

# MORPHEME-LEVEL PROCESSING

Lecture on 9 March 2021 at Aalto University (Zoom)

Slides by Mathias Creutz and Sami Virpioja



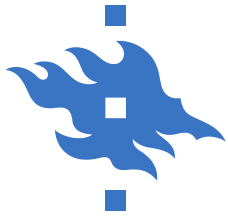
# INTRODUCTION



# LINGDIG (LINGUISTIC DIVERSITY AND DIGITAL HUMANITIES) MASTER'S PROGRAMME:

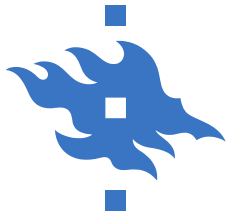
## LANGUAGE TECHNOLOGY COURSES OFFERED AT THE UNIVERSITY OF HELSINKI

- [Computational Morphology](#) (fall 2021, 5 cr: *Oct – Dec*)
- [Computational Syntax](#) (spring 2021, 5 cr: *Mar – May*)
- [Computational Semantics](#) (spring 2022, 5 cr: *Jan – Mar*)
- [Models and Algorithms in NLP applications](#) (fall 2021, 5 cr: *Sep – Oct*)
- [Approaches to Natural Language Understanding](#) (spring 2022, 5 cr: *Mar – May*)
- [Introduction to Deep Learning](#) (spring 2022, 5 cr)
- [A practical intro to modern Neural Machine Translation](#) (fall 2021?, 5 cr: *Oct – Dec*)
- *plus* courses in General Linguistics, Phonetics, Cognitive Science and Digihum
- More info: <http://blogs.helsinki.fi/language-technology/>



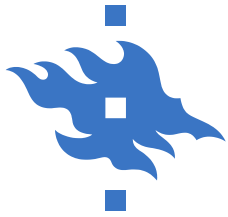
# CONTENTS

- **Linguistic theory**
- Automatic morphological processing
  - Approach 1: Normalization or “Canonical forms”
    - Stemming
    - Lemmatization
  - Approach 2: Analysis and generation
    - Finite-state methods
    - Supervised machine learning: Morphological reinflection
  - Approach 3: Segmentation
    - Unsupervised learning, method 1: Harris’s method
    - Unsupervised learning, method 2: Morfessor
    - Unsupervised learning, method 3: Byte pair encoding (BPE) and SentencePiece
  - Approach 4: Implicit modeling
    - Feature extraction in word embeddings (word2vec): FastText
    - Character-based models



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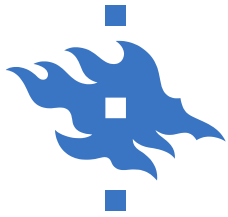
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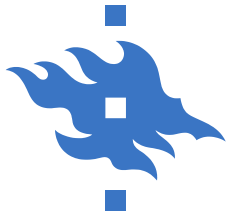
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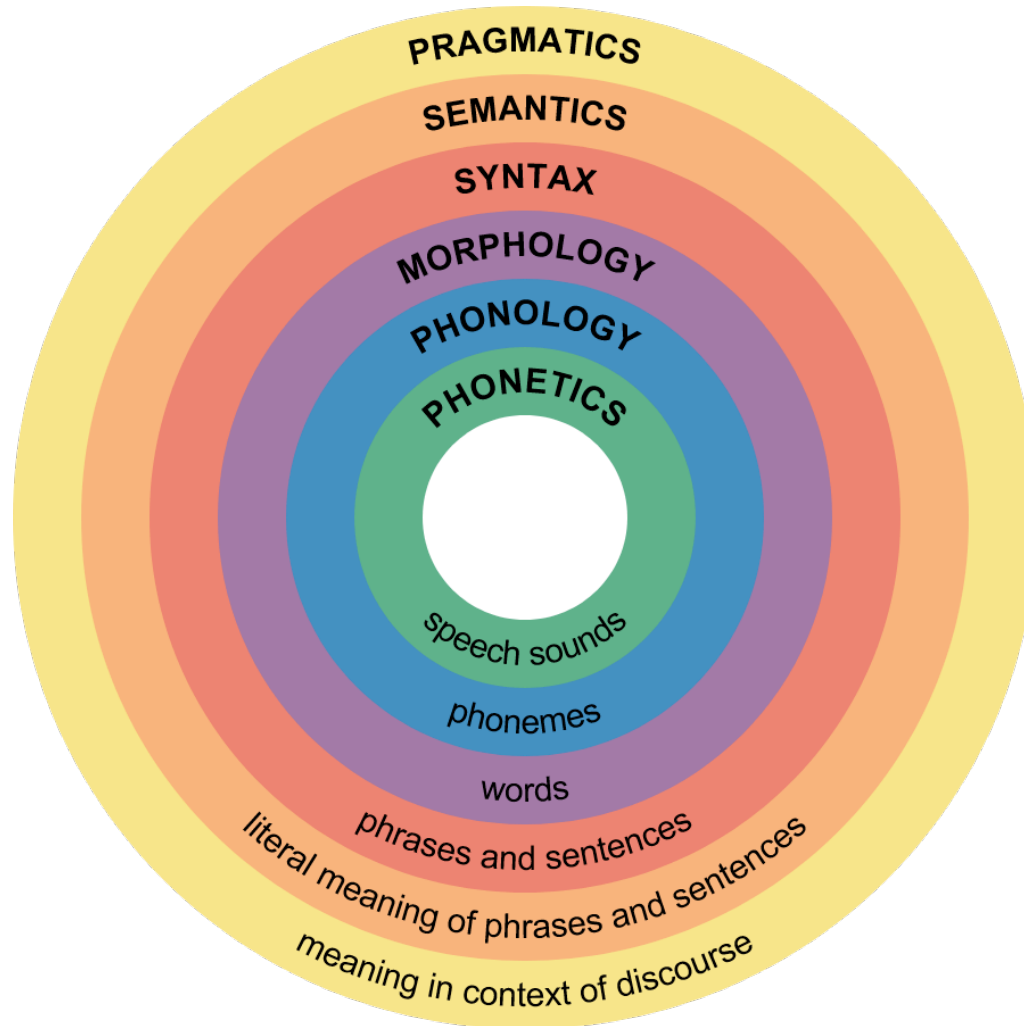
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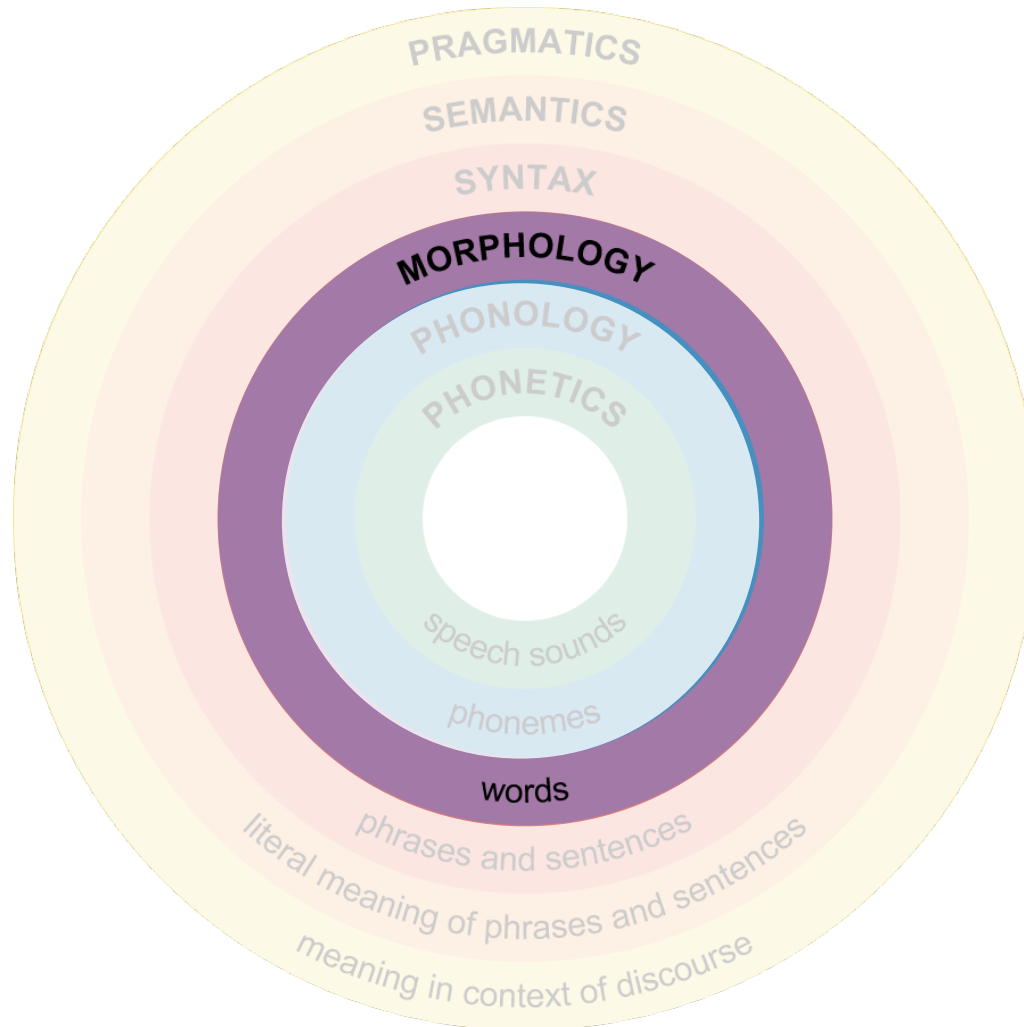
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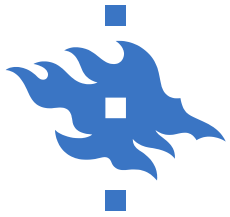




