



Aalto University
School of Science



Department of
Computer Science

Combinatorics of
Efficient
Computations

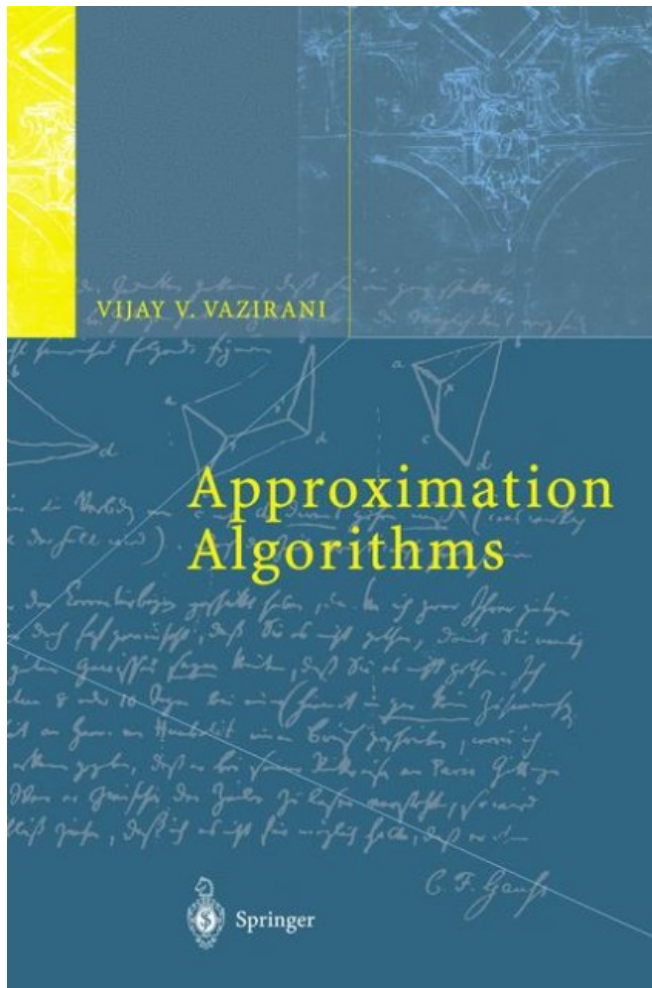
Approximation Algorithms

Lecture 1: Introduction & Vertex Cover

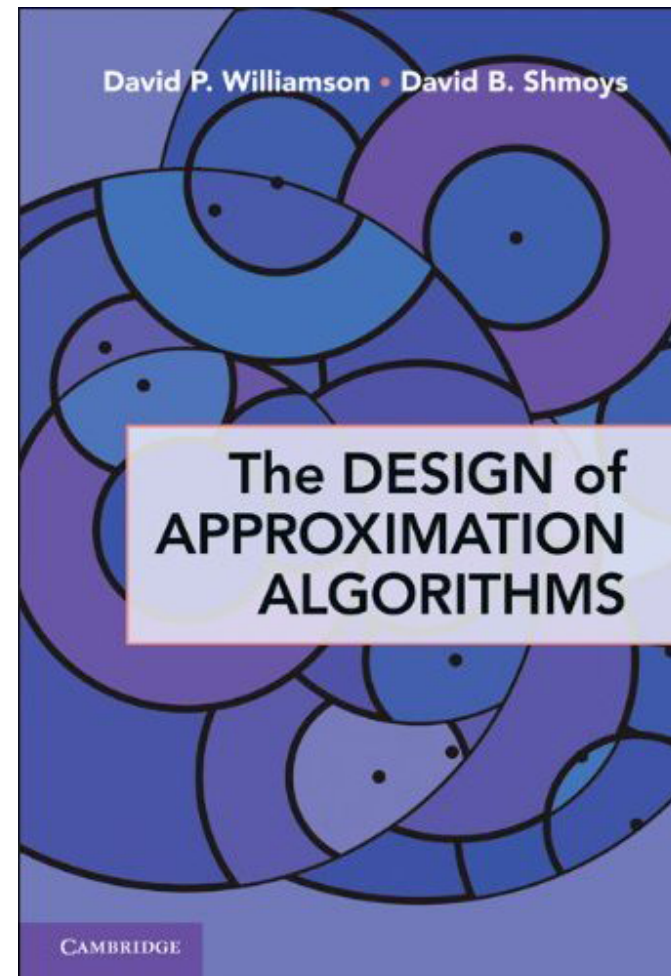
Joachim Spoerhase

2019

Textbooks



Vijay V. Vazirani
Approximation Algorithms
Springer-Verlag 2003



<http://www.designofapproxalgs.com/>
D. P. Williamson, D.B. Shmoys
The Design of
Approximation Algorithms
Cambridge-Verlag 2011

Approximation Algorithms

„All exact science is dominated
by the idea of approximation.“

– Bertrand Russell

Overview of Possible Topics

Combinatorial Algorithms

- Introduction
- Set Cover
- Steiner Tree and TSP
- Multiway Cut
- k -Center
