

Aalto University School of Science



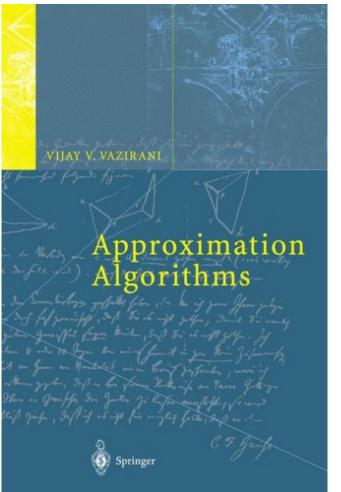
Combinatorics of Efficient Computations

# Approximation Algorithms Lecture 1: Introduction & Vertex Cover

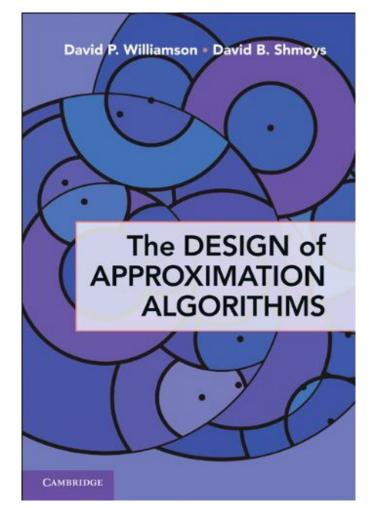
Joachim Spoerhase

2019

#### Textbooks



Vijay V. Vazirani Approximation Algorithms Springer-Verlag 2003



http://www.designofapproxalgs.com/ D. P. Williamson, D.B. Shmoys The Design of Approximation Algorithms Cambridge-Verlag 2011

#### Approximation Algorithms

# "All exact science is dominated by the idea of approximation." – Bertrand Russell

## **Overview of Possible Topics**

#### **Combinatorial Algorithms**

- Introduction
- Set Cover
- Steiner Tree and TSP
- Multiway Cut
- *k*-Center
- Shortest Superstring
- Knapsack
- Bin Packing
- Minimum Makespan Scheduling
- Euclidean TSP

#### LP-Based Algorithms

- Introduction to LP-Duality
- Set Cover via Dual Fitting
- Rounding Applied to Set Cover
- Set Cover via the Primal–Dual Schema
- Maximum Satisfiability
- Facility Location
- . . .

## Approximation Algorithms

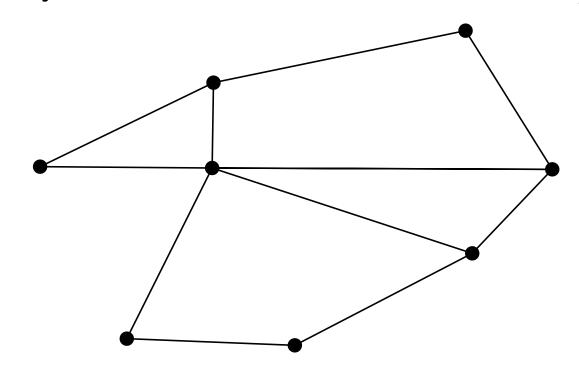
- Many optimization problems are NP-hard (e.g. the travelling salesman problem)
- $\rightsquigarrow$  an optimal solution cannot be efficiently computed unless P=NP.
- However, good approximate solutions can often be found efficiently!
- Techniques for the design and analysis of approximation algorithms arise (currently) mostly from studying specific optimization problems.