# LINGUISTIC THEORY







# LINGUISTIC MORPHOLOGY

- **Morphology:** Study (*-logy*) of shape and form (*morpho*)
- In linguistics:
  - Identification, analysis and description of the structure of words

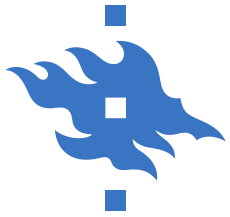


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Are “cat” and “cats” the same word or not?

- The same lexeme
- Different forms



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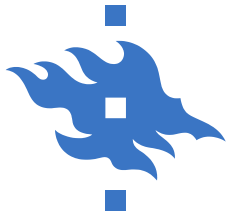


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Are “cat” and “cats” the same word or not?

  - The same lexeme
  - Different forms
- Traditional view: Grammar = morphology + syntax
- The **morphological complexity** of languages vary:
  - “punaviinipullossa” (Finnish) vs. “in the bottle of red wine”
  - “itsega” (Cherokee) vs. “you are all going”

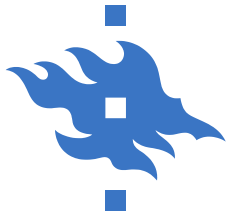


# TERMINOLOGY

## Morphemes are

- “the smallest individually meaningful elements in the utterances of a language” (Charles F. Hockett, *A Course in Modern Linguistics*, 1958)
- “the primitive units of syntax, the smallest units that can bear meaning” (Peter H. Matthews, *Morphology*, 1991)
- “minimal meaningful form-units” (Robert de Beaugrande, *A New Introduction to the Study of Text and Discourse*, 2004)





# TERMINOLOGY

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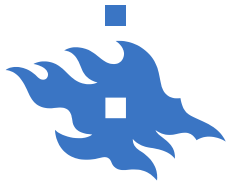
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**Meaning elements** (cats = CAT + PLURAL) or **form elements** (cats = *cat* + -s)?



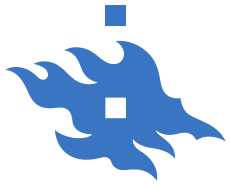
# TYPES OF MORPHEMES

- **Root:** a portion of word without any affixes; carries the principal portion of meaning (buildings → build)



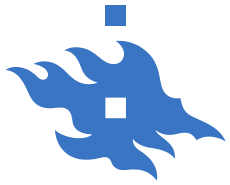
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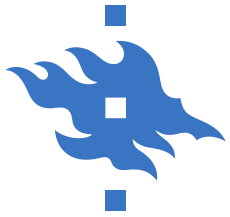
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  - **Prefix** (un-happy)
  - **Suffix** (build-ing, happi-er)
  - **Infix** (abso-**bloody**-lutely)
  - **Circumfix** (ge-sproch-en)
  - **Transfix** (e.g., vowel patterns for consonant roots in Semitic languages: k-i-t-aa-b – k-u-t-u-b)



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- **Clitic:** a bound (but more “independent”) morpheme that has syntactic characteristics of a word (that's, hänkin)



# MORPHOLOGICAL TYPOLOGY

漢語  
汉语

**Isolating** or **analytic** (little or no morphology)

vs.

**synthetic** (many morphemes per word)



\* Correct Latin: *Romani ite domum*





# MORPHOLOGICAL TYPOLOGY

漢語  
汉语

**Isolating** or **analytic** (little or no morphology)

vs.

**synthetic** (many morphemes per word)

**Agglutinative** (morphemes joined together to form words)

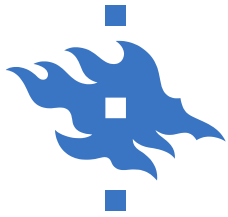
vs.

