



# Approximation Algorithms

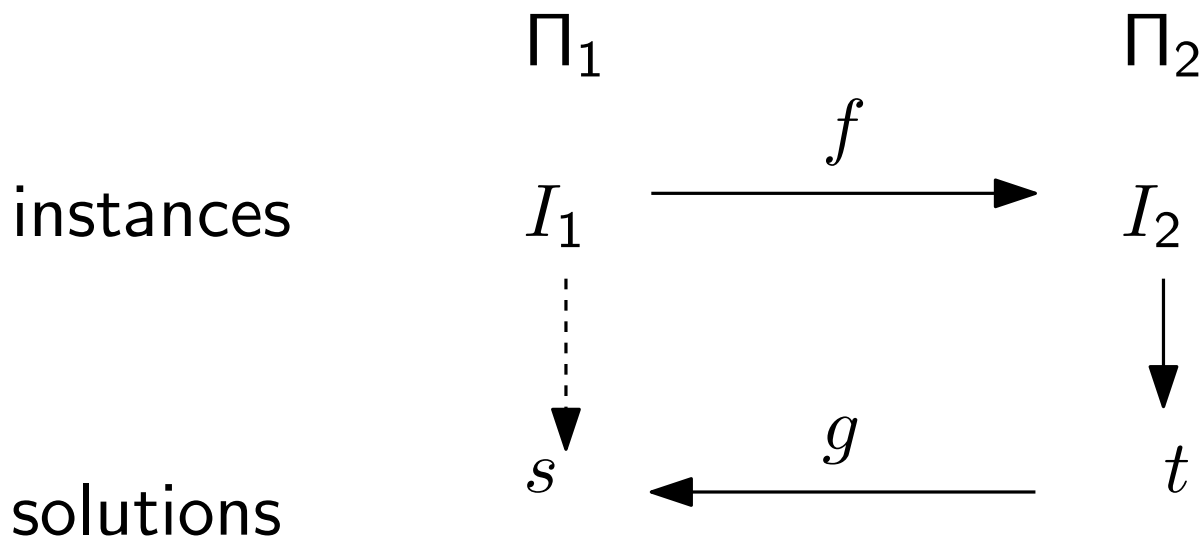
Lecture 3: Steiner Tree & Multiway Cut

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# Approximation Preserving Reduction

Let  $\Pi_1, \Pi_2$  be minimization problems. An **approximation preserving reduction** from  $\Pi_1$  to  $\Pi_2$  is a pair  $(f, g)$  of poly-time computable functions with the following properties.

- (i) for each instance  $I_1$  of  $\Pi_1$ ,  $I_2 := f(I_1)$  is an instance of  $\Pi_2$  where  $\text{OPT}_{\Pi_2}(I_2) \leq \text{OPT}_{\Pi_1}(I_1)$
- (ii) for each feasible solution  $t$  of  $I_2$ ,  $s := g(I_1, t)$  is a feasible solution of  $I_1$  where  $\text{obj}_{\Pi_1}(I_1, s) \leq \text{obj}_{\Pi_2}(I_2, t)$



# Approximation Preserving Reduction

**Thm.** Let  $\Pi_1, \Pi_2$  be minimization problems where there is an approximation preserving reduction from  $\Pi_1$  to  $\Pi_2$ . Then, for each factor- $\alpha$  approximation algorithm of  $\Pi_2$ , there is a factor- $\alpha$  approximation algorithm of  $\Pi_1$ .

**Proof.**

- Consider a factor- $\alpha$  approx. alg.  $A$  of  $\Pi_2$  and an instance  $I_1$  of  $\Pi_1$ .
- Let  $I_2 := f(I_1)$ ,  $t := A(I_2)$  and  $s := g(I_1, t)$
- $\text{obj}_{\Pi_1}(I_1, s) \leq \text{obj}_{\Pi_2}(I_2, t) \leq \alpha \cdot \text{OPT}_{\Pi_2}(I_2) \leq \alpha \cdot \text{OPT}_{\Pi_1}(I_1)$