Input Graph G = (V, E)

**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).

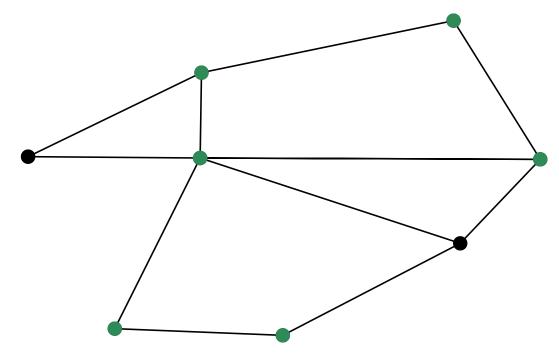
Input Graph G = (V, E)

**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).



Input Graph G = (V, E)

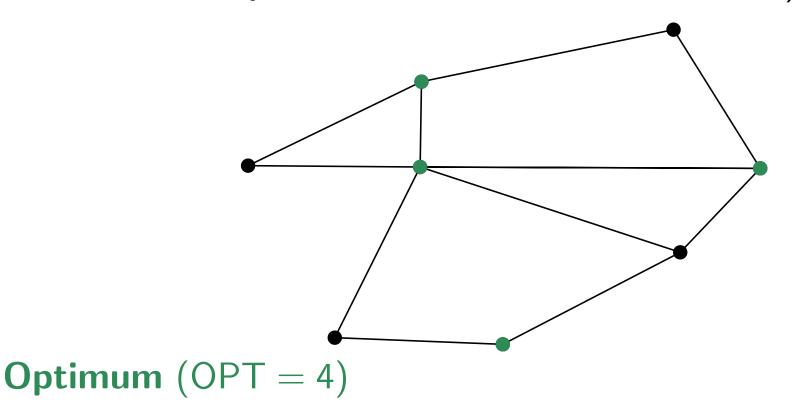
**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).



a vertex cover

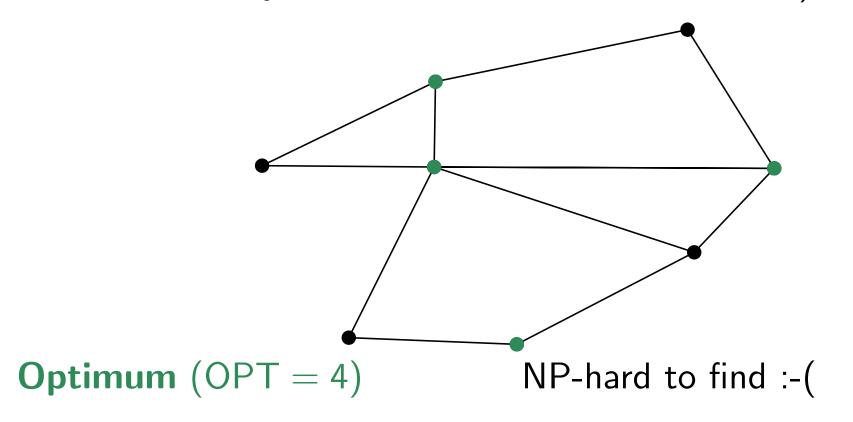
Input Graph G = (V, E)

**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).



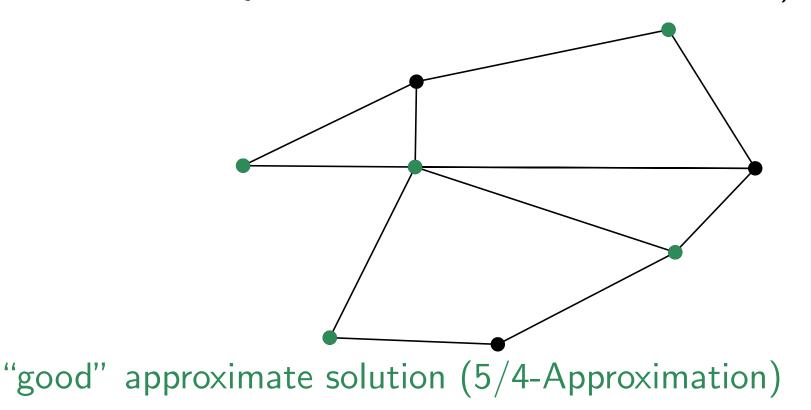
Input Graph G = (V, E)

**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).



Input Graph G = (V, E)

**Output** a minimum vertex cover: a minimum vertex set  $V' \subseteq V$ , such that every edge is covered by V' (i.e., for every  $uv \in E$ , either  $u \in V'$  or  $v \in V'$ ).