**fusional** (overlapping of morphemes; difficult to segment)



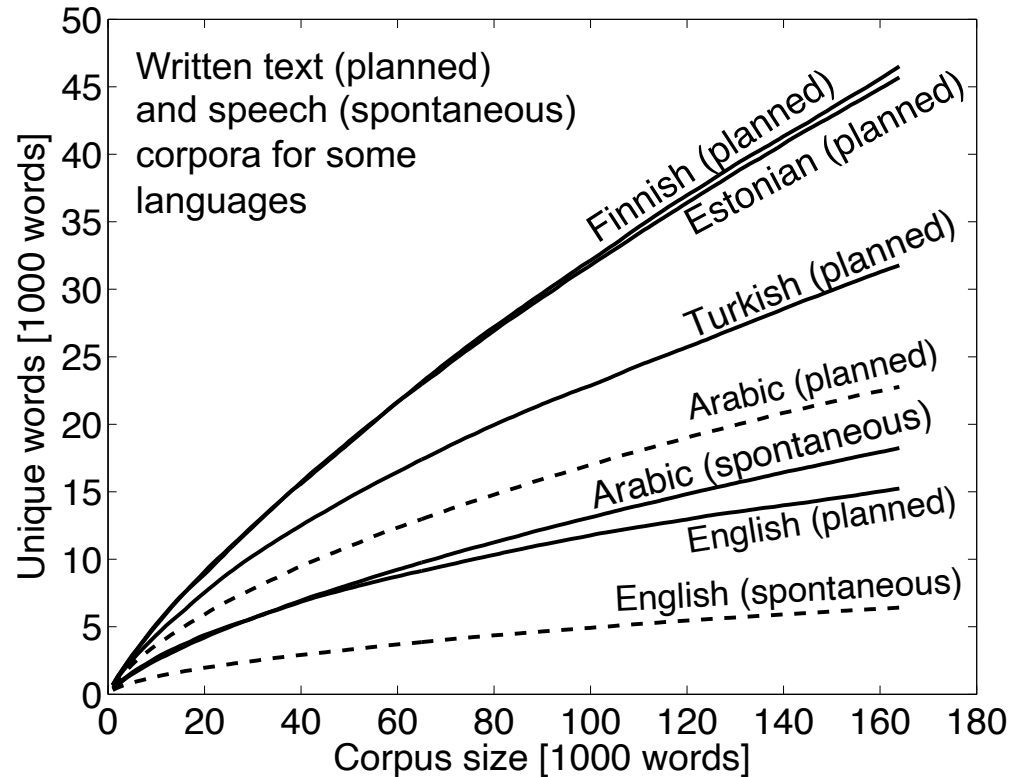
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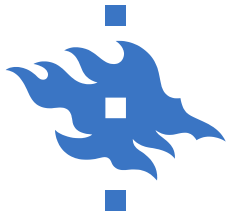


# Different types of morphology in different languages: **EFFECT ON VOCABULARY SIZE (1)**

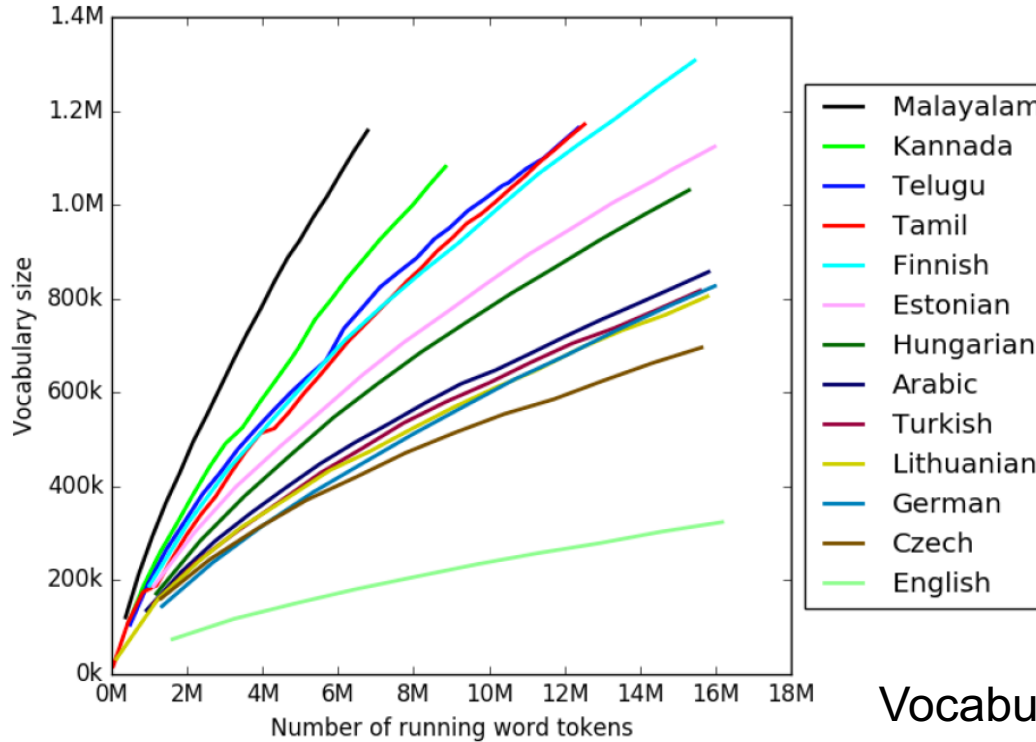
Vocabulary growth  
estimated from text  
and speech







# Different types of morphology in different languages: **EFFECT ON VOCABULARY SIZE (2)**



Varjokallio, Kurimo, Virpioja (2016)

Vocabulary growth  
estimated from Wikipedia



# MORPHOLOGICAL PROCESSES

## Inflection:

- cat – cats
- slow – slower
- find – found

## Derivation:

- build (V) – building (N)
- do (V) – doable (ADJ)
- short (ADJ) – shorten (V)
- write – rewrite
- do – undo

## Compounding:

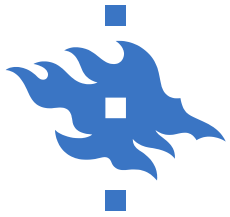
- fireman (fire + man)
- hardware (hard + ware)



# HOCKETT'S MODELS OF MORPHOLOGY

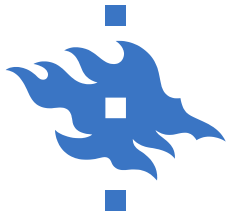
Three general approaches to the modeling of morphology (Charles F. Hockett, 1954):

1. **Word-and-Paradigm** (word-based morphology)
2. **Item-and-Arrangement** (morpheme-based morphology)
3. **Item-and-Process** (lexeme-based morphology)



# WORD AND PARADIGM (W&P)

Grammatical form	Paradigms				
	I	II	III	IV	V
Infinitive	wait	invite	split	sell	take
Present tense, 3 <sup>rd</sup> person	waits	invites	splits	sells	takes
Present participle	waiting	inviting	splitting	selling	taking
Past tense	waited	invited	split	sold	took
Past participle	waited	invited	split	sold	taken



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New word forms by analogy:

shout → I      like → II      cut → III

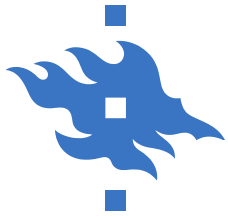
tell → IV      shake → V

The W&P model does not describe derivation or compounding.



