

# Basic principle of thermonuclear fusion

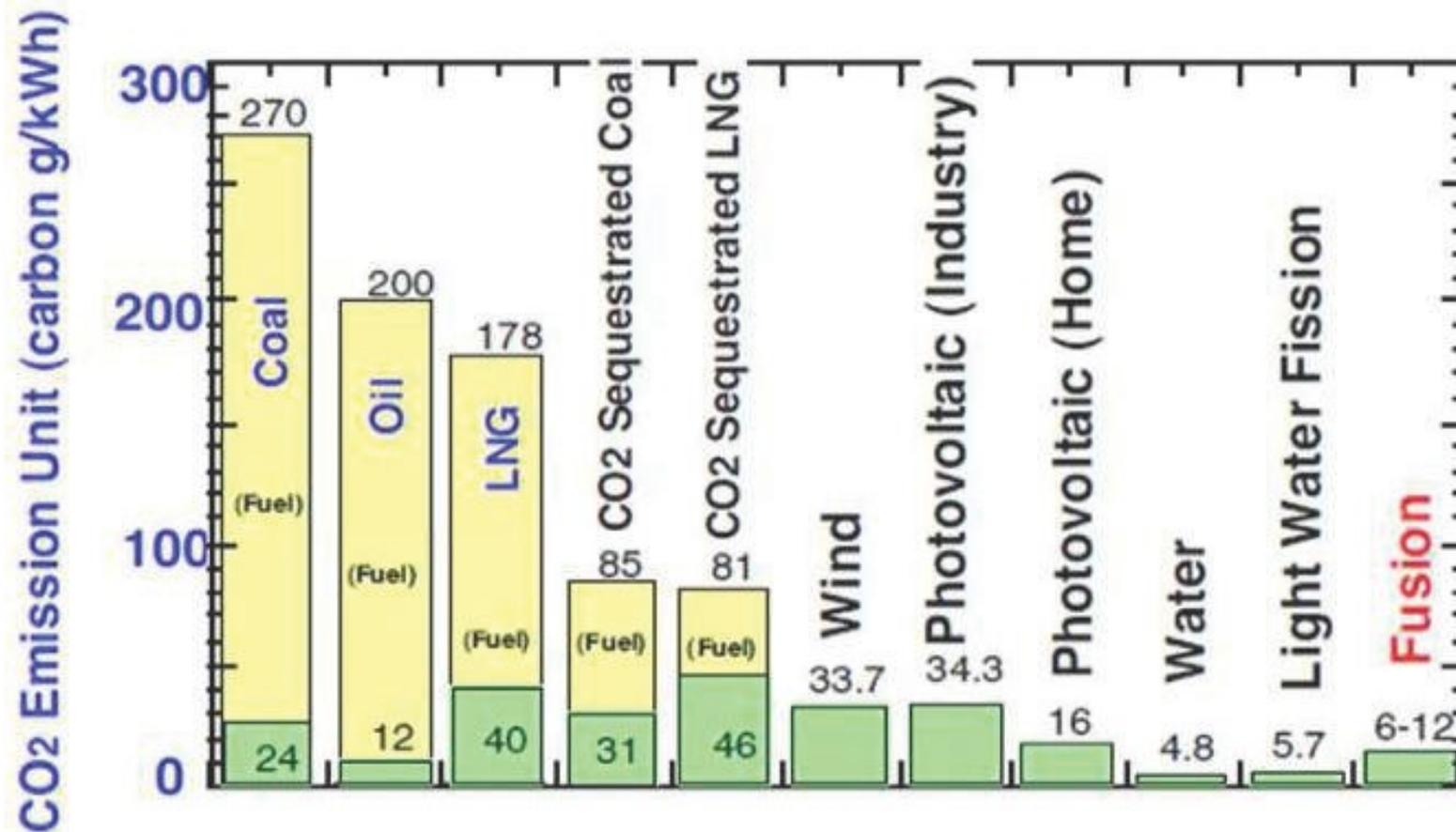
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# CO<sub>2</sub> emissions of fusion/fission (last lecture)

CO<sub>2</sub> exhaust of power reactors in their entire life cycle



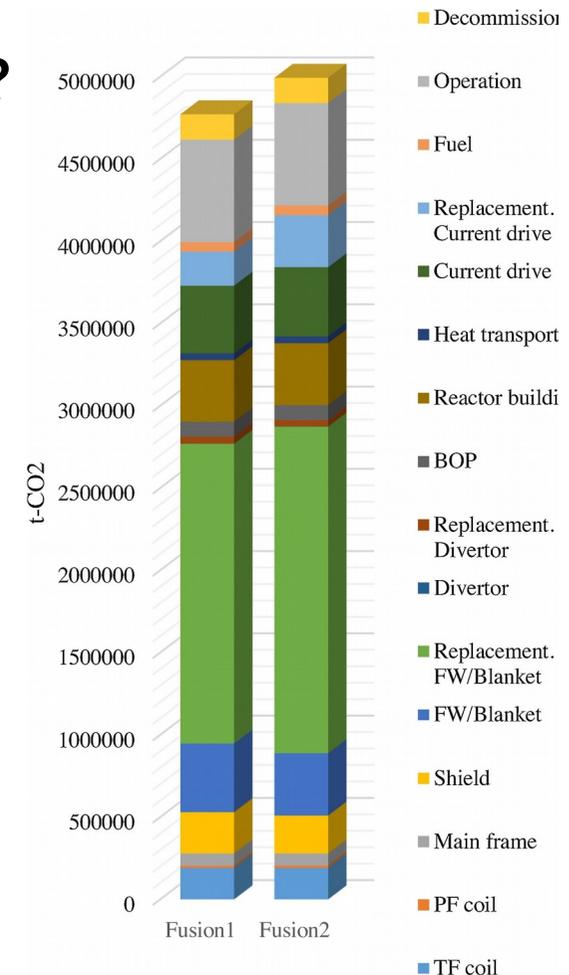
Kikuchi, Proc. 18<sup>th</sup> World Energy Conference 2001

# CO<sub>2</sub> emissions of fusion/fission (last lecture)

## Where do CO<sub>2</sub> emissions of fusion come from?

(fusion 6-12 vs e.g. fission 5.7 and coal 270 carbon g/kWh )

- **Most CO<sub>2</sub> emissions from fusion reactors are from materials (according to Tokimatsu et al NF2000)**
- **CO<sub>2</sub> emissions from (ITER-like) reactor construction account for almost 60-70% of the total, with the rest coming from reactor operation**
- **The emissions depend much on reactor type (size etc)**

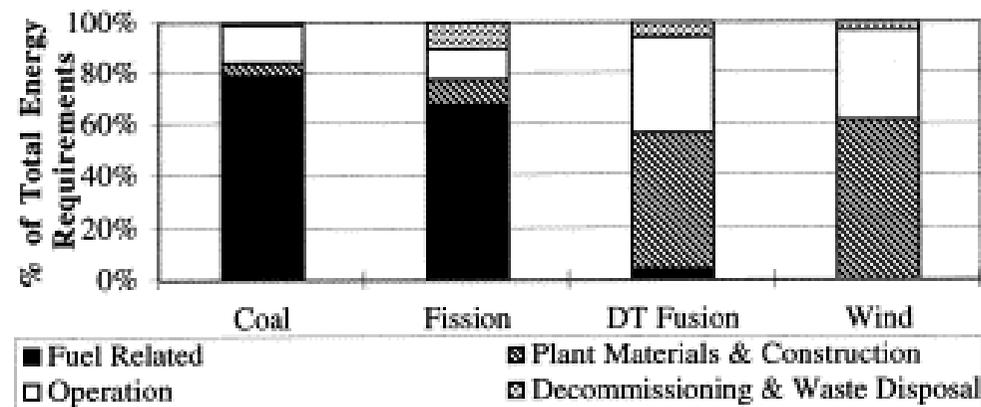


Life cycle total CO<sub>2</sub> emission for two different DEMO designs. Kobori et al Fusion Engineering and Design 2016

# CO<sub>2</sub> emissions of fusion/fission (last lecture)

## Fusion vs. fission

- In fission, the processes for mining and refining uranium and making reactor fuel all require large amounts of energy.
- Nuclear power plants also have large amounts of metal and concrete, which require large amounts of energy to manufacture.
- In fission, emissions are mostly fuel related while in fusion plants material related

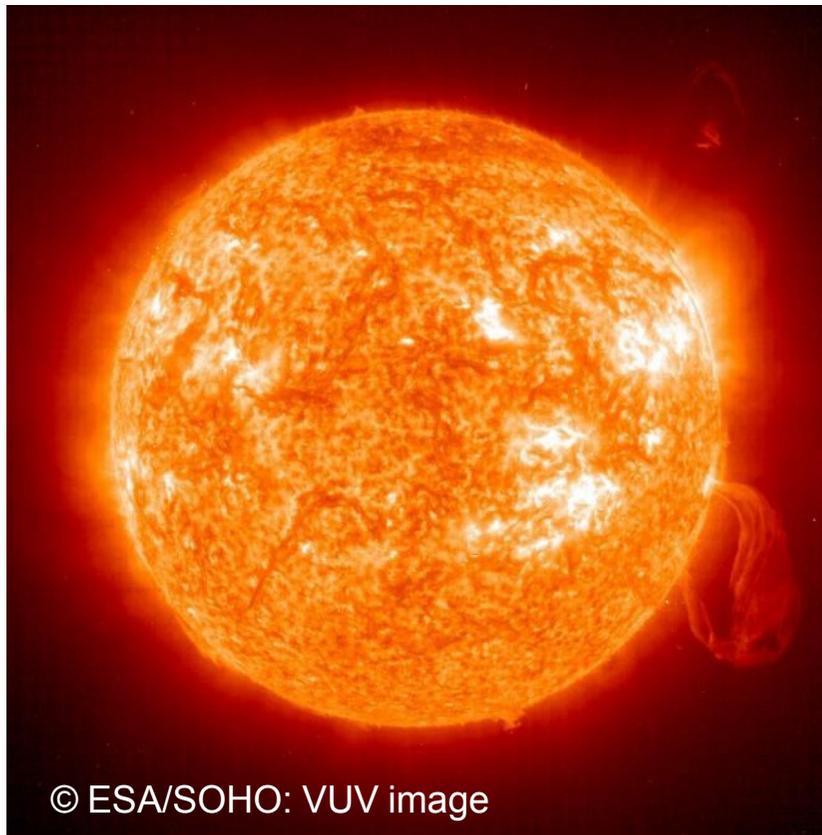
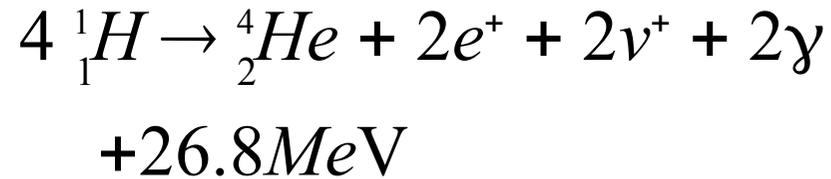