- Shortest Superstring
- Knapsack
- Bin Packing
- Minimum Makespan Scheduling
- Euclidean TSP

LP-Based Algorithms

- Introduction to LP-Duality
- Set Cover via Dual Fitting
- Rounding Applied to Set Cover
- Set Cover via the Primal–Dual Schema
- Maximum Satisfiability
- Facility Location
- ...

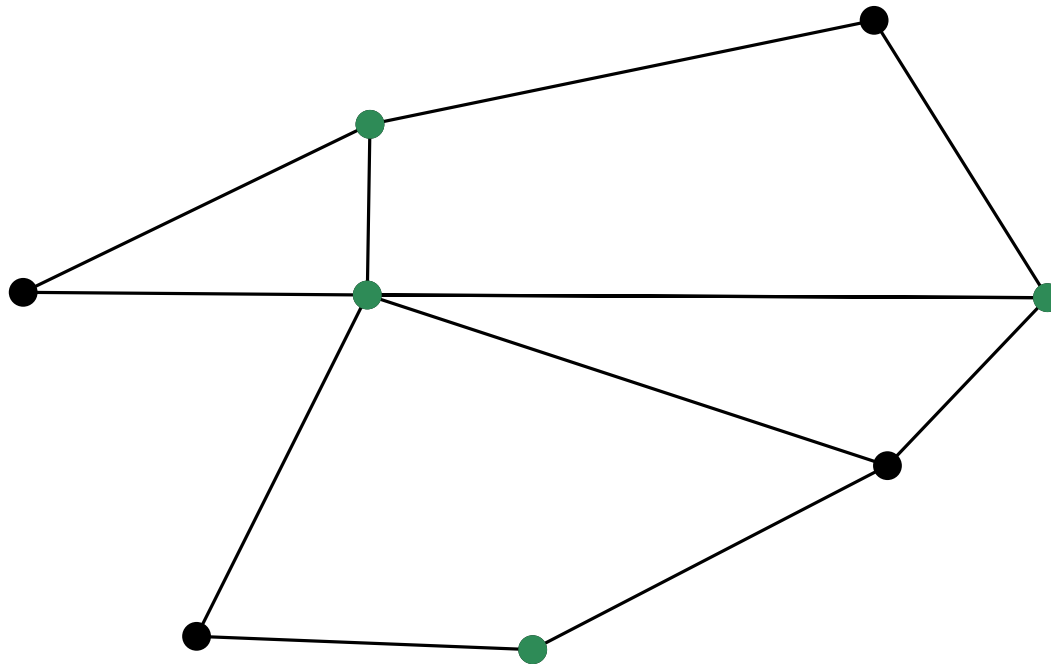
Approximation Algorithms

- Many optimization problems are NP-hard (e.g. the travelling salesman problem)
- \rightsquigarrow an optimal solution cannot be efficiently computed unless $P=NP$.
- However, good approximate solutions can often be found efficiently!
- Techniques for the design and analysis of approximation algorithms arise (currently) mostly from studying specific optimization problems.

VERTEX COVER (cardinality)

Input Graph $G = (V, E)$

Output a minimum **vertex cover**: a minimum vertex set $V' \subseteq V$, such that every edge is **covered** by V' (i.e., for every $uv \in E$, either $u \in V'$ or $v \in V'$).



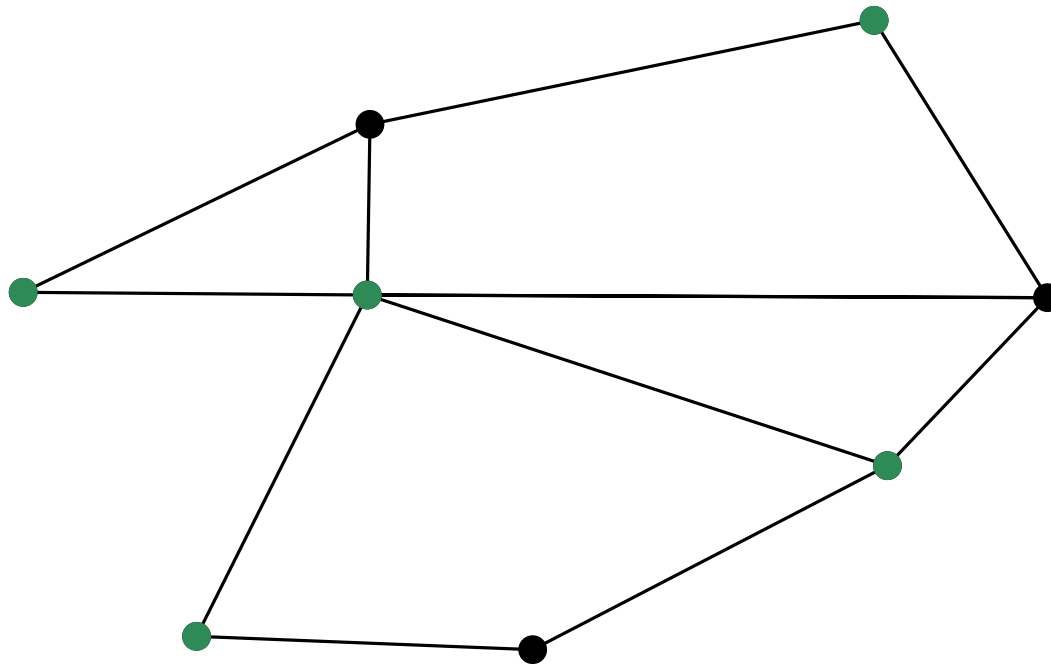
Optimum (OPT = 4)

NP-hard to find :-)

VERTEX COVER (cardinality)

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Output a minimum **vertex cover**: a minimum vertex set $V' \subseteq V$, such that every edge is **covered** by V' (i.e., for every $uv \in E$, either $u \in V'$ or $v \in V'$).



“good” approximate solution (5/4-Approximation)

NP-Optimization Problem

An NP-optimization problem Π is given by:

- A set D_Π of **instances**.

We use $|I|$ to denote the size of an instance $I \in D_\Pi$.

- For each instance $I \in D_\Pi$ there is a set $S_\Pi(I) \neq \emptyset$ of **feasible solutions** for I where:
 - For each solution $s \in S_\Pi(I)$, its size $|s|$ is polynomially bounded in $|I|$.
 - There is a polynomial time algorithm to decide for each pair (s, I) , whether $s \in S_\Pi(I)$
- A polynomial time computable *objective function* obj_Π , which assigns a positive objective value $\text{obj}_\Pi(I, s)$ to a given pair (I, s) .
- Π is either a minimization or maximization problem.

VERTEX COVER NP-Optimization Problem

Exercise

What are the *instances*?

What are the *feasible solutions*?

What is the *objective function*?

Optimum, and optimal objective value.

Let Π be a minimization (maximization) problem and $I \in D_\Pi$ be an instance of Π . A feasible solution $s^* \in S_\Pi(I)$ is **Optimal** when $\text{obj}_\Pi(I, s^*)$ is the minimum (maximum) among objective values attained by the feasible solutions of I .

The optimal value $\text{obj}_\Pi(I, s^*)$ of the objective function is also denoted by $\text{OPT}_\Pi(I)$ or simply OPT in context.

Approximation Algorithms

Let Π be a minimization problem and $\alpha \in \mathbb{Q}^+$.

A factor- α -approximation algorithm for Π is an efficient algorithm that provides a feasible solution $s \in S_{\Pi}(I)$ for **any** instance $I \in D_{\Pi}$ such that:

$$\frac{\text{obj}_{\Pi}(I, s)}{\text{OPT}_{\Pi}(I)} \leq \alpha.$$

Approximation Algorithms

maximization

$$\alpha: \mathbb{N} \rightarrow \mathbb{Q}$$

Let Π be a ~~minimization~~ problem and $\alpha \in \mathbb{Q}^+$.

A factor- α -approximation algorithm for Π is an efficient algorithm that provides a feasible solution $s \in S_{\Pi}(I)$ for **any** instance $I \in D_{\Pi}$ such that:

$$\frac{\text{obj}_{\Pi}(I, s)}{\text{OPT}_{\Pi}(I)} \stackrel{\geq}{\leq} \alpha \cdot \alpha(|I|)$$

Approximation Alg. for VERTEX COVER

Ideas?

- Edge-Greedy
- Vertex-Greedy (see Exercises)
- Inclusion-wise minimal vertex cover

How can we measure the quality of a feasible solution?

Problem: How can we estimate $\frac{\text{obj}_\Pi(I,s)}{\text{OPT}}$ when it is hard to calculate OPT?

Idea: Find a “good” lower bound $L \leq \text{OPT}$ for OPT and compare it to our approximate solution.

$$\frac{\text{obj}_\Pi(I,s)}{\text{OPT}} \leq \frac{\text{obj}_\Pi(I,s)}{L}$$

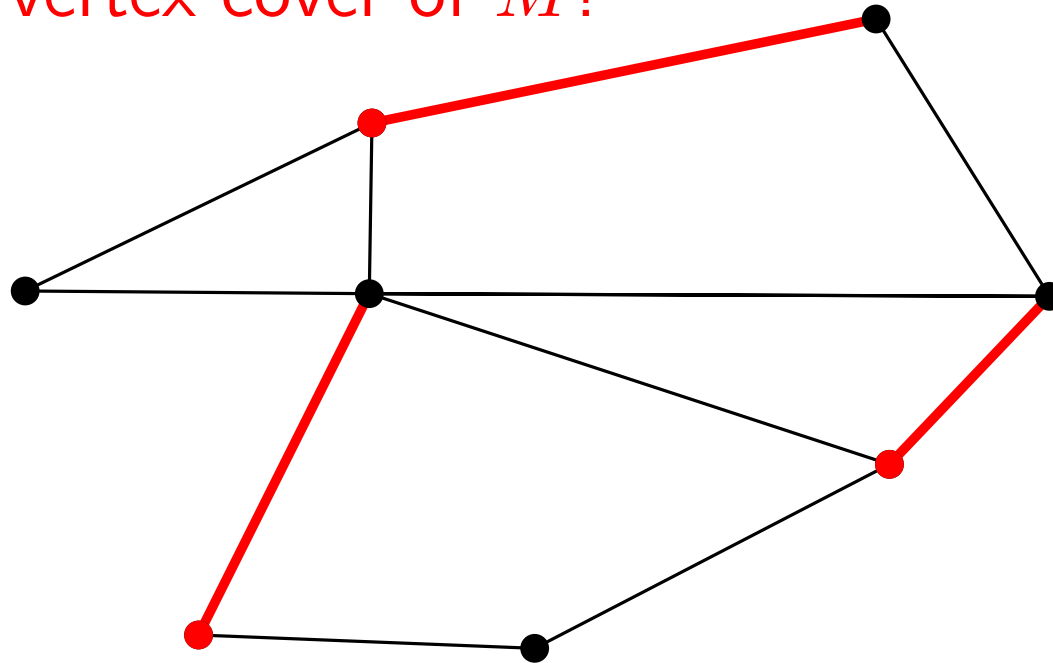
Lower Bound by Matchings

An edge set $M \subseteq E$ of a graph $G = (V, E)$ is a **matching** when no vertex of G is incident to two edges in M .

M is **maximal** when there is no matching M' with $M' \supsetneq M$.

$$\text{OPT} \geq \frac{|M|}{3}$$

vertex cover of M !



Lower Bound by Matchings

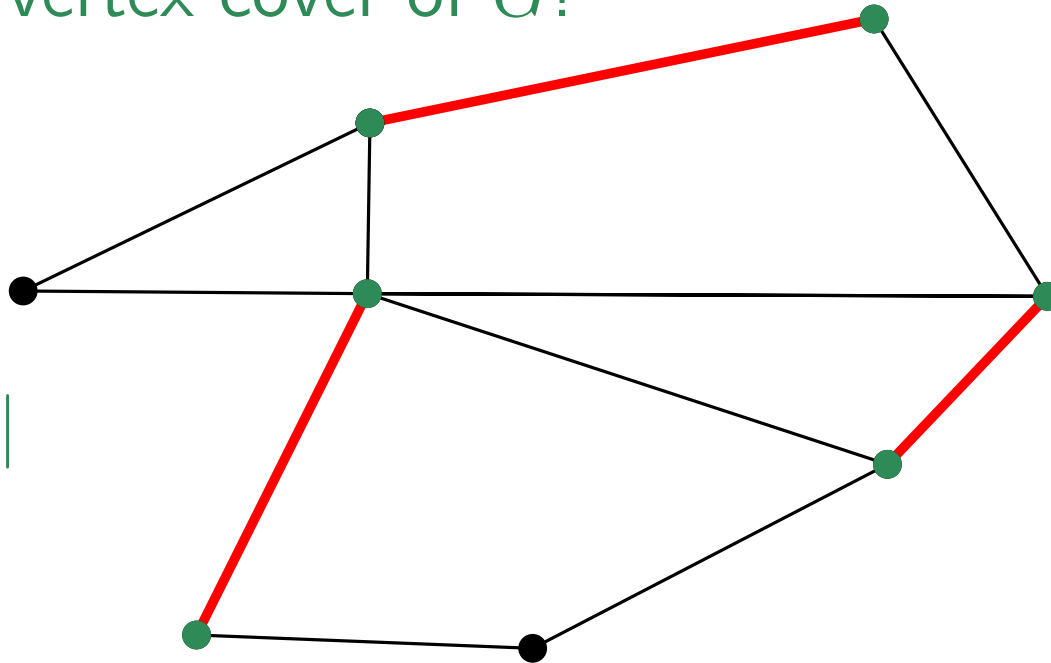
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vertex cover of G !

$$\text{OPT} \geq \frac{|M|}{3}$$

$$\text{OPT} \leq 2 \cdot |M|$$



Approximation Alg. for VERTEX COVER

Algorithm for Vertex Cover (G)

$M \leftarrow \emptyset$

foreach $e \in E(G)$ **do**

if e is not adjacent to an edge in M **then**
 $M \leftarrow M \cup \{e\}$

return $\{u, v \mid uv \in M\}$

Thm 1.1 The above algorithm is a factor-2 approximation algorithm for VERTEX COVER

Next week: SET COVER