# ITEM & ARRANGEMENT (I&A)

I	II	III	IV	V
WAIT	INVITE	SPLIT	SELL	TAKE
WAIT + -S	INVITE + -S	SPLIT + -S	SELL + -S	TAKE + -S
WAIT + -ING	INVITE + -ING	SPLIT + -ING	SELL + -ING	TAKE + -ING
WAIT + -ED	INVITE + -ED	SPLIT + -ED	SELL + -ED	TAKE + -ED
WAIT + -EN	INVITE + -EN	SPLIT + -EN	SELL + -EN	TAKE + -EN



# ITEM & ARRANGEMENT (I&A)

I	II	III	IV	V
WAIT	INVITE	SPLIT	SELL	TAKE
WAIT + -S	INVITE + -S	SPLIT + -S	SELL + -S	TAKE + -S
WAIT + -ING	INVITE + -ING	SPLIT + -ING	SELL + -ING	TAKE + -ING
WAIT + -ED	INVITE + -ED	SPLIT + -ED	SELL + -ED	TAKE + -ED
WAIT + -EN	INVITE + -EN	SPLIT + -EN	SELL + -EN	TAKE + -EN

Morphemes and allomorphs:

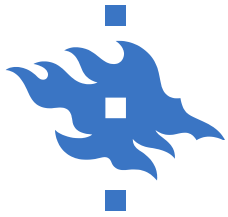
WAIT = {wait}, INVITE = {invite, invit}, SPLIT = {split, splitt},  
SELL = {sell, sol}, TAKE = {take, tak, took}, -S = {s}, -ING = {ing},  
-ED = {ed, d, Ø}, and -EN = {ed, d, Ø, en}

**Morph** (e.g., “splitt”):

- surface realization of a morpheme

**Allomorphs** (e.g., “split”, “splitt”):

- different surface realizations of the *same morpheme*



# ITEM & ARRANGEMENT (I&A)

I	II	III	IV	V
WAIT	INVITE	SPLIT	SELL	TAKE
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WAIT + -ED	INVITE + -ED	SPLIT + -ED	SELL + -ED	TAKE + -ED
WAIT + -EN	INVITE + -EN	SPLIT + -EN	SELL + -EN	TAKE + -EN

Morphemes and allomorphs:

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SELL = {sell, sol}, TAKE = {take, tak, took}, -S = {s}, -ING = {ing},  
-ED = {ed, d,  $\emptyset$ }, and -EN = {ed, d,  $\emptyset$ , en}

Rules:

INVITE + -ING  $\rightarrow$  invit + ing = inviting

SPLIT + -EN  $\rightarrow$  split +  $\emptyset$  = split

SELL + -EN  $\rightarrow$  sol + d = sold

TAKE + -ED  $\rightarrow$  took +  $\emptyset$  = took.

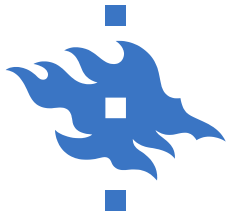
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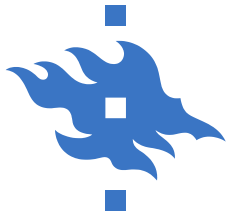
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# ITEM & PROCESS (I&P)

- **Items:** Word forms, free morphemes (wait, invite, split, sell, take) and bound morphemes (-s, -ing, -ed, -en), all represented as lists of features (phonemic/orthographic form and grammatical categories).
- **Processes:** Operations that take one or more items and return a new item. Output and one of the inputs is always a free morpheme or word.



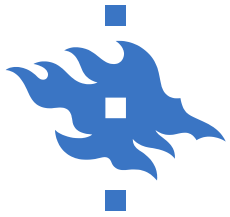
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  - Present\_participle([stem]<sub>V</sub>)
    - \* add suffix -ing to stem
    - \* drop final “e” from stem, if present: tak(e)+ing
    - \* double final stem consonant if short syllable: split+t+ing



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  - Derivation<sub>ADJ-N</sub>([stem]<sub>ADJ</sub>, -ness) → [stem-ness]<sub>N</sub>
    - \* e.g. [black]<sub>ADJ</sub> → [blackness]<sub>N</sub>
  - Compound([stem1]<sub>ADJ</sub>, [stem2]<sub>N</sub>) → [stem1+stem2]<sub>N</sub>
    - \* e.g. [black]<sub>ADJ</sub> + [bird]<sub>N</sub> → [blackbird]<sub>N</sub>



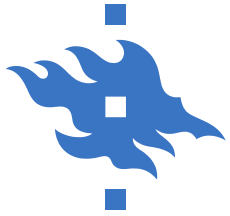
# AUTOMATIC MORPHOLOGICAL PROCESSING



# APPROACHES IN MORPHOLOGICAL PROCESSING

1. **Normalization** or “**Canonical forms**”: identification of morphologically related word forms
  - Stemming
  - Lemmatization
2. **Analysis and generation**: full-blown morphological lexicons
3. **Segmentation**: splitting of words into *morphs*
4. **Implicit modeling**: no explicit selection of morphs or morphemes at input level

Different applications (e.g., information retrieval, speech recognition, machine translation) have different needs.



# APPROACH 1: NORMALIZATION OR “CANONICAL FORMS”



# MORPHOLOGICAL “CANONICAL FORMS”

- Works both for agglutinative and fusional languages
- Applications that need to identify which word forms “are the same”, without having to produce any correct word forms
- Useful in **information retrieval** →



kävelytie

Haku

Haku:  kaikkialta internetistä  suomenkielisiä sivuilta  sivuja maasta: Suomi

[Internet](#) > [Viim. vuosi](#)

[Pilota valinnat](#)

Tulokset 1 - 10 noin 627 osuman joulu

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› [Lajiteltu vastaavuuden mukaan](#)

[Lajiteltu päivämäärän](#)

[Google maps ei tunne Lahdessa kävelyteitä, mm. Radiomäen kävelytie ...](#)

10. tammikuu 2010 - Google maps ei tunne Lahdessa kävelyteitä, mm. Radiomäen kävelytie verkostoa, entisten ratojen paikoilla olevia yms.  
[www.google.com](#) > ... > [Verkkovastaavat](#) > [Palaute ja ehdotukset](#) - [Välimuistissa](#)

[Kävelytie yhtenäistämään Itärannan kaava-alueita | Kymen Sanomat](#)

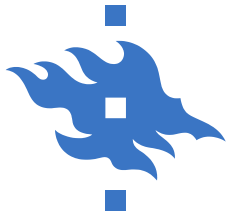
21. maaliskuu 2010 - Aivan tulevan kaava-alueen vieressä yli 20 vuotta asuneena, ja kyseisiä metsiä ja ranta-alueita runsaasti samoilleena esitän muutaman ajatuksen Itärannan ...  
[www.kymensanomat.fi/Mielipide...on.../Kävelytie.../69](#) - [Välimuistissa](#)

[hakutulokset sanalle "kävelytie" :: Ilmainen Sanakirja](#)

30. huhtikuu 2009 - Haun "kävelytie" tulokset sanakirjasta. Ilmainen, kokeile heti!  
[ilmainensanakirja.fi/sanakirja/kävelytie](#) - [Välimuistissa](#)

[Jalkaisin](#)

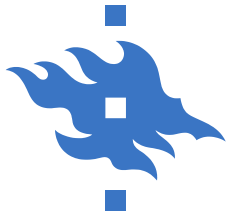
21. maaliskuu 2010 - Jyväskylän kävelytiet ovat nyt pääosin kuvia. Mitä nyt toisin paikoin, ... Montun viereinen kävelytie on paikoin muuttunut vesiteiksi, ...  
[jalkaisin.blogspot.com/](#) - [Välimuistissa](#) - [Samankaltaisia](#)



