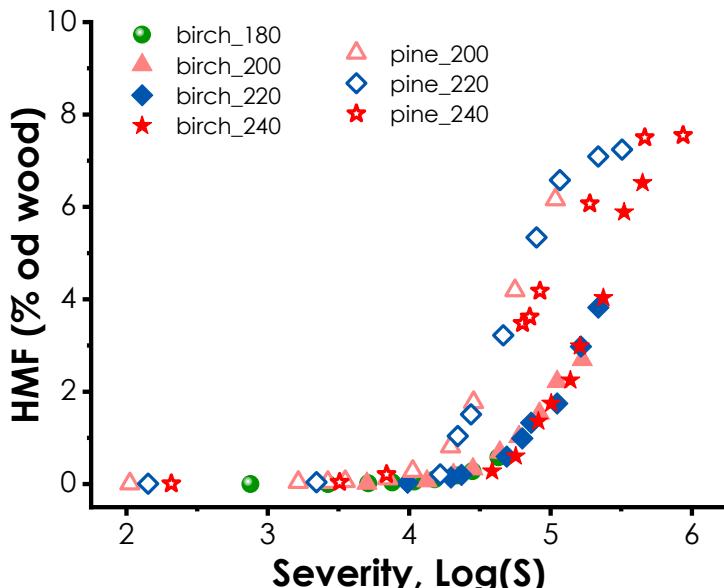


$4.2 < \text{Log}(S) :$
pine ~ birch

$4.2 \geq \text{Log}(S) :$
birch >> pine

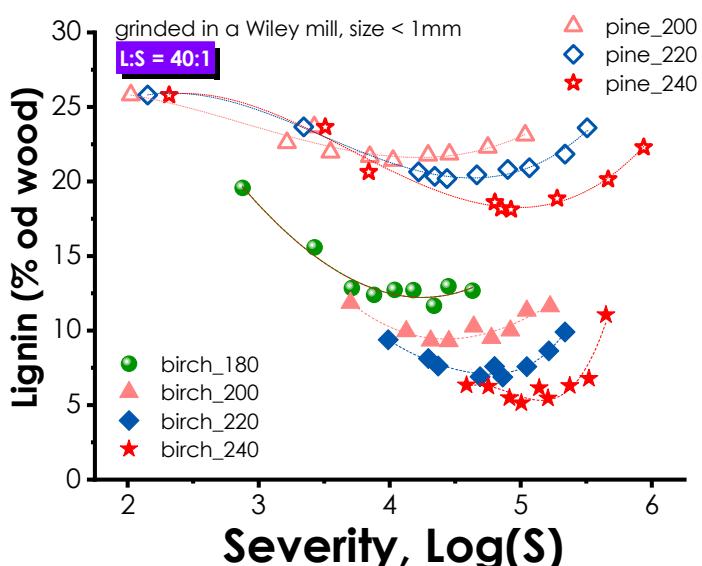
Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

Severity vs HMF in hydrolysate



Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

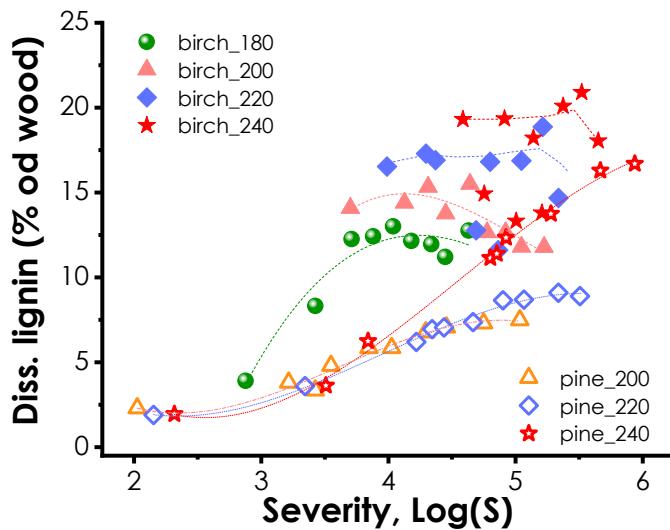
Severity vs Lignin in residue



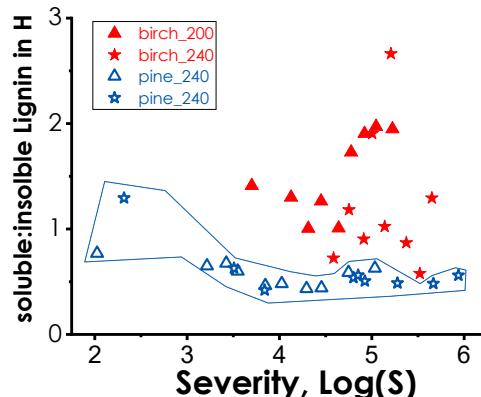
- lignin removal goes through a maximum, specific for each temperature, after which it decreased mainly triggered by progressive lignin condensation and re-precipitation
- **Lignin removal:**
Birch Lignin >> Pine Lignin

Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

Severity vs Lignin in hydrolysate



- Mirror image results in hydrolysate
- Lignin removal:**
Birch Lignin >> Pine Lignin
- Pine: higher fraction of insoluble lignin in hydrolysate



Leschinsky, M.; Ståhl, M.; Borrega, M.; Testova, L.; Guetsch, J. **Sixta, H.**

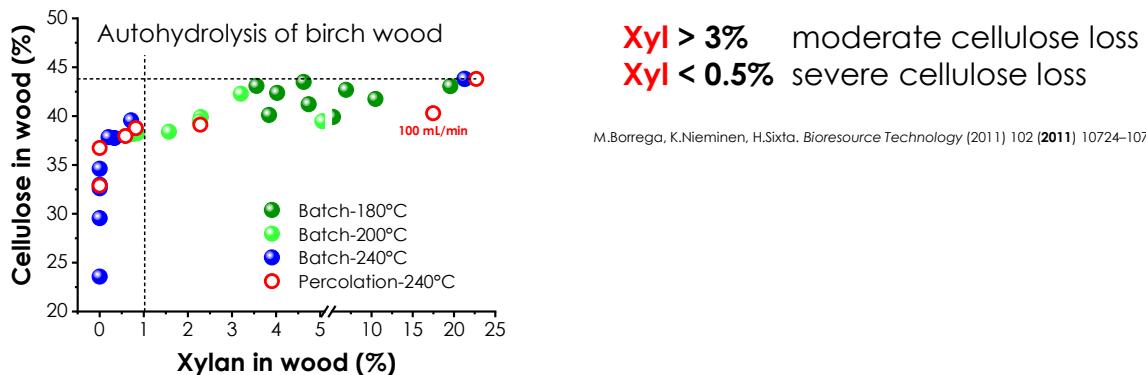
Prehydrolysis of Wood

- Comparative evaluation of birch and pine
- Selectivity of xylan removal**
- Bound and free acetic acid in the hydrolysate
- Effect on residual lignin
- Effect of liquor-to-wood-ratio
- Effect of wood particle size
- Batch- vs. percolation reactor
- Acid-catalyzed hydrolysis

Prehydrolysis of birch wood

Selectivity of Xylan Removal

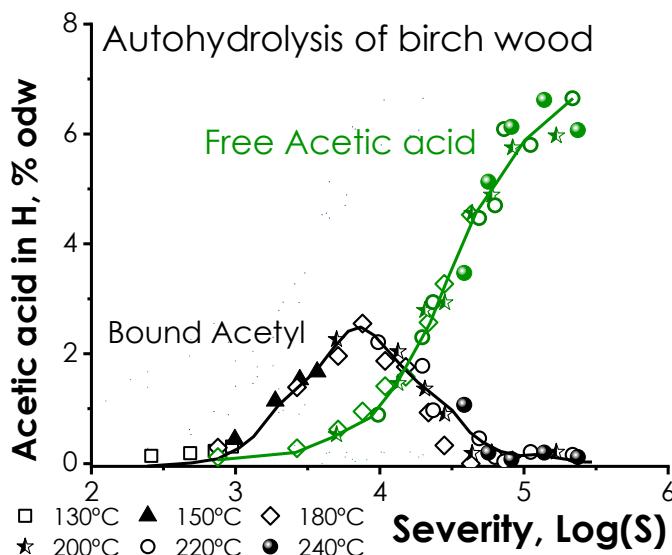
Batch-L:W=40:1. Percolation-100 mL/min



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Bound and free acetic acid in Birch Hydrolysate



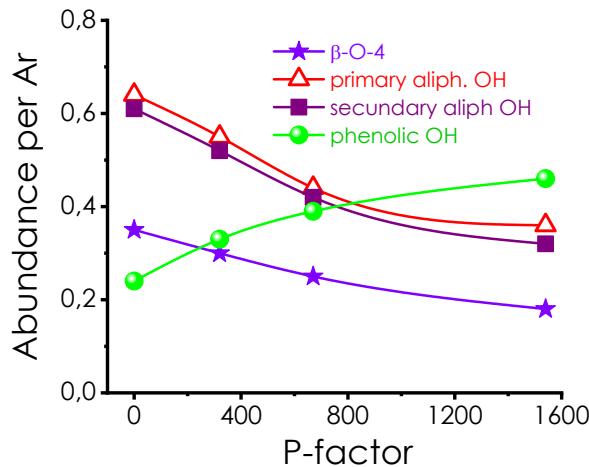
Testova, L. et al. Holzforschung, 2011, 65, pp. 535–542,
 M.Borrega, K.Nieminen, H.Sixta, Bioresource Technology (2011) 102 (2011) 10724–10732
 Guetsch, J.G.; Nousiainen, T.; Sixta, H: Bioresource Technology 109 (2012) 77–85

* batch autoclave, V = 0.3 L, L:S = 40:1

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Effect on Residual Lignin of *E. globulus*

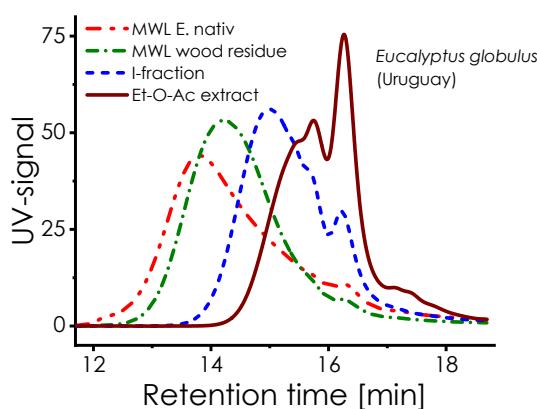


Residual Lignin (MWL)

P-factor	S/G ¹³ C & Q-DEPT NMR	PhenOH COOH	
		mol/mol	³¹ P NMR mmol/g
0	1,98	0,22	0,18
200	1,68	0,33	0,18
750	1,57	0,37	0,23

Leschinsky, M., et al., Holzforschung, 2008. 62(6) 645-652
Leschinsky, M., et al., Holzforschung, 2008. 62(6) 653-658

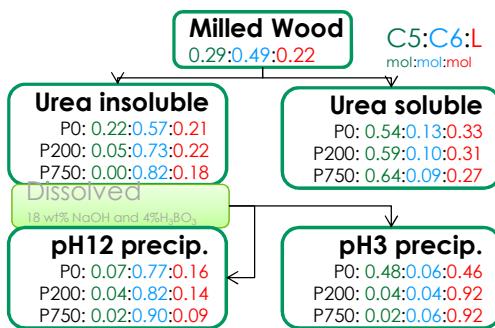
Effect on Residual Lignin of *E. globulus*



Leschinsky, Moritz: Doctoral Dissertation, Faculty of Mathematics, Informatics and Natural Sciences, University of Hamburg, 2009

Effect on residual lignin of birch

Fractionation of Lignin-Carbohydrate Complexes LCCs



As the intensity of the prehydrolysis increases, the proportion of the LCC fraction in the residual lignin of the birch decreases.

Conclusions:

Prehydrolysis leads to a cleavage of the LCC bonds

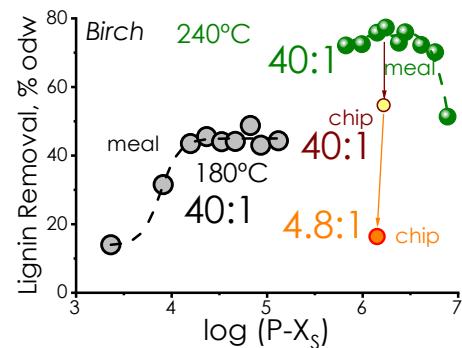
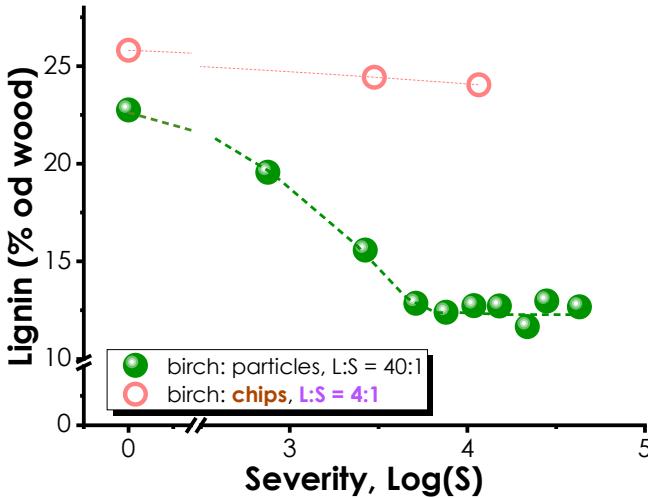
Rauhala, T. et al. NPPRJ, 2011, 26(4), 386-391
Guetsch, J., H. Sixta. Holzforschung, 2011, 65, 511-518

Prehydrolysis of Wood

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- **Effect of liquor-to-wood-ratio**
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Effect of Liquor-to-wood ratio

Decrease of L:S leads to a significantly reduced delignification



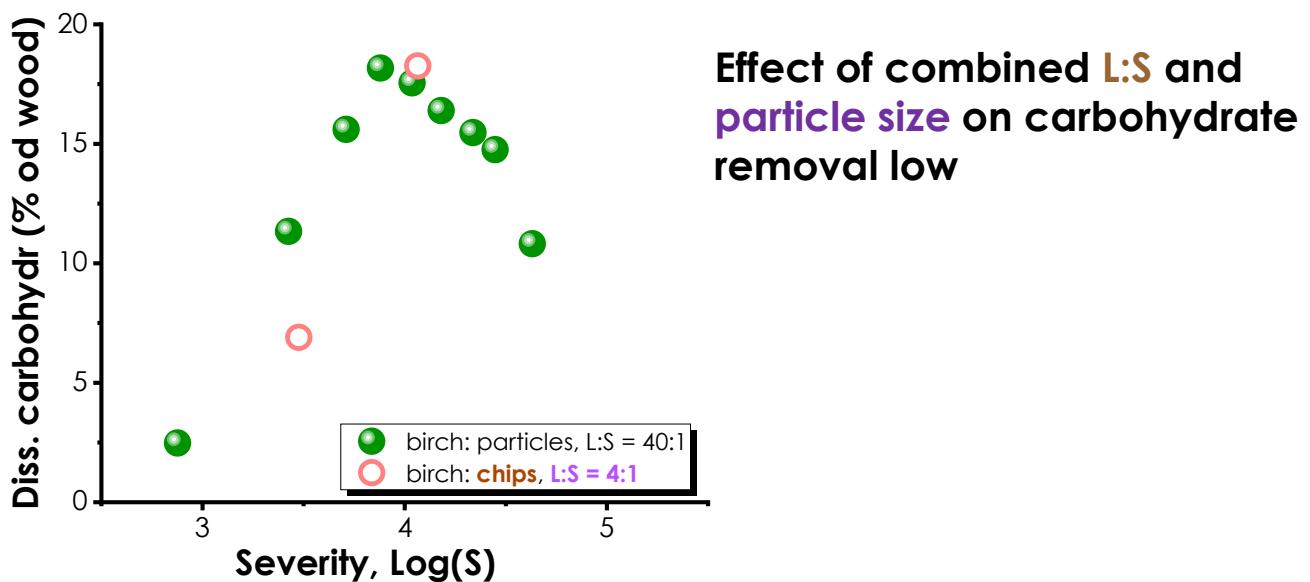
Borrega, M. et al. BioResources, 6(2), (2011) 1890-1903
Ståhl, M. et al. Biomass and Bioenergy, 109 (2018), 100-113

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Prehydrolysis of Wood

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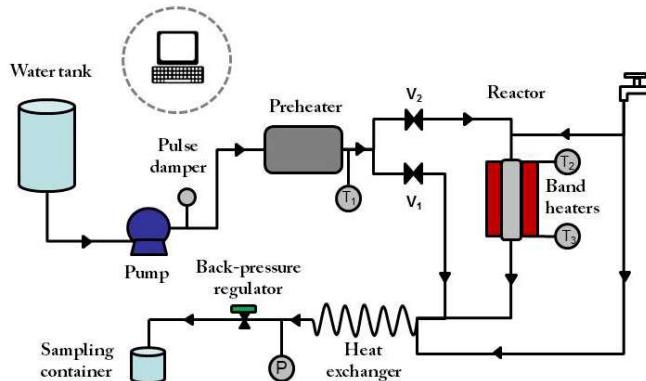
Particle size, L:S on carbohydrate yield



Prehydrolysis of Wood

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- Effect of wood particle size
- **Batch- vs. percolation reactor**
- Acid-catalyzed hydrolysis

Percolation-type of reactor

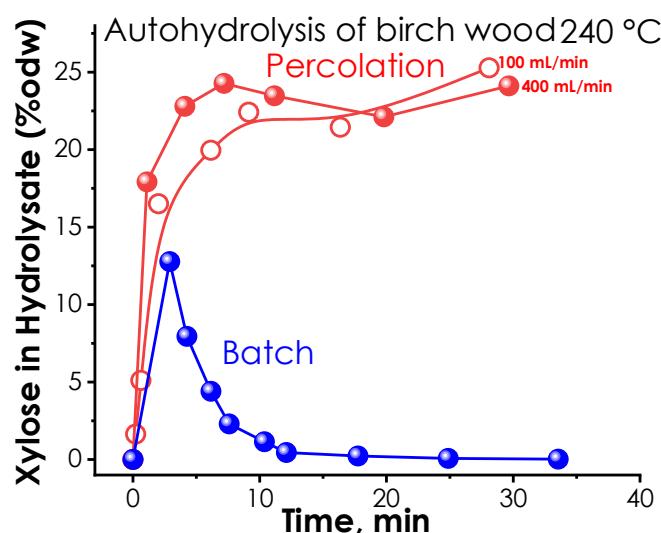


$$V_{\text{reactor}} = 190 \text{ mL}$$

Marc Borrega, PhD

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Batch vs Percolation-type of reactors



Batch: Maximum xylose yield 15 - 17% odw
 Percolation: Theoretical xylose yield of 25% odw

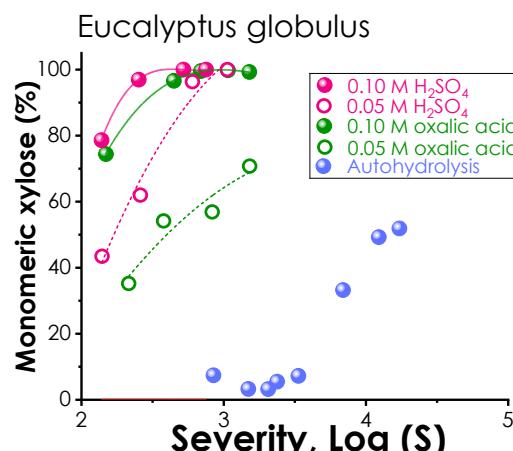
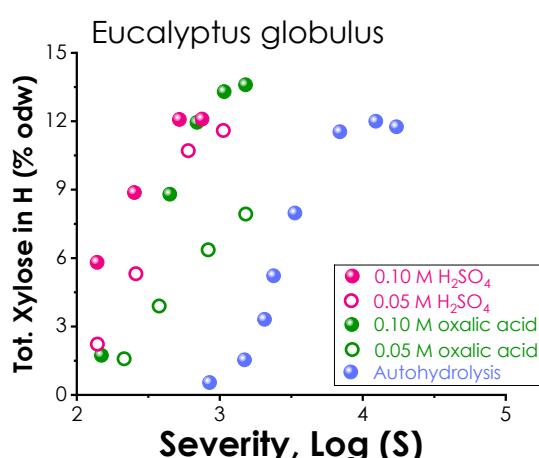
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Prehydrolysis of Wood

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- Batch- vs. percolation reactor
- **Acid-catalyzed hydrolysis**

Acid catalyzed hydrothermal treatment

Acidity can be compensated by temperature, and vice versa!



Maximum xylose yield: 12-13 wt% odw

Realistic xylose yield: 8 – 9 wt% odw

because the xylose remaining in the void fraction of the chips, $V_V = \frac{1}{\rho_{dw}} - \frac{1}{1.53}$, cannot be recovered.

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Module 2

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Kinetic Modeling of Prehydrolysis

$$-\frac{dX}{dt} = z_X \cdot k_{f,X} \cdot X_f + (1 - z_X) \cdot k_{s,X} \cdot X_s \quad (1)$$

$$z_X = \frac{(X - X_s)}{X} = \frac{X_f}{X} \quad (2)$$

X : fraction of original xylan remaining in the solid

X_f : fraction of the fast-reacting xylan remaining in the solid

X_s : fraction of the slowly-reacting xylan remaining in the solid

z_X : fraction of the readily reacting xylan

$k_{f,X}, k_{s,X}$: rate constants

Non-linear regression (least squares) analysis is applied to determine the best values for the coefficients in the integrated form of equ 1.

$$X = z_X \cdot \text{Exp}(-k_{f,X} \cdot t) + (1 - z_X) \cdot \text{Exp}(-k_{s,X} \cdot t) \quad (3)$$

Heating-up time correction

$$t_{T_0} = \int_{t_{T_t}}^{t_{T_0}} \text{Exp} \left(\frac{E_A}{R} \cdot \left[\frac{1}{T_{T_t}} - \frac{1}{T_0} \right] \right)$$

Ea, kJ/mol	120,0
Ea/R	14433
T [°C]	150
T [K]	423,15

T_t : temperature during heating – up

T_0 : target temperature

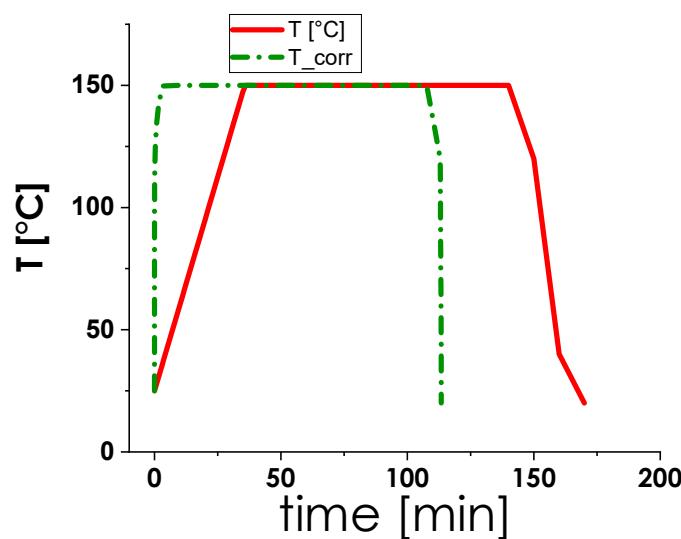
E_A : activation temperature

time [min]	t_corr [min]	T [°C]	T [K]	exp(-Ea/R*(1/T_t - 1/Tf))	delta
0	0.0	25	298,2	0,000	0,000
1	0.0	29	301,7	0,000	0,000
4	0.0	39	312,2	0,000	0,000
7	0.0	50	322,7	0,000	0,000
10	0.0	60	333,2	0,000	0,000
13	0.0	71	343,7	0,000	0,000
16	0.0	81	354,2	0,001	0,002
19	0.0	92	364,7	0,004	0,005
22	0.0	102	375,2	0,013	0,015
25	0.1	113	385,7	0,036	0,044
28	0.3	123	396,2	0,098	0,116
31	0.8	134	406,7	0,251	0,295
34	2.0	144	417,2	0,612	0,714
37	4.6	150	423,2	1,000	1,000
38	5.6	150	423,2	1,000	1,000
39	6.6	150	423,2	1,000	1,000

$$t_{corr} = (t_t - t_{t-1}) \cdot (t_{t,T_0} + t_{t+1,T_0}) / 2 + t_{corr-1}$$

Sixta, H. Handbook of Pulp (2006)

Heating-up time correction



Sixta, H. Handbook of Pulp (2006)

P-factor concept

$$P = \int_{t_0}^t k_{rel} \cdot dt \quad (5)$$

$$\ln(k_{X(T)}) = \ln(A) - \frac{E_{A,X}}{R} \cdot \frac{1}{T} \quad (6)$$

$$\ln(k_{X,100^\circ C}) = \ln(A) - \frac{E_{A,X}}{R} \cdot \frac{1}{373.15} \quad (7)$$

$$\ln\left(\frac{k_{X,(T)}}{k_{X,100^\circ C}}\right) = \frac{E_{A,X}}{R \cdot 373.15} - \frac{E_{A,X}}{R \cdot T} \quad (8)$$

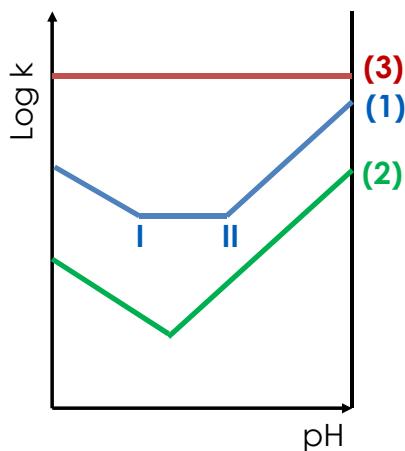
$$k_{rel} = \frac{k_{X,(T)}}{k_{X,100^\circ C}} = \exp \cdot \left(40.48 - \frac{15106}{T} \right) \quad (9)$$

$$P = \int_{t_0}^t \frac{k_{X,(T)}}{k_{X,100^\circ C}} \cdot dt = \int_{t_0}^t \exp \cdot \left(40.48 - \frac{15106}{T} \right) \cdot dt \quad (10)$$

Sixta, H. Handbook of Pulp (2006)

E_a= 125,6 [kJ/mol]		T = °C					
Input Data		Only in the yellow shaded area					
Result		green shaded area					
Zeit min	1143 °C	k _r	(k _i +k _{i-1})/2	dt min	dt h	P-F1 bei t _n	P factor
0	80,0	0,1		0		0	0
4	85,0	0,2	0,1	4	0,07	0	0
6	90,0	0,3	0,3	2	0,03	0	0
8	95,0	0,6	0,5	2	0,03	0	0
10	100,0	1,0	0,8	2	0,03	0	0
18	120,0	7,9	6,3	2	0,03	0	0
26	140,0	50,6	41,5	2	0,03	1	4
28	145,0	78,4	64,5	2	0,03	2	6
30	150,0	120,3	99,4	2	0,03	3	9
34	160,0	274,5	228,5	2	0,03	8	22
38	170,0	603,6	506,2	2	0,03	17	50
40	170,0	603,6	603,6	2	0,03	20	70
50	170,0	603,6	603,6	2	0,03	20	171
60	170,0	603,6	603,6	2	0,03	20	271
70	170,0	603,6	603,6	2	0,03	20	372
72	170,0	603,6	603,6	2	0,03	20	392
74	170,0	603,6	603,6	2	0,03	20	412

General kinetics of hydrolysis reactions



$$k = k_w + k_a[H^+] + k_b[OH^-]$$

The minimum reaction rate constant
(by differentiation of k according to $[H^+]$)

$$k_0 = k_w + 2\sqrt{k_a k_b [H^+] [OH^-]}$$

3 Cases

1. $pH < I$: $k_a[H^+] \uparrow$

$I < pH < II$: $k_w \uparrow$

$pH > II$: $k_b[OH^-] \uparrow$

e.g. Saponification of lactic acid ester

$$2. k_w \ll 2\sqrt{k_a k_b [H^+] [OH^-]}$$

e.g. Hydrolysis of acid amides

$$3. k_w > 2\sqrt{k_a k_b [H^+] [OH^-]}$$

e.g. Carbon dioxide cleavage of carboxylic acids

Skrabal, A. Ueber ein sehr allgemeines Zeitgesetz der chem. Kinetic und seine Deutung. Die Hydrolyse Geschwindigkeit der Organooxyde. Z. Elektrochem. 1927, 33, 322.

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Simplified kinetics of cellulose hydrolysis



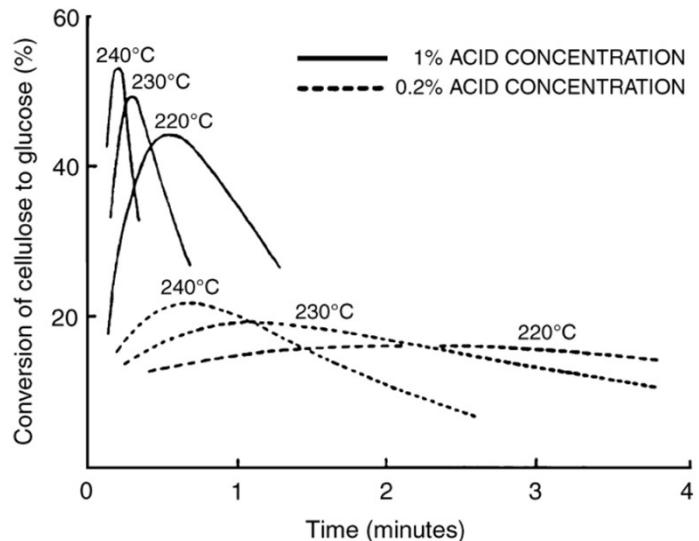
$$\frac{d[C]}{dt} = (k_w + k_a[H^+] + k_b[OH^-]) \cdot [C]$$

$$\frac{d[C]}{dt} = (k_w + k_a[H^+] + k_b[OH^-]) \cdot [C] = k_1[C]$$

$$[Glu] = [C]_0 \frac{k_1}{k_2 - k_1} (e^{-k_1 t} - e^{-k_2 t})$$

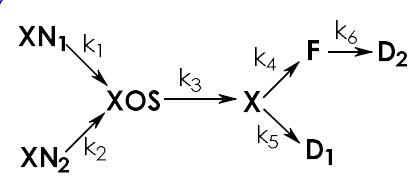
High glucose formation can only be reached when $k_1 \gg k_2$.

Acid hydrolysis at 1 wt% $[H^+]$ leads to 50% **Glu** at 230-240°C

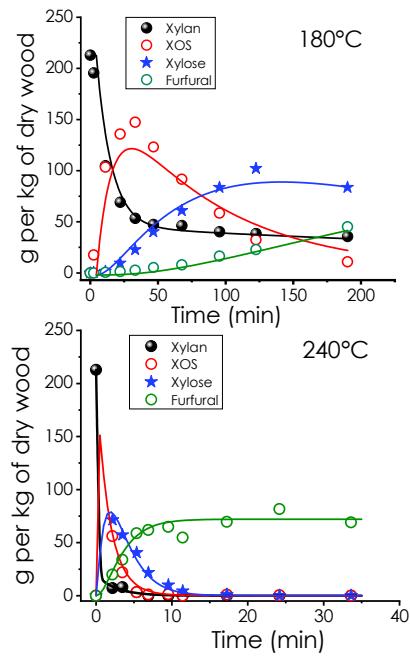


Kinetics of xylan degradation in wood

Birch Wood



$$\begin{aligned} \frac{d[XN_1]}{dt} &= -k_1[XN_1] \\ \frac{d[XN_2]}{dt} &= -k_2[XN_2] \\ \frac{d[XOS]}{dt} &= k_1[XN_1] + k_2[XN_2] - k_3[XOS] \\ \frac{d[X]}{dt} &= k_3[XOS] - (k_4 - k_5)[X] \\ \frac{d[F]}{dt} &= k_4[X] - k_6[F] \end{aligned}$$

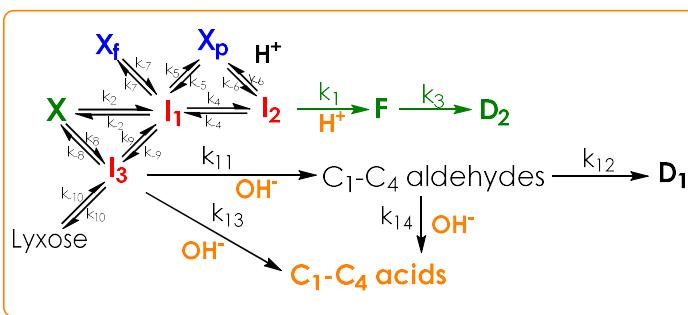
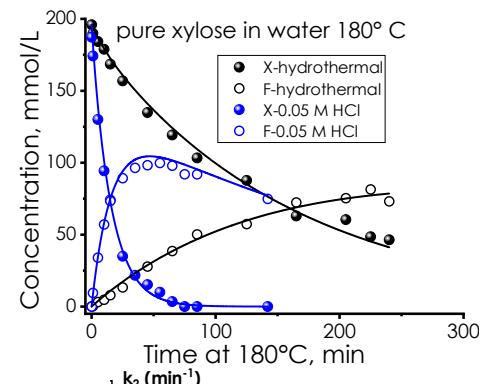
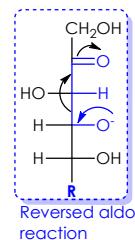


M.Borrega, K.Nieminen,H.Sixta, Bioresource Technology (2011) 102 (2011) 10724–10732

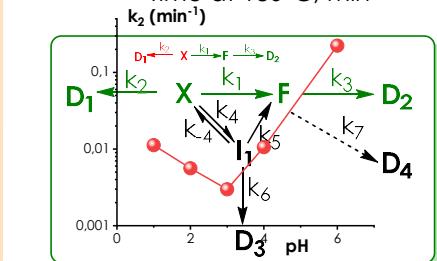
Hydrothermolysis kinetics of xylose

Parameter, g/kg od w	Treatments
P-factor	766 8166
Temperature, °C	180 220
Time, min	30 25
L:W	3 3
Sugars	98 8
Furans (F+HMF)	12 59
Acetic/formic acid	19 71
C2-C4 aliphatic acids ²	2 9
TOTAL	131 147
YIELD LOSS	191 407

Borrega, M.; Niemela K.; Sixta, H. Holzforschung 2013; 67(8): 871–879



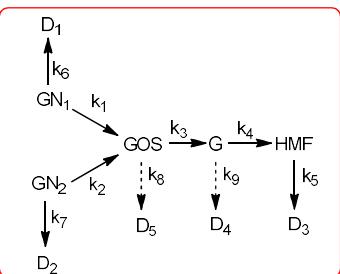
Monatshefte fuer Chemie 123, 547–556 (1992)



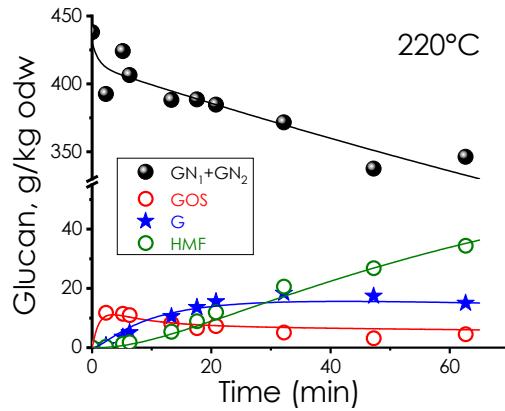
Ershova, O.; Nieminen, K.; Sixta, H. ChemCatChem 2017, 9, 3031–3040
Sixta, H. unpublished results (2009)

Kinetics of cellulose degradation in wood

Birch Wood



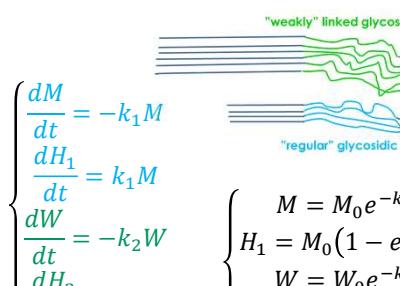
$$\begin{aligned} \frac{d[GN_1]}{dt} &= -(k_1 + k_6)GN_1 \\ \frac{d[GN_2]}{dt} &= -(k_1 + k_7)GN_2 \\ \frac{d[GOS]}{dt} &= k_1GN_1 + k_2GN_2 - (k_3 + k_8)GOS \\ \frac{d[G]}{dt} &= k_3GOS - (k_4 + k_9)G \\ \frac{d[HMF]}{dt} &= k_4G - k_5HMF \end{aligned}$$



M.Borrega, K.Niemiinen,H.Sixta. Bioresource Technology (2011) 102 (2011) 10724–10732

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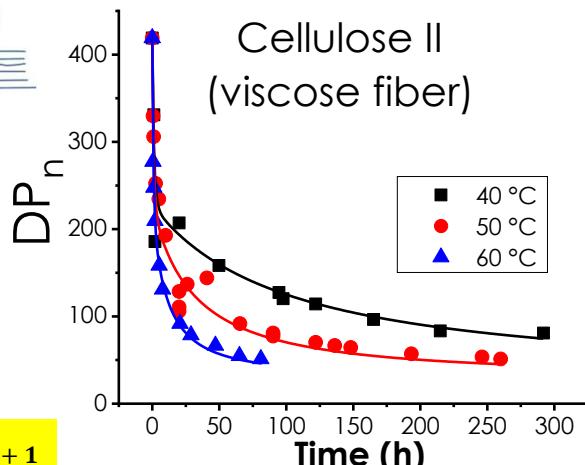
Cellulose Depolymerization Model



$$\begin{cases} M = M_0 e^{-k_1 t} \\ H_1 = M_0 (1 - e^{-k_1 t}) \\ W = W_0 e^{-k_2 t} \\ H_2 = W_0 (1 - e^{-k_2 t}) \end{cases}$$

$$DP = \frac{DP_0}{H_1 + H_2 + 1}$$

$$\frac{DP_0}{DP} - 1 = CS = M_0(1 - e^{-k_1 t}) + W_0(1 - e^{-k_2 t}) + 1$$



M – number of amorphous segments with normal degradation rate

W – number of amorphous segments containing weakly linked glycosidic bonds

H₁ – number of chain breaks in normal amorphous segments

H₂ – number of chain breaks in weakly linked subcategories

k₁ – normal rate constant

k₂ – fast rate constant (cleavage of weakly linked elements)

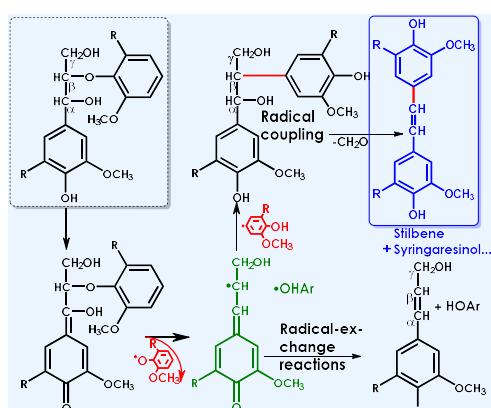
Cellulose (2016) 23:213–229

K. Niemiinen, Sixta, H. (2015)

Delignification mechanisms

Mildly acidic conditions

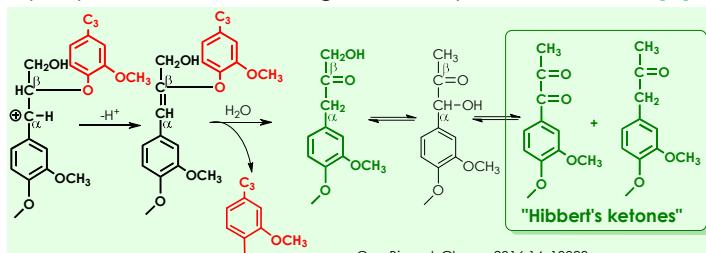
Homolytic cleavage of the β -aryl ether (**C**)



Li, S.; Lundquist, K. NPPRJ, 2000, 15, 292

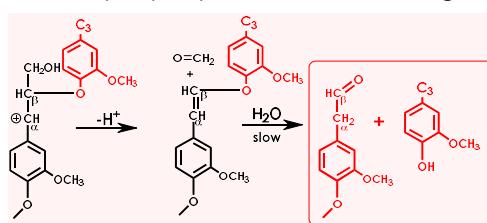
More strongly acidic conditions

β -aryl ether bond cleavage via benzylic carbo cation (**A**)



Org. Biomol. Chem., 2016, 14, 10023

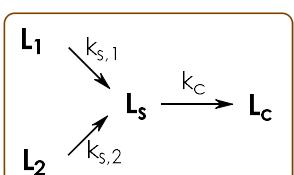
Acidolytic β -aryl ether bond cleavage (**B**)



(Meshgini, M., Sarkanyan, K.V. (1989))

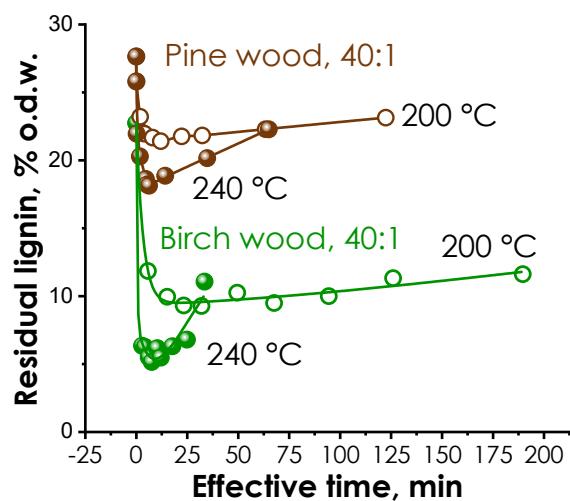
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Delignification kinetics



$$\begin{aligned} \frac{dL_1}{dt} &= -k_{s,1}L_1 \\ \frac{dL_2}{dt} &= -k_{s,2}L_2 \\ \frac{dL_s}{dt} &= k_{s,1}L_1 + k_{s,2}L_2 - k_cL_s \\ \frac{dL_c}{dt} &= k_cL_s \end{aligned}$$

Species	Temp, °C	Portions, %		Reaction rates, min ⁻¹		
		Fast	Slow	k ₁	k ₂	k _c
Birch	200	58	42	0,294	0,004	0,003
Pine	200	22	78	0,786		0,003
Birch	220	61	39	0,858	0,036	0,010
Pine	220	29	71	10,060	0,240	0,007
Birch	240	63	37	2,538	0,128	0,019
Pine	240	38	63	27,740	0,370	0,010



Borrega, M. et al. BioResources, 6(2), (2011) 1890-1903
Ståhl, M. et al. Biomass and Bioenergy, 109 (2018), 100-113

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Module 2

1. Introduction
2. Chemistry
3. Kinetics
4. Technology→ Module 3