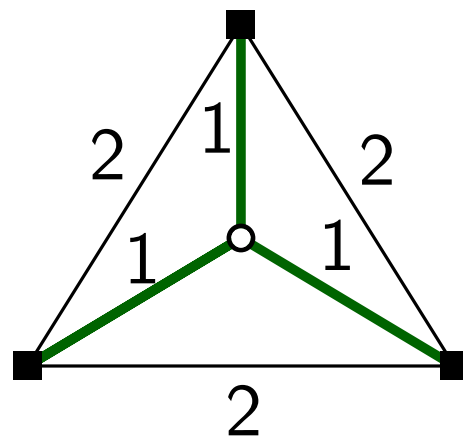
□

# STEINERTREE

**Given:** a graph  $G = (V, E)$  with edge weights  $c: E \rightarrow \mathbb{Q}^+$  and a partition  $(T, S)$  of  $V$  into a set  $T$  of **Terminals** and a set  $S$  of **Steiner vertices**.

**Find:** a subtree  $B = (V', E')$  of  $G$  of minimum cost ( $c(E') := \sum_{e \in E'} c(e)$ ) containing all terminals, i.e.,  $T \subseteq V'$ .

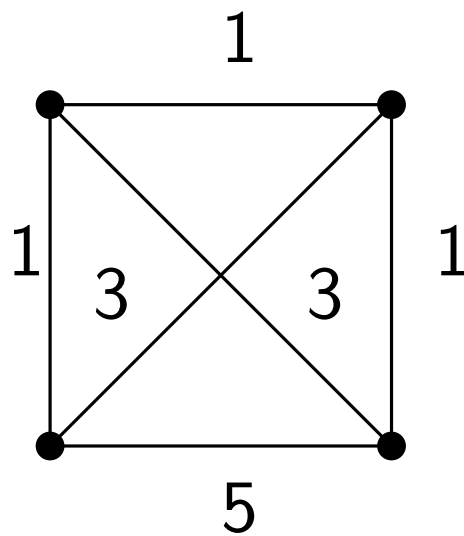
optimal solution: cost 3



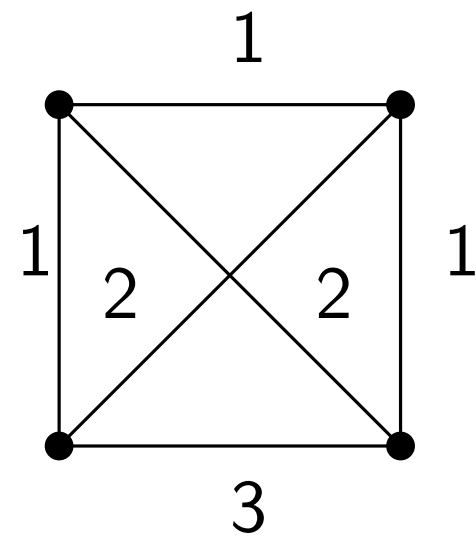
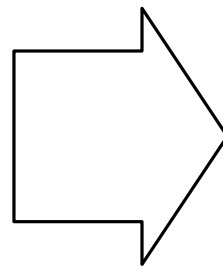
- Terminal
- Steiner vertex

# METRICSTEINERTREE

Restriction of STEINERTREE where the cost function is **metric**, i.e., graph  $G$  is complete (i.e., a clique) and for every triple  $(u, v, w)$  of vertices, we have  $c(u, w) \leq c(u, v) + c(v, w)$ .



not metric



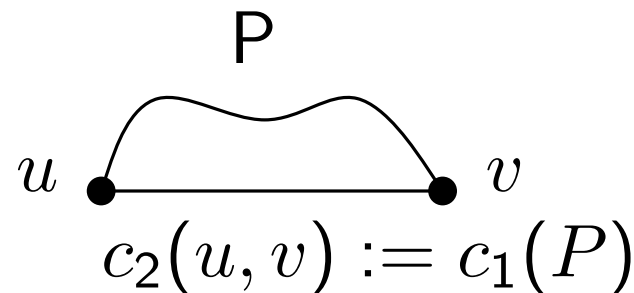
metric

# METRICSTEINERTREE

**Thm.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** Part 1: The mapping  $f$ .

- Instance  $I_1$  of STEINERTREE: Graph  $G_1 = (V, E_1)$ , edge weights  $c_1$ , partition  $V = S \cup T$
- Metric Instance  $I_2 := f(I_1)$ : complete graph  $G_2 = (V, E_2)$ , partition  $(T, S)$  as in  $I_1$ , and cost function  $c_2$  as below.
- $c_2(u, v) :=$  length of a shortest  $u$ - $v$ -path in  $G_1$ , for every  $u, v \in V$
- $c_2(u, v) \leq c_1(u, v)$  for every  $(u, v) \in E$



