



Aalto University  
School of Science



Combinatorics of  
Efficient  
Computations

# Approximation Algorithms

Lecture 9: An Approximation Scheme for  
Euclidean TSP

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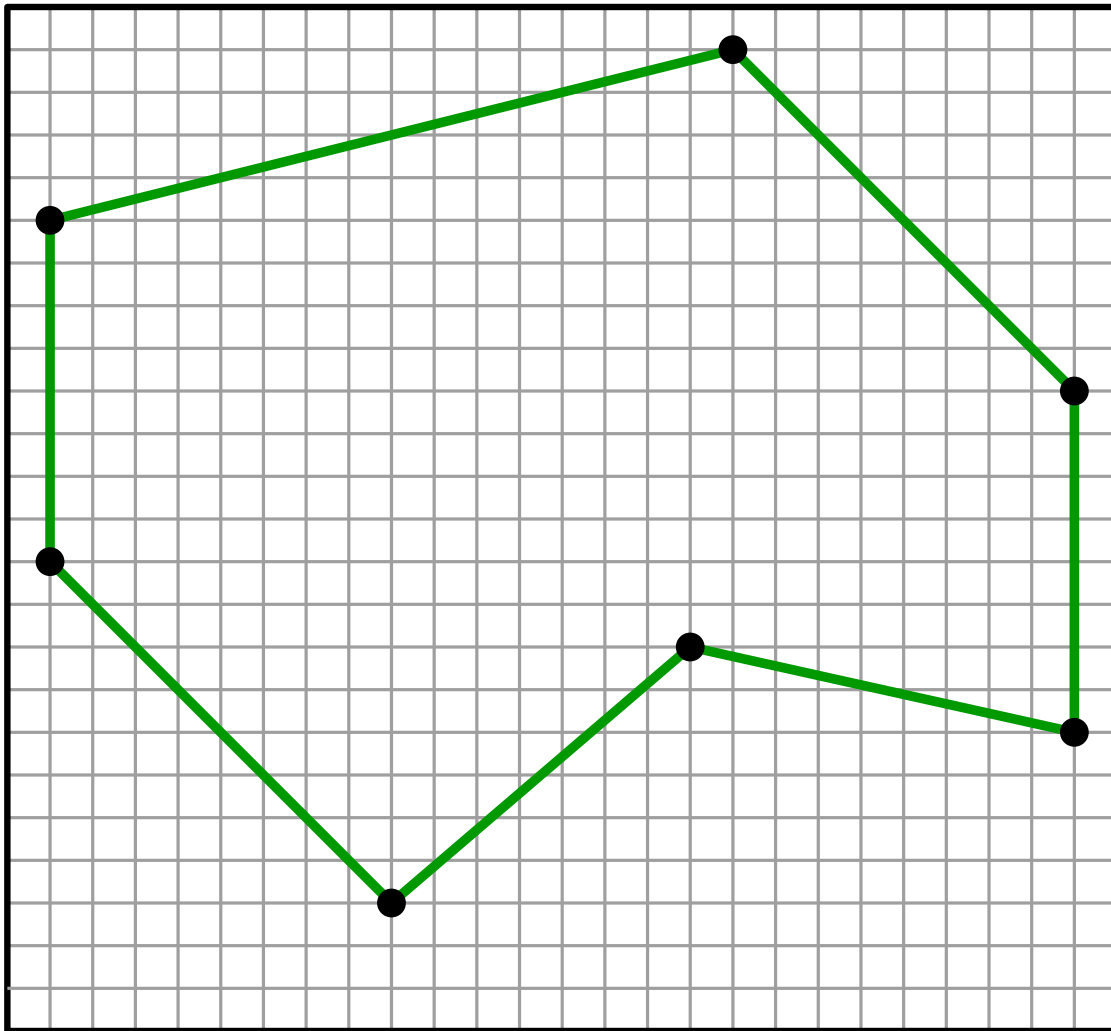
2019

# Euclidean TSP

**Given:** A set of  $n$  points in  $\mathbb{R}^2$ .

The distance between two points is the euclidean distance.

**Find:** A Hamiltonian cycle (tour) of minimum length.



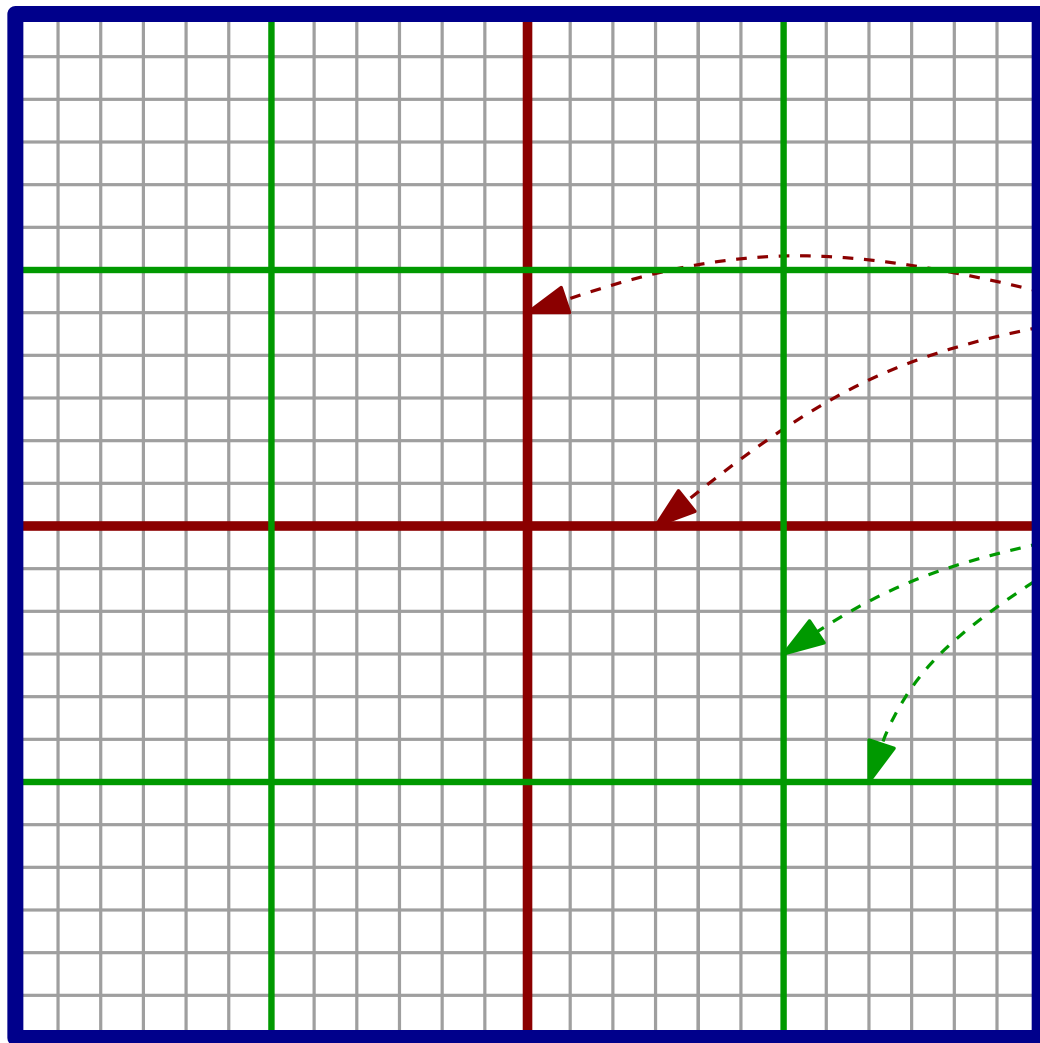
Goal:  $(1 + \epsilon)$ -Approximation!

## Simplifying Assumptions

- points belong to an  $L \times L$ -square
- $L := 4n^2 = 2^k$ ;  
 $k = 2 + 2 \log_2 n$
- integer coordinates

“justification”  $\rightsquigarrow$  **exercise**

# Basic Dissection



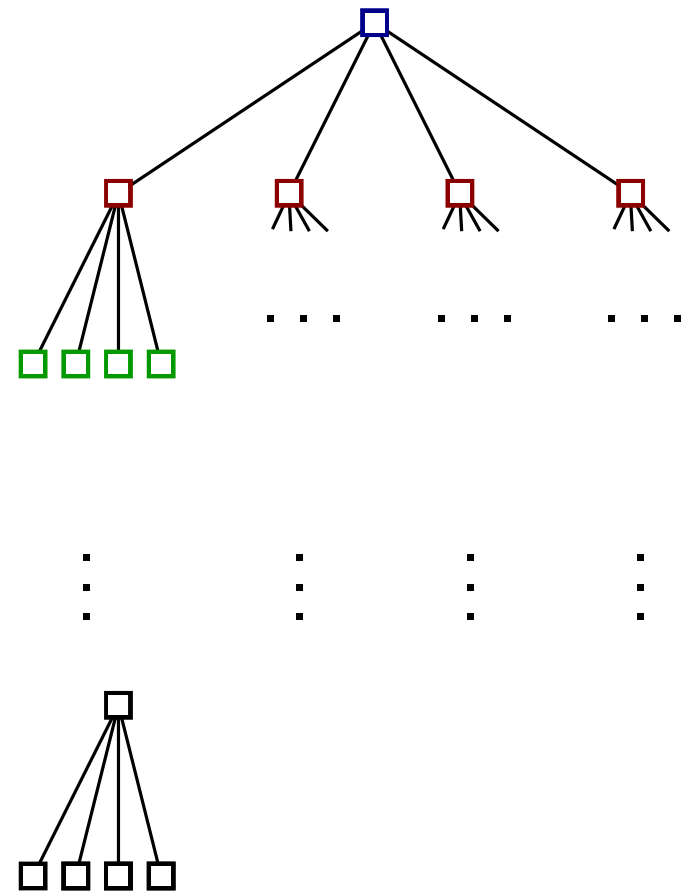
$$L = 2^k$$

Level 0

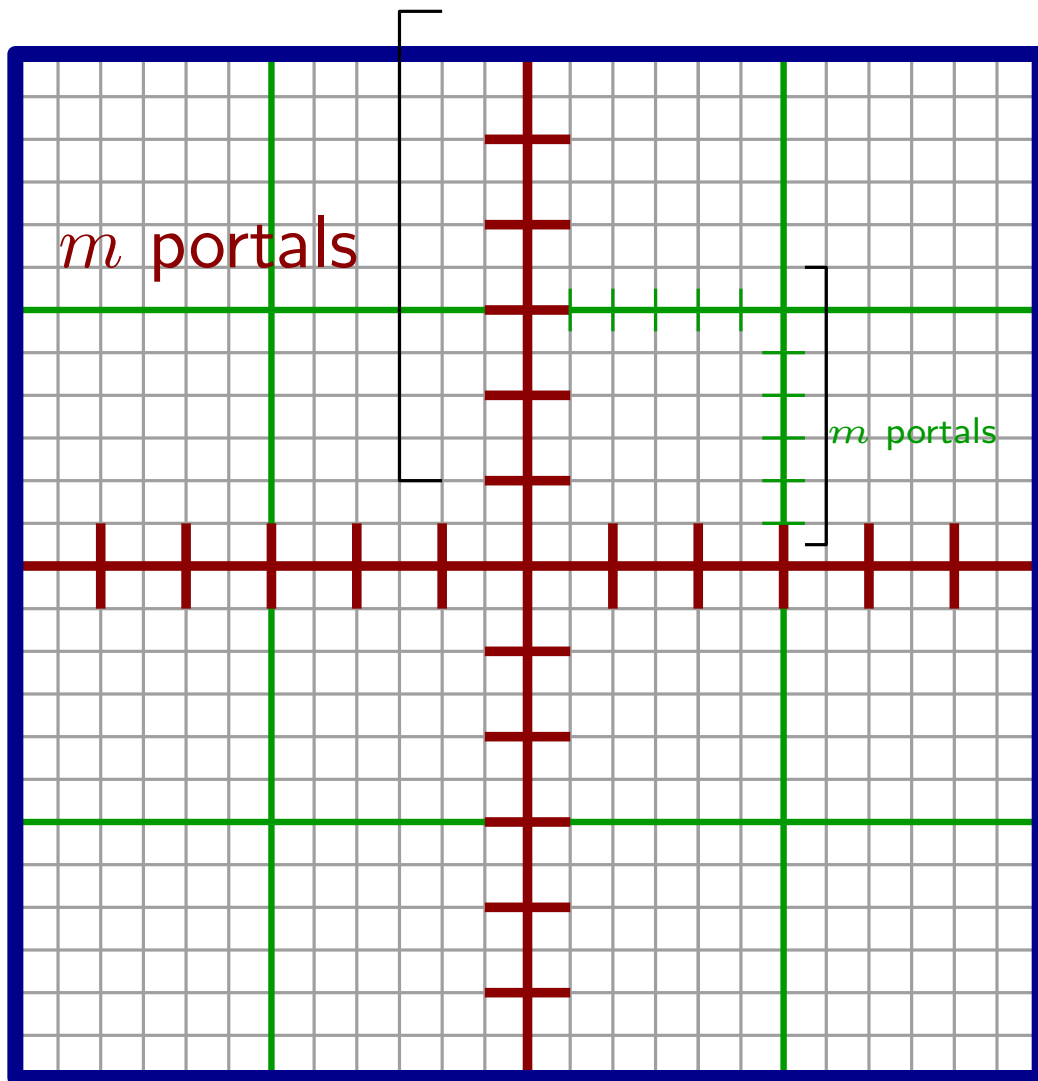
Level 1

Level 2

Level  $k$



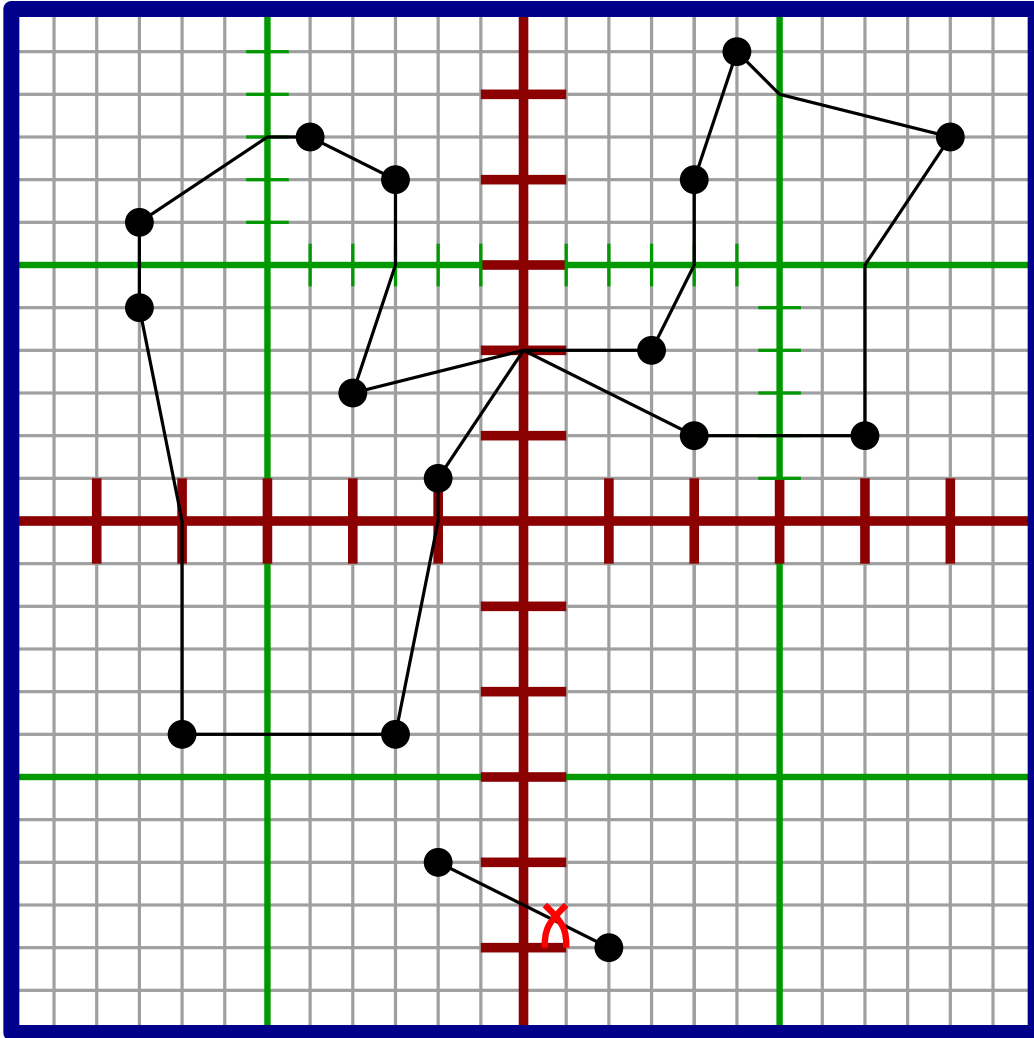
# Portals



$$L := 4n^2 = 2^k.$$

- $k = O(\log n)$
- $m$  is a power of two in the interval  $[k/\epsilon, 2k/\epsilon]$
- $m = \Theta(\log n/\epsilon)$
- **Portals** on a level- $i$ -line have distance  $L/(2^i m)$
- each level- $i$ -square has at most  $4m$  boundary portals

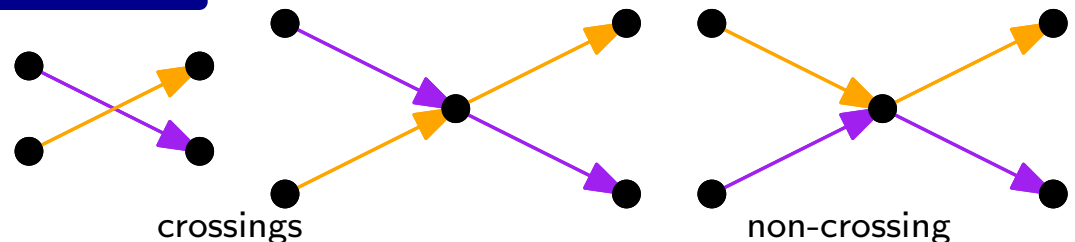
# Well Behaved Tours



A tour is **well behaved**, when

- it involves all points and a subset of the portals,
- no edge of the tour crosses a line of the basic dissection
- it is crossing-free.

Without loss of generality (**exercise**): no portal is visited more than twice.



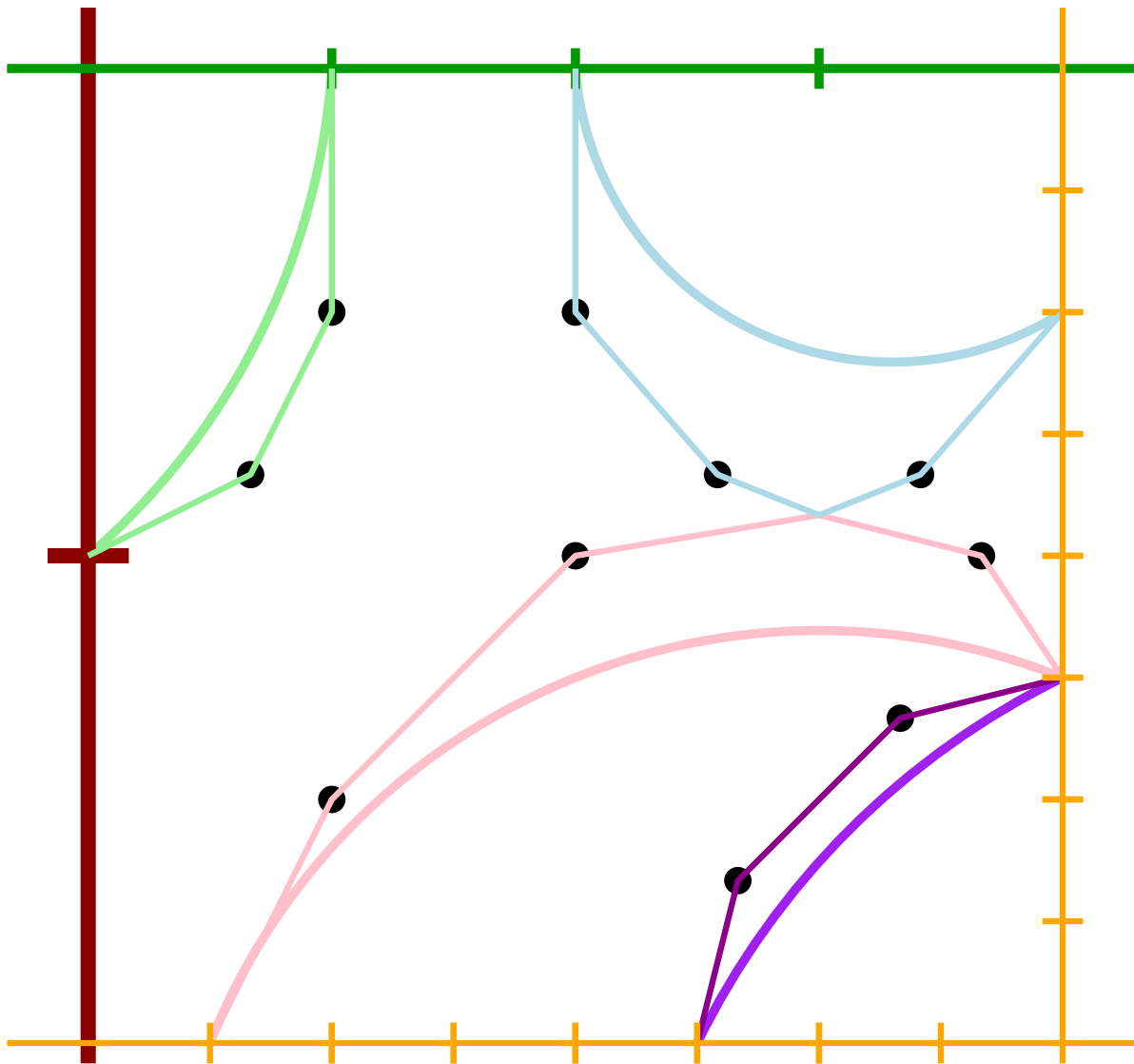
# Computing a well behaved tour

**Lem.** An optimal well behaved tour can be computed in  $2^{O(m)} = n^{O(1/\epsilon)}$  time.

**Proof.**

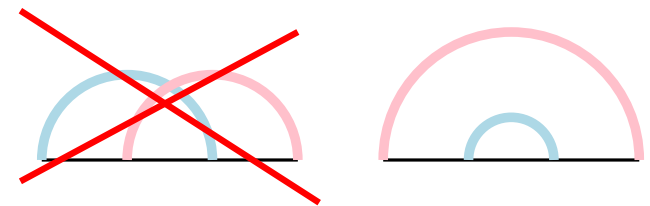
- Dynamic Program.
- Compute sub-structure of an optimal tour for each square in the dissection tree.
- These solutions can be efficiently propagated bottom-up through the dissection tree.

# Dynamic Program (I)



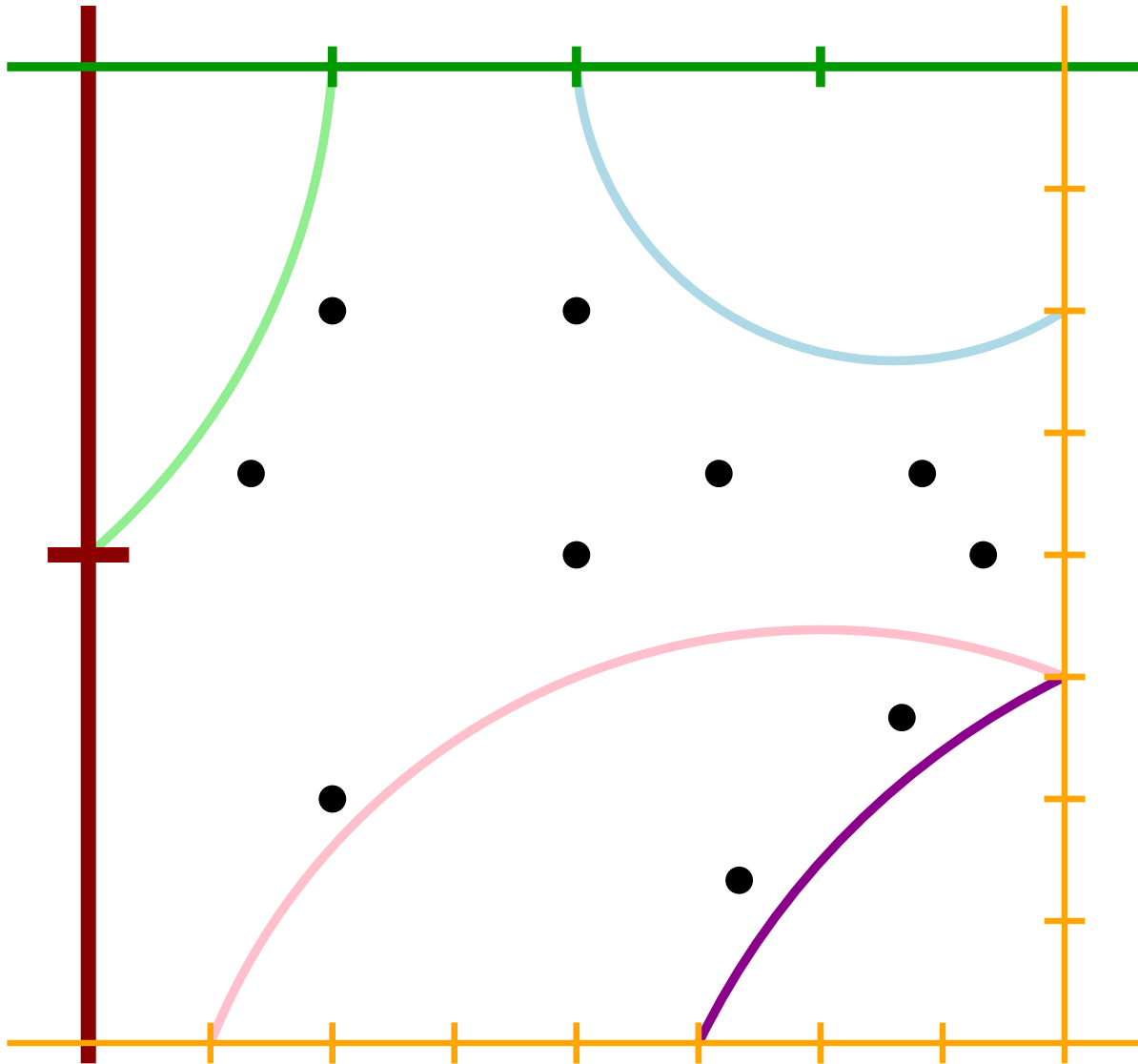
Each global well behaved tour induces the following in each square  $Q$  of the dissection:

- a path cover on the vertices in  $Q$
- each portal of  $Q$  is visited 0,1 or 2 times by this path cover.
- a **crossing-free pairing** of the visited portals.



$$\text{max. } \underbrace{n^{O(1/\epsilon)}}_{\text{\#visit vectors}} \times \underbrace{2^{O(m)}}_{\text{\#real. pairings}} = n^{O(1/\epsilon)} \text{ total pairings}$$

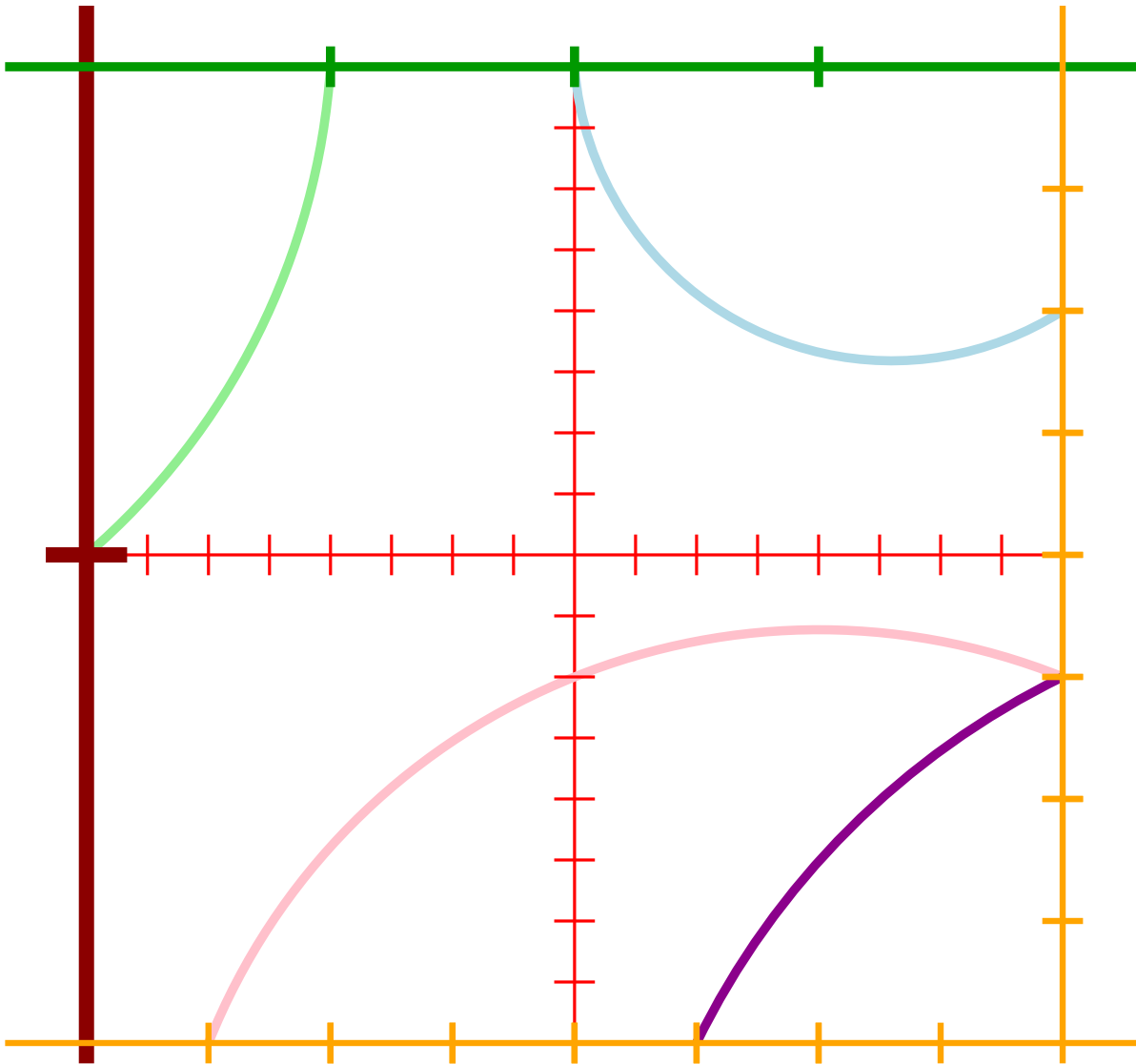
# Dynamic Program (II)



For each square  $Q$ , and each crossing-free pairing  $P$  in  $Q$ , compute an optimal path cover respecting  $P$ .



# Dynamic Program (III)

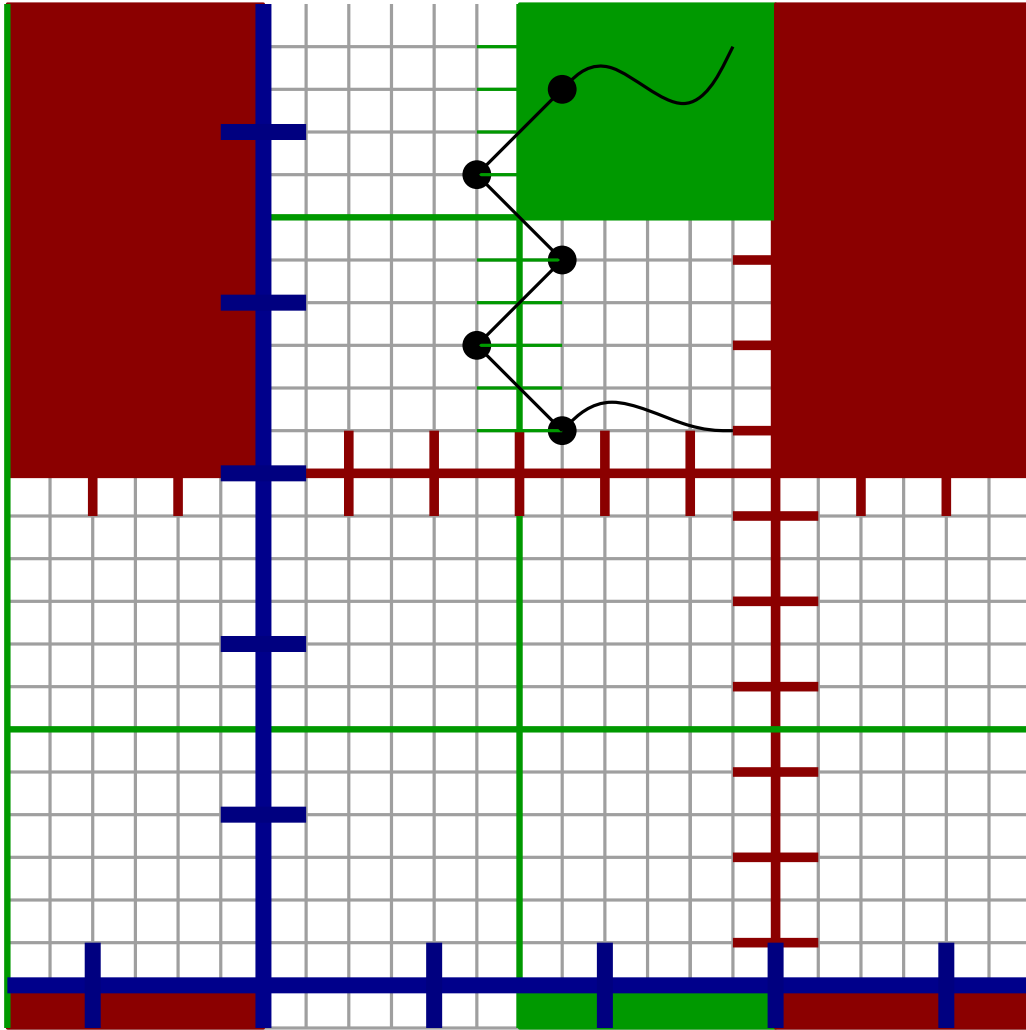


For a given square  $Q$  and pairing  $P$ :

- iterate over all  $(n^{O(1/\epsilon)})^4 = n^{O(1/\epsilon)}$  crossing-free pairings of the child-squares
- choose a combination of such pairings which minimizes the cost and respects  $P$ .
- correctness by induction



# Shifted Dissections

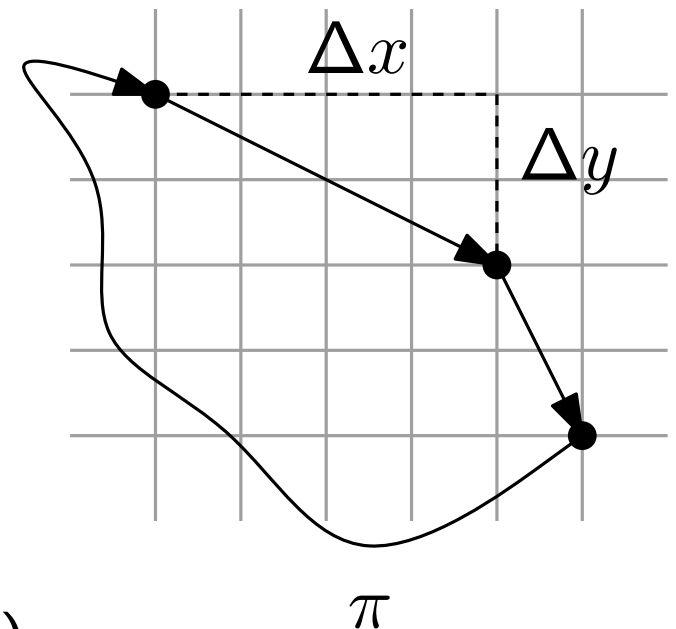


- the best well behaved tour can be a poor approximation :-(
  - Define an  $(a, b)$ -shifted dissection
    - $x \mapsto (x + a) \bmod L$
    - $y \mapsto (y + b) \bmod L$
  - Squares in the dissection tree are “wrapped around”
  - dynamic program must be modified accordingly.

# Shifted Dissections (II)

**Lem.** Let  $\pi$  be an optimal tour and  $N(\pi)$  be the number of crossings with the lines of the  $L \times L$ -grid. Then we have  $N(\pi) \leq \sqrt{2} \cdot \text{OPT}$

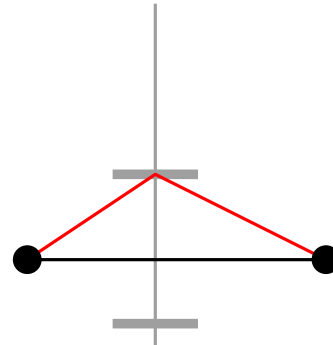
- Proof.**
- Consider a tour as an ordered cyclic sequence.
  - Each edge generates  $\leq \Delta x + \Delta y$  crossings.
  - Crossings at the endpoint of an edge are counted for the next edge
  - $(\Delta x + \Delta y)^2 \leq 2(\Delta x^2 + \Delta y^2)$  completes the proof.



# Shifted Dissections (III)

**Thm.** Let  $a, b \in [0, L - 1]$  be chosen independently and uniformly at random. Then the expected cost of an optimal well behaved tour with respect to the  $(a, b)$ -shifted dissection is at most  $(1 + \sqrt{2}\epsilon)\text{OPT}$ .

**Proof.** Consider an optimal tour  $\pi$ . Make  $\pi$  well behaved by moving each intersection point (with the  $L \times L$ -grid) to the nearest portal.



detour per intersection  $\leq$  inter-portal distance.

# Shifted Dissection (III)

- Consider an intersection point between  $\pi$  and a line  $l$  of the  $L \times L$ -grid.
- With probability *at most*  $2^i/L$ ,  $l$  is a level- $i$ -line  
 $\rightsquigarrow$  an increase in tour length by a maximum of  $L/(2^i m)$  (inter-portal distance).
- Thus, the expected increase in tour length due to this intersection is at most:

$$\sum_{i=0}^k \frac{L}{2^i m} \frac{2^i}{L} \leq \frac{k}{m} \leq \epsilon$$

- Summing over all  $N(\pi) \leq \sqrt{2}\text{OPT}$  intersection points, and applying linearity of expectation, provides the claim.



# Approximation Scheme.

**Thm.** There is a PTAS for euclidean TSP, i.e., for each  $\epsilon > 0$  one can find a  $(1 + \epsilon)$ -approximation in  $n^{O(1/\epsilon)}$  time.

**Proof.** Try all  $L^2$  different  $(a, b)$ -shifted dissections.  
By the previous thm., one of these is good enough.  $\square$