## Canonical form 1: **STEMMING**

- Reduce inflected word forms to their stem; usually also derived forms to roots.
- Happens through suffix-stripping and reduction rules
- Stemmers for English: e.g., Porter (1980), Snowball:  
<http://snowball.tartarus.org>





# STEMMING EXAMPLES

*Sample text:* Such an analysis can reveal features that are not easily visible from the variations in the individual genes and can lead to a picture of expression that is more biologically transparent and accessible to interpretation

*Lovins stemmer:* such an analys can reve featur that ar not eas vis from th vari in th individu gen and can lead to a pictur of expres that is mor biolog transpar and acces to interpres

*Porter stemmer:* such an analysi can reveal featur that ar not easili visibl from the variat in the individu gene and can lead to a pictur of express that is more biolog transpar and access to interpret

*Paice stemmer:* such an analys can rev feat that are not easy vis from the vary in the individ gen and can lead to a pict of express that is mor biolog transp and access to interpret



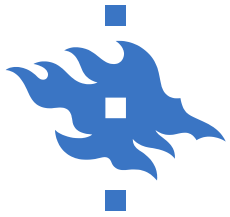
# LIMITATIONS OF STEMMING

- Stemming is typically a much too simplified *approximation*
- Stemming fails to see connections between irregular forms or more complex phenomena
  - *bring – brought*
  - *swim – swam – swum*
  - *yksi – yhden*
  - *tähti – tähden*
- Stemming finds connections between similar, but unrelated forms
  - *sing – singed*
  - *tähtien – tähteiden*



## Canonical form 2: **LEMMATIZATION**

- Reduce inflected word forms to **lemmas**
- Lemma = canonical form of the lexeme = dictionary form = **base form**
  - cat's → cat
  - swum → swim
  - tähtien → tähti
- More accurate than stemming
- Can be used in the same applications as stemming
- Often implemented as a by-product of *full morphological analysis* (= our “Approach 2” to be looked at next)



# FULL MORPHOLOGICAL ANALYSIS

## Examples:

cat's

cat+N+GEN

swum

swim+V+PPART

tähtien

tähti N Gen Pl

tähteiden

tähde N Gen Pl

epäjärjestyksessä

epä#järjestys N Ine Sg

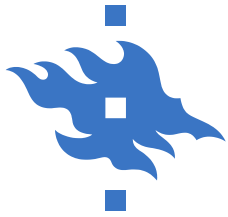
epäjärjestyksessäkö

epä#järjestys N Ine Sg Foc\_kO



# LIMITATIONS OF MORPHOLOGICAL ANALYSIS

- Out-of-vocabulary words
  - epäjärjestelmällistytämättömyydellänsäkäänköhän →  
epäjärjestelmällistytämättömyydellänsäkäänköhän+?



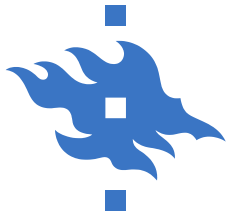
# LIMITATIONS OF MORPHOLOGICAL ANALYSIS

- Out-of-vocabulary words
  - epäjärjestelmällistyttämättömyydellänsäkäänköhän → epäjärjestelmällistyttämättömyydellänsäkäänköhän+?
- Ambiguous forms
  - saw                    see+V+PAST *or* saw+N *or* saw+V+INF ?  
“I **saw** her yesterday.” → SEE (verb)  
“The **saw** was blunt.” → SAW (noun)  
“Don’t **saw** off the branch you are sitting on.” → SAW (verb)



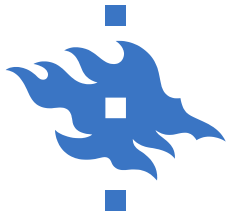
# LIMITATIONS OF MORPHOLOGICAL ANALYSIS

- Out-of-vocabulary words
  - epäjärjestelmällistyttämättömyydellänsäkäänköhän → epäjärjestelmällistyttämättömyydellänsäkäänköhän+?
- Ambiguous forms
  - saw            see+V+PAST *or* saw+N *or* saw+V+INF ?  
“I **saw** her yesterday.” → SEE (verb)  
“The **saw** was blunt.” → SAW (noun)  
“Don’t **saw** off the branch you are sitting on.” → SAW (verb)
  - meeting        meet+V+PROG *or* meeting+N ?  
“We are **meeting** tomorrow.” → MEET (verb)  
“In our **meeting**, we decided not to meet again.” → MEETING (noun)
- Solutions?



# APPROACH 2: ANALYSIS AND GENERATION





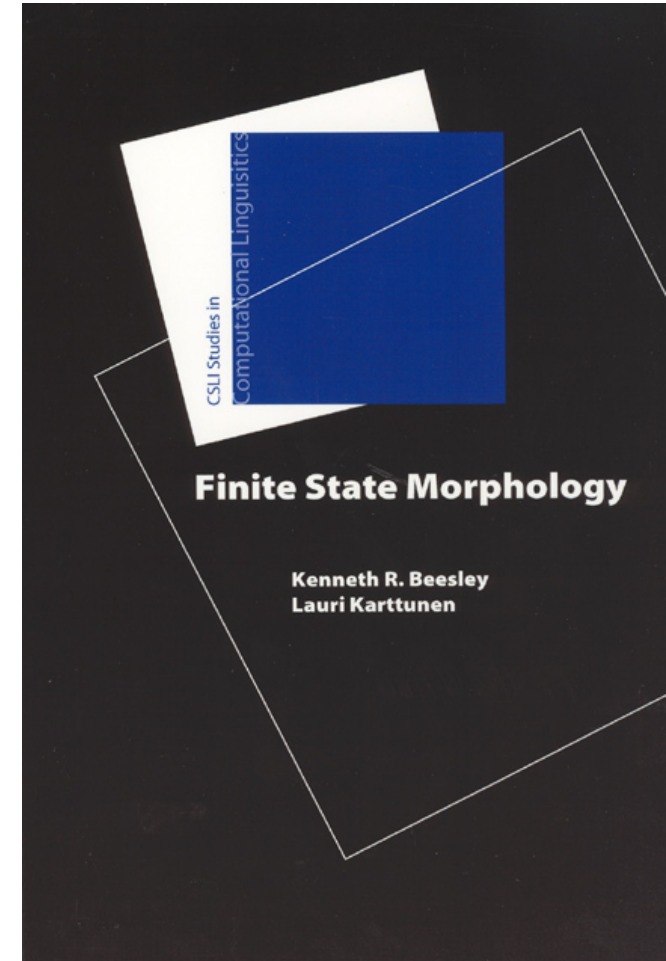
# FINITE-STATE MORPHOLOGY

*Book:*

**Kenneth R. Beesley** and **Lauri Karttunen**, *Finite State Morphology*, CSLI Publications, 2003

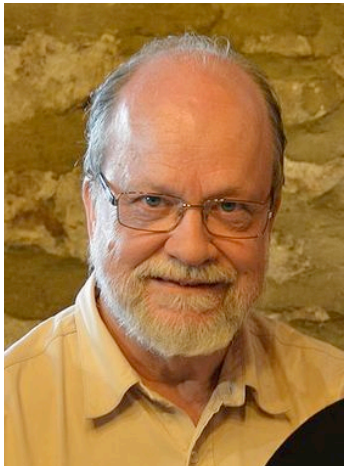
<http://press.uchicago.edu/ucp/books/book/distributed/F/bo3613750.html>

These are **rule-based systems**, i.e., computer programs written by linguists that model morphological lexicons of different languages.





# FINITE-STATE MORPHOLOGY CONTRIBUTORS FROM FINLAND



*Professor emeritus*  
Kimmo Koskenniemi

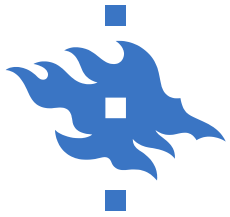


Lauri Karttunen  
*(Stanford university,  
Xerox Research etc.)*



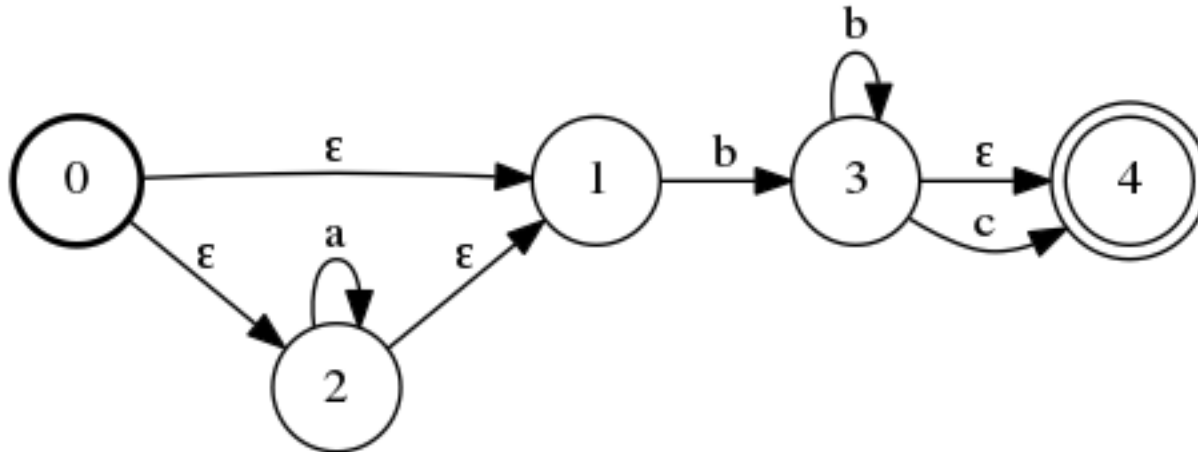
# FINITE-STATE MORPHOLOGY SOFTWARE

- **HFST – Helsinki Finite-State Transducer Technology**
  - Open source software and demos
  - Python interface also available
  - <https://www.kielipankki.fi/tools/demo/cgi-bin/omor/omordemo.bash>
- **Lingsoft**
  - Commercial licenses?

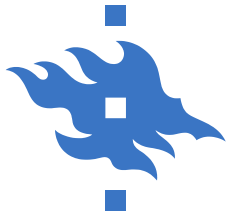


# FINITE-STATE AUTOMATON

A finite-state automaton (FSA) – or finite automaton – is a network consisting of nodes, which represent states, and directed arcs connecting the states, which represent transitions between states. Every arc is labeled with a symbol that is consumed from input. State transitions can also take place without consuming any input; these transitions are called epsilon transitions.

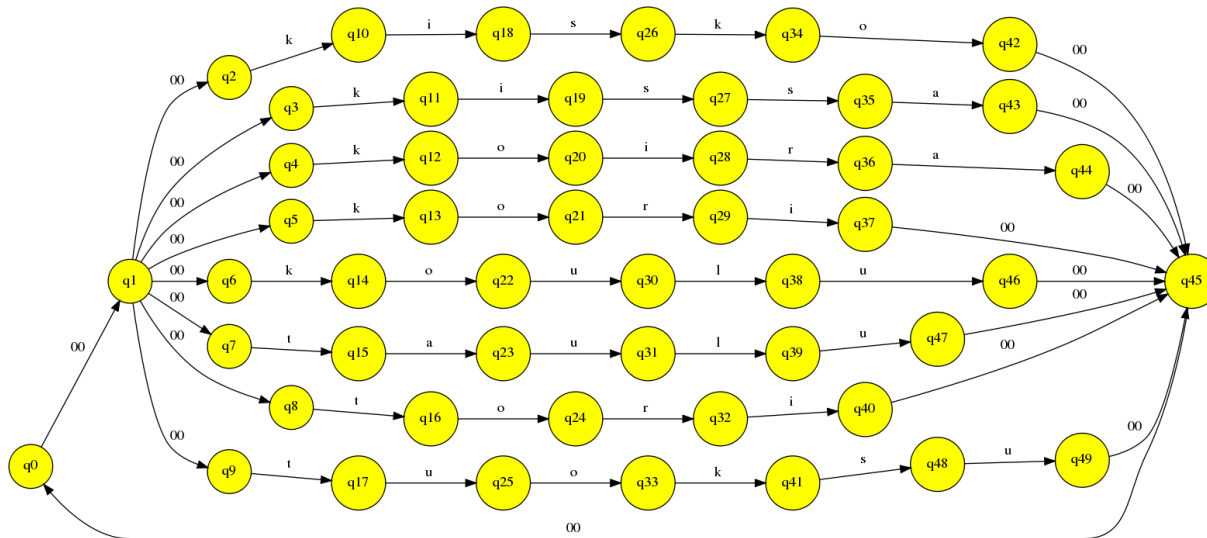


From: <http://www.tylerpalsulich.com/blog/2015/05/12/introduction-to-finite-state-automata/>

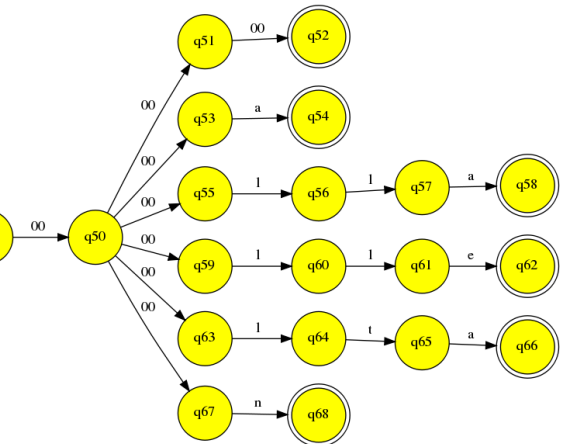


# FINITE STATE AUTOMATON FOR SOME FINNISH NOUNS WITH CASE ENDINGS

## STEMS

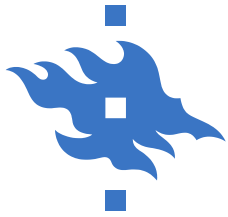


## ENDINGS

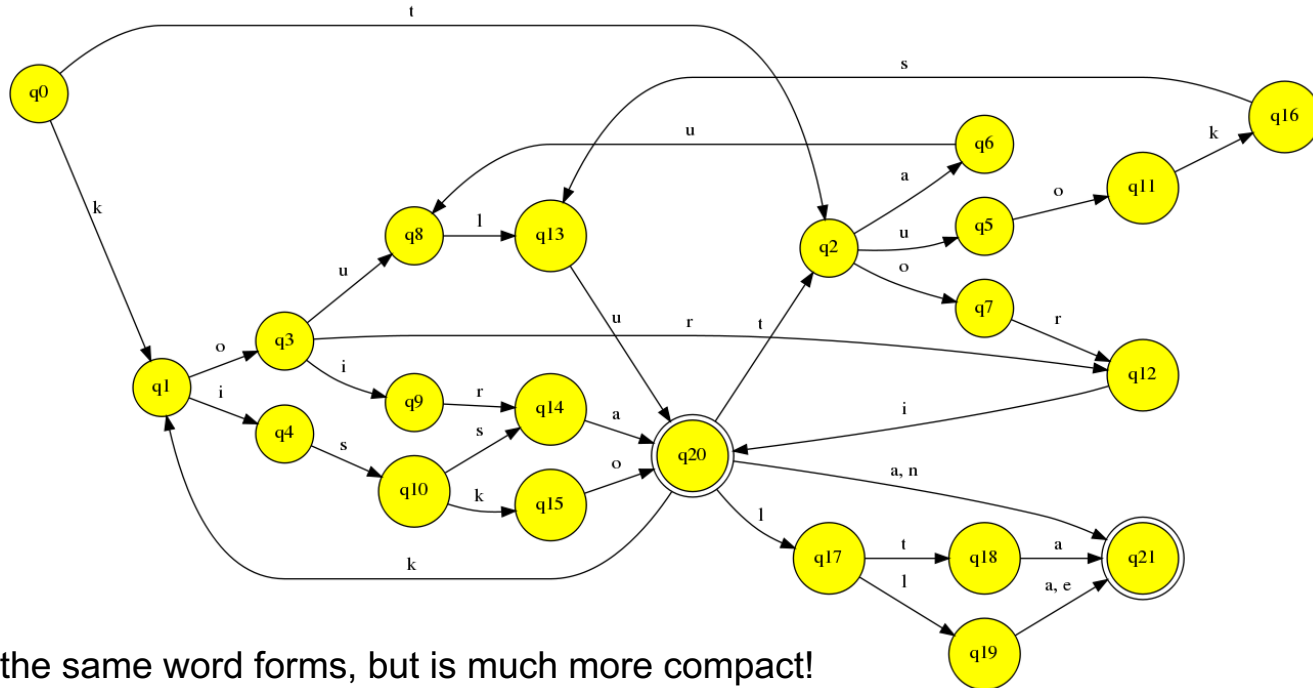


Accepts input strings such as: **kisko, kiskoa, kiskolla, kiskolle, kissa, kissaa, kissakoulu, ...**

The epsilon transition is written as "00" and does not consume any input.



# OPTIMIZED FINITE STATE AUTOMATON OF FINNISH NOUNS WITH CASE ENDINGS



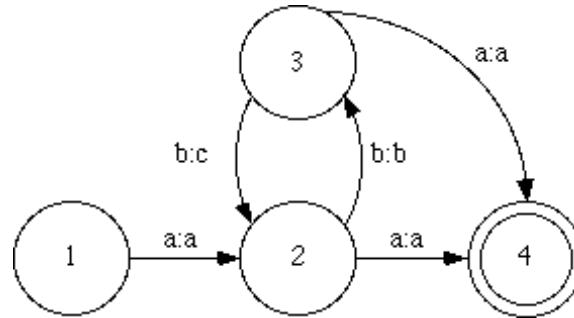
Accepts exactly the same word forms, but is much more compact!

Produced using algorithms for **epsilon removal**, **determinization** and **minimization** of finite state networks.



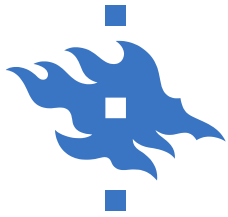
# FINITE-STATE TRANSDUCER

A finite-state transducer (FST) is a finite automaton for which each transition has an input label and an output label.

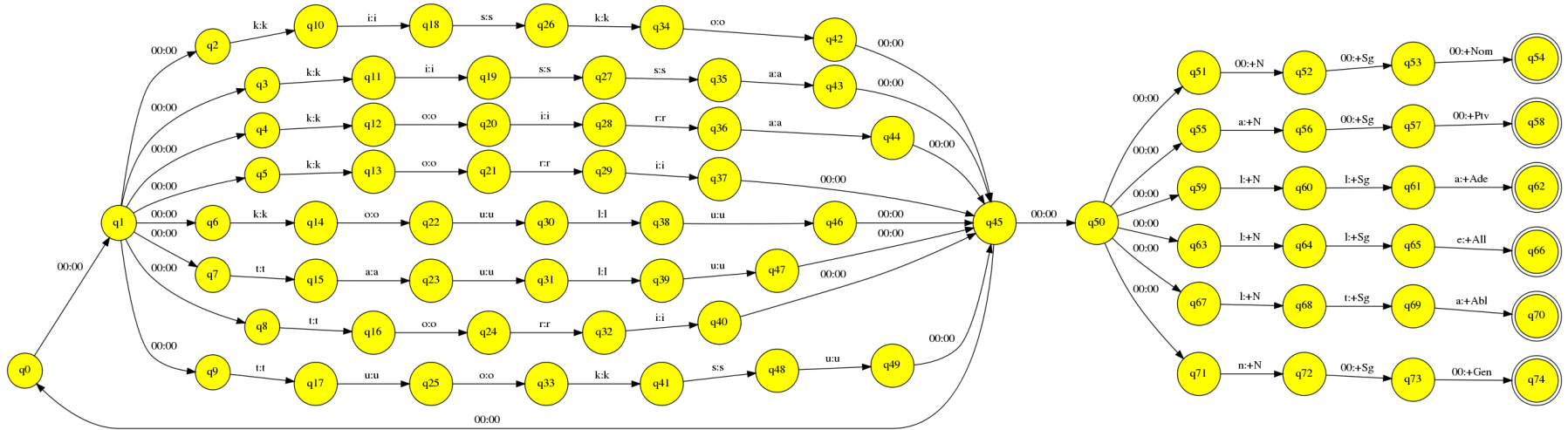


It recognizes whether the two strings are valid correspondences (or translations) of each other.

From: [http://www-01.sil.org/pckimmo/v2/doc/Rules\\_2.html](http://www-01.sil.org/pckimmo/v2/doc/Rules_2.html)



# FINITE STATE TRANSDUCER FOR SOME FINNISH NOUNS WITH CASE ENDINGS

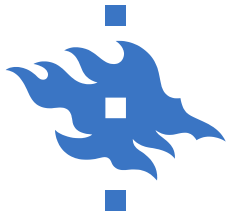


Transduces (translates) between word forms as input and morphological analyses as output:

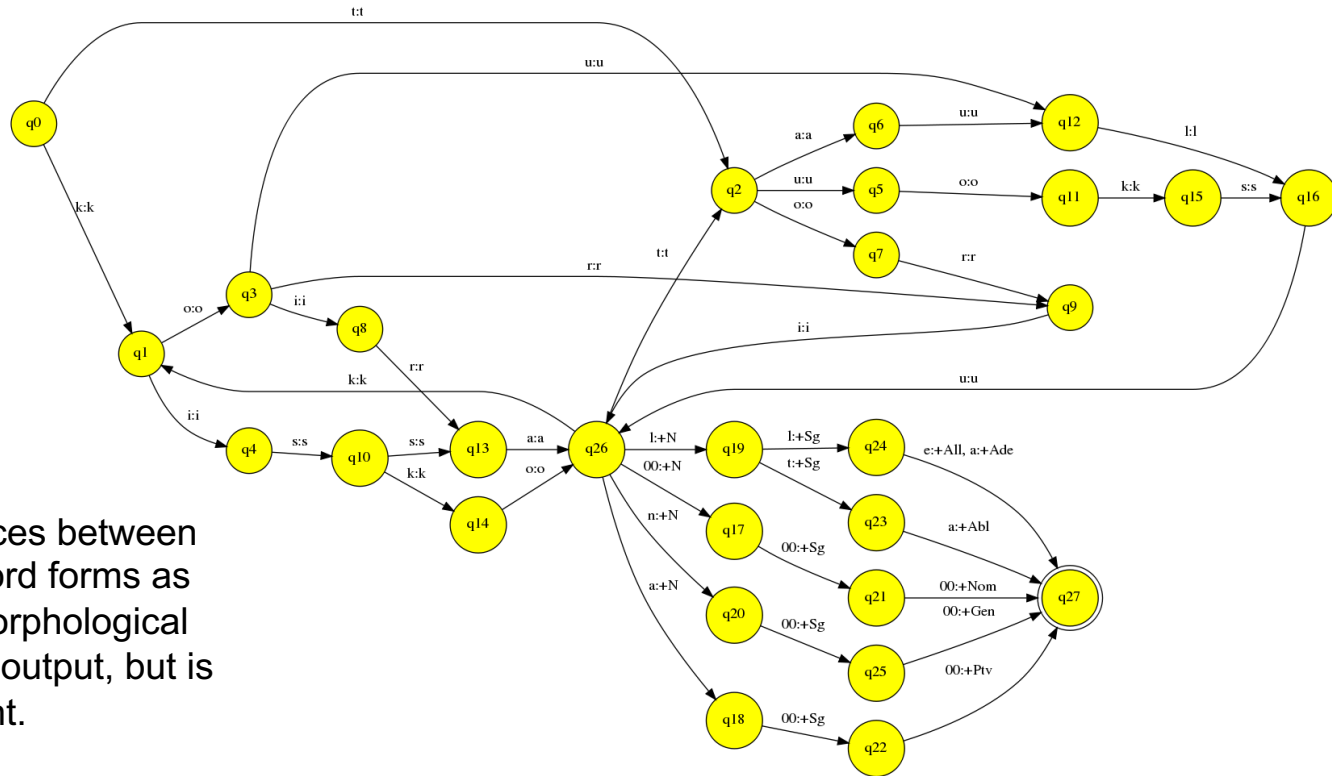
Input: **kisko** → Output: **kisko+N+Sg+Nom**  
 Input: **kiskoa** → Output: **kisko+N+Sg+Ptv**  
 Input: **kiskolla** → Output: **kisko+N+Sg+Ade**

Input: **koululle** → Output: **koulu+N+Sg+All**  
 Input: **kissakoulua** → Output: **kissakoulu+N+Sg+Ptv**  
 ...





# OPTIMIZED FINITE STATE TRANSDUCER FOR FINNISH NOUNS WITH CASE ENDINGS



Still transduces between the same word forms as input and morphological analyses as output, but is more efficient.



# MORPHOLOGICAL ANALYSIS VS. GENERATION

- You have seen how a finite state transducer can be used as a **morphological analyzer**:

Input: **kisko** → Output: **kisko+N+Sg+Nom**

Input: **kiskoa** → Output: **kisko+N+Sg+Ptv**

Input: **kiskolla** → Output: **kisko+N+Sg+Ade**

Input: **koululle** → Output: **koulu+N+Sg>All**

Input: **kissakoulua** → Output: **kissakoulu+N+Sg+Ptv**

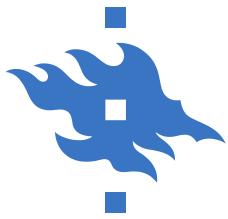
...

- A **morphological generator** is simple to produce by inverting the transducer, such that input becomes output and vice versa:

Input: **kisko+N+Sg+Ade** → Output: **kiskolla**

Input: **koulu+N+Sg+Ptv** → Output: **koulua**

...

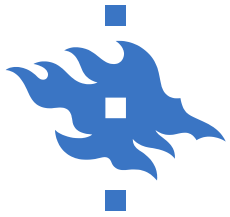


# EXAMPLE OF SUPERVISED MACHINE LEARNING: MORPHOLOGICAL REINFLECTION

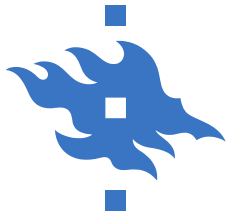
- Learn morphological inflection patterns from tagged, incomplete data.

Cases \ Numbers	Singular	Plural	Cases \ Numbers	Singular	Plural
Nominative	<b>susi</b>	<b>sudet</b>	Nominative	<b>käsi</b>	?
Genitive	<b>suden</b>	?	Genitive	<b>käden</b>	<b>käsien, käten</b>
Partitive	<b>sutta</b>	<b>susia</b>	Partitive	?	?
Inessive	<b>sudessa</b>	?	Inessive	<b>kädessä</b>	<b>käsissä</b>
Elative	?	<b>susista</b>	Elative	<b>kädestä</b>	?
Illative	<b>suteen</b>	<b>susiin</b>	Illative	?	<b>käsiin</b>
Adessive	?	?	Adessive	<b>kädellä</b>	?

- Check out the SIGMORPHON shared tasks: <https://sigmorphon.github.io/sharedtasks/2019/>



# APPROACH 3: SEGMENTATION



# MORPHOLOGICAL SEGMENTATION

- Suitable for agglutinative languages; problems with fusional languages.
- Applications that need only the surface forms:
  - speech recognition, text prediction, language identification, etc.
- Can be considered as a labeling problem:

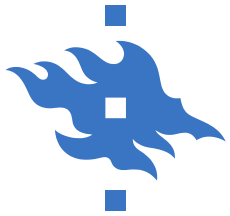
Binary labels for boundaries:

1	0	1	0	0	0	0	0	1	1	0	0	0	1	
#	u	n	r	e	l	a	t	e	d	n	e	s	s	#

BIES label set:

