

# Outcome of this lecture

At the end of this lecture you will be able to:

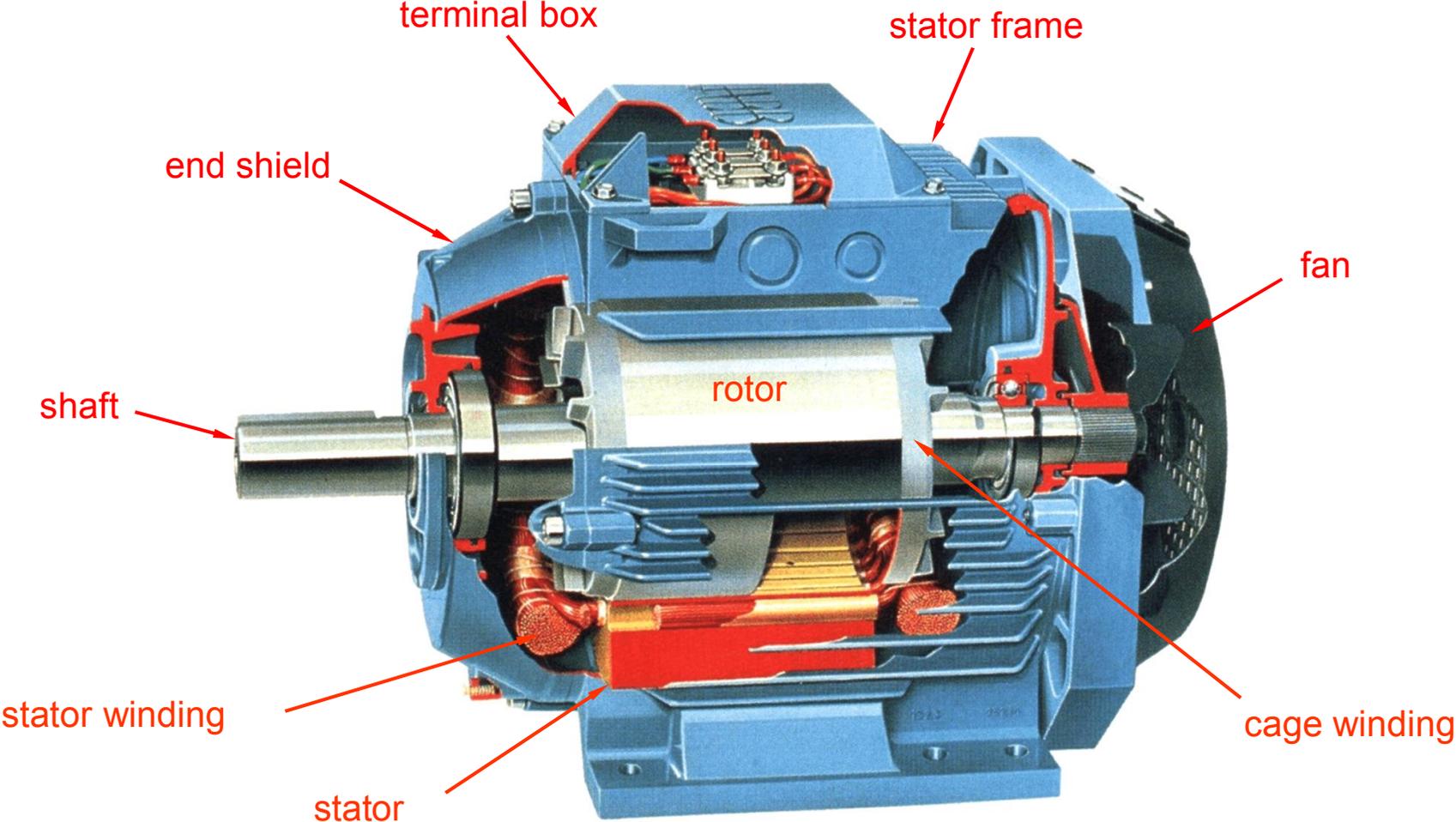
- List the different parts of an induction machine
- Explain the operation principles of the machine
- Use the equivalent circuit model of the machine
- Analyze the steady-state operation of the machine
- Distinguish between different control methods of the machine

Your understanding of the rotating field theory will be enhanced

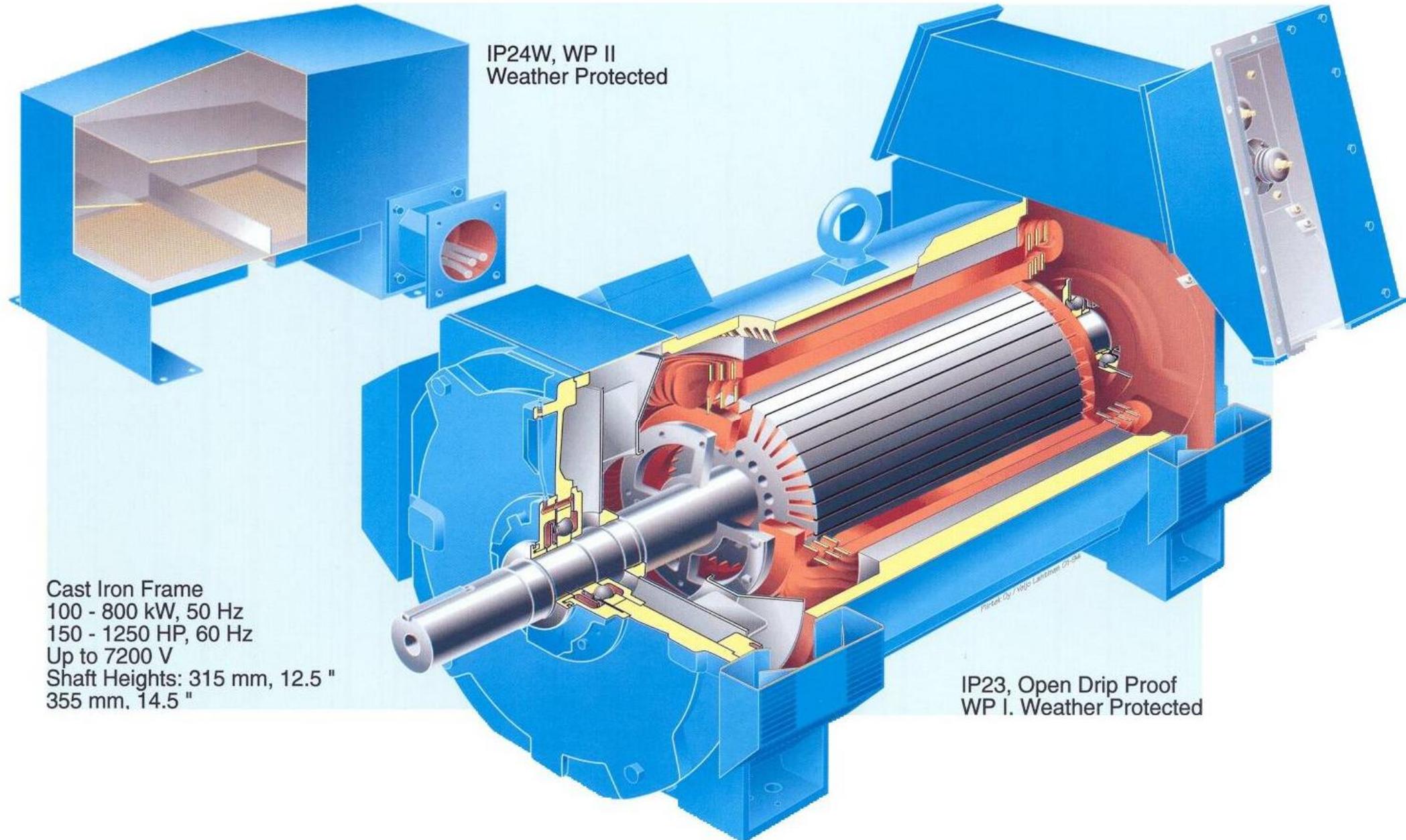
# Contents of this lecture

- Structure and construction of Induction Machines
- Rotating magnetic field
- Operation modes of Induction Machines
- Equivalent Circuit of Induction Machines
- Performance characteristics of Induction Machines
- Basics of speed control of Induction Machines

# Construction – small machine



# Construction – large machine



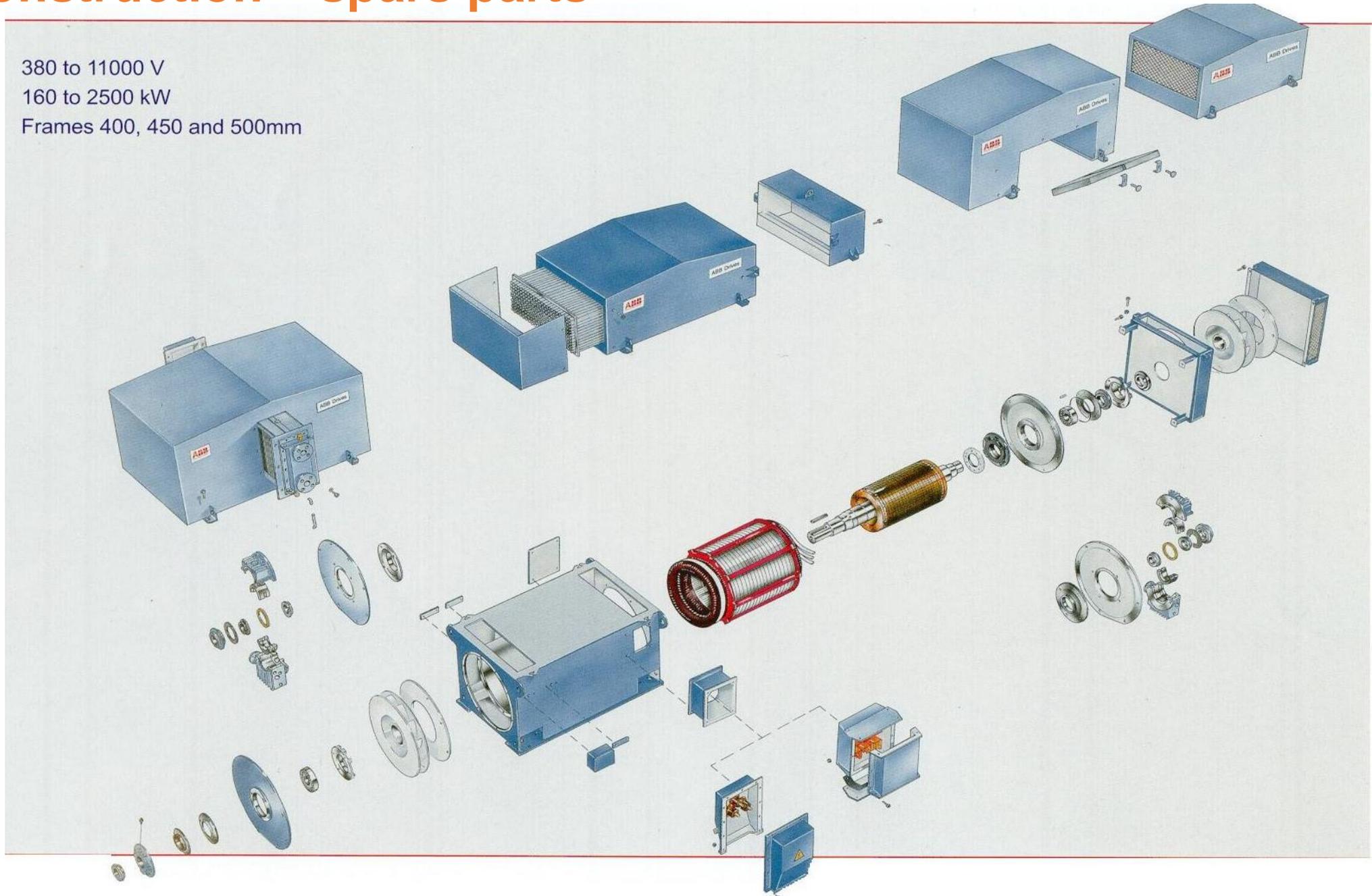
IP24W, WP II  
Weather Protected

Cast Iron Frame  
100 - 800 kW, 50 Hz  
150 - 1250 HP, 60 Hz  
Up to 7200 V  
Shaft Heights: 315 mm, 12.5 "  
355 mm, 14.5 "