# METRICSTEINERTREE

**Thm.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** Part 2:  $\text{OPT}(I_2) \leq \text{OPT}(I_1)$ .

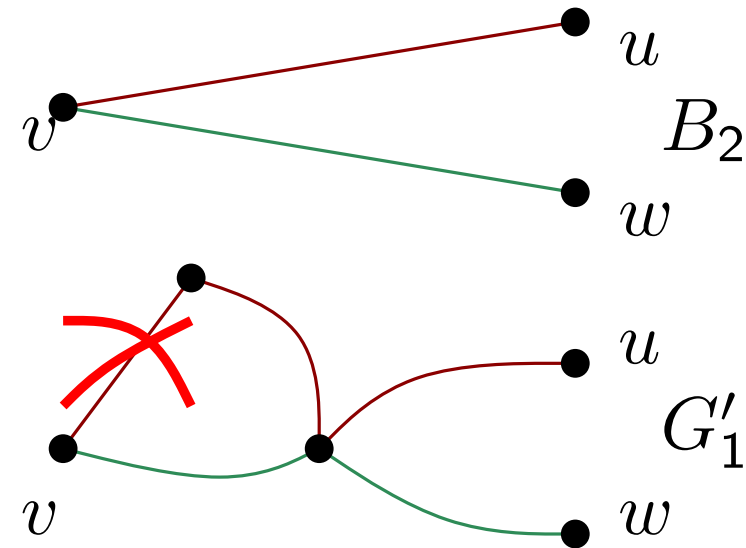
- Let  $B^*$  be an optimal subtree of  $I_1$
- $B^*$  is also feasible for  $I_2$ , since  $E_1 \subseteq E_2$  and the vertex sets  $V, S, T$  are the same
- Also, recall  $c_2(u, v) \leq c_1(u, v)$  for every edge  $uv$  of  $G$ .
- Thus,  $\text{OPT}(I_2) \leq c_2(B^*) \leq c_1(B^*) = \text{OPT}(I_1)$

# METRICSTEINERTREE

**Thm.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** Part 3: The mapping  $g$ .

- Let  $B_2$  be a steiner tree for  $G_2$
- Construct  $G'_1 \subseteq G_1$  from  $B_2$  by replacing each edge  $(u, v)$  of  $B_2$  by a shortest  $u-v$ -path in  $G_1$ .
- Note:  $c_1(G'_1) \leq c_2(B_2)$
- $G'_1$  connects all terminals
- $G'_1$  not necessarily a tree
- Pick  $B_1$  as a spanning tree of  $G'_1$
- $\rightsquigarrow B_1$  is a Steiner tree of  $G_1$
- $c_1(B_1) \leq c_1(G'_1) \leq c_2(B_2)$   $\square$

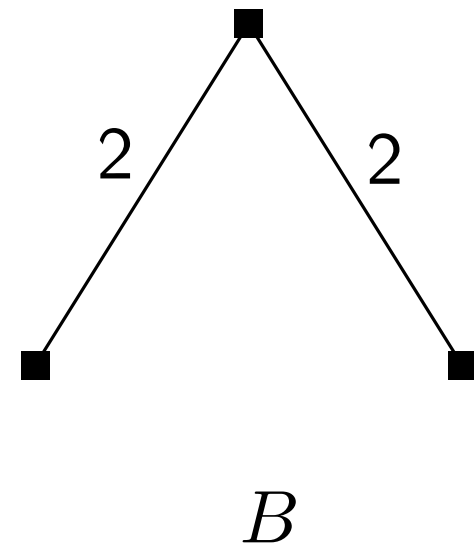
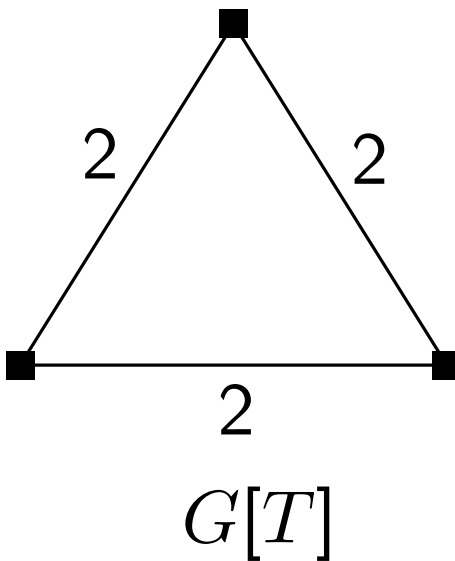
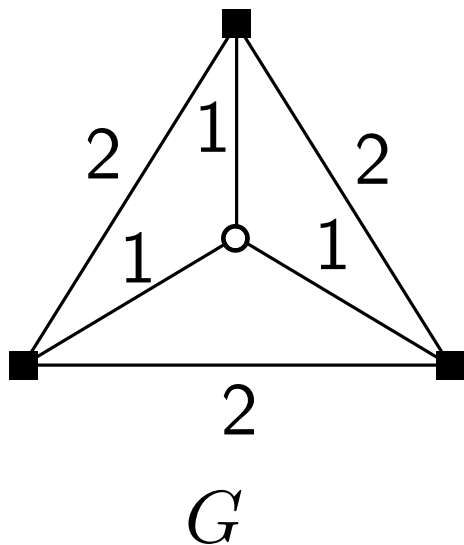