Proportional energy requirements for different energy sources. White et al Fusion Engineering and Design 2000

# Outline

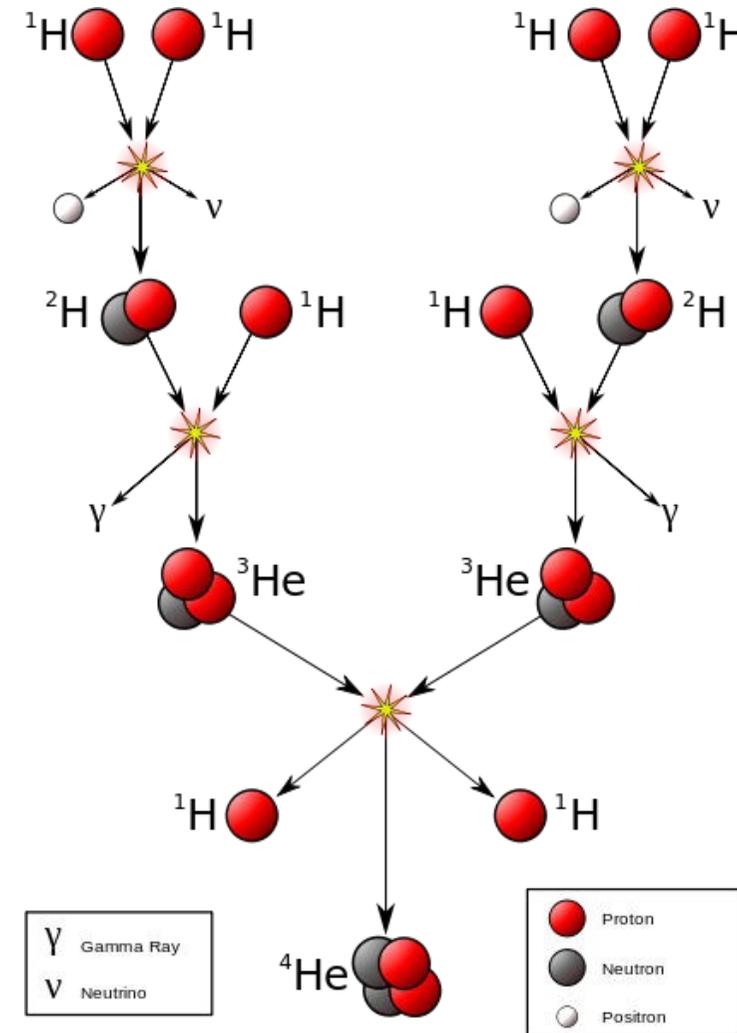
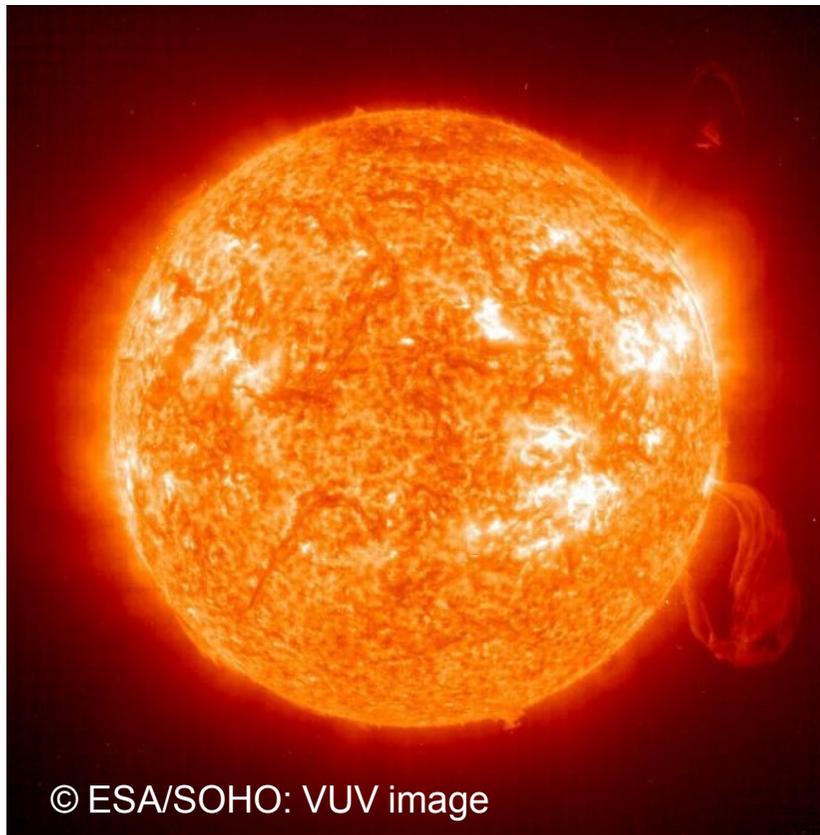
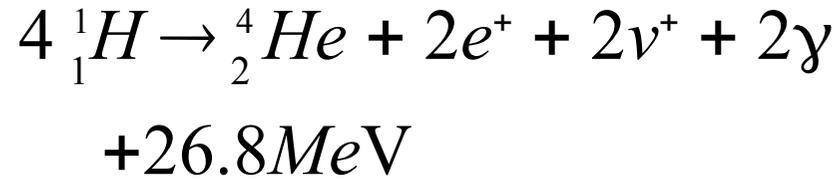
- **Concept of high-temperature plasmas and nuclear fusion**
- **Fusion requirements  $\Rightarrow$  Lawson criterion**
- **Constraints and limitation of burn conditions**
- **Fusion reactions and fuels**

# Fusion holds one of the biggest promises of an virtually unlimited energy source

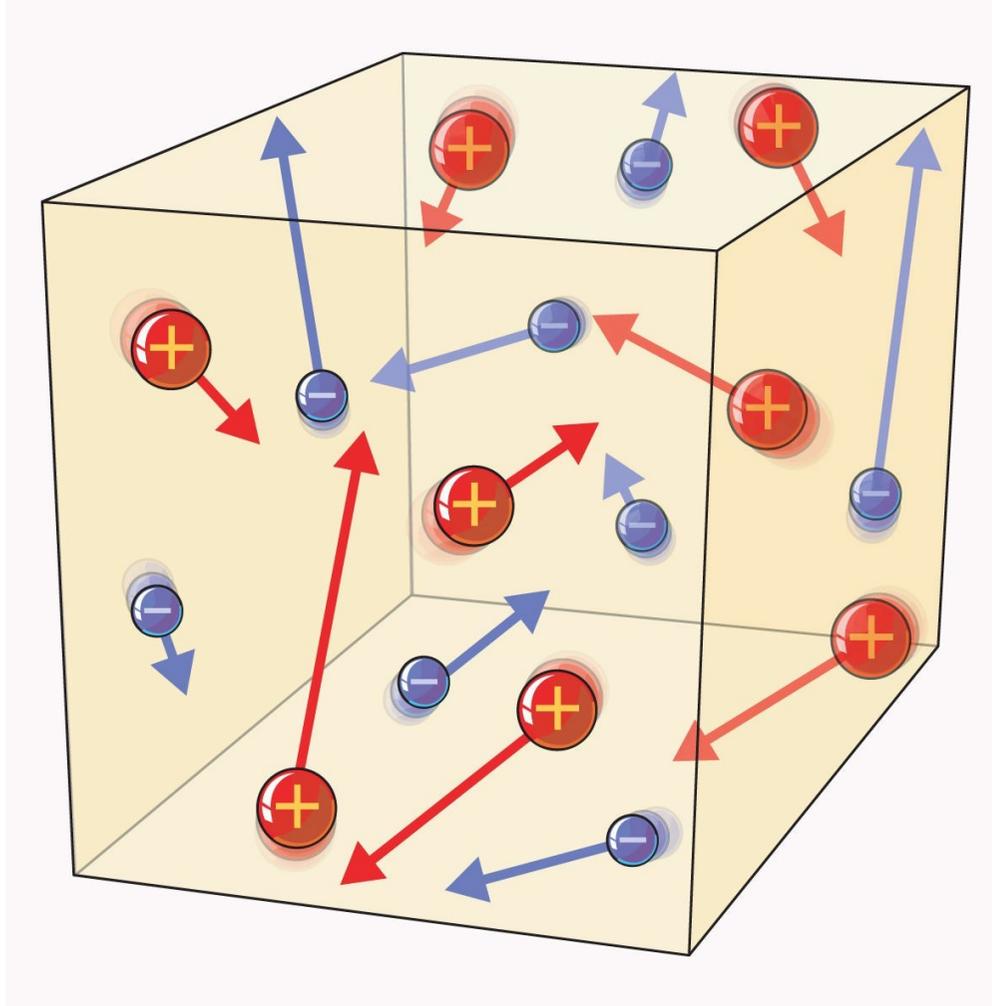


- **Core of the sun produces 380 yottawatts ( $3.8 \times 10^{26}$  W) via fusion**
- **Merging of hydrogen isotopes to helium**
  - $m \lesssim m_{\text{sun}}$ : proton-proton chain
  - $m > 1.3 m_{\text{sun}}$ : carbon-nitrogen-oxygen-chain (catalytic cycle)

# Fusion holds one of the biggest promises of an virtually unlimited energy source



# At fusion-relevant temperatures, a plasma exists of unbounded ions and electrons



- **Plasmas are (electrostatically) neutral**
- **Plasmas need to be confined to remain hot**
  - Gravity
  - Inertia
  - Magnetic fields

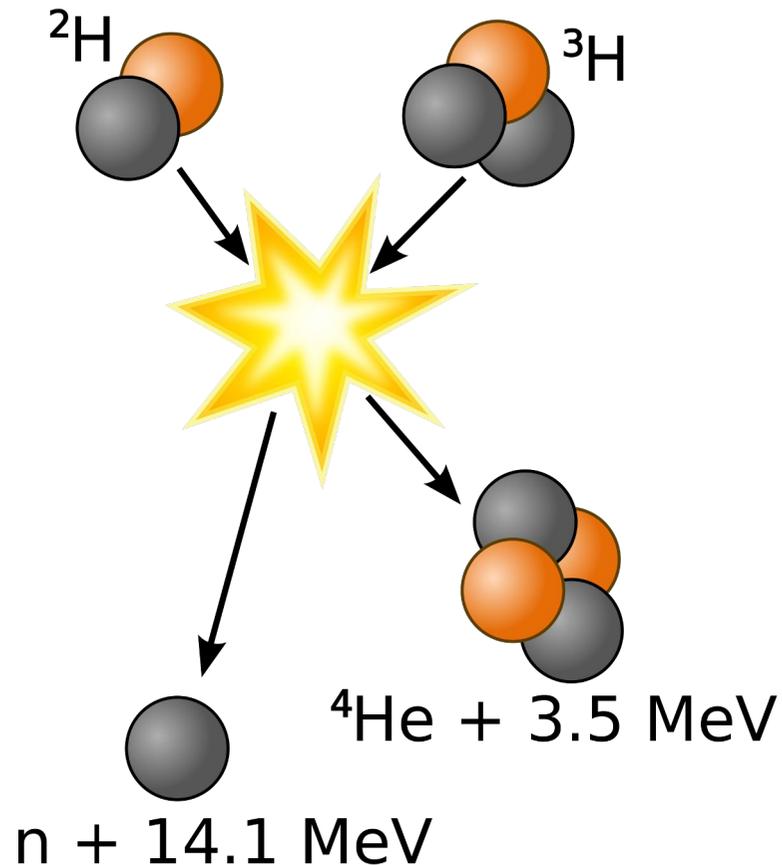
<http://www.efda.org>

# A wide range of reactants may be used besides hydrogen isotopes

D+T	${}^4\text{He}$ (3.5 MeV) + n (14.1 MeV)
D+D	50%: T (1.01 MeV) + p (3.02 MeV)
	50%: ${}^3\text{He}$ (0.82 MeV) + n (2.45 MeV)
D+ ${}^3\text{He}$	${}^4\text{He}$ (3.6 MeV) + p (14.7 MeV)
T+T	${}^4\text{He}$ + 2n + 11.3 MeV
${}^3\text{He}+{}^3\text{He}$	${}^4\text{He}$ + 2p
${}^3\text{He}+T$	51%: ${}^4\text{He}$ + p + n + 12.1 MeV
	43%: ${}^4\text{He}$ (4.8 MeV) + D (9.5 MeV)
	6%: ${}^4\text{He}$ (0.5 MeV) + n (1.9 MeV) + p (11.9 MeV)
D+ ${}^6\text{Li}$	${}^4\text{He}$ (1.7 MeV) + ${}^3\text{He}$ (2.3 MeV)
${}^3\text{He}+{}^6\text{Li}$	2 ${}^4\text{He}$ + p + 16.9 MeV
p+ ${}^{11}\text{B}$	3 ${}^4\text{He}$ (1.7 MeV) + 8.7 MeV

Ref

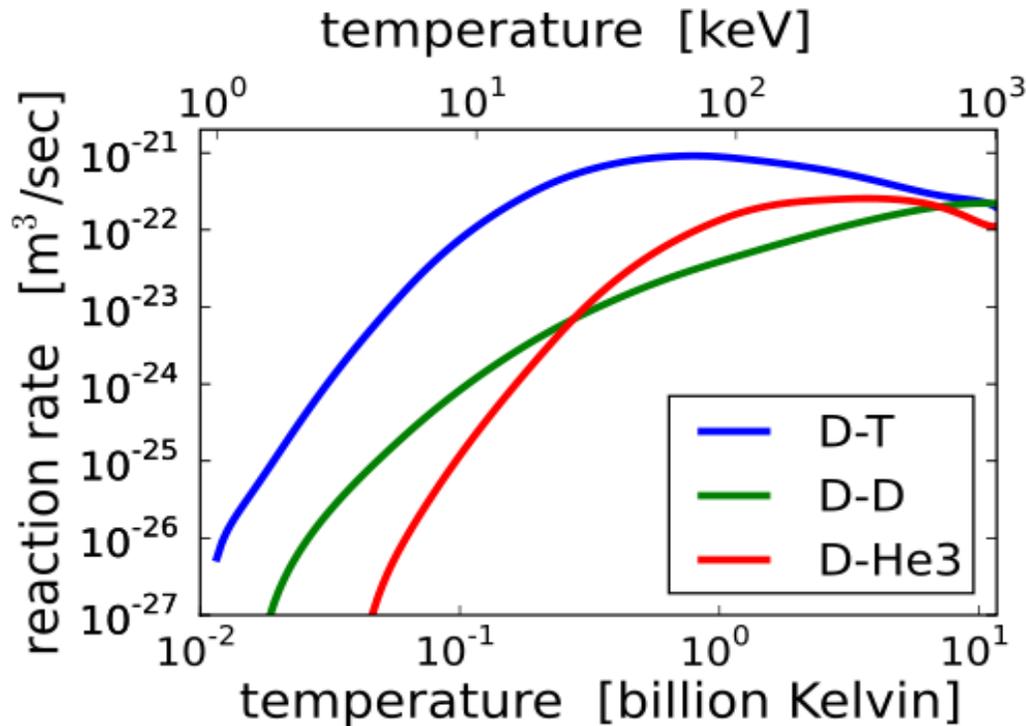
# Deuterium-tritium reaction is favored since it has the highest reaction rate at the lowest temperature



- $\Delta E_{\text{D-T} \rightarrow 4\text{He}} = 17.6 \text{ MeV}$
- Energy in neutrons (~80%) for energy production (e.g., heating of blanket, also tritium production)
- $^4\text{He}$  (fast  $\alpha$  particles) for internal, **self-sustained** heating of the fusion process

Deuterium-tritium reaction is favored since it has the highest reaction rate at the lowest temperature

## Reaction rates strongly depend on temperature

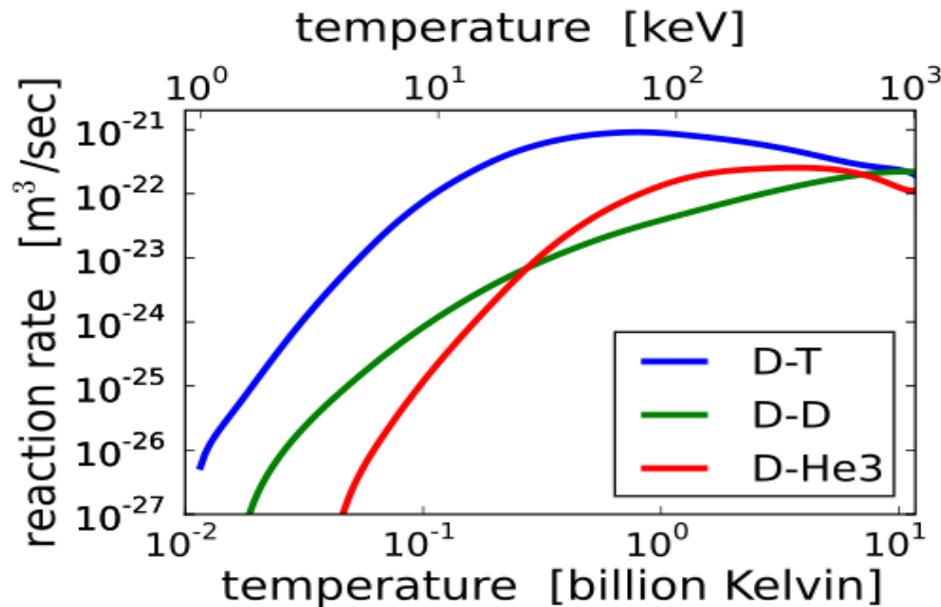


- Reactant nuclei have to overcome electrostatic repulsion ⇒ heating to increase thermal velocity

⇒ Reaction rates have a maximum

<http://en.wikipedia.org>

# Deuterium-tritium reaction has the highest reaction rate at the lowest temperature



- At (engineering feasible) 10 keV, D-T reaction three orders of magnitude higher than D-D

$$\langle \sigma v \rangle_{DT} \approx 3.68 \times 10^{-12} T^{-2/3} \exp(-19.94 T^{-1/3}) \text{ cm}^3 \text{ s}^{-1}$$

$$\langle \sigma v \rangle_{DD} \approx 2.33 \times 10^{-14} T^{-2/3} \exp(-18.76 T^{-1/3}) \text{ cm}^3 \text{ s}^{-1}$$

Here, temperature is in keV

<http://en.wikipedia.org>

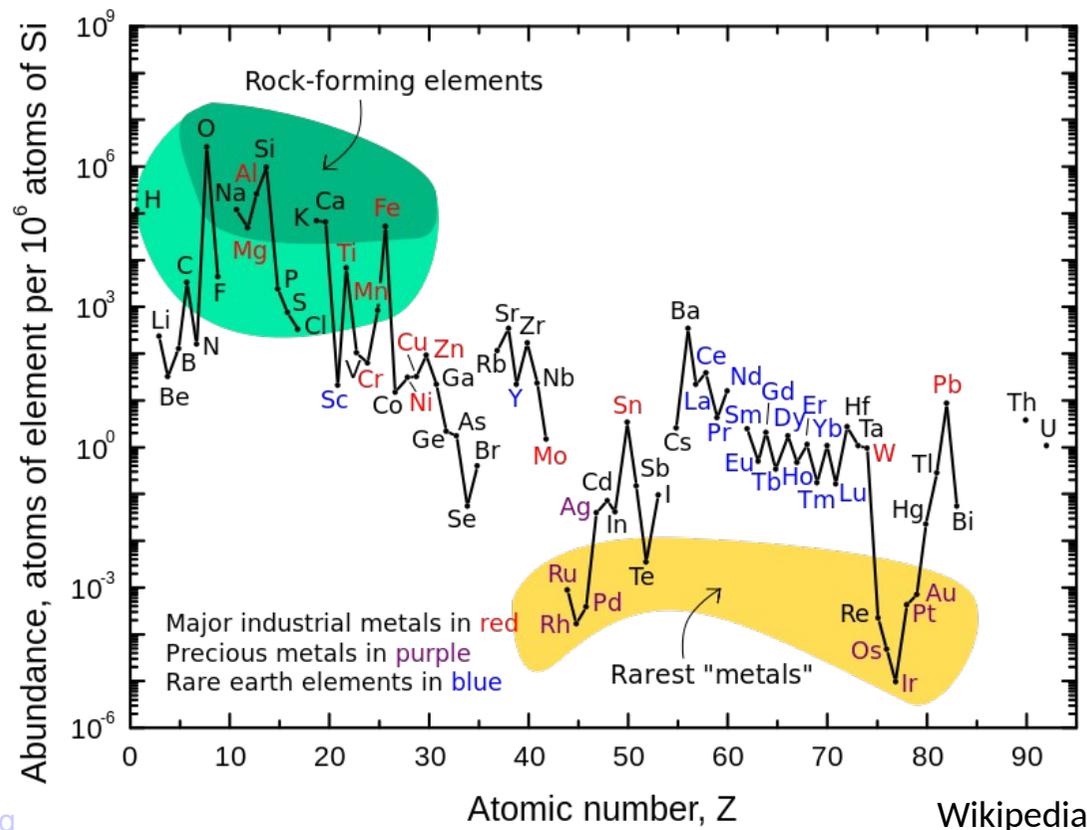
## Video: Where do you get fusion fuel?

- **Video about fusion fuel** (from 1.45s – 3.57s)
- **Where do you get Tritium?**
- **How much fuel do you need for average European family's demand for electrical energy for entire year?**

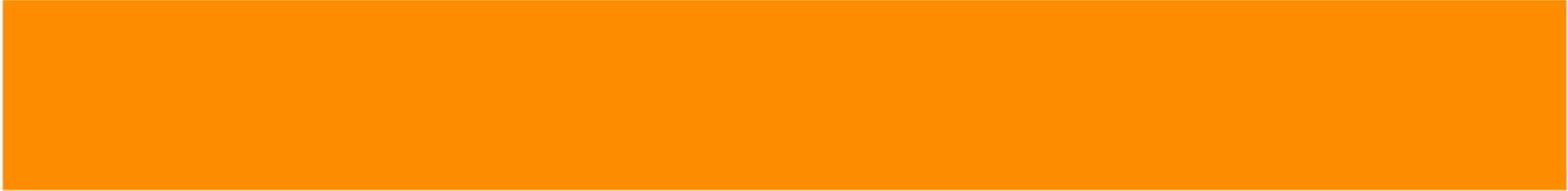
<http://en.wikipedia.org>

# Video: Where do you get fusion fuel?

- Where do you get Tritium? A: from Lithium which is abundant in natural rock everywhere on Earth
- How much fuel do you need for average European family's demand for electrical energy for entire year? A: 2 litres of water + 250g of rock

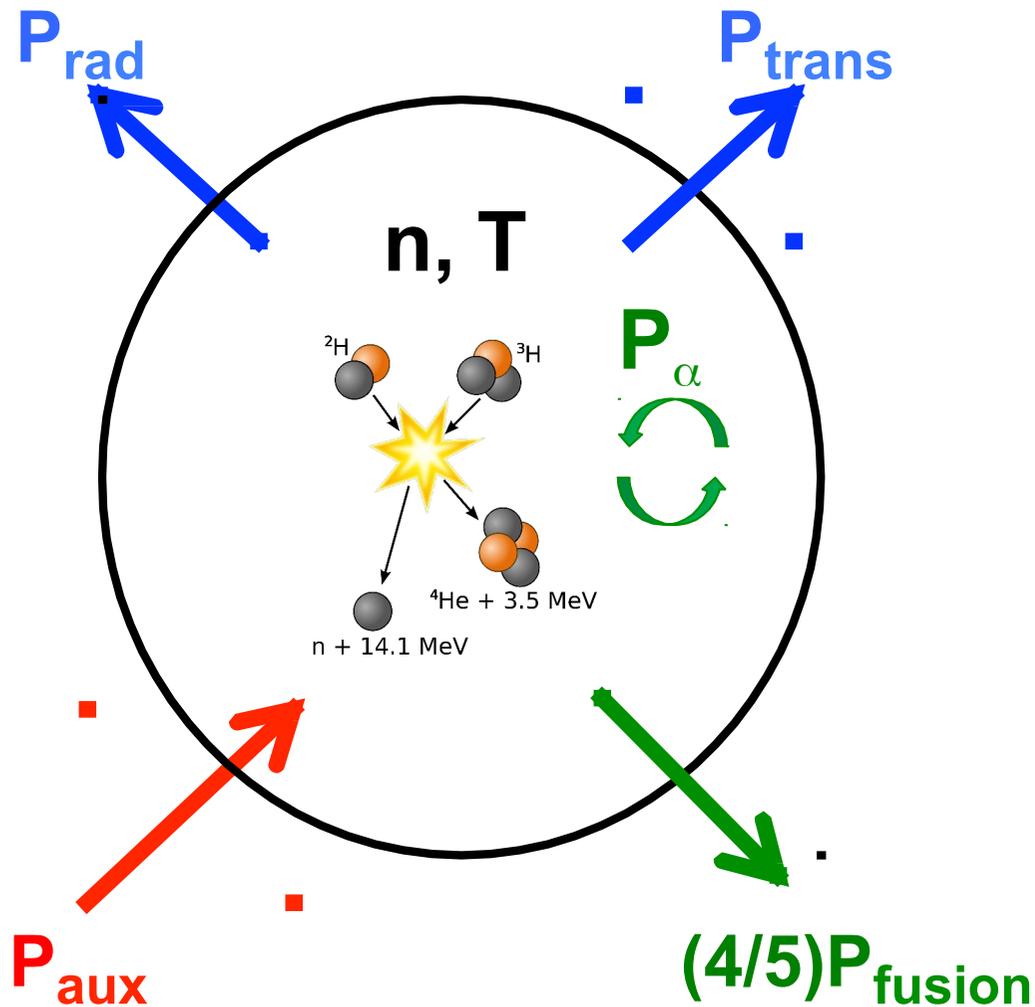


<http://en.wikipedia.org>



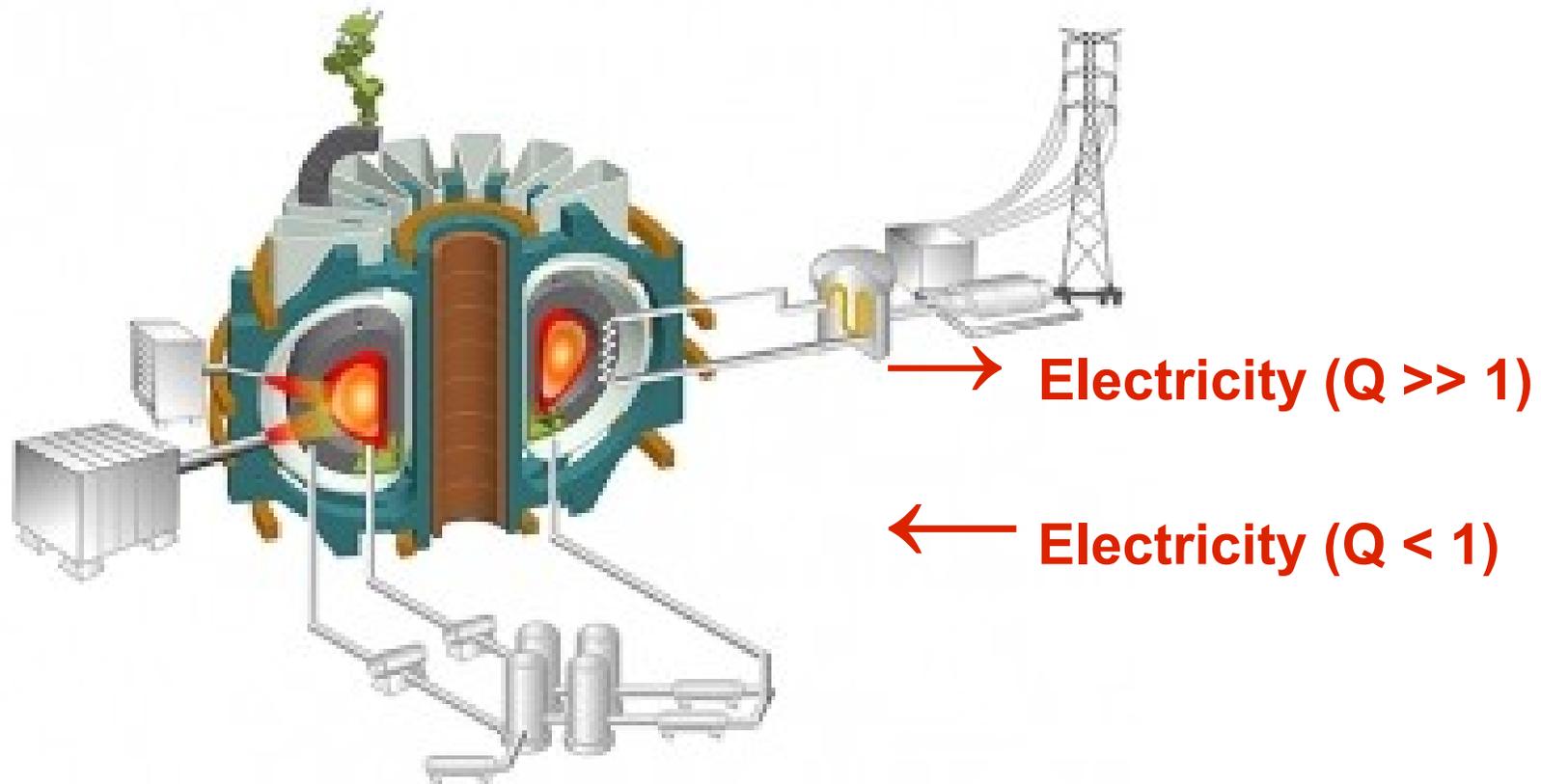
# Criteria for fusion energy

# What temperatures, densities, and confinement (times) are required for fusion?



- Internal heating via fusion  $\alpha$ 's ( $> 1$  MeV)
- Fusion power in neutrons
- Radiative and transport losses
- Up to self-sustained burn, **auxiliary heating** required  $\Rightarrow$  fraction of  $P_{\text{fusion}}$

Energy gain factor  $Q \equiv P_{fusion} / P_{aux}$



Lawson criterium ( $Q \rightarrow \infty$ )

Energy gain  $Q > 1$  gives scientific breakeven but...

“Engineering” breakeven: takes into account that

1) only a fraction  $(1 - f_{ch})$  of fusion energy goes to blanket

2) cooling fluid of blanket drives steam turbines with efficiency  $\eta_{elec} = 35-40\%$

3) fraction  $f_{recirc}$  of  $P_{elec}$  recirculated back into the heaters

4)  $\eta_{heat}$  is the efficiency that power supplied to the

**heating systems is turned into heat in the fuel**

$$P_{heat} = (1 - f_{ch}) \eta_{elec} f_{recirc} \eta_{heat} P_{fus}$$

# What temperatures, densities, and confinement times are required for fusion?

- **Ignition condition:**  $Q \equiv P_{fusion} / P_{aux} \gg 1 \Rightarrow Q \rightarrow \infty$

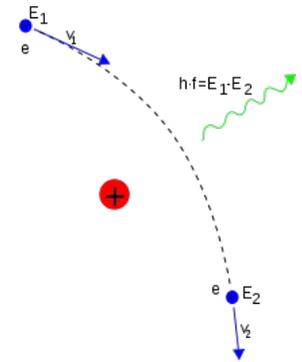
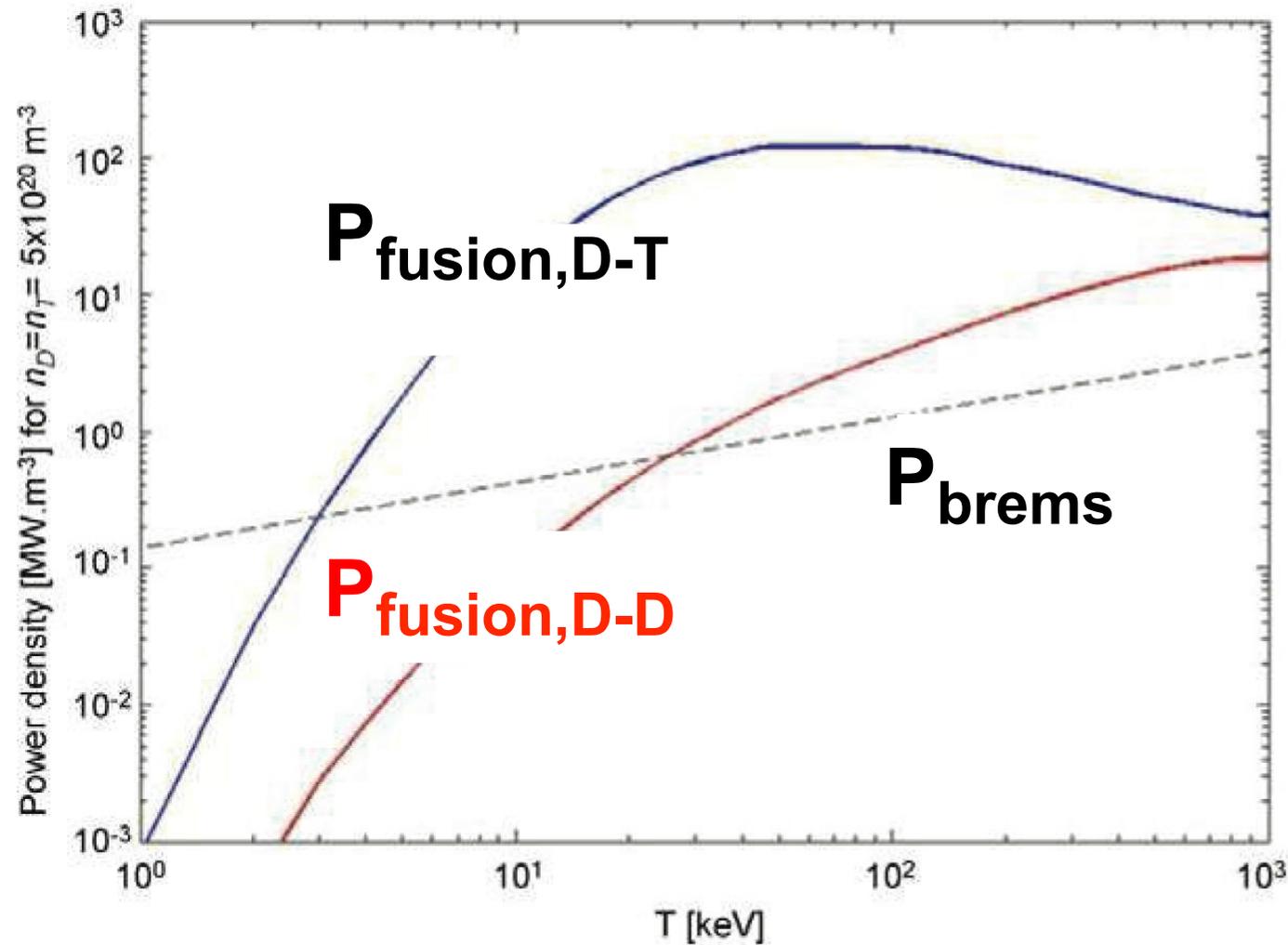
– Q=1 break-even

- **50-50 mix of D-T total fusion power:**  $P_{fusion} = 5P_{\alpha} = 5n_X n_Y \langle \sigma v \rangle E_{\alpha} V_p$

- **Net heating power:**  
$$P_{heat} = P_{aux} + P_{\alpha} - P_{brems}$$
$$= P_{aux} + n_X n_Y \langle \sigma v \rangle E_{\alpha} V_p - C_B T^{1/2} n_e^2 V_p$$

$\Rightarrow$  **50-50 mix of D-T:** 
$$P_{heat} = P_{aux} + \frac{1}{4} n_e^2 \langle \sigma v \rangle E_{\alpha} V_p - C_B T^{1/2} n_e^2 V_p$$

# Fusion power must exceed radiative (and thermal conductive-convective) losses: Lawson criterion



# Energy leaks continuously out of the plasma $\Rightarrow$ needs to be compensated by additional heating

- **Total kinetic energy in plasma:**

$$W_p = 3n_e k_B T V_p$$

- **Heating power is consumed to raise  $W_p$  and to compensate (transport) losses:**

$$P_{heat} = dW_p / dt + P_{trans}$$

$\Rightarrow$  **Energy confinement time:**

$$\tau_E \equiv W_p / (P_{heat} - dW_p / dt)$$

$\Rightarrow$  **(Time-dependent) power balance (using  $Q \equiv P_{fusion} / P_{aux}$  and equation for  $P_{heat}$  shown earlier)**

$$n_e^2 \langle \sigma v \rangle E_\alpha \left( \frac{Q+5}{4Q} \right) - C_B T^{1/2} n_e^2 = \frac{3n_e k_B T}{\tau} + \frac{d}{dt} (3n_e k_B T)$$

$E$

For a 50-50 mix of D-T, the product of density and energy confinement time must exceed  $10^{20} \text{ s m}^{-3}$

Overleaf  $\rightarrow$

$$n_e^2 \langle \sigma v \rangle E_\alpha \left( \frac{Q+5}{4Q} \right) - C_B T^{1/2} n_e^2 = \frac{3n_e k_B T}{\tau_E} + \frac{d}{dt} (3n_e k_B T)$$

- **Steady-state:**  
( $d/dt=0$ )

$$n_e^2 \langle \sigma v \rangle E_\alpha \left( \frac{Q+5}{4Q} \right) - C_B T^{1/2} n_e^2 = \frac{3n_e k_B T}{\tau_E}$$

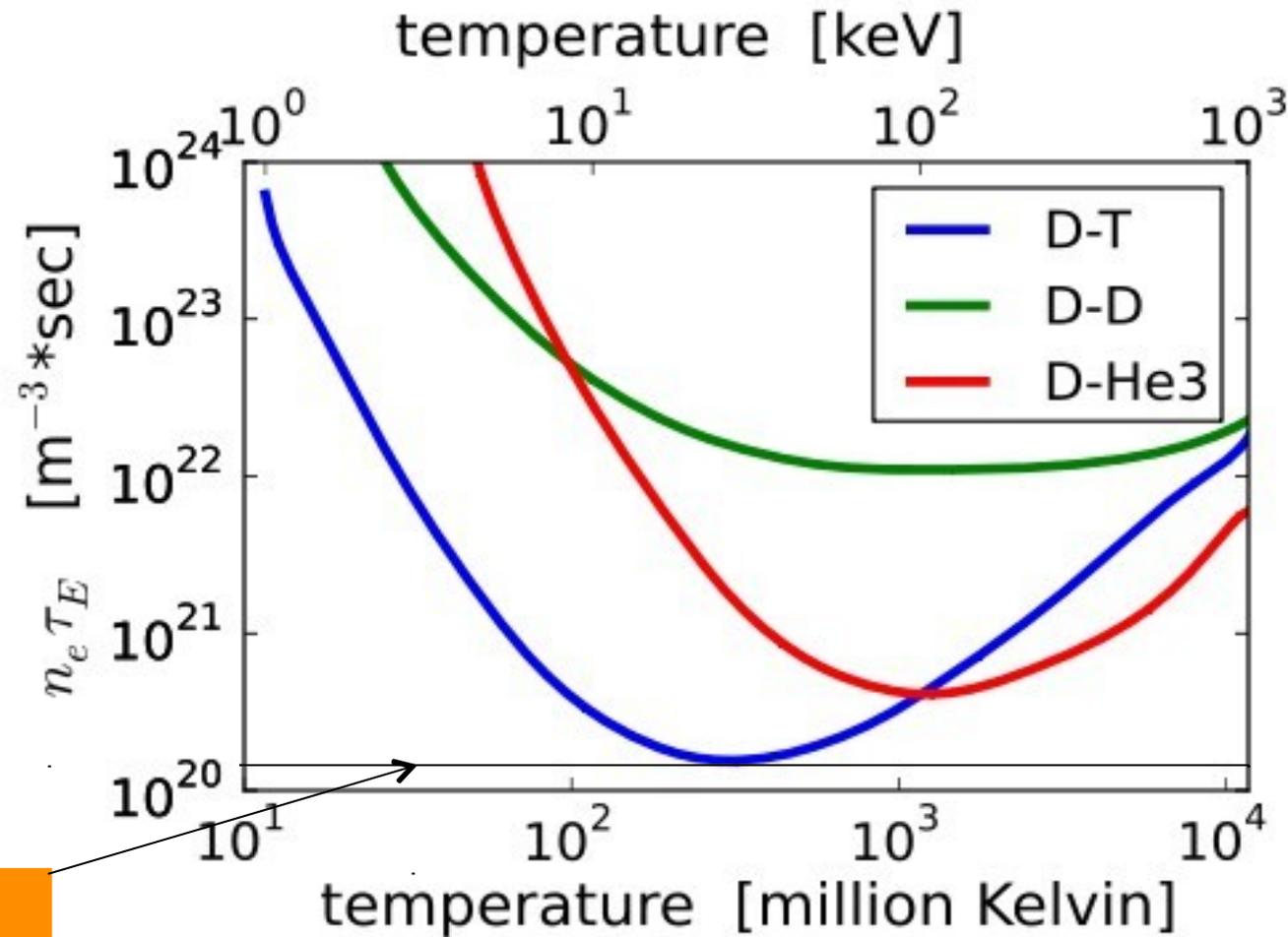
- **Break-even ( $Q = 1$ ):**

$$n_e \tau_E = \frac{3k_B T}{\frac{3}{2} \langle \sigma v \rangle E_\alpha - C_B T^{1/2}}$$

$\Rightarrow$  For 50-50 D-T, min  $n_e \tau_E$  at  $T = 25 \text{ keV} \Rightarrow n_e \tau_E \geq 10^{20} \text{ s m}^{-3}$

# The D-T reaction requires the lowest product of density and confinement time

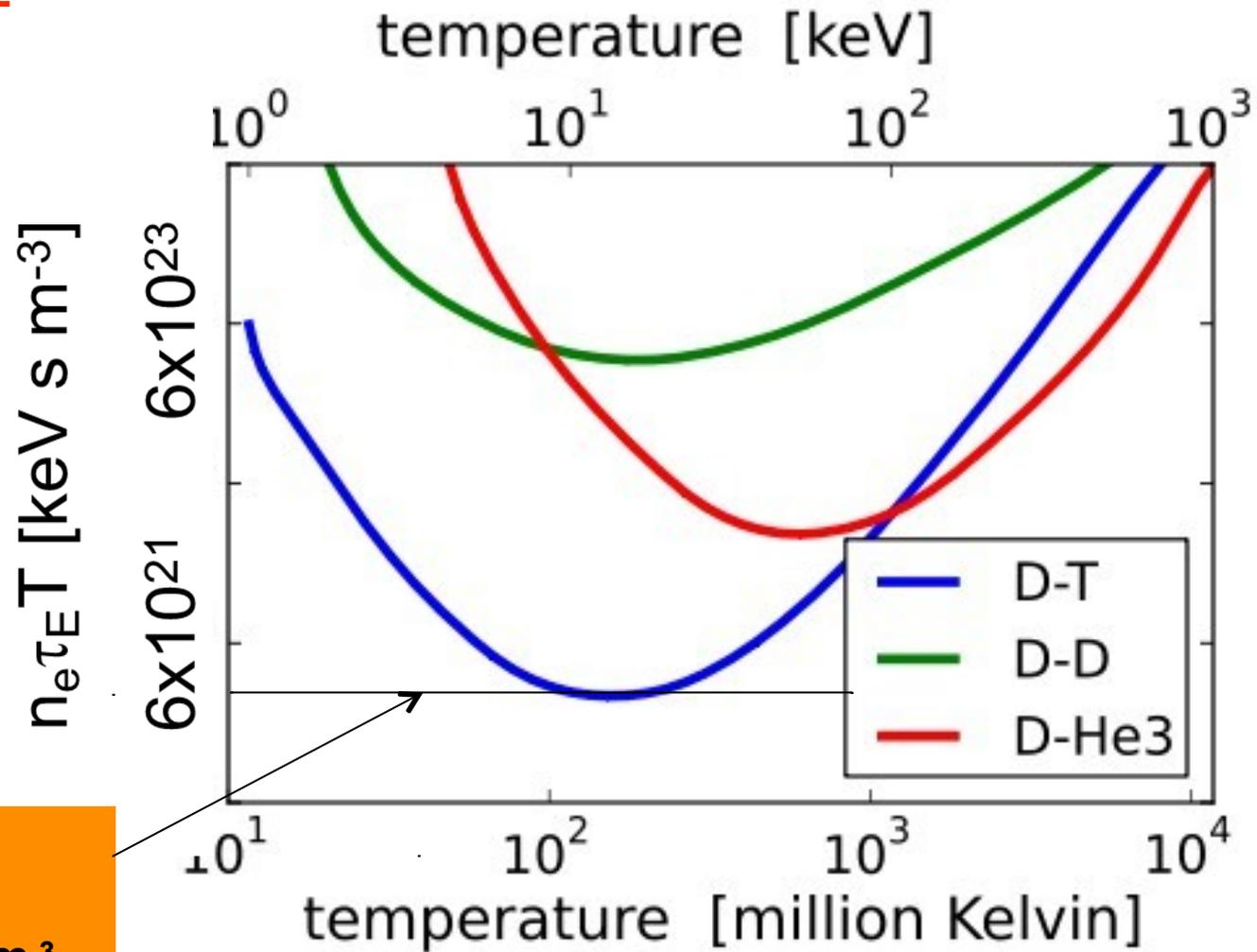
$\langle \sigma v \rangle = \text{const.}$



$n_e \tau_E \geq 10^{20} \text{ s m}^{-3}$

Allowing for a temperature-dependent reaction rate, the Lawson criterion becomes a triple product

$$\langle \sigma v \rangle \propto T^2$$



$$n_e \tau_E T \geq$$

$$1.5 \times 10^{21} \text{ keV s m}^{-3}$$

# Requirements for ignition are more stringent than for break-even

Power balance, steady-state

$$n_e \tau_E = \frac{3k_B T}{\langle \sigma v \rangle E_\alpha \left( \frac{Q+5}{4Q} \right) - C_B T^{1/2}}$$

- **Ignition ( $P_{\text{aux}} = 0$ ,  $Q \rightarrow \infty$ ):**

$$n_e \tau_E = \frac{3k_B T}{\frac{1}{4} \langle \sigma v \rangle E_\alpha - C_B T^{1/2}}$$

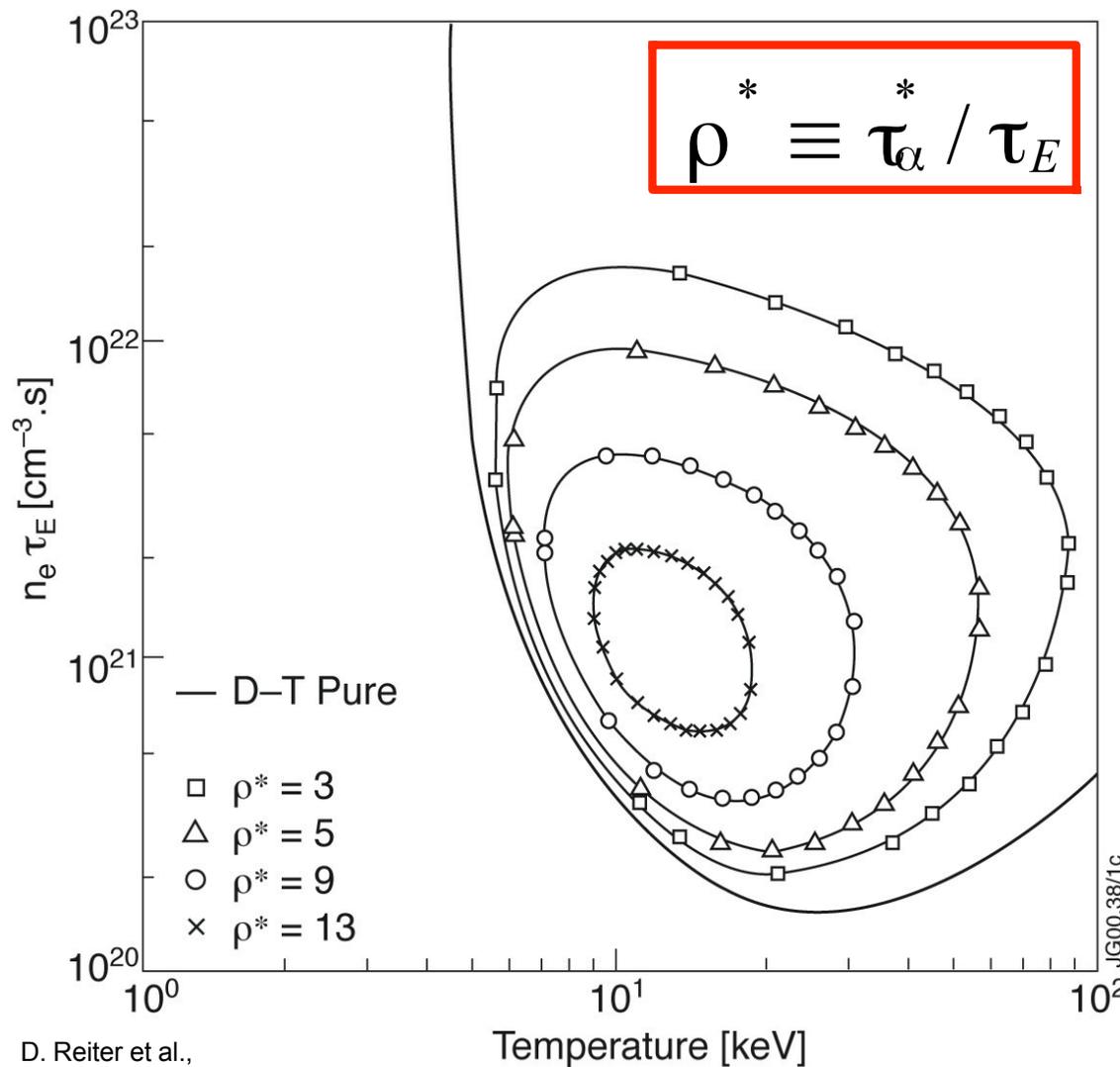
⇒ For 50-50 D-T, a minimum product of  $n_e \tau_E$  at  $T = 25$  keV

⇒  $n_e \tau_E \geq 1.5 \times 10^{20} \text{ s m}^{-3}$

# Limitations and uncertainties of the Lawson criterion values

- **Original Lawson criterion did not take into account  $P_\alpha$  heating, nor helium ash, and intrinsic and extrinsic impurities**
- **$\tau_E$  is function of  $n$  and  $T$  (and device parameters); determined experimentally  $\Rightarrow$  approach to ignition**
- **Conversion efficiencies of input power sources and output thermal energy to electric energy:  $\sim 30\%$**
- **Profiles of  $n$  and  $T$ , peaking in the center, lead to increase in minimum triple product**
- **Lawson criterion for inertial confinement (IC) system takes on a slightly different form  $\Rightarrow$  to be discuss together with IC systems**

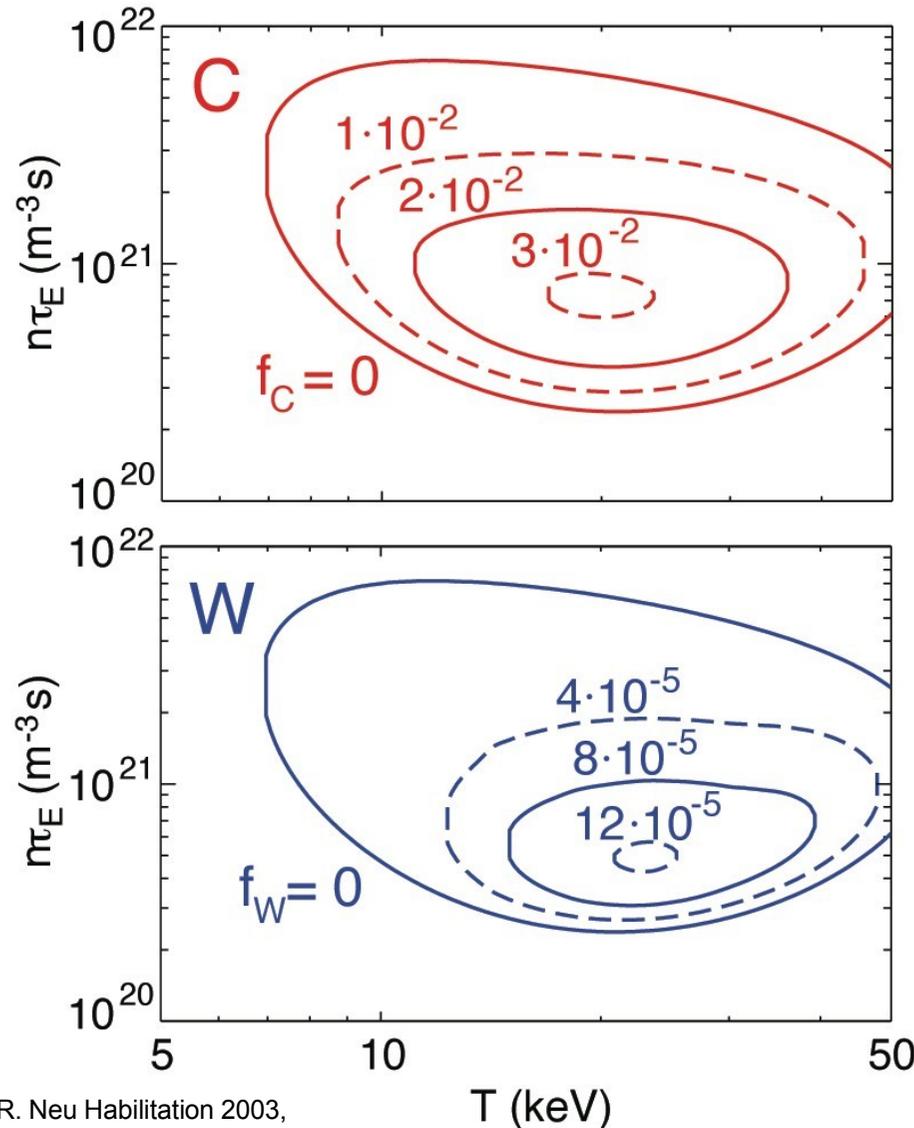
# Lawson criterion becomes more stringent when considering D-T dilution due to helium ash



- D-T fusion  $\alpha$ 's = 3.5 MeV  $\Rightarrow$  become thermal He<sup>2+</sup> at <10 keV  $\Rightarrow$  **dilution of D-T**
- $\Rightarrow$  **Accumulation of He<sup>2+</sup> in the center may quench fusion process**

D. Reiter et al.,  
Nucl. Fusion **30** (1990) 2141.

# Lawson criterion becomes **EVEN** more stringent when considering impurities



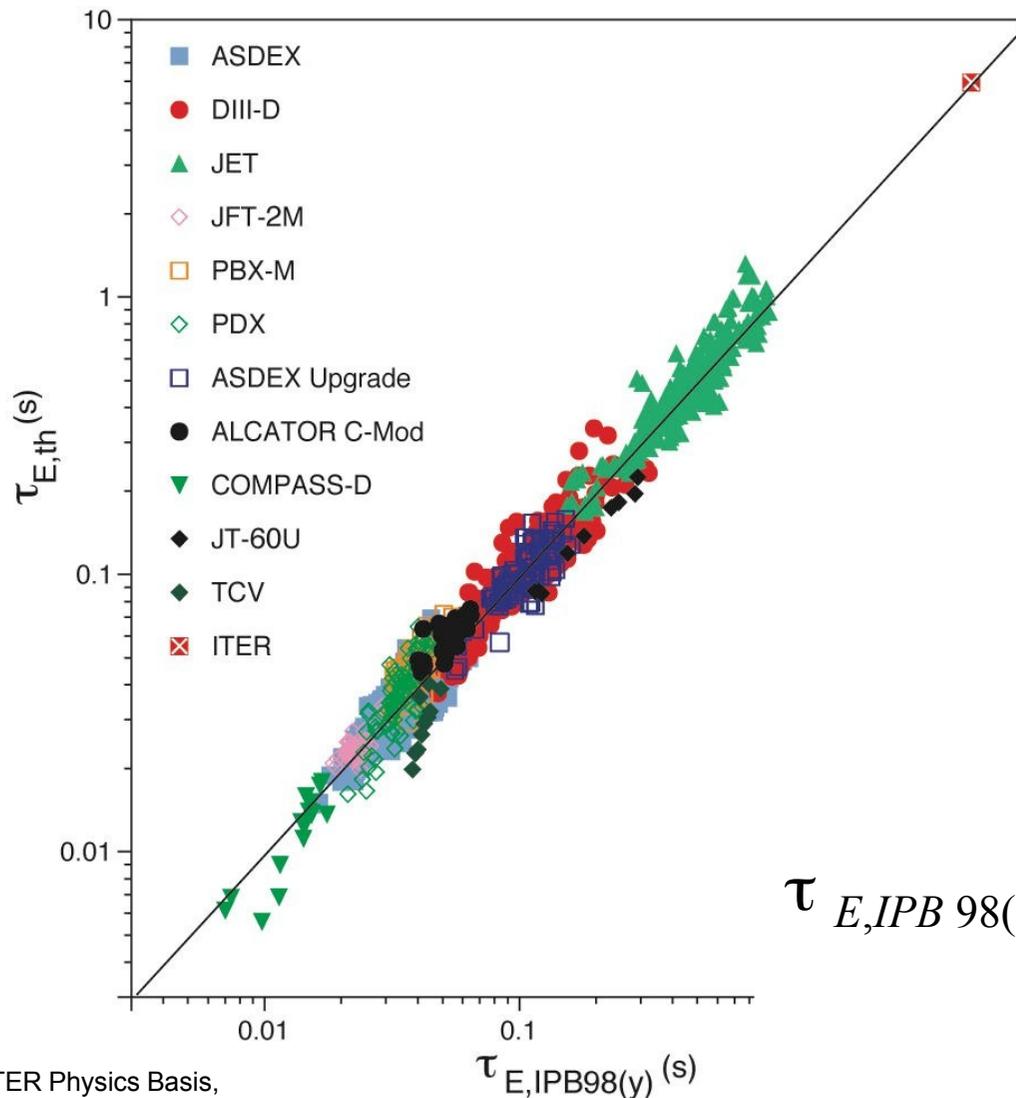
$$\rho^* = 5,$$

$$C (Z=6), W (Z = 74)$$

$$P_{brems} \sim Z^2)$$

- **Additional dilution and radiative losses due to impurities  $\Rightarrow$  upper limit of  $n\tau_E$**
- $\Rightarrow$  **Only very small concentrations of high-Z impurities, such as W, can be tolerated ( $< 5 \times 10^{-5}$ )**

# Energy confinement time depends on many plasma and device parameters



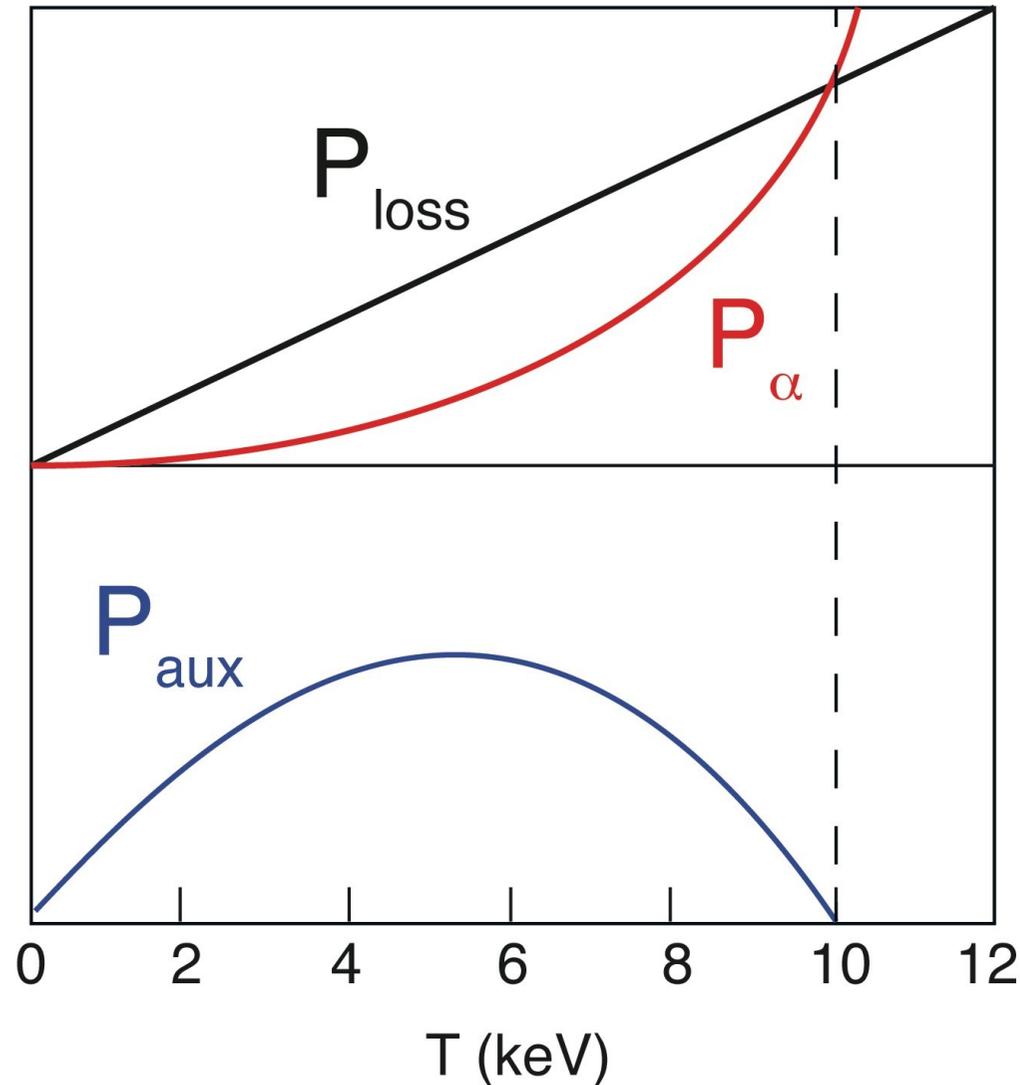
ITER Physics Basis,  
Nucl. Fusion **39** (1999) 2175.

- **Confinement times of close to 1 s have been achieved in tokamaks**

⇒ **Next-step devices are expected to reach 8 s, due to larger size (R)**

$$\tau_{E,IPB98(y)} \propto I^{0.97} B^{0.08} P^{-0.63} n^{0.41} \times M^{0.20} R^{1.93} \epsilon^{0.23} K^{0.67}$$

# Approach to ignition depends on actual values and gradients of $T$ and $\tau_E$



- Max.  $P_{aux}$  required at  $\sim 5$  keV  $\Rightarrow P_\alpha$  starts to dominate for  $T > 5$  keV
- At  $T \approx 10$  keV, ignition reach  $\Rightarrow P_{aux} \rightarrow 0$
- $P_\alpha$  non-linear with  $T$   $\Rightarrow$  unstable equilibrium
- Lack of experimental data

Wesson "Tokamaks" (1996)

## D-T reaction has the highest power density at lowest temperature, but produces (too) many fast neutrons

Fuel	$\langle\sigma v\rangle/T^2$	Neutronicity	$E_{\text{fus}}$ [MeV]	Power density [Wm <sup>-3</sup> kPa <sup>-2</sup> ]
D-T	$1.24 \times 10^{-24}$	0.80	17.6	34
D-D	$1.28 \times 10^{-26}$	0.66	12.5	0.5
D- <sup>3</sup> He	$2.24 \times 10^{-26}$	~0.05	18.3	0.43
p- <sup>11</sup> Be	$3.01 \times 10^{-27}$	~0.001	8.7	0.014

- **Fast neutrons + high neutronicity (fraction of fusion energy released in neutrons) lead to radiation damage and plant safety issues**

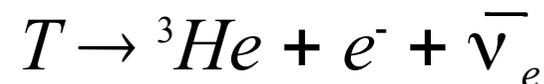
# Fusion of D-D is significantly more beneficial than D-T

D+D	50%: T (1.01 MeV) + p (3.02 MeV)
	50%: $^3\text{He}$ (0.82 MeV) + n (2.45 MeV)
D+ $^3\text{He}$	$^4\text{He}$ (3.6 MeV) + p (14.7 MeV)
p+ $^{11}\text{B}$	3 $^4\text{He}$ (1.7 MeV) + 8.7 MeV

- **D-D does not require control of fuel mix**
- **D-D reaction results in 1 MeV tritons  $\Rightarrow$  self-heating + additional D-T burn**
- **Advanced fuels ( $^3\text{He}$ ,  $^{11}\text{B}$ ) low neutronicity, but requires significantly higher densities, temperatures, and confinement (higher triple products)**

# Deuterium and tritium are abundantly available

- Deuterium may be distilled from any form of water  $\Rightarrow$  widely available, harmless, and virtually inexhaustible (33 mg in each liter/kg of water)
- Tritium is an unstable radioactive isotope  $\Rightarrow$  half-life of 12.3 yr (beta decay):

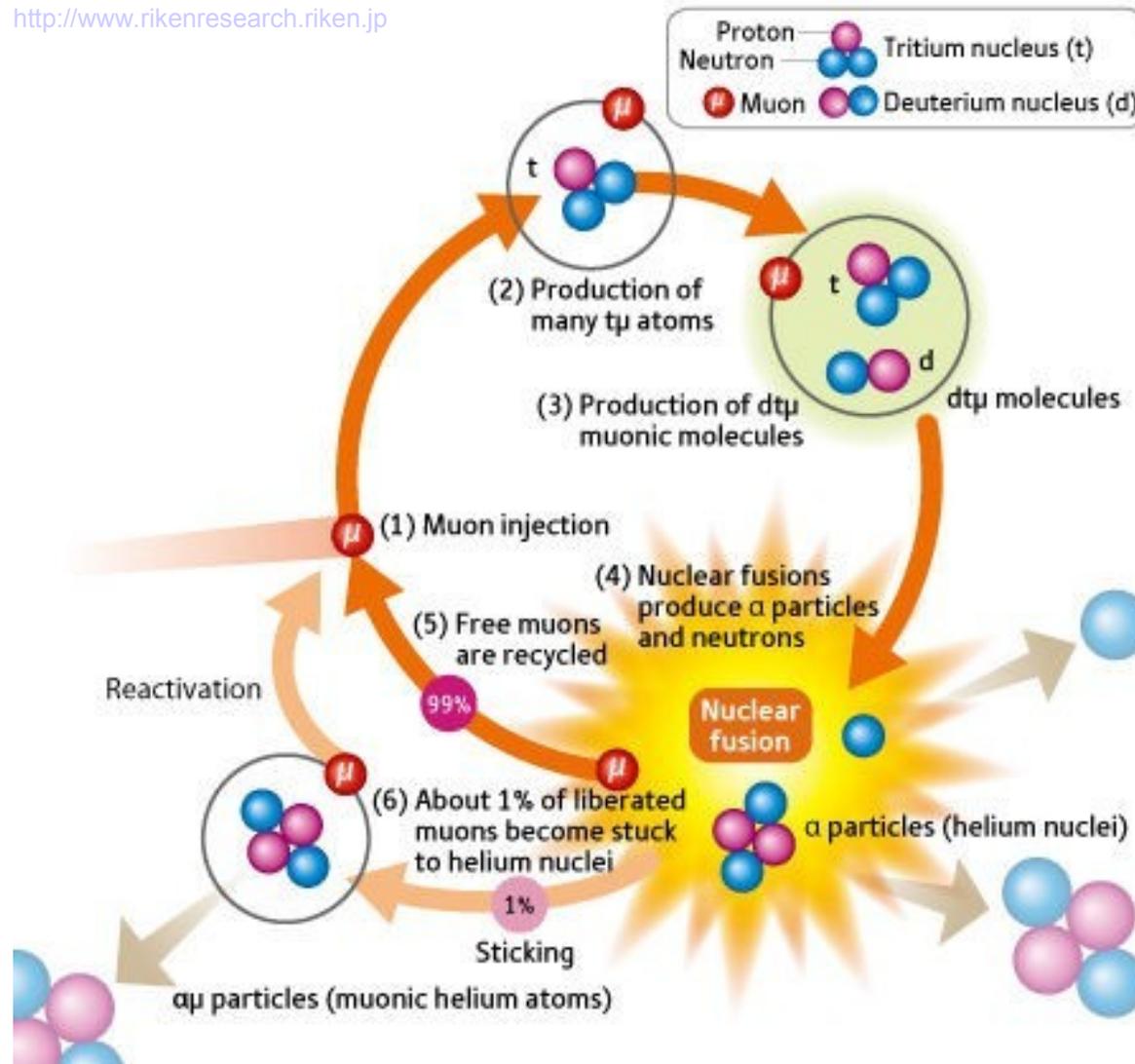


- Tritium currently being produced externally in fission plants (CANDU reactors)
- In future plants, **breeding of tritium by nuclear reaction** (video: 3min 16s) of fusion neutrons with Li:



# Replacing an electron with a muon permits fusion at significantly lower (room) temperatures

<http://www.rikenresearch.riken.jp>



- **Creating muons requires energies  $\gg$  fusion output**
- **Limited muon cycle due to sticking to  $\alpha$ -particles**
- **Muons have a short life time**

# Summary

- **A high-temperature plasma at sufficient confinement is required to achieve fusion conditions**
  - Magnetic, inertial and gravitational systems
- **Break-even and ignition conditions are described by the Lawson criterion**
  - Self-heating has to exceed radiative and transport losses
  - Presence of helium and impurities significantly limits the operational space
- **Fusion of deuterium-tritium is currently favored: highest cross-section (power density) at lowest temperature**
  - Fuel is abundantly available, though D-D reaction more favorable for future devices