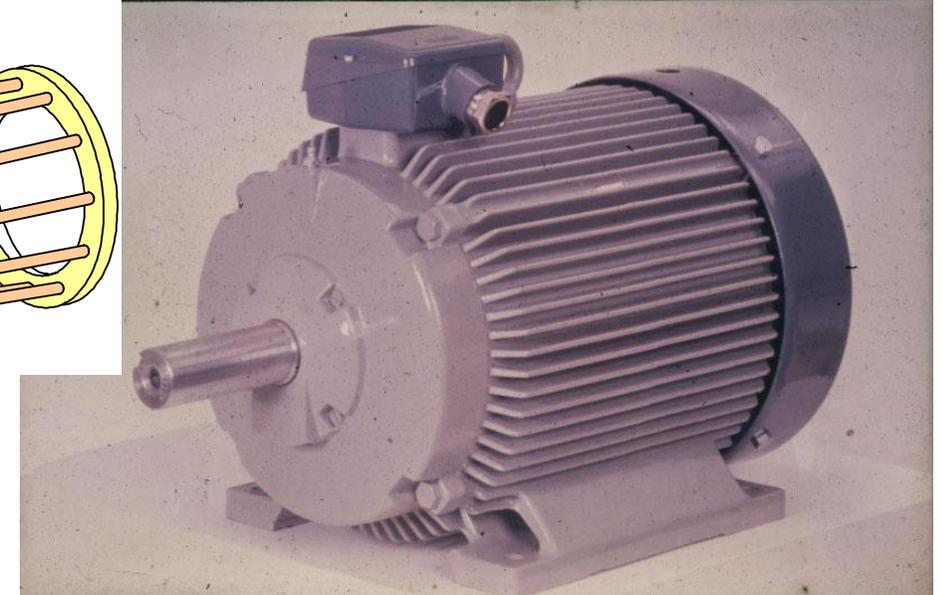
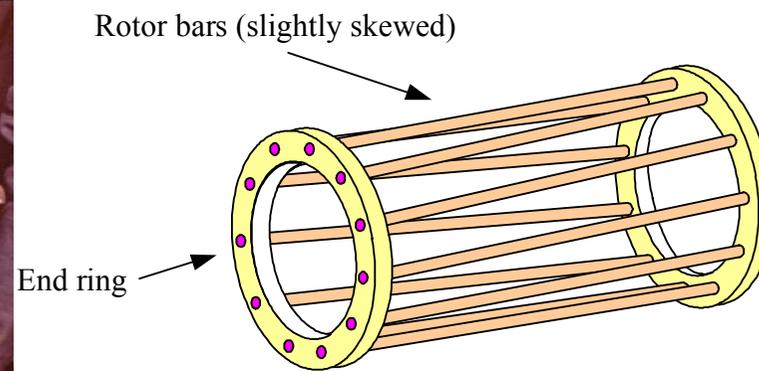
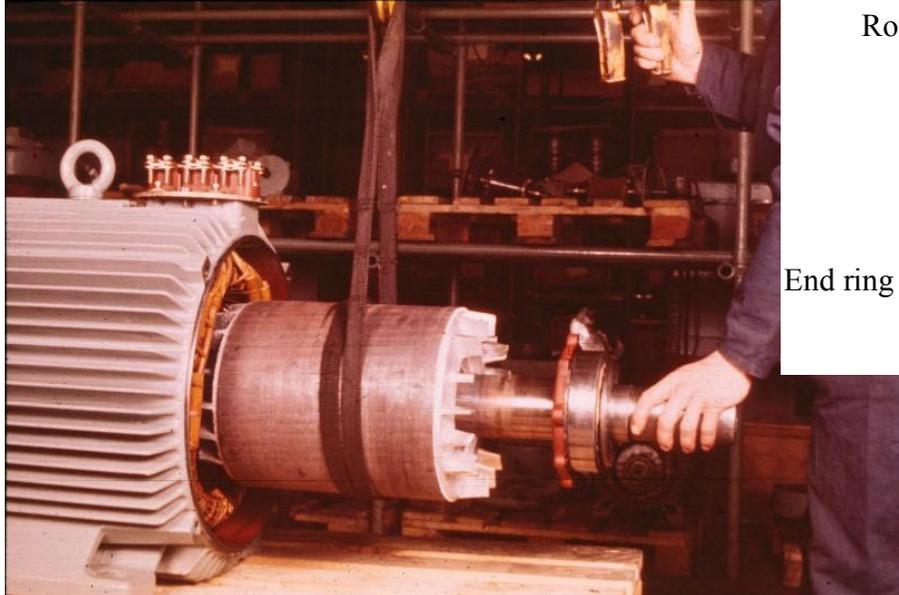
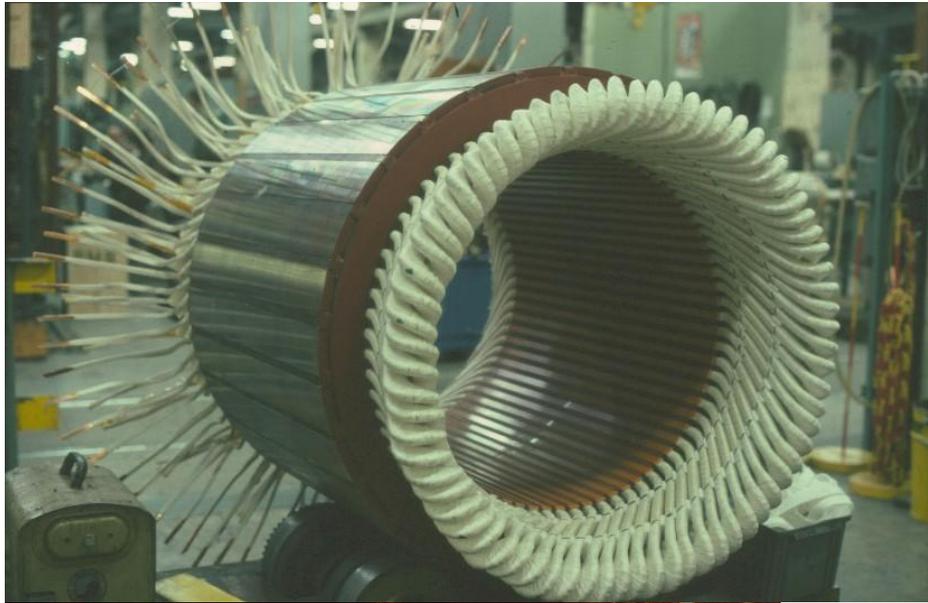
IP23, Open Drip Proof  
WP I. Weather Protected

# Construction – spars parts

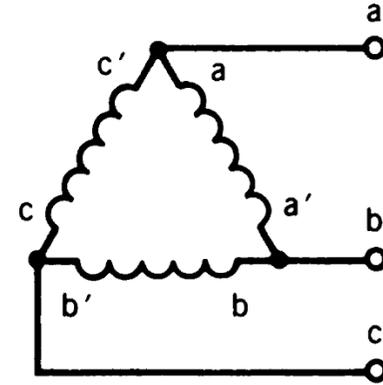
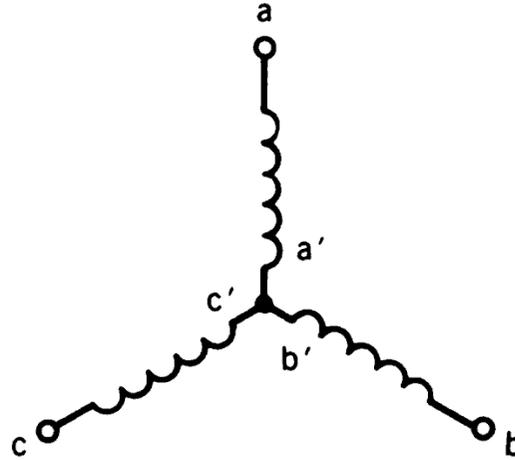
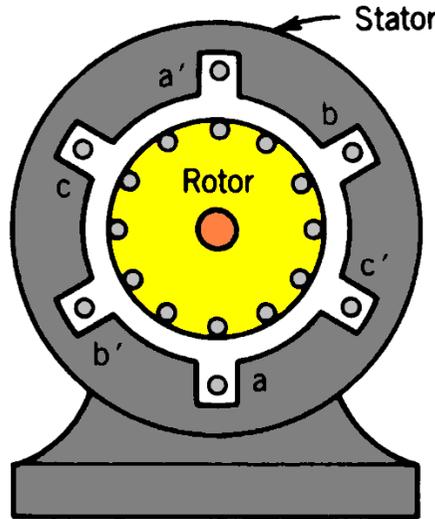
380 to 11000 V  
160 to 2500 kW  
Frames 400, 450 and 500mm



# Active parts and mounting



# Basic operation principle



- Three-phase windings displaced from each other by **120 degrees in space**
- Phase coils produces **sinusoidal distributed mmf** wave centered on coil axis
- Alternating currents in each coil produce **pulsating mmf waves**
- Mmf waves are displaced by 120 degrees in space from each other
- Resultant mmf wave is **rotating along the air gap** with constant peak

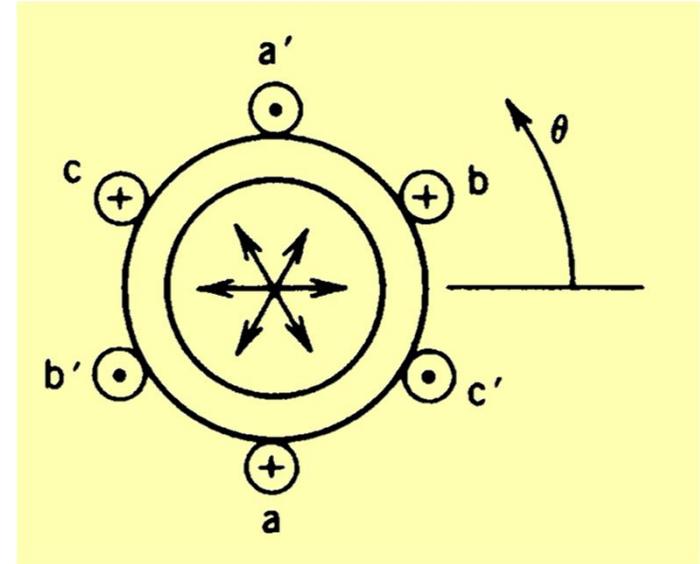
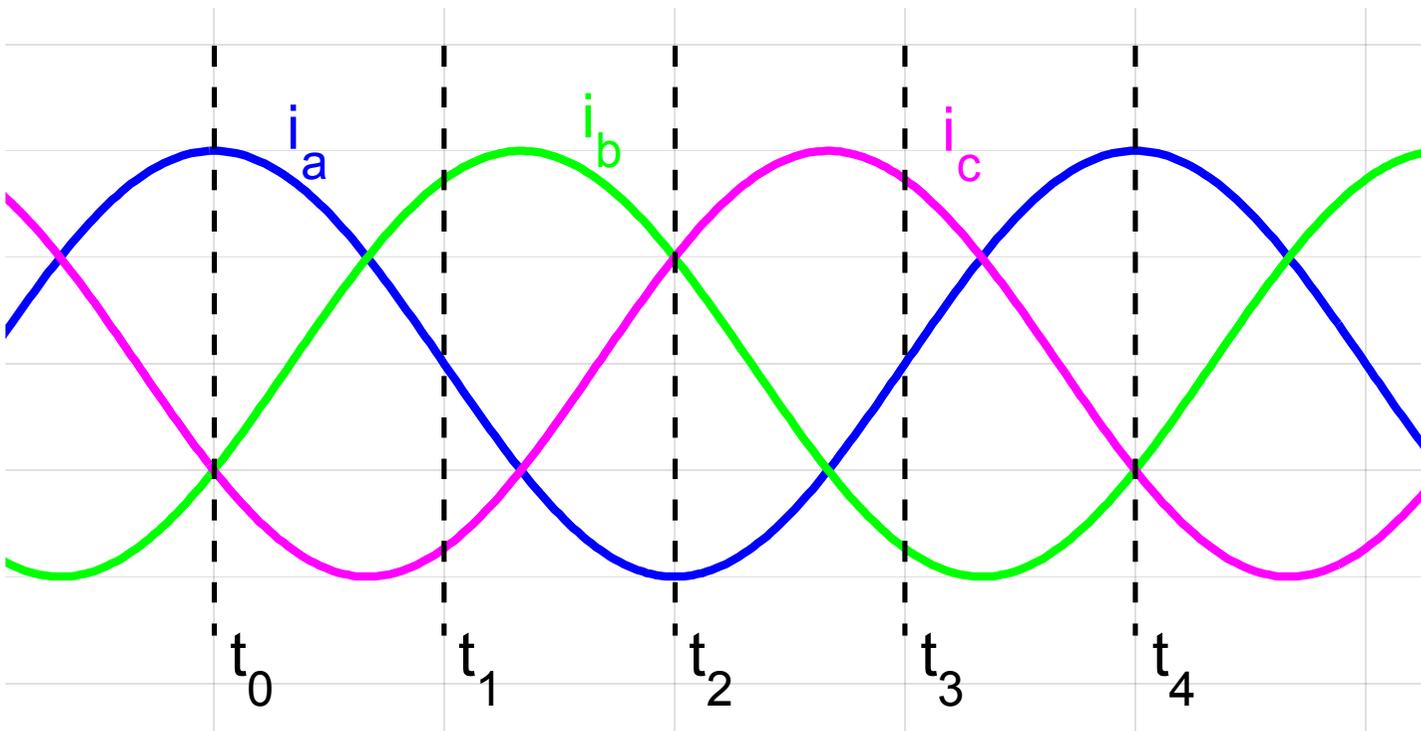
# Rotating magnetic field - currents

Balanced three-phase currents

$$i_a = I_m \cos \omega t$$

$$i_b = I_m \cos(\omega t - 120^\circ)$$

$$i_c = I_m \cos(\omega t + 120^\circ)$$



# Rotating magnetic field – phase MMFs

at time  $t_0$

$$i_a = I_m$$

$$F_a = F_{\max}$$

$$i_b = -\frac{I_m}{2}$$

$$F_b = -\frac{1}{2}F_{\max}$$

$$i_c = -\frac{I_m}{2}$$

$$F_c = -\frac{1}{2}F_{\max}$$

at time  $t_1$

$$i_a = 0$$

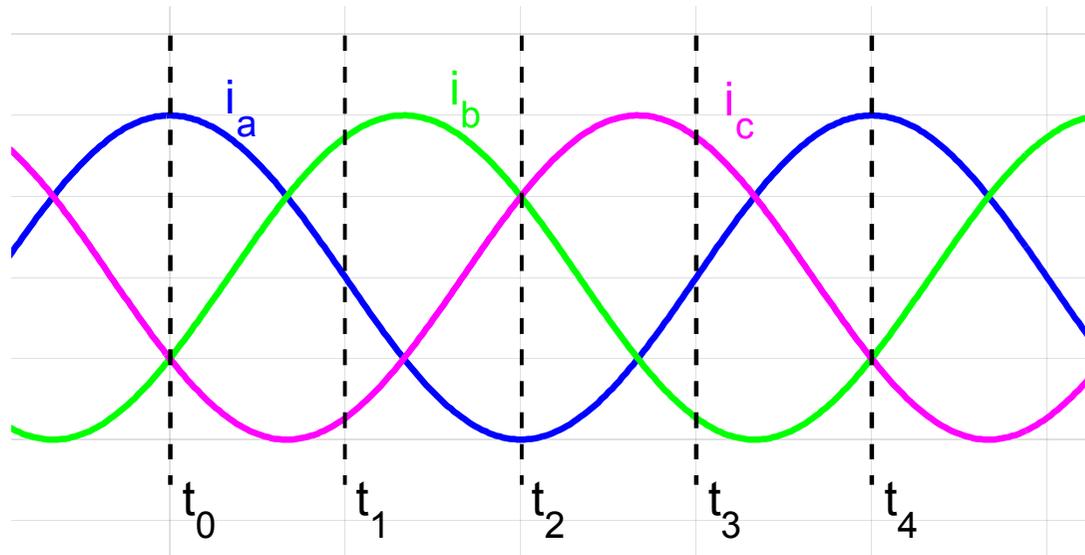
$$F_a = 0$$

$$i_b = \frac{\sqrt{3}}{2}I_m$$

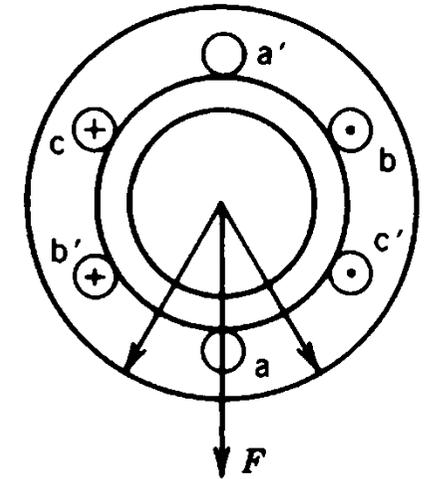
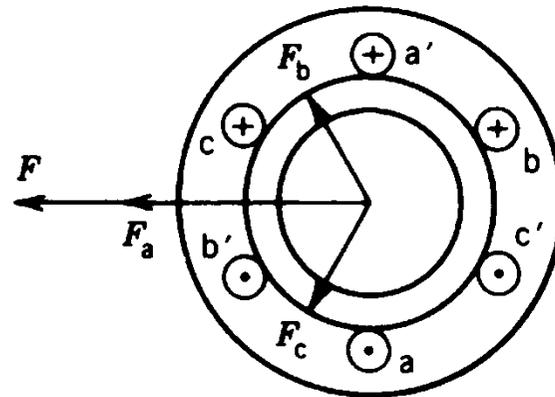
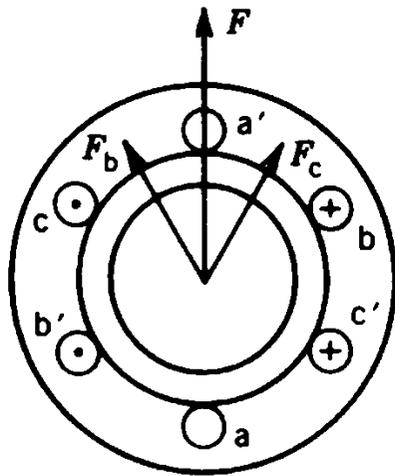
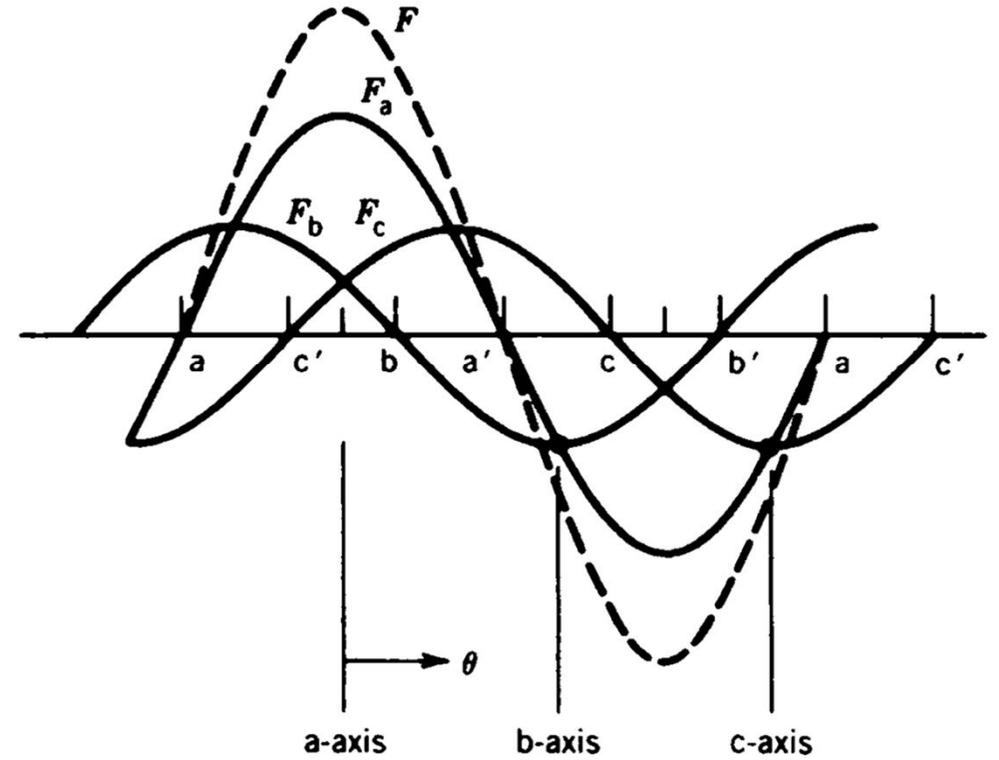
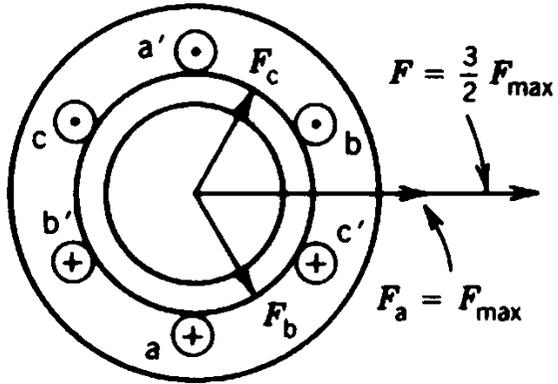
$$F_b = \frac{\sqrt{3}}{2}F_{\max}$$

$$i_c = -\frac{\sqrt{3}}{2}I_m$$

$$F_c = -\frac{\sqrt{3}}{2}F_{\max}$$



# Resulting MMF– graphical method



# Resulting MMF – analytical method

$$F_a(\theta) = Ni_a \cos \theta$$

$$F_b(\theta) = Ni_b \cos(\theta - 120^\circ)$$

$$F_c(\theta) = Ni_c \cos(\theta + 120^\circ)$$

$$i_a = I_m \cos \omega t$$

$$i_b = I_m \cos(\omega t - 120^\circ)$$

$$i_c = I_m \cos(\omega t + 120^\circ)$$

Resultant mmf wave

$$F(\theta) = Ni_a \cos \theta + Ni_b \cos(\theta - 120^\circ) + Ni_c \cos(\theta + 120^\circ)$$

$$\cos A \cos B = \frac{1}{2} \cos(A - B) + \frac{1}{2} \cos(A + B)$$

$$F(\theta, t) = \frac{3}{2} NI_m \cos(\omega t - \theta)$$

# Properties of resulting MMF

- The resultant mmf vector retains its **sinusoidal distribution in space**

$$F = \frac{3}{2} F_{\max}$$

- It moves around the air gap – **one revolution per period**

$$n = \frac{2}{p} f 60 = \frac{120f}{p}$$

- Reversal of currents phase sequence  **change in direction of rotation**

# Induced voltages

- Sinusoidal flux density distribution in space

$$B(\theta) = B_{\max} \cos \theta$$

- Flux per pole

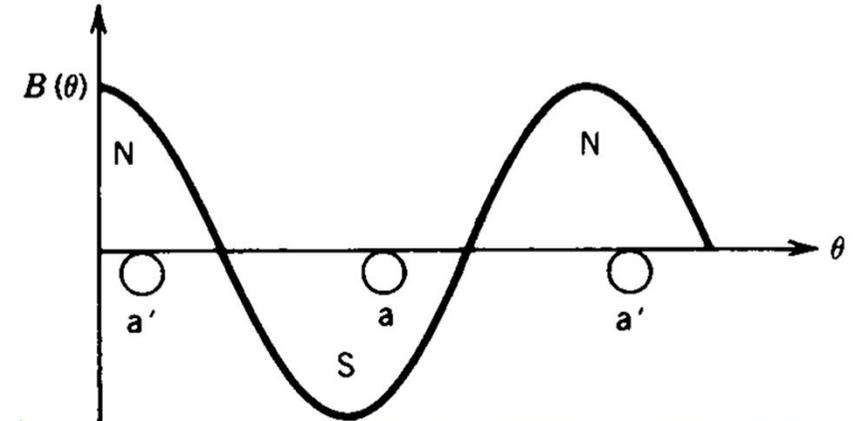
$$\Phi_p = \int_{-\pi/2}^{\pi/2} B(\theta) l r d\theta = 2B_{\max} l r$$

- Flux linkage

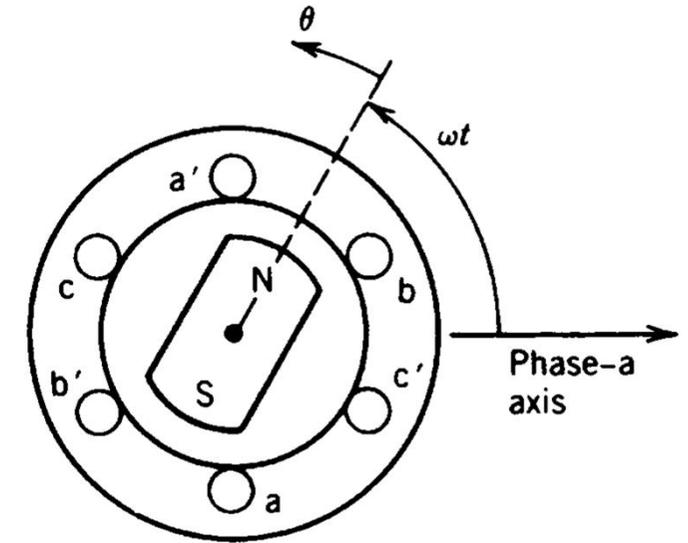
$$\lambda_a(\omega t) = N \Phi_p \cos \omega t$$

- Induced voltage

$$e_a = -\frac{d\lambda_a}{dt} = E_{\max} \sin \omega t$$



Derive this equation at home



# Induced voltages

$$e_a = E_{\max} \sin \omega t$$

$$e_b = E_{\max} \sin(\omega t - 120^\circ)$$

$$e_c = E_{\max} \sin(\omega t + 120^\circ)$$

$$E_{\max} = \omega N \Phi_p$$

$$E_{\text{rms}} = \frac{E_{\max}}{\sqrt{2}} = \frac{2\pi}{\sqrt{2}} f N \Phi_p$$

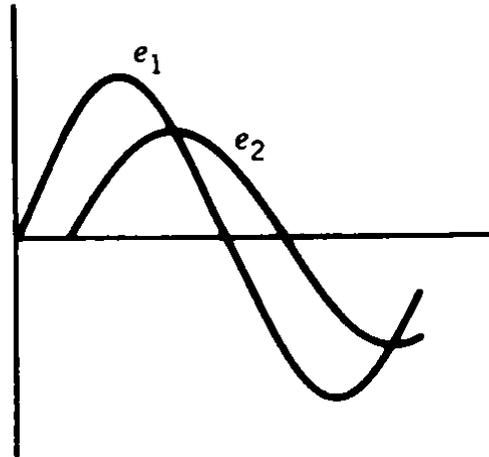
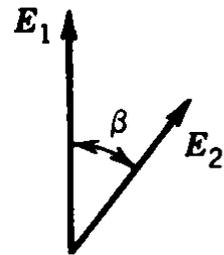
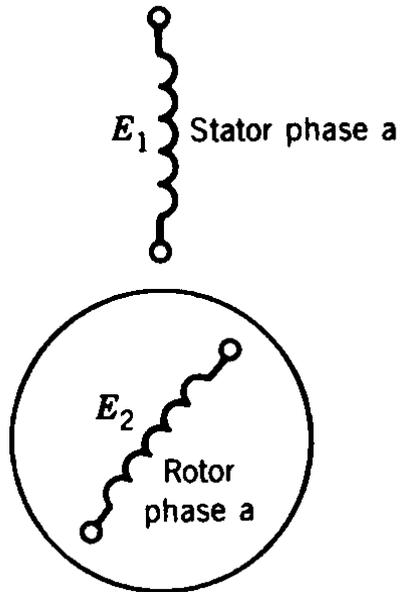
- Distributed winding with winding factor  $K_w$

$$E_{\text{rms}} = \frac{2\pi}{\sqrt{2}} f N_{\text{ph}} \Phi_p K_w$$

$$K_w \approx 0.85 \dots 0.95$$

# Standstill operation – phase shifter

- stationary wound rotor induction machine can be used as a **phase shifter**
  - Rotor open-circuited
  - Rotating field in the air gap – speed  $n_s$
  - Field induces voltages in stator and rotor windings – **same frequency**



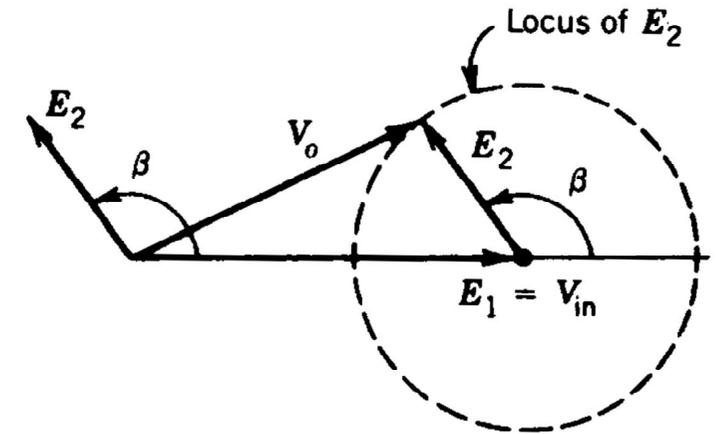
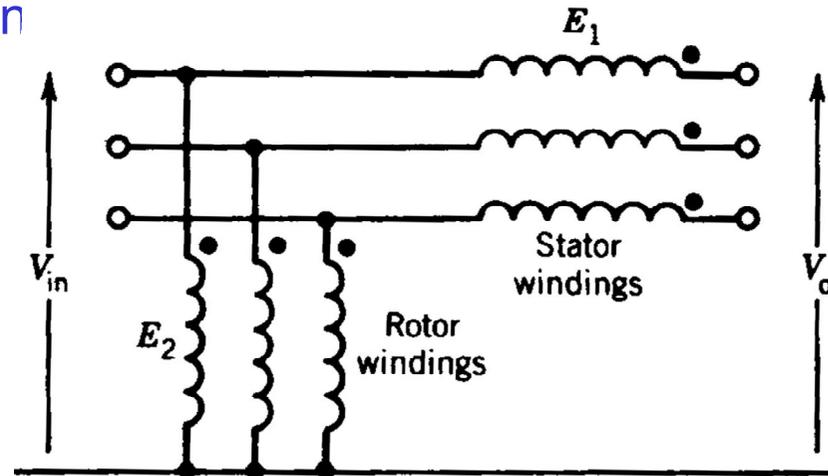
$$E_1 = \frac{2\pi}{\sqrt{2}} f_1 N_1 \Phi_p K_{W1}$$

$$E_2 = \frac{2\pi}{\sqrt{2}} f_1 N_2 \Phi_p K_{W2}$$

$$\frac{E_1}{E_2} = \frac{N_1}{N_2} \frac{K_{W1}}{K_{W2}} \approx \frac{N_1}{N_2}$$

# Standstill operation - induction regulator

- Can be used as a variable polyphase voltage source too
  - Continuous variation of voltage
  - No sliding connection



- + Continuous variation of the output voltage
- + No sliding electric connections
- High leakage inductances
- High magnetizing current
- High cost

$$E_1 = V_{in}$$

$$V_o = E_1 + E_2$$

# Running operation principles

- Rotor circuit is closed
- Induced voltages produce rotor currents
- Currents interact with air gap field and produce torque
- Rotor starts to rotate
- Relative speed decreases
- Induced voltage decreases
- Speed settles to steady state value according to torque balance

# Principal characteristics

- Slip

$$s = \frac{n_s - n}{n_s}$$

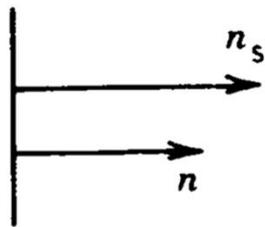
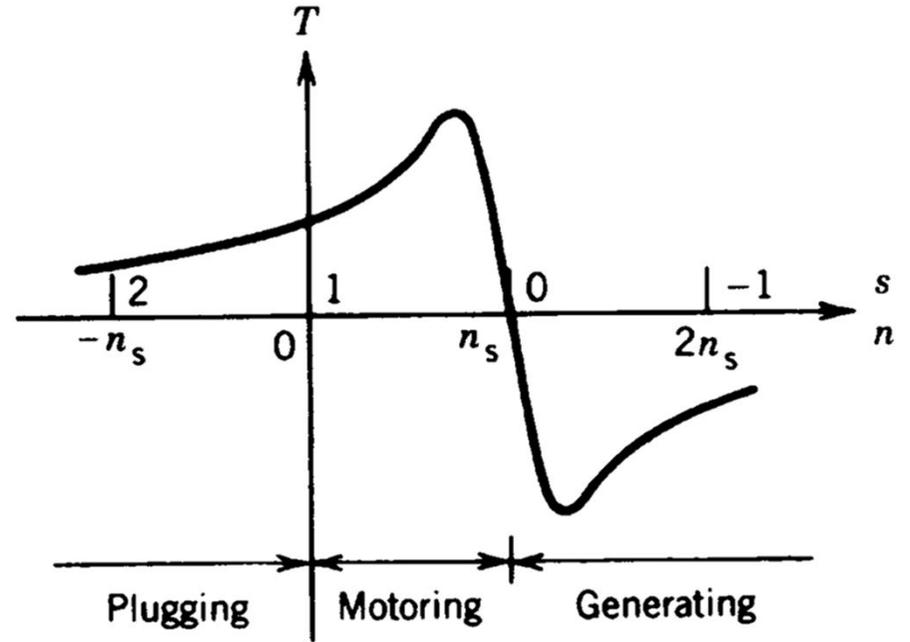
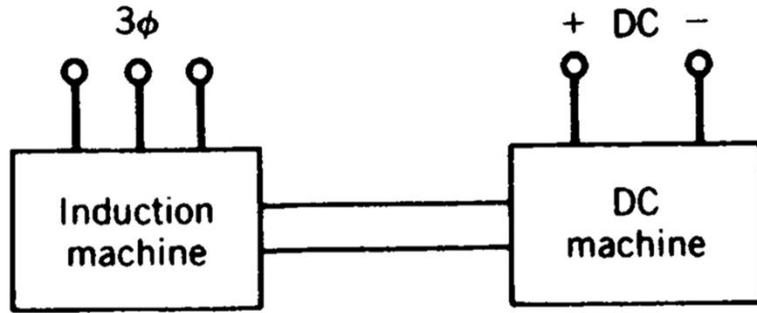
- Frequency of induced rotor currents  $f_2 = \frac{p}{120}(n_s - n) = sf_1$

- Induced rotor voltage  $E_{2s} = \frac{2\pi}{\sqrt{2}} f_2 N_2 \Phi_p K_{W2} = sE_2$

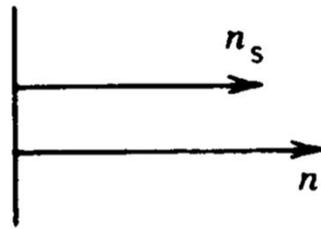
- Speed of induced rotor field with respect to the rotor is  $n_2 = \frac{120 f_2}{p} = sn_s$

- Speed of induced rotor field with respect to the stator is  $n + n_2 = n_s$

# Running operation - modes



(b) Motoring  
 $0 \leq n \leq n_s$   
 $1 \geq s \geq 0$



(c) Generating  
 $n > n_s$   
 $s < 0$

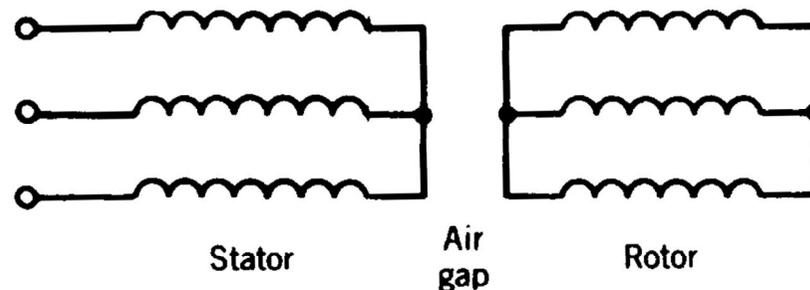


(d) Plugging  
 $n < 0$   
 $s > 1$

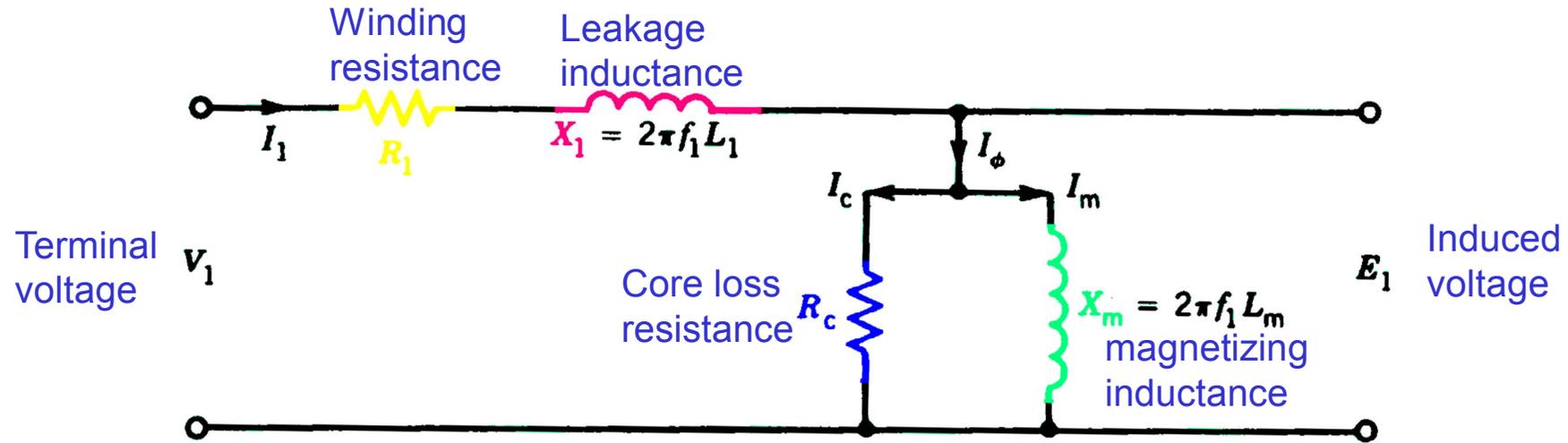
# Equivalent circuit model

Assume three-phase wound-rotor induction machine

- Cage winding can be represented by an **equivalent three-phase winding**
- At steady-state the magnetic fields rotate at **synchronous speed**
- Resultant air gap field induce **voltages in stator and rotor windings**
  - Supply frequency  $f_1$  in stator
  - slip frequency  $f_2$  in rotor
- Equivalent circuit appears to be identical to that of a transformer



# Stator side per-phase quantities



- Equivalent circuit similar to transformer primary
- Magnetizing current 20 – 50 % of stator current (1 – 5 % in transformer)
- $X_1$  larger than in transformer due to air gap and distributed windings

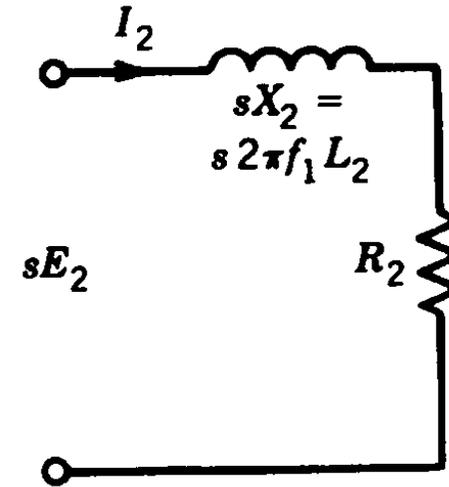
# Rotor winding per-phase quantities

- $E_2$  induced voltage at standstill ( $f_1$ )
- $R_2$  winding resistance
- $L_2$  leakage inductance
- $f_2 = sf_1$

$$I_2 = \frac{sE_2}{R_2 + jsX_2} \quad P_2 = I_2^2 R_2$$

- rotor current can be expressed as

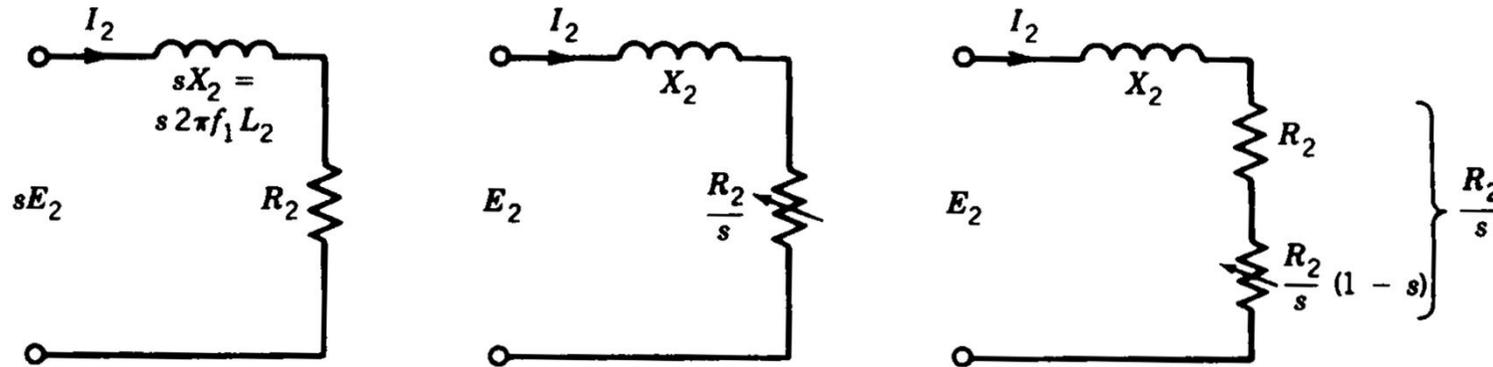
$$I_2 = \frac{E_2}{(R_2 / s) + jX_2}$$



# Matching the rotor an stator

$$I_2 = \frac{sE_2}{R_2 + jsX_2} \quad \longrightarrow \quad I_2 = \frac{E_2}{(R_2 / s) + jX_2}$$

- Although the amplitude and phase are the same **the frequency is different !**



- Power associated with the equivalent circuit (air-gap power)

$$P = I_2^2 \frac{R_2}{s} = \frac{P_2}{s}$$

# Complete equivalent circuit

- Same frequency in stator and in rotor
- Turns ratio has to be taken into account
- The stator referred quantities

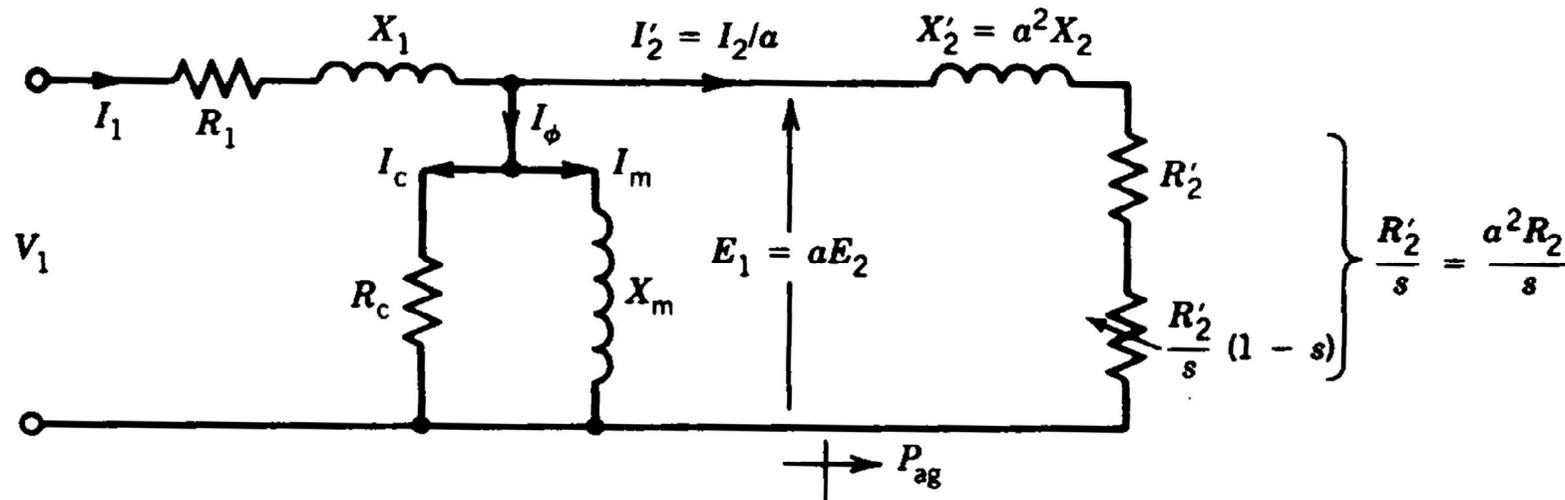
$$a = \frac{N_1}{N_2}$$

$$E'_2 = E_1 = aE_2$$

$$R'_2 = a^2 R_2$$

$$I'_2 = \frac{I_2}{a}$$

$$X'_2 = a^2 X_2$$



$$\left. \begin{matrix} R'_2 \\ \frac{R'_2}{s}(1-s) \end{matrix} \right\} \frac{R'_2}{s} = \frac{a^2 R_2}{s}$$

# Equivalent circuit model - consequences

- Air gap power crosses the air gap
  - Includes rotor copper loss  $P_2$  and mechanical power  $P_{\text{mech}}$
  - A fraction  $s$  is dissipated in rotor resistance  $P_2$
  - The fraction  $(1-s)$  is converted into mechanical power

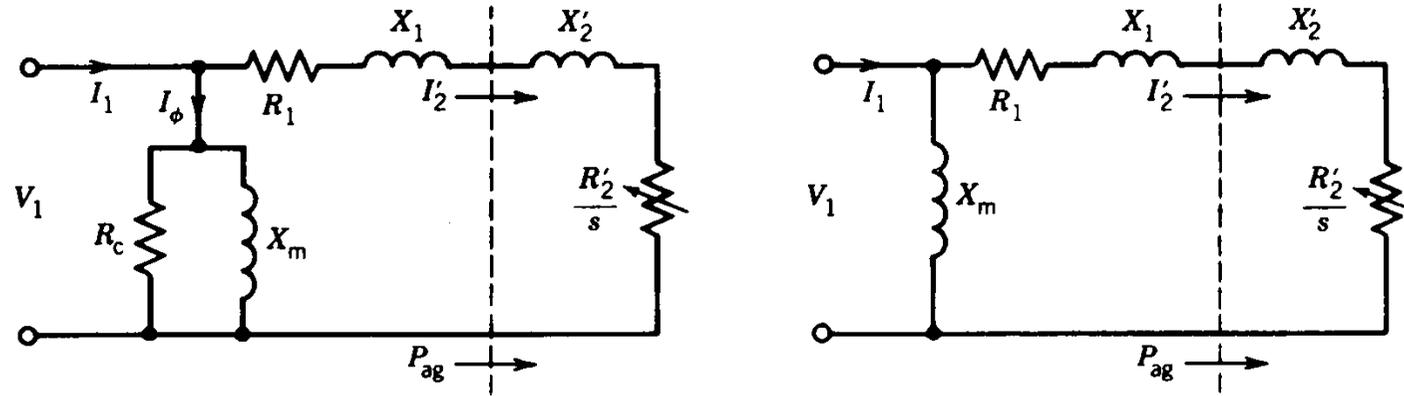
$$P = P_{\text{ag}} = I_2^2 \frac{R_2}{s} = I_2^2 \left[ R_2 + \frac{R_2}{s} (1-s) \right] = P_2 + P_{\text{mech}}$$

$$P_2 = I_2^2 R_2 = s P_{\text{ag}} \qquad P_{\text{mech}} = I_2^2 \frac{R_2}{s} (1-s) = (1-s) P_{\text{ag}}$$

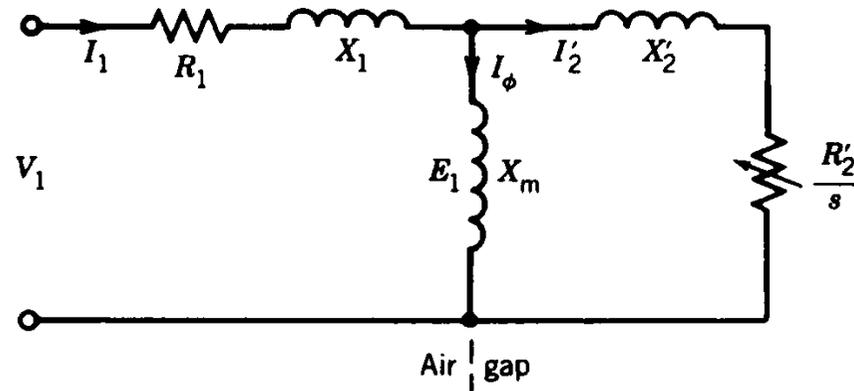
$$P_{\text{ag}} : P_2 : P_{\text{mech}} = 1 : s : 1-s$$

# Different equivalent circuits

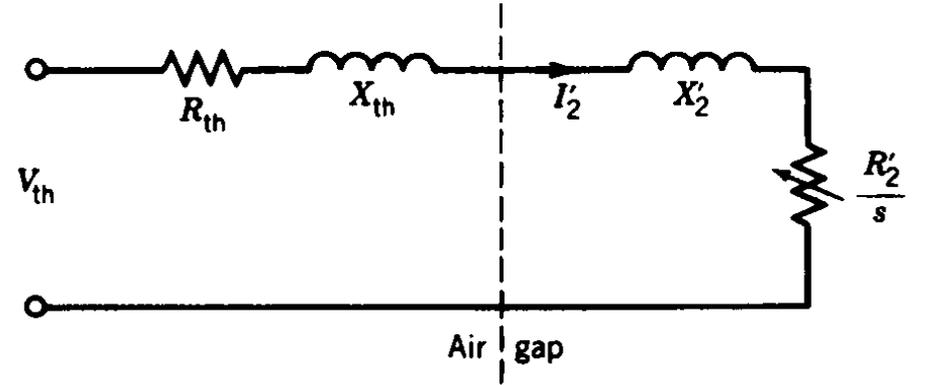
- approximate equivalent circuit



- IEEE-recommended equivalent circuit



# Thevenin equivalent circuit



due to small  $R_1$



$$V_{th} = \frac{X_m}{\left[ R_1^2 + (X_1 + X_m)^2 \right]^{1/2}} V_1 \approx \frac{X_m}{X_1 + X_m} V_1 = K_{th} V_1$$

$$Z_{th} = \frac{jX_m (R_1 + jX_1)}{R_1 + j(X_1 + X_m)} = R_{th} + jX_{th}$$

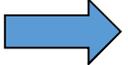
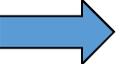
$$R_{th} \approx \left( \frac{X_m}{X_1 + X_m} \right)^2 R_1 = K_{th}^2 R_1$$

due to large  $X_m$



$$X_{th} \approx X_1$$

# Equivalent circuit parameters

- No-load test at **nominal voltage and frequency**
- Blocked rotor test at **nominal current**, reduced voltage and frequency
- DC-resistance measurement
  
- No-load power  core losses + windage and friction losses
- Blocked rotor  reactances
  
- Equivalent circuit used to **predict performances** characteristics:
  - Efficiency, power factor, current, starting torque, maximum (pull-out) torque, etc...

# Torque

- Torque per phase  $T_{\text{mech}}$

$$P_{\text{mech}} = T_{\text{mech}} \omega_{\text{mech}} = I_2^2 \frac{R_2}{s} (1-s)$$

$$\omega_{\text{mech}} = (1-s)\omega_{\text{syn}} \quad \longrightarrow \quad T_{\text{mech}} \omega_{\text{syn}} = I_2^2 \frac{R_2}{s} = P_{\text{ag}}$$

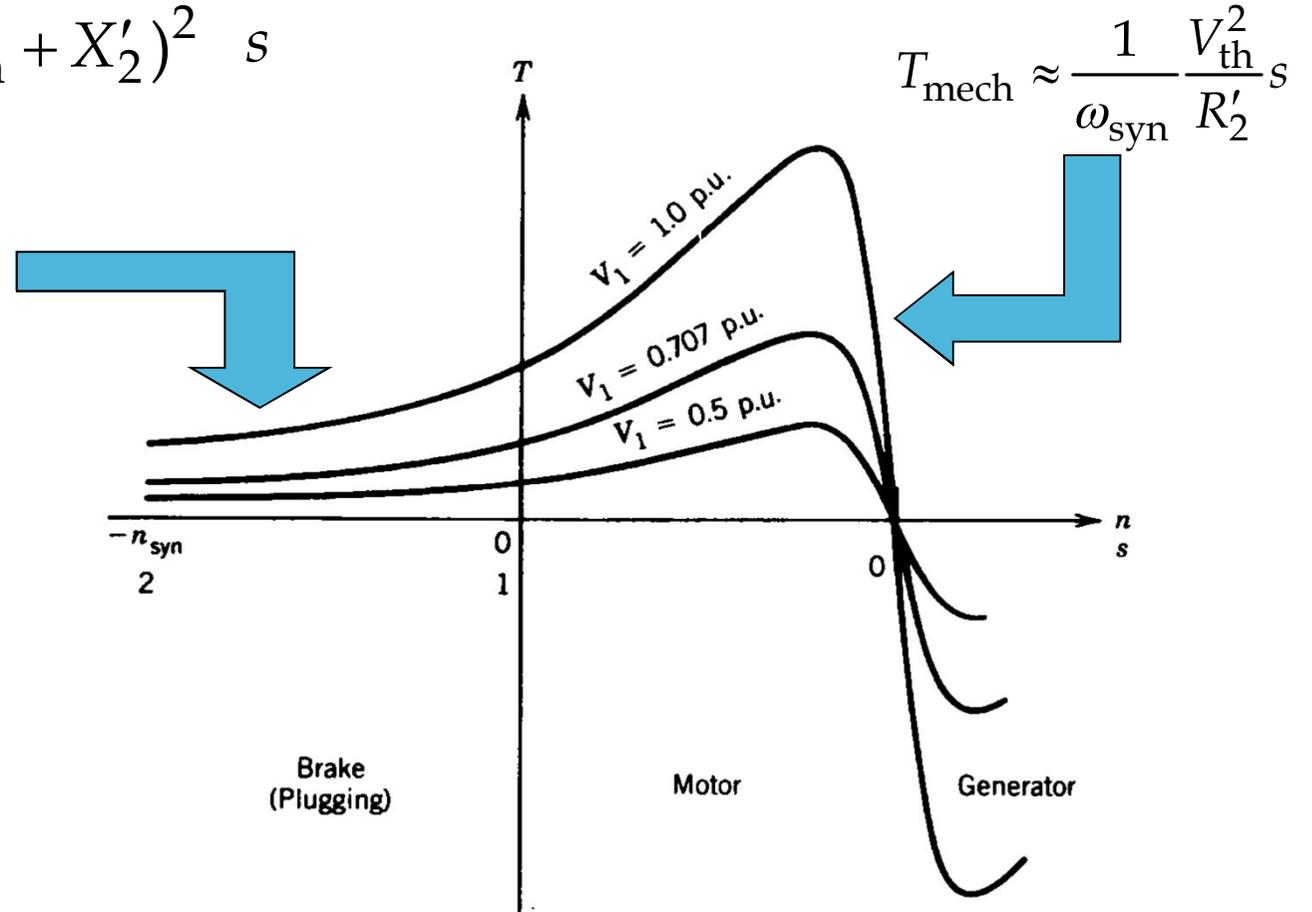
$$T_{\text{mech}} = \frac{1}{\omega_{\text{syn}}} P_{\text{ag}} = \frac{1}{\omega_{\text{syn}}} I_2^2 \frac{R_2}{s} = \frac{1}{\omega_{\text{syn}}} I_2'^2 \frac{R_2'}{s}$$

- 5 % difference in torque prediction depending on the kind of equivalent circuit

# Torque profile

$$T_{\text{mech}} = \frac{1}{\omega_{\text{syn}}} \frac{V_{\text{th}}^2}{(R_{\text{th}} + R'_2 / s)^2 + (X_{\text{th}} + X'_2)^2} \frac{R'_2}{s}$$

$$T_{\text{mech}} \approx \frac{1}{\omega_{\text{syn}}} \frac{V_{\text{th}}^2}{(X_{\text{th}} + X'_2)^2} \frac{R'_2}{s}$$



$$T_{\text{mech}} \approx \frac{1}{\omega_{\text{syn}}} \frac{V_{\text{th}}^2}{R'_2} s$$

- Total torque obtained by multiplying per phase torque by number of phases

# Maximum torque

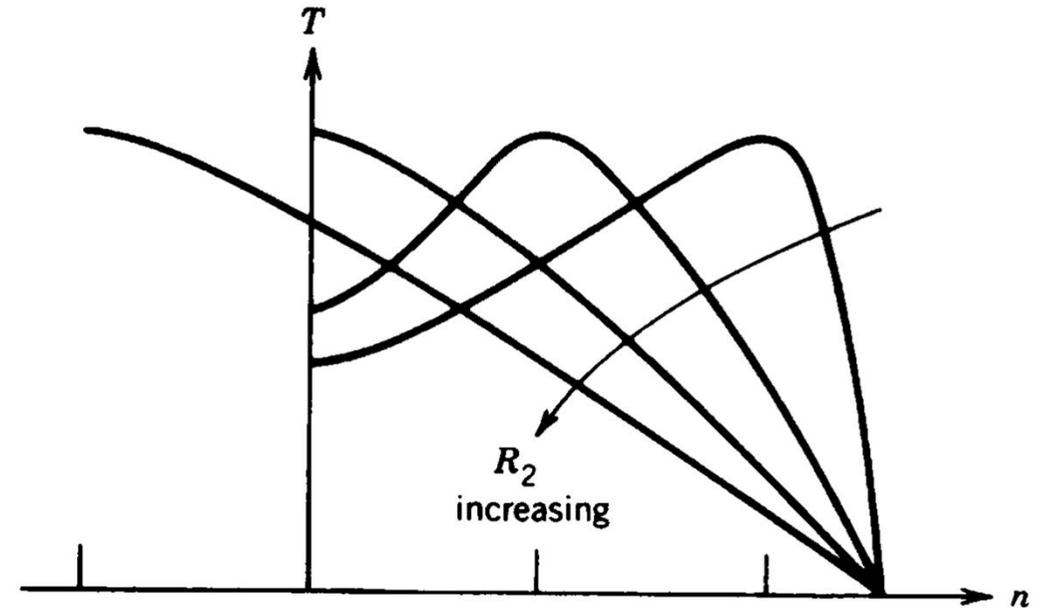
- Maximum torque corresponds to:

$$\frac{dT}{ds} = 0$$

$$T_{\max} \approx \frac{1}{2\omega_{\text{syn}}} \frac{V_{\text{th}}^2}{X_{\text{th}} + X'_2}$$

$$s_{T_{\max}} = \frac{R'_2}{\left[ R_{\text{th}}^2 + (X_{\text{th}} + X'_2)^2 \right]^{1/2}}$$

$$\approx \frac{R'_2}{X_{\text{th}} + X'_2}$$



- Maximum torque **independent** from rotor resistance
- Corresponding speed **depends** on rotor resistance

# Current and power factor

- Typical starting current 5 – 8 times the rated current

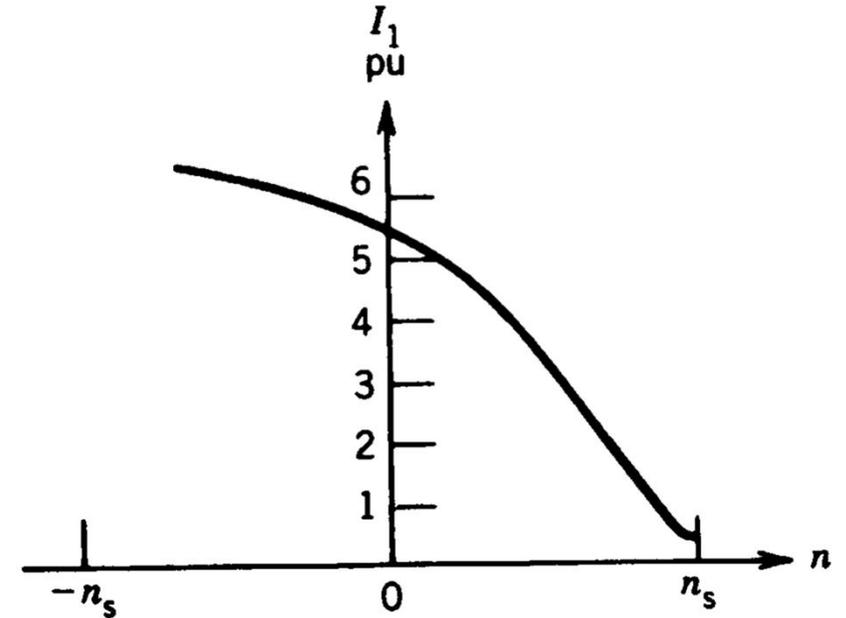
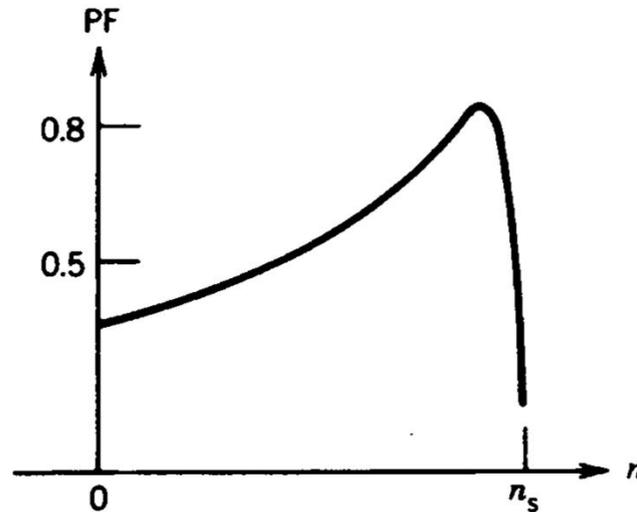
$$Z_1 = R_1 + jX_1 + X_m // \left( \frac{R'_2}{s} + jX'_2 \right)$$

$$= |Z_1| \angle \theta_1$$

$$I_1 = \frac{V_1}{Z_1} = I_\phi + I'_2$$

- Power factor

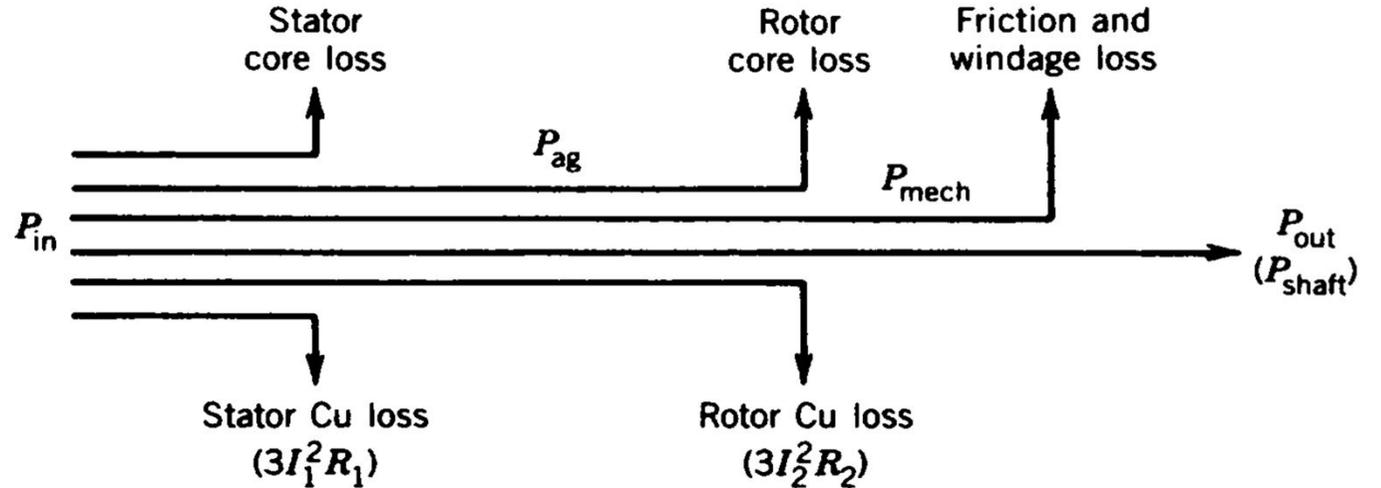
$$\text{PF} = \cos \theta_1$$



# Efficiency

$$P_{in} = 3V_1 I_1 \cos \theta_1$$

$$\text{Eff} = \frac{P_{out}}{P_{in}}$$



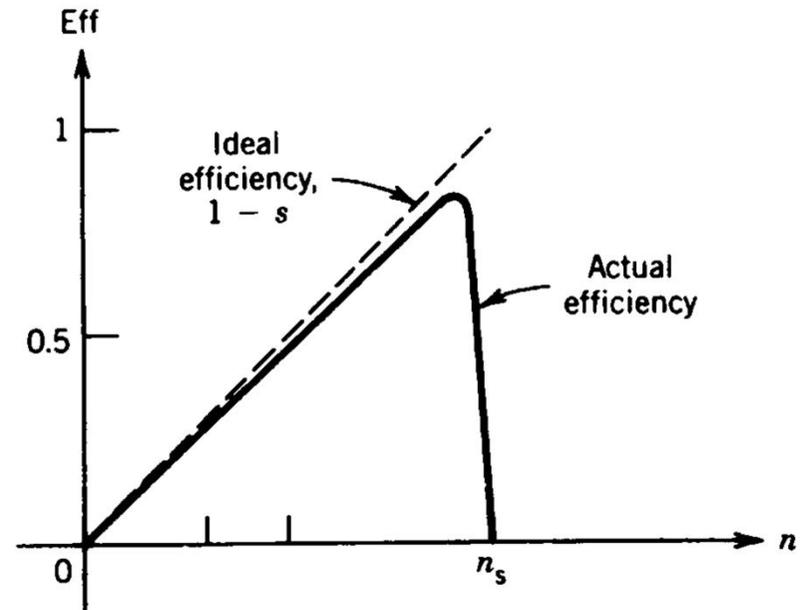
- Ideal efficiency = only rotor resistive losses

$$P_{in} = P_{ag}$$

$$P_2 = sP_{ag}$$

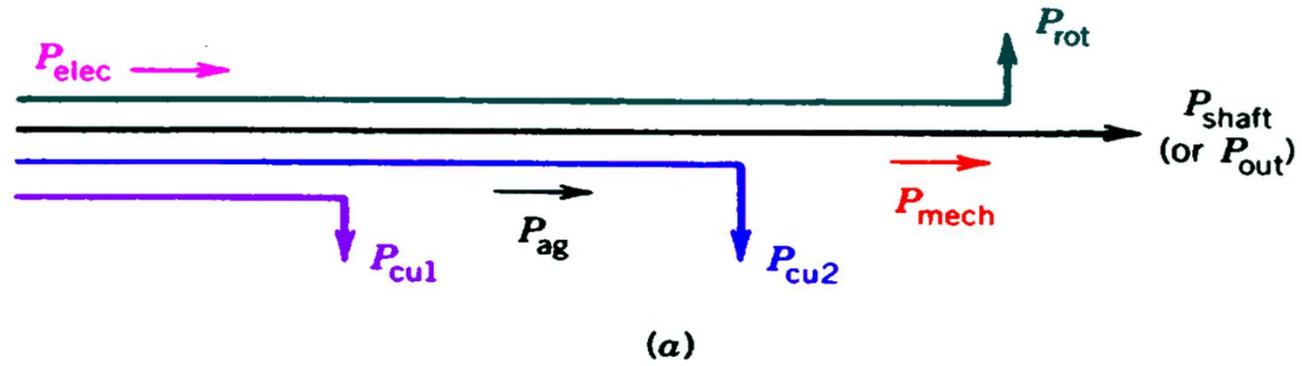
$$P_{out} = P_{mech} = P_{ag} (1 - s)$$

$$\text{Eff}_{(ideal)} = \frac{P_{out}}{P_{in}} = 1 - s$$

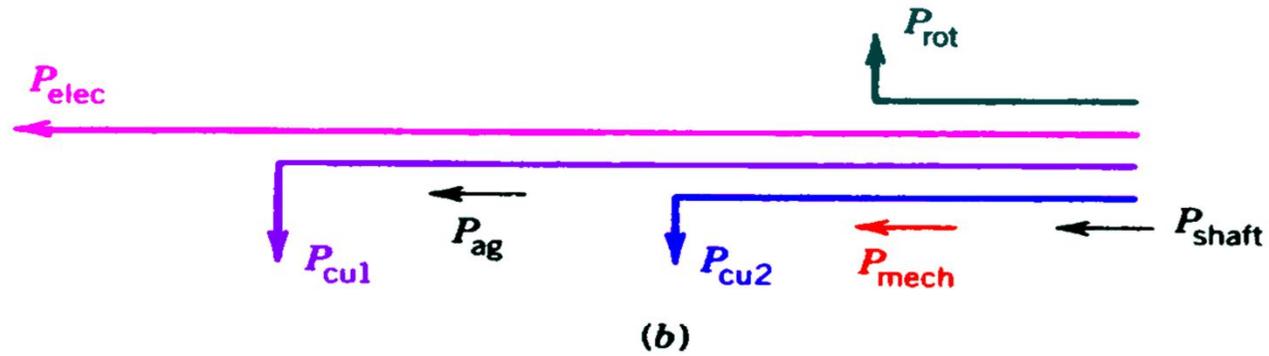


# Power flow

- Motoring

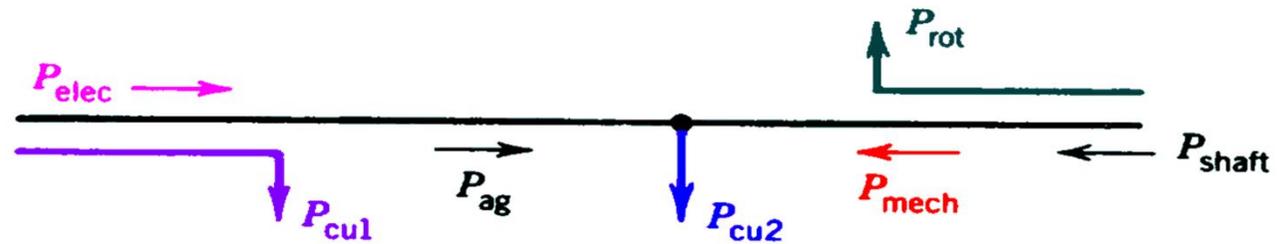


- Generating



- Plugging mode

rotor losses !



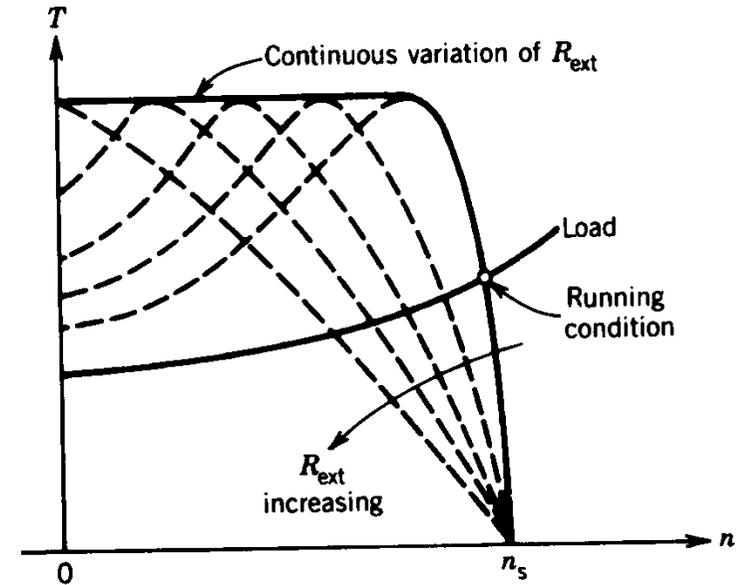
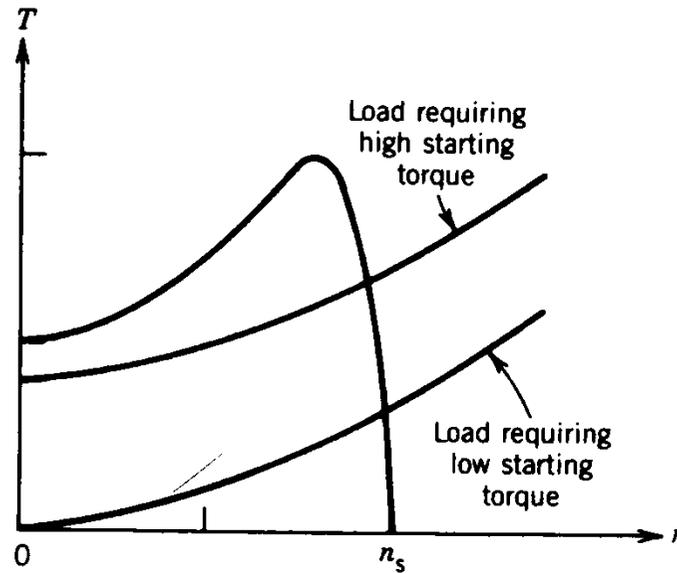
# Effects of rotor resistance

- Small rotor resistance

- + High efficiency
- + Small nominal slip
- Small starting torque
- Large starting current

- Large rotor resistance

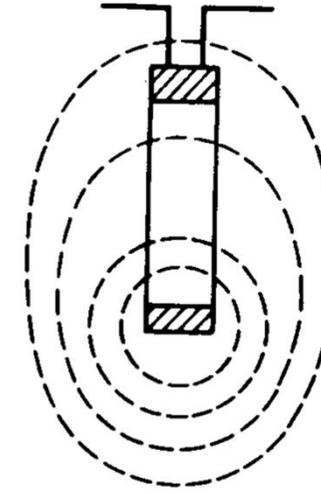
- Poor efficiency
- Large nominal slip
- + Large starting torque
- + Small starting current



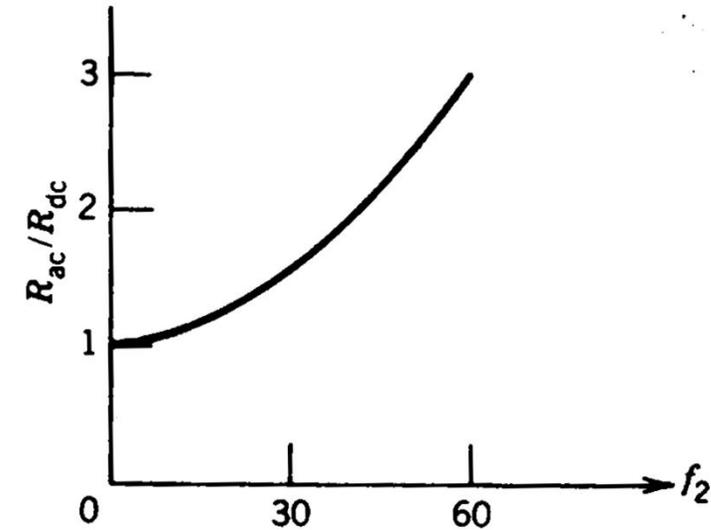
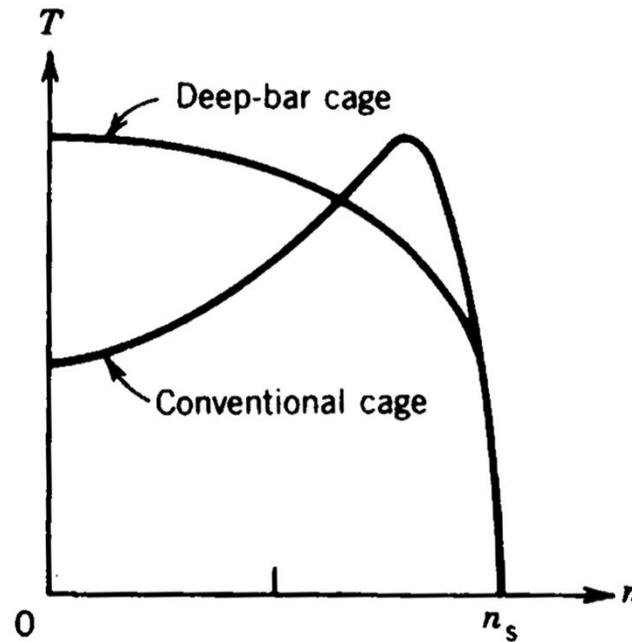
- In wound-rotor external resistance connected to rotor through the slip rings

# Deep-bar squirrel-cage

- Rotor frequency changes with speed

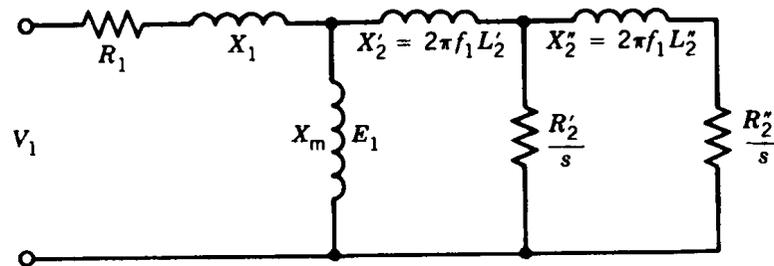
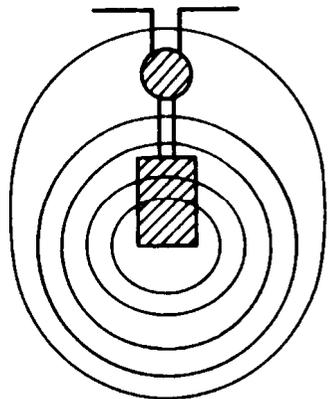


- Effective rotor resistance changes with frequency if adequate shape of rotor bars (skin effect)



# Double-cage rotor

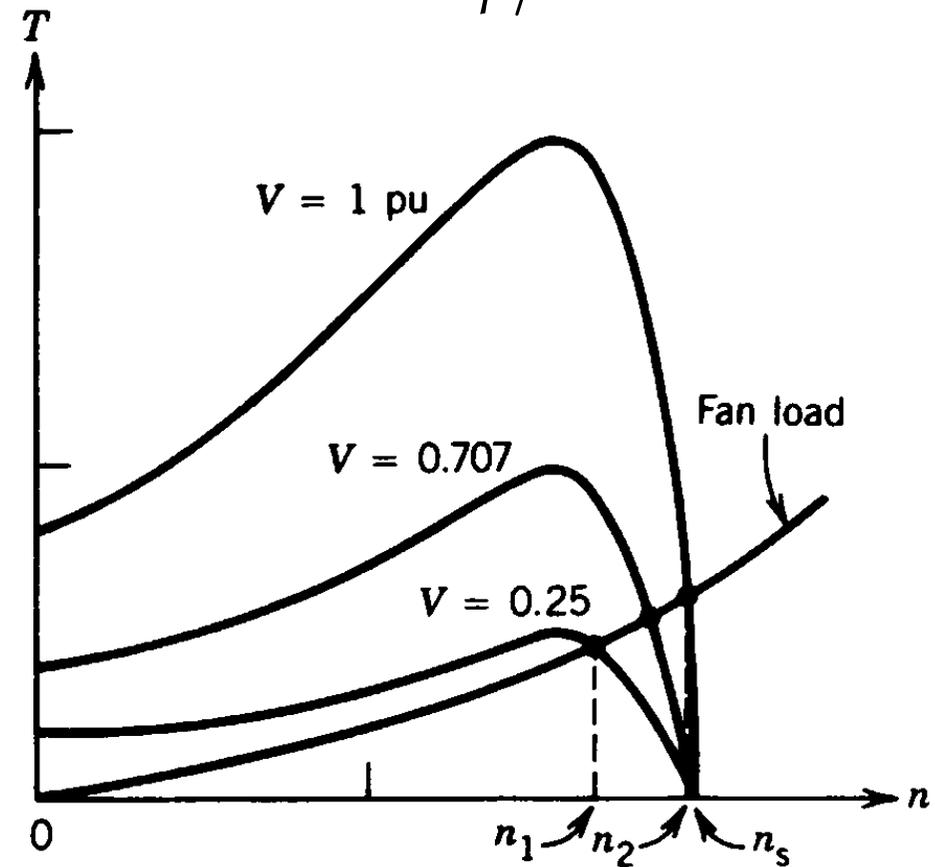
- Two rotor cages each with its own end ring
- Outer cage with small cross section and high resistivity material
- Inner cage with larger cross section and low resistivity material
- At standstill rotor current flows in outer cage → large resistance
- At small slip current flows in both cages → smaller resistance
- Equivalent circuit formed by additional branches in the rotor



# Speed control - basics

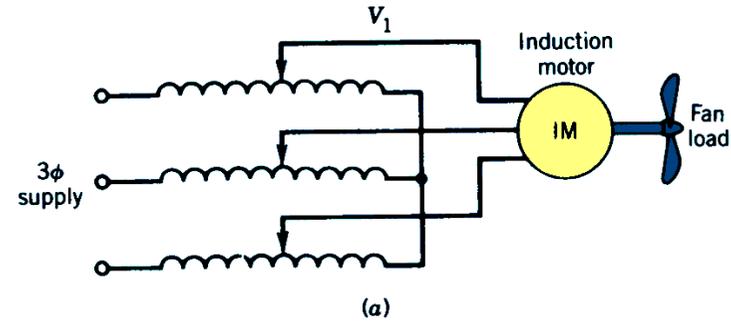
- Speed is determined by:
  - Supply frequency
  - Number of pole-pairs
  - Slip
- Pole changing
  - Discrete steps
  - Expensive
  - Normally ratio 2:1
  - Cage induction machine only
- line voltage control
  - Torque is proportional to  $V^2$
  - Increased slip  $\Rightarrow$  inefficient operation
  - Used with fans and pumps

$$n = \frac{f}{p/2}(1-s)$$

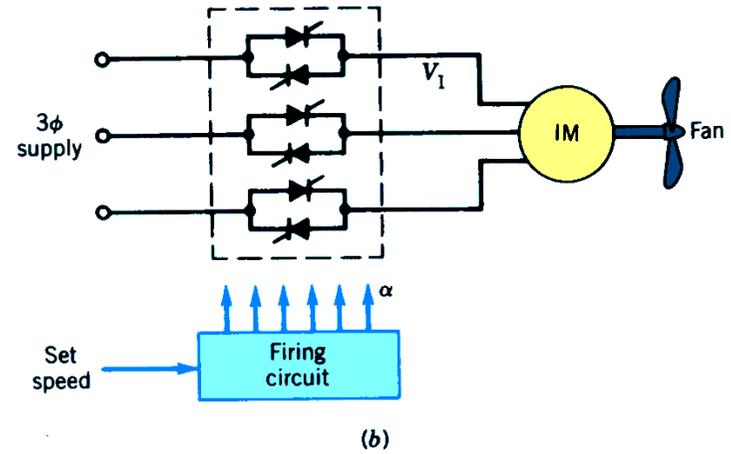


# Line voltage control

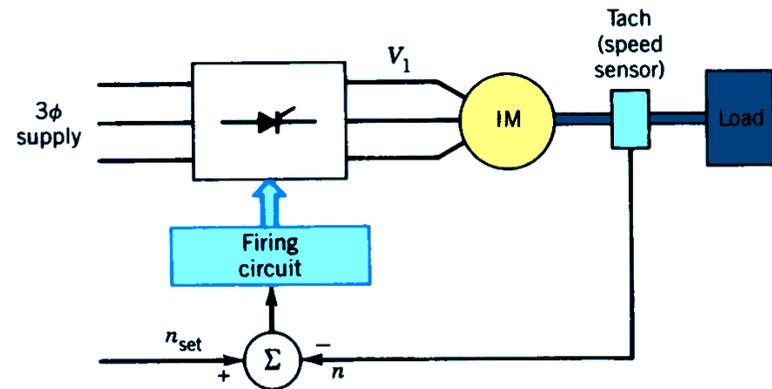
- Auto transformer



- Solid-state controller
  - Open loop operation

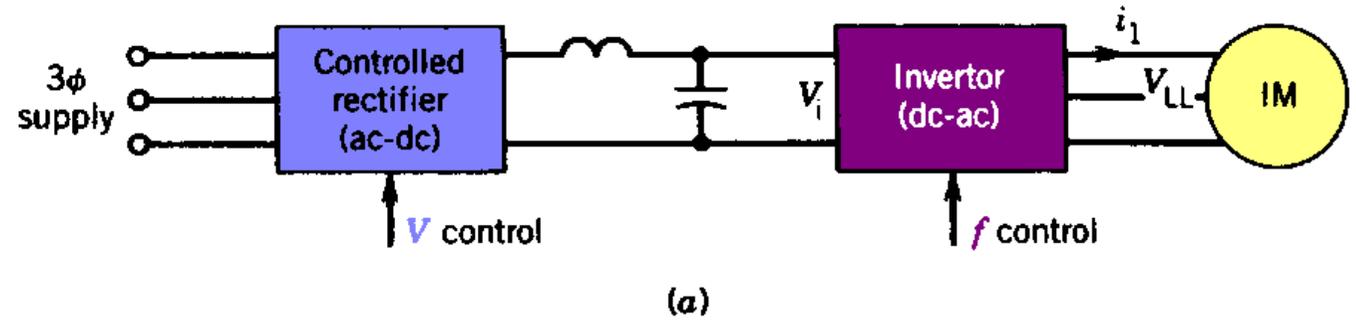


- Closed loop operation
  - Precise speed control
  - Requires expensive sensor

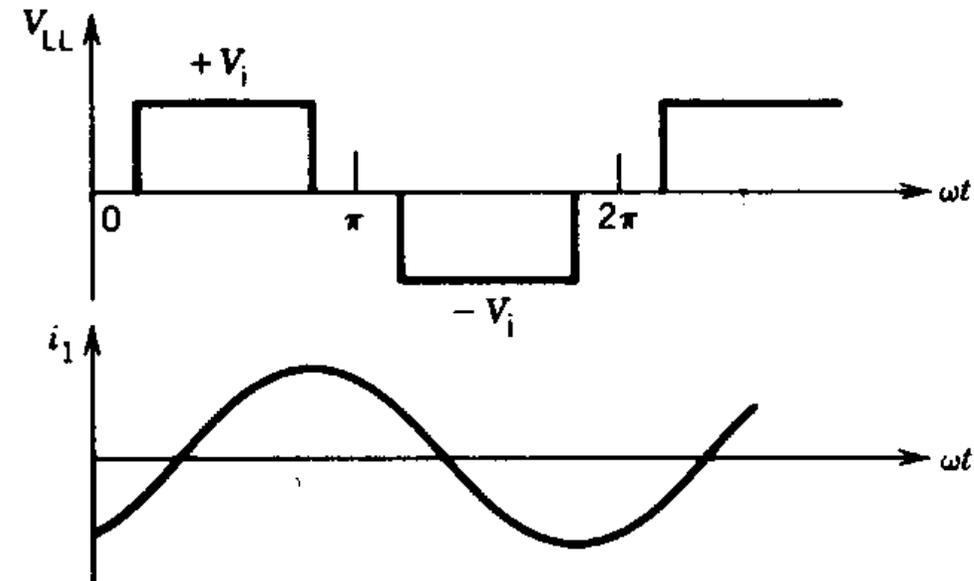


# Line frequency control

$$n = \frac{f}{p/2}$$



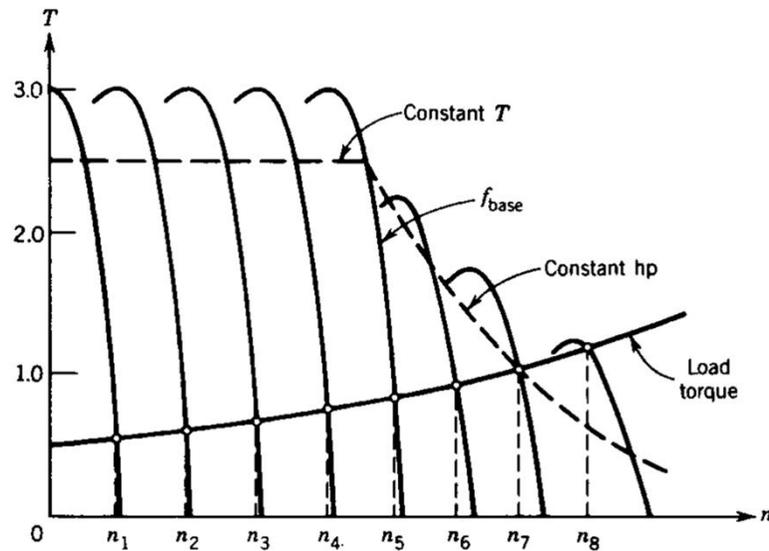
For non regenerative operation a diode bridge is used as rectifier and PWM inverter is used for frequency control



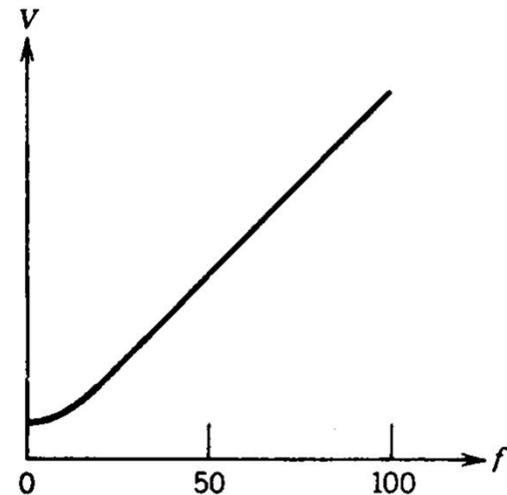
# Scalar control

$$\Phi_p \propto \frac{E}{f}$$

$$\Phi_p \propto \frac{V}{f}$$

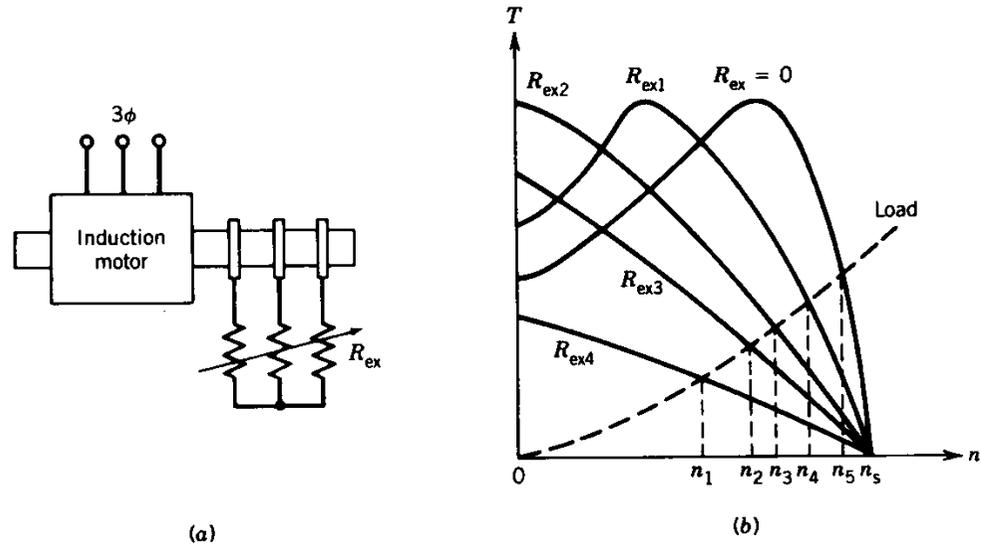


- Below nominal speed
  - Voltage-frequency ratio kept constant to avoid saturation
  - Constant flux and constant torque
- Above nominal speed
  - Voltage kept constant to avoid electric breakdown
  - Constant voltage and constant power

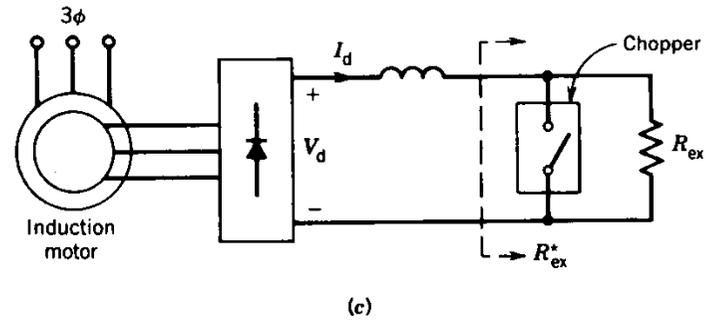


# Rotor resistance control

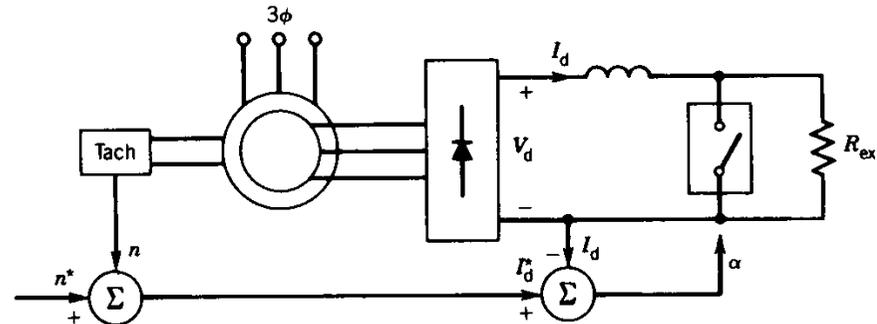
- External 3-phase resistance



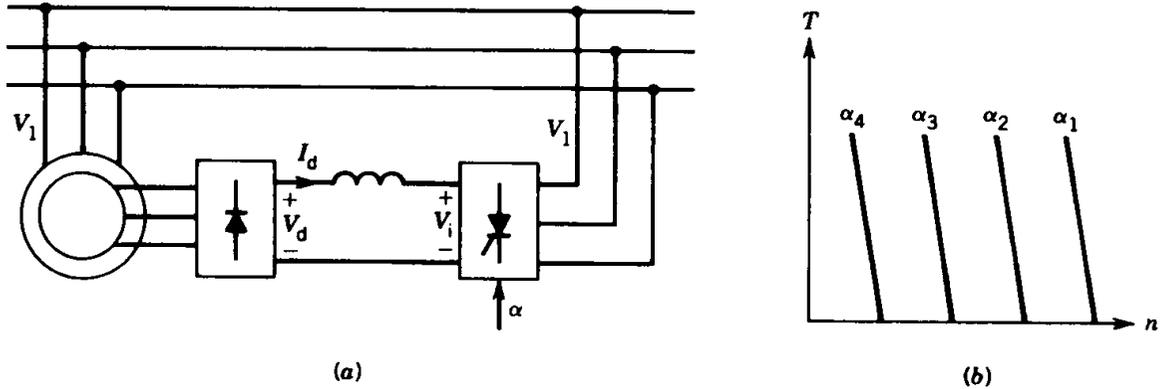
- DC-resistance and chopper
  - Open or closed loop



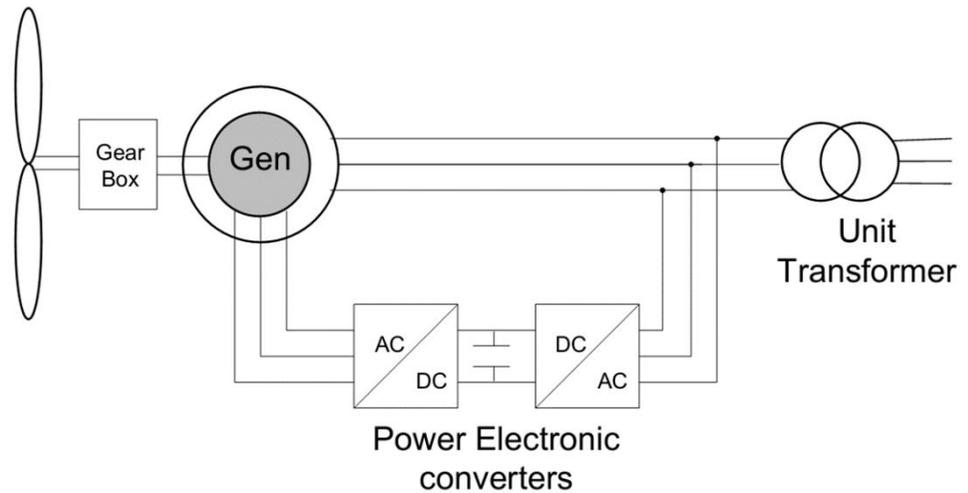
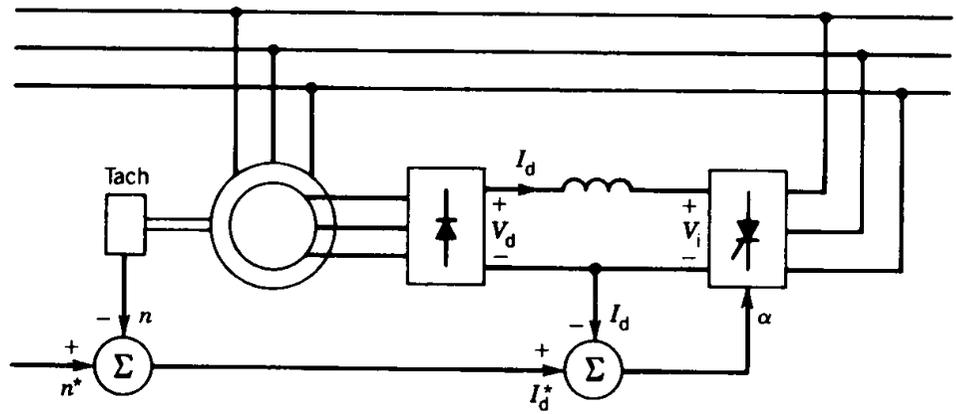
What about the efficiency ?



# rotor slip energy recovery



- Efficient use of rotor slip power
- Possibility to supply power from the rotor side as well



# Starting of induction motors

- Direct connection
  - Starting current 5...8 I<sub>N</sub>
  - Line voltage drop
  - Long starting time
  - Overheating
- Reduced-voltage starting
  - Step-down autotransformer
  - Star-delta method
  - Solid state voltage controller
    - Reduced torque