# 2-Approximation for STEINERTREE

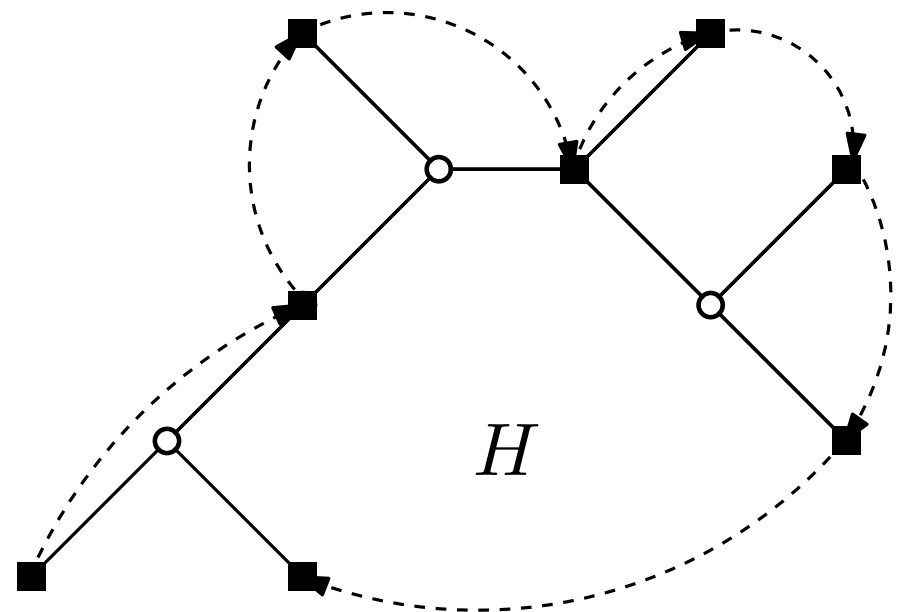
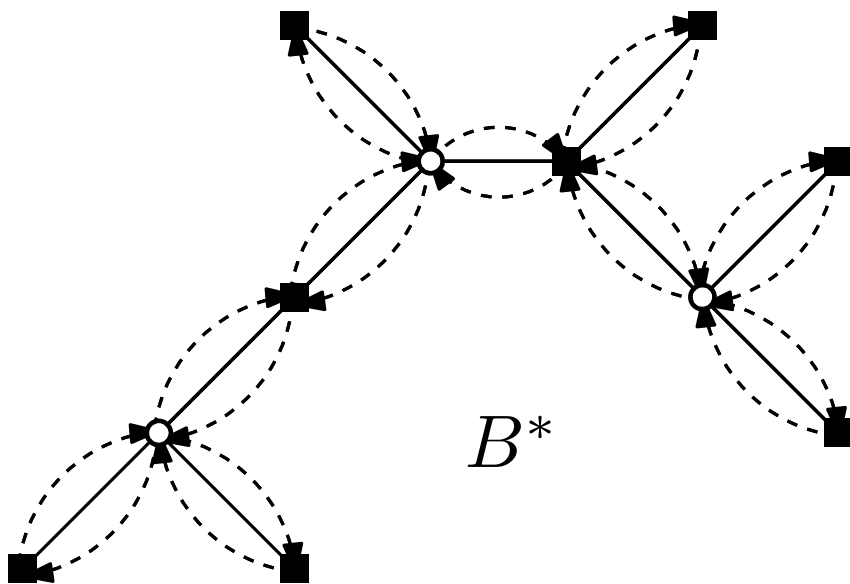
**Thm.** For an instance of METRICSTEINERTREE, let  $B$  be a minimum spanning tree (MST) of the subgraph  $G[T] := (T, \{ uv \mid u, v \in T \})$  induced by the terminal set  $T$ . We have:

$$c(B) \leq 2 \cdot \text{OPT}$$



# Proof \*see glossary document

- Consider an optimal steiner tree  $B^*$
- duplicate all edges in  $B^*$   $\rightsquigarrow$  Eulerian (Multi-)Graph  $B'$  with cost  $c(B') = 2 \cdot \text{OPT}$
- Find an Eulerian tour  $T'$  in  $B'$   $\rightsquigarrow c(T') = c(B') = 2 \cdot \text{OPT}$
- Find a Hamiltonian path  $H$  in  $G[T]$  by “short-cutting” Steiner vertices and previously visited terminals  $\rightsquigarrow c(H) \leq c(T) = 2 \cdot \text{OPT}$ , since  $G$  is metric.
- Note: any MST  $B$  of  $G[T]$  has  $c(B) \leq c(H) \leq 2 \cdot \text{OPT}$ , since  $H$  is a spanning tree of  $G[T]$



# Is there a tight example?

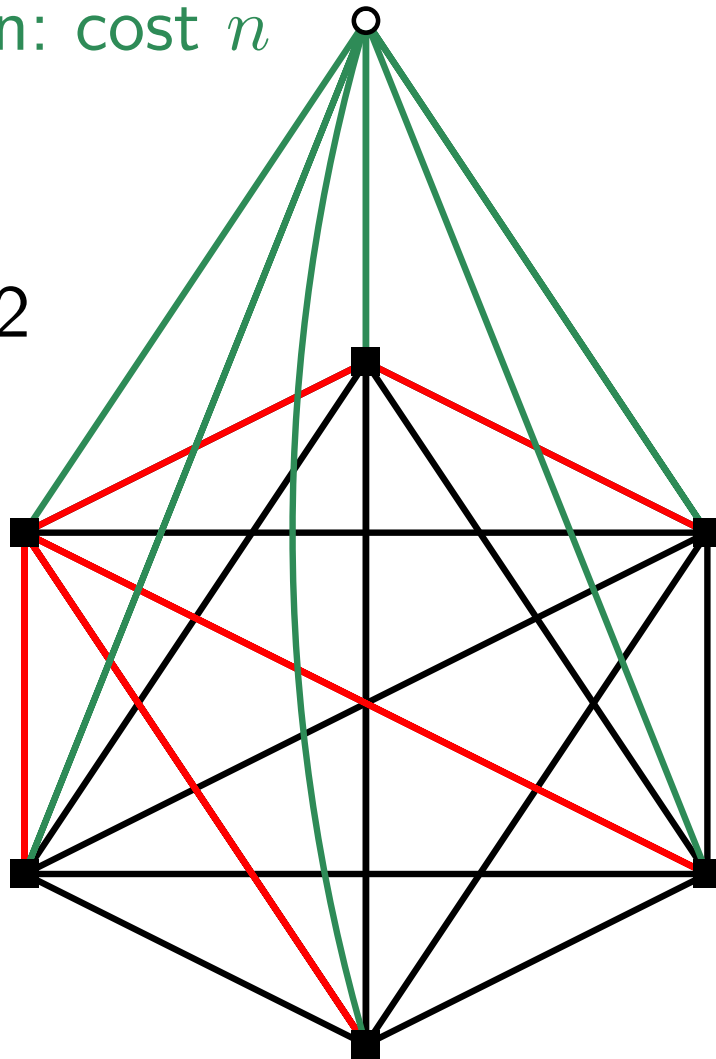
i.e., is there a graph where our algorithmic solution is  $2 \cdot \text{OPT}$ ?

MST of  $G[T]$  : cost  $2(n - 1)$

Optimal solution: cost  $n$

$$\frac{2(n - 1)}{n} \rightarrow 2$$

$K_n$



■ terminal

○ steiner vertex

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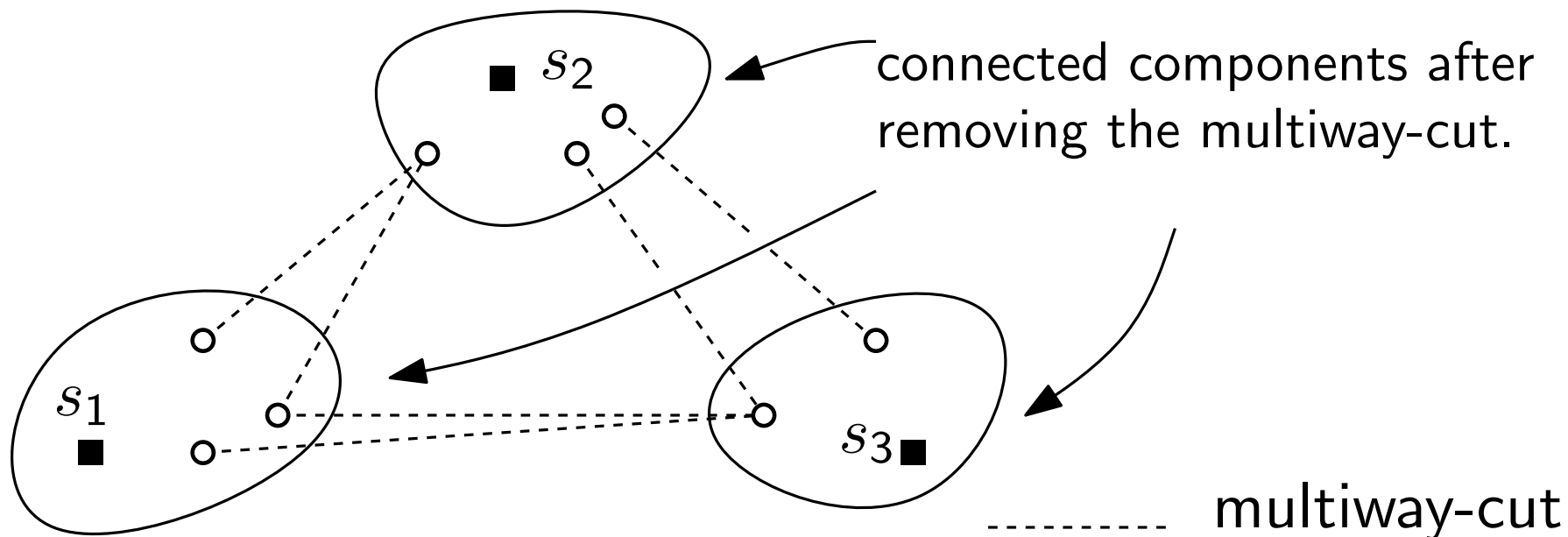
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# MULTIWAYCUT

**Given:** a connected graph  $G = (V, E)$  with edge costs  $c: E \rightarrow \mathbb{Q}_+$  and a set  $S = \{s_1, \dots, s_k\} \subseteq V$  of terminals.

**Find:** a minimum cost **multiway-cut**, where a subset  $E'$  of  $E$  is a multiway-cut when no path in the graph  $(V, E \setminus E')$  connects two terminals.

NP-hard for each **fixed**  $k \geq 3$ . What about  $k = 2$ ?

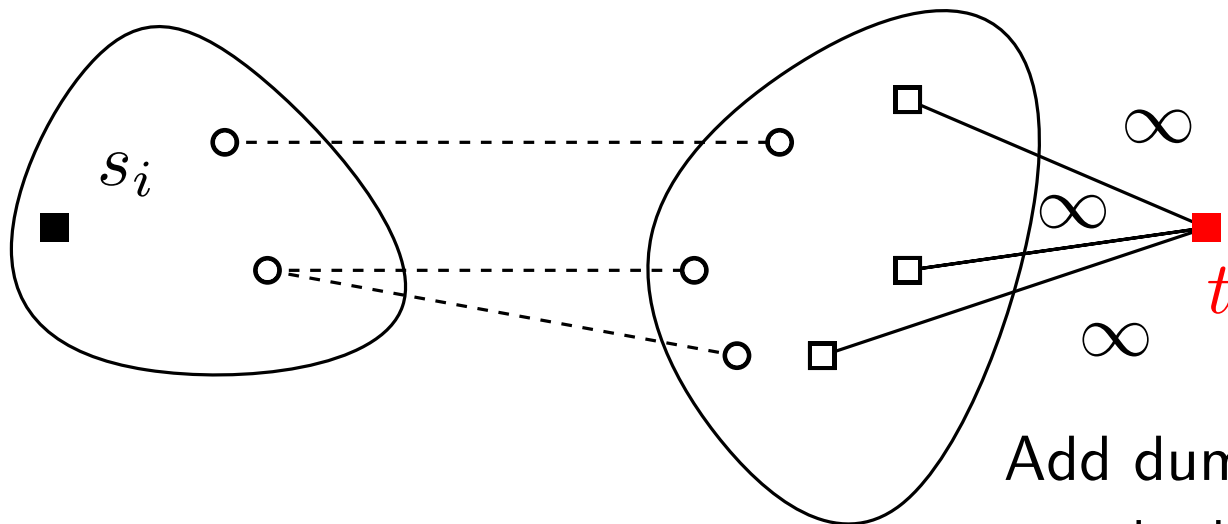


# Isolating Cuts

An **isolating cut** for a terminal  $s_i$  is a set of edges separating  $s_i$  from all other terminals.

Can we compute a minimum isolating cut efficiently?

Yes :-)

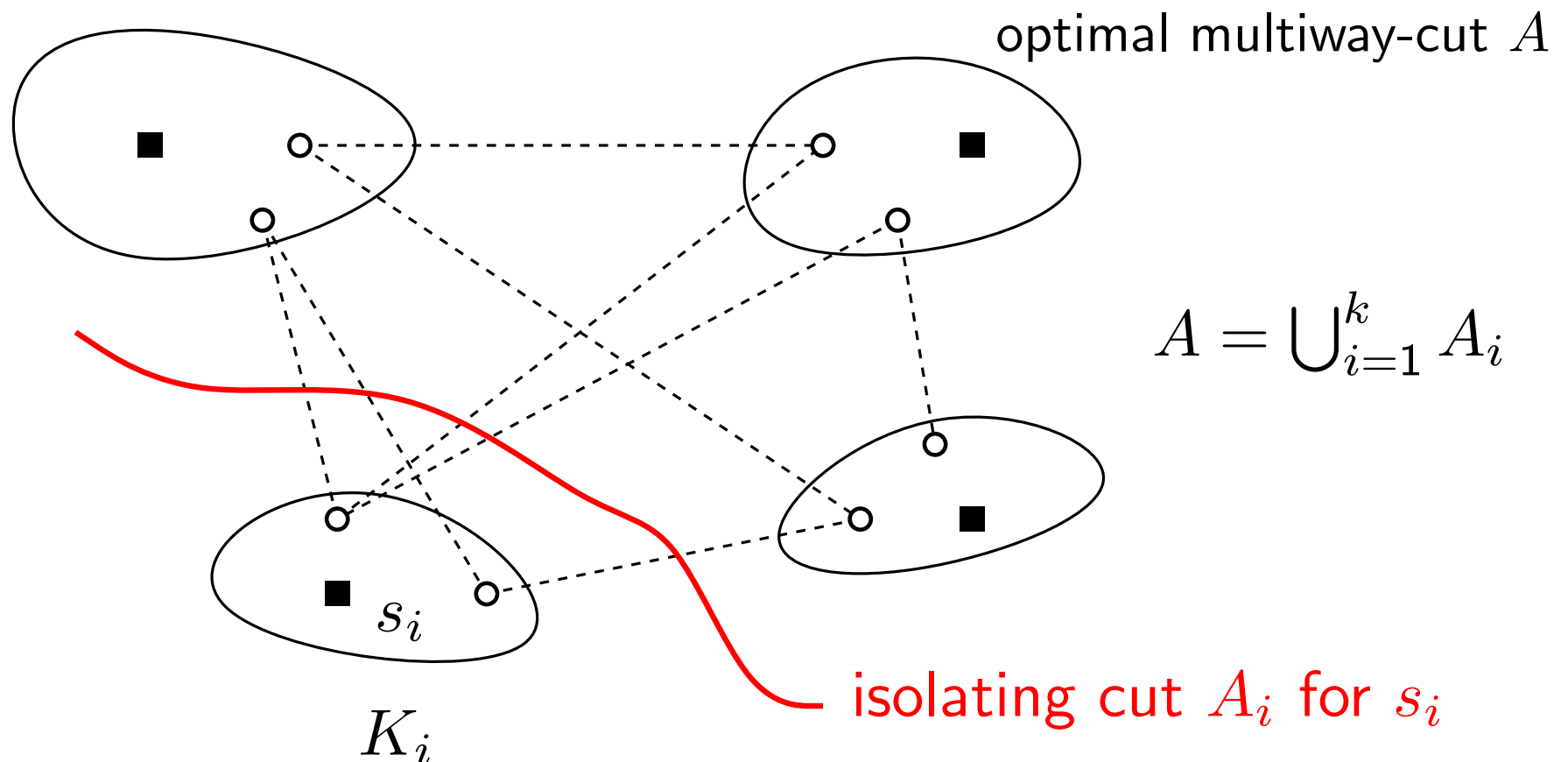


Add dummy terminal  $t$  connected to each  $s \in S \setminus \{s_i\}$ , and compute minimum  $s_i-t$  cut.

# Algorithm for MULTIWAYCUT

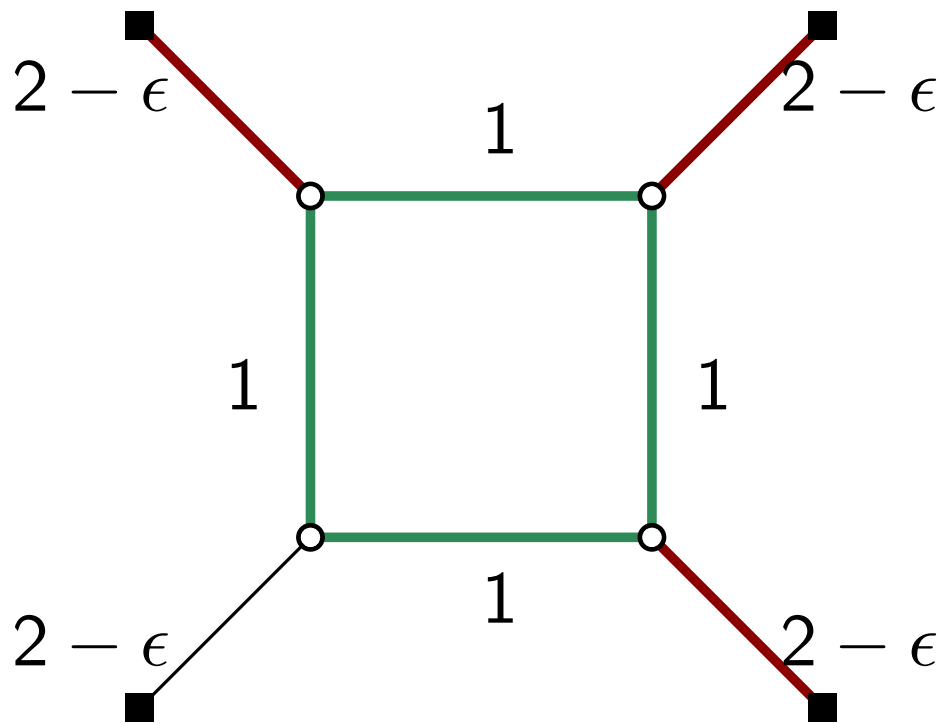
- For each terminal  $s_i$ , compute a minimum isolating cut  $C_i$ .
- Return the union of the  $k - 1$  cheapest such isolating cuts.

**Thm.** The above is a factor- $(2 - \frac{2}{k})$  approx. alg.



# Is our approximation factor tight?

i.e., is there an example where our algorithm produces a multiway-cut whose cost is  $(2 - \frac{2}{k}) \cdot OPT$ ?



isolating cuts:  $(k - 1)(2 - \epsilon)$

$OPT = k$

$(k - 1)(2 - \epsilon)/k \approx 2 - 2/k$

**Next Week:  
Linear  
Programming**