An NP-optimization problem  $\Pi$  is given by:

A set D<sub>Π</sub> of instances.
We use |I| to denote the size of an instance I ∈ D<sub>Π</sub>.

An NP-optimization problem  $\Pi$  is given by:

- A set  $D_{\Pi}$  of **instances**. We use |I| to denote the size of an instance  $I \in D_{\Pi}$ .
- For each instance I ∈ D<sub>Π</sub> there is a set S<sub>Π</sub>(I) ≠ Ø of feasible solutions for I where:
  - For each solution  $s \in S_{\Pi}(I)$ , its size |s| is polynomially bounded in |I|.
  - There is a polynomial time algorithm to decide for each pair (s, I), whether  $s \in S_{\Pi}(I)$

An NP-optimization problem  $\Pi$  is given by:

- A set  $D_{\Pi}$  of **instances**. We use |I| to denote the size of an instance  $I \in D_{\Pi}$ .
- For each instance I ∈ D<sub>Π</sub> there is a set S<sub>Π</sub>(I) ≠ Ø of feasible solutions for I where:
  - For each solution  $s \in S_{\Pi}(I)$ , its size |s| is polynomially bounded in |I|.
  - There is a polynomial time algorithm to decide for each pair (s, I), whether  $s \in S_{\Pi}(I)$
- A polynomial time computable objective function obj<sub>Π</sub>, which assigns a positive objective value obj<sub>Π</sub>(I, s) to a given pair (I, s).

An NP-optimization problem  $\Pi$  is given by:

- A set  $D_{\Pi}$  of **instances**. We use |I| to denote the size of an instance  $I \in D_{\Pi}$ .
- For each instance I ∈ D<sub>Π</sub> there is a set S<sub>Π</sub>(I) ≠ Ø of feasible solutions for I where:
  - For each solution  $s \in S_{\Pi}(I)$ , its size |s| is polynomially bounded in |I|.
  - There is a polynomial time algorithm to decide for each pair (s, I), whether  $s \in S_{\Pi}(I)$
- A polynomial time computable objective function obj<sub>Π</sub>, which assigns a positive objective value obj<sub>Π</sub>(I, s) to a given pair (I, s).
- Π is either a minimization or maximization problem.

#### VERTEX COVER NP-Optimization Problem

Exercise

VERTEX COVER NP-Optimization Problem

Exercise

What are the *instances*?

What are the *feasible solutions*?

What is the *objective function*?

## Optimum, and optimal objective value.

Let  $\Pi$  be a minimization (maximization) problem and  $I \in D_{\Pi}$ be an instance of  $\Pi$ . A feasible solution  $s^* \in S_{\Pi}(I)$  is **Optimal** when  $obj_{\Pi}(I, s^*)$  is the minimum (maximum) among objective values attained by the feasible solutions of I.

The optimal value  $obj_{\Pi}(I, s^*)$  of the objective function is also denoted by  $OPT_{\Pi}(I)$  or simply OPT in context.

## Approximation Algorithms

Let  $\Pi$  be a minimization problem and  $\alpha \in \mathbb{Q}^+$ . A factor- $\alpha$ -approximation algorithm for  $\Pi$  is an efficient algorithm that provides a feasible solution  $s \in S_{\Pi}(I)$  for any instance  $I \in D_{\Pi}$  such that:

$$\frac{\mathsf{obj}_{\Pi}(I,s)}{\mathsf{OPT}_{\Pi}(I)} \leq \alpha \,.$$

## Approximation Algorithms

Let  $\Pi$  be a minimization problem and  $\varphi \in \mathbb{Q}^+$ . A factor- $\alpha$ -approximation algorithm for  $\Pi$  is an efficient algorithm that provides a feasible solution  $s \in S_{\Pi}(I)$  for any instance  $I \in D_{\Pi}$  such that:

$$rac{\mathsf{obj}_{\Pi}(I,s)}{\mathsf{OPT}_{\Pi}(I)} \leq \mathbf{\alpha}. \quad \alpha(|I|)$$

 $\alpha: \mathbb{N} \to \mathbb{O}$ 

Approximation Algorithms maximization  $\alpha \colon \mathbb{N} \to \mathbb{Q}$ Let  $\Pi$  be a minimization problem and  $\alpha \in \mathbb{Q}^+$ . A factor- $\alpha$ -approximation algorithm for  $\Pi$  is an efficient algorithm that provides a feasible solution  $s \in S_{\Pi}(I)$  for any instance  $I \in D_{\Pi}$  such that:

$$rac{\mathsf{obj}_{\Pi}(I,s)}{\mathsf{OPT}_{\Pi}(I)} \geq \alpha(|I|)$$

#### Approximation Alg. for $\operatorname{Vertex}\,\operatorname{Cover}$

Ideas?

## Approximation Alg. for $\operatorname{Vertex}\,\operatorname{Cover}$

Ideas?

- Edge-Greedy
- Vertex-Greedy (see Exercises)
- Inclusion-wise minimal vertex cover

Ideas?

- Edge-Greedy
- Vertex-Greedy (see Exercises)
- Inclusion-wise minimal vertex cover

How can we measure the quality of a feasible solution?

Ideas?

- Edge-Greedy
- Vertex-Greedy (see Exercises)
- Inclusion-wise minimal vertex cover

How can we measure the quality of a feasible solution?

**Problem:** How can we estimate  $\frac{obj_{\Pi}(I,s)}{OPT}$  when it is hard to calculate OPT?

Ideas?

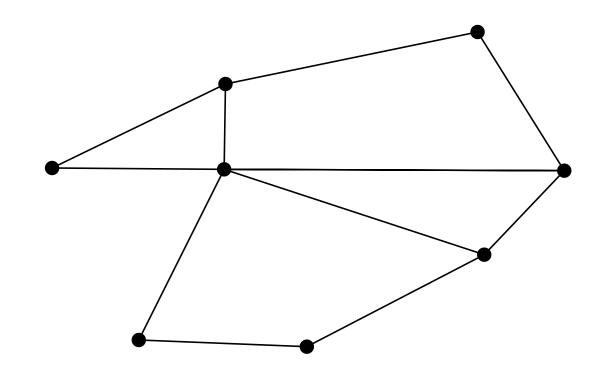
- Edge-Greedy
- Vertex-Greedy (see Exercises)
- Inclusion-wise minimal vertex cover

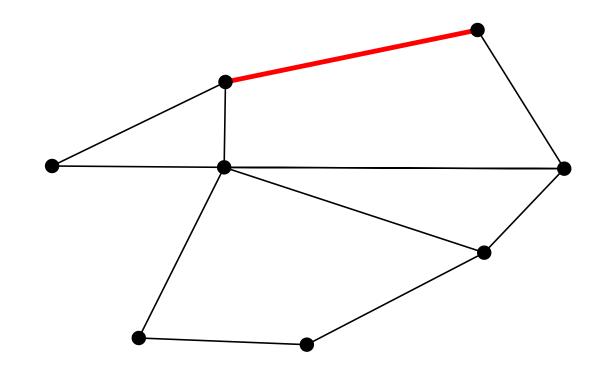
How can we measure the quality of a feasible solution?

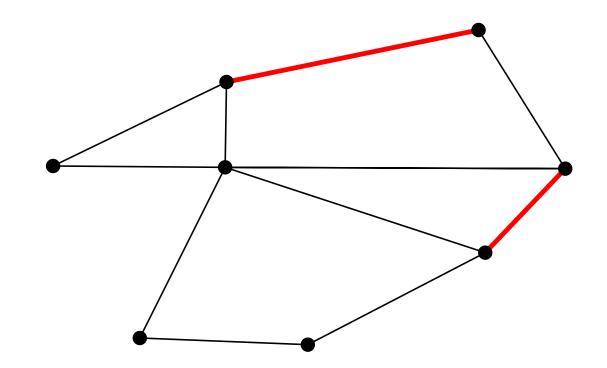
**Problem:** How can we estimate  $\frac{obj_{\Pi}(I,s)}{OPT}$  when it is hard to calculate OPT?

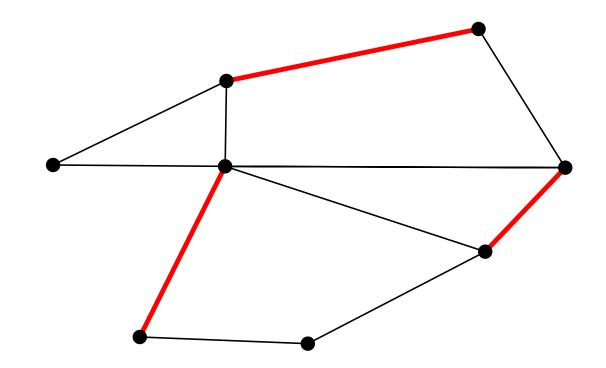
**Idea:** Find a "good" lower bound  $L \leq OPT$  for OPT and compare it to our approximate solution.

$$\frac{\mathsf{obj}_{\Pi}(I,s)}{\mathsf{OPT}} \leq \frac{\mathsf{obj}_{\Pi}(I,s)}{L}$$

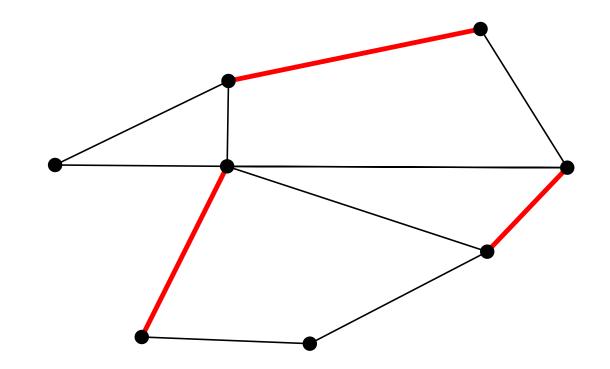




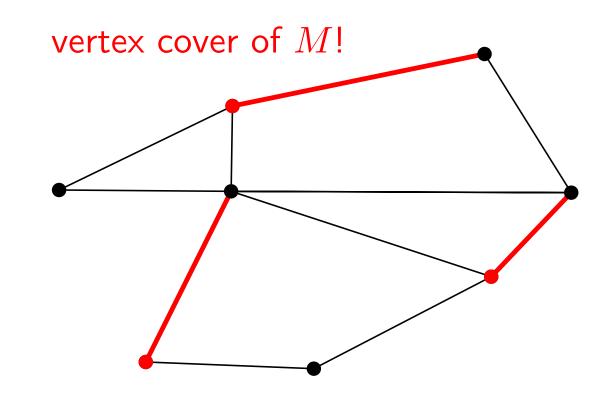




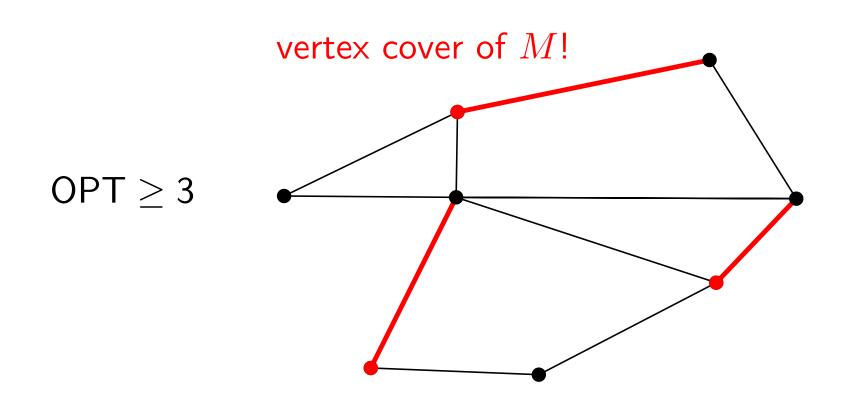
An edge set  $M \subseteq E$  of a graph G = (V, E) is a **matching** when no vertex of G is incident to two edges in M.



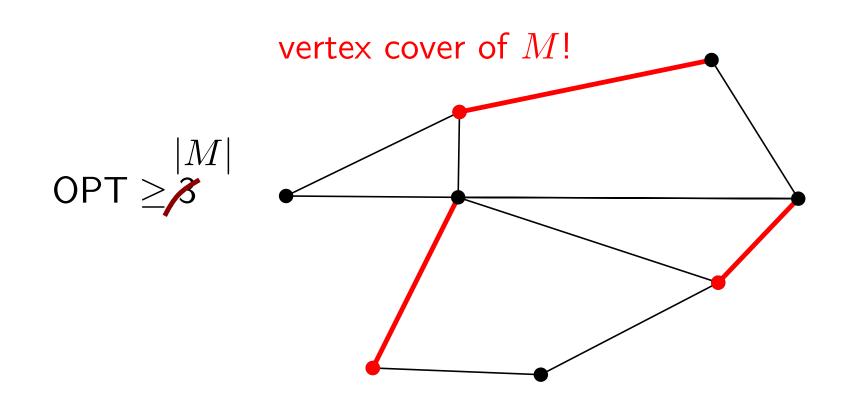
An edge set  $M \subseteq E$  of a graph G = (V, E) is a **matching** when no vertex of G is incident to two edges in M.



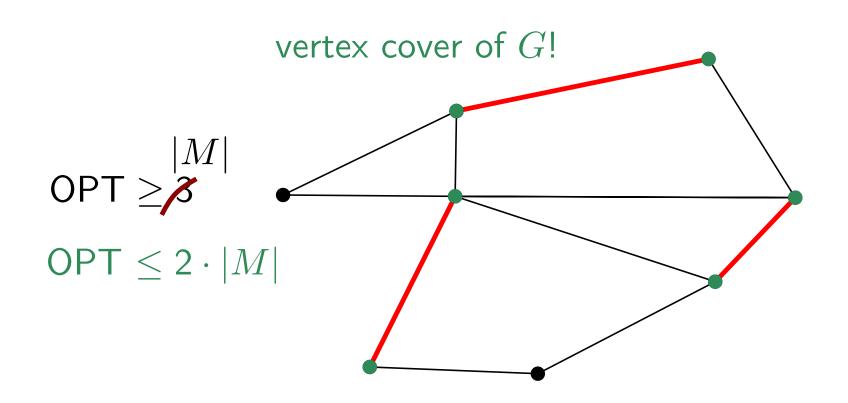
An edge set  $M \subseteq E$  of a graph G = (V, E) is a **matching** when no vertex of G is incident to two edges in M.



An edge set  $M \subseteq E$  of a graph G = (V, E) is a **matching** when no vertex of G is incident to two edges in M.



An edge set  $M \subseteq E$  of a graph G = (V, E) is a **matching** when no vertex of G is incident to two edges in M.



Algorithm for Vertex Cover (G)  $M \leftarrow \emptyset$ foreach  $e \in E(G)$  do  $\downarrow$  if e is not adjacent to an edge in M then  $\downarrow M \leftarrow M \cup \{e\}$ return  $\{u, v \mid uv \in M\}$ 

Algorithm for Vertex Cover (G)  $M \leftarrow \emptyset$ foreach  $e \in E(G)$  do  $\downarrow$  if e is not adjacent to an edge in M then  $\downarrow M \leftarrow M \cup \{e\}$ return  $\{u, v \mid uv \in M\}$ 

Thm 1.1 The above algorithm is a factor-2 approximation algorithm for  $\rm VERTEX\ COVER$ 

Algorithm for Vertex Cover (G)  $M \leftarrow \emptyset$ foreach  $e \in E(G)$  do  $\downarrow$  if e is not adjacent to an edge in M then  $\downarrow M \leftarrow M \cup \{e\}$ return  $\{u, v \mid uv \in M\}$ 

Thm 1.1 The above algorithm is a factor-2 approximation algorithm for  $\rm VERTEX\ COVER$ 

Next week: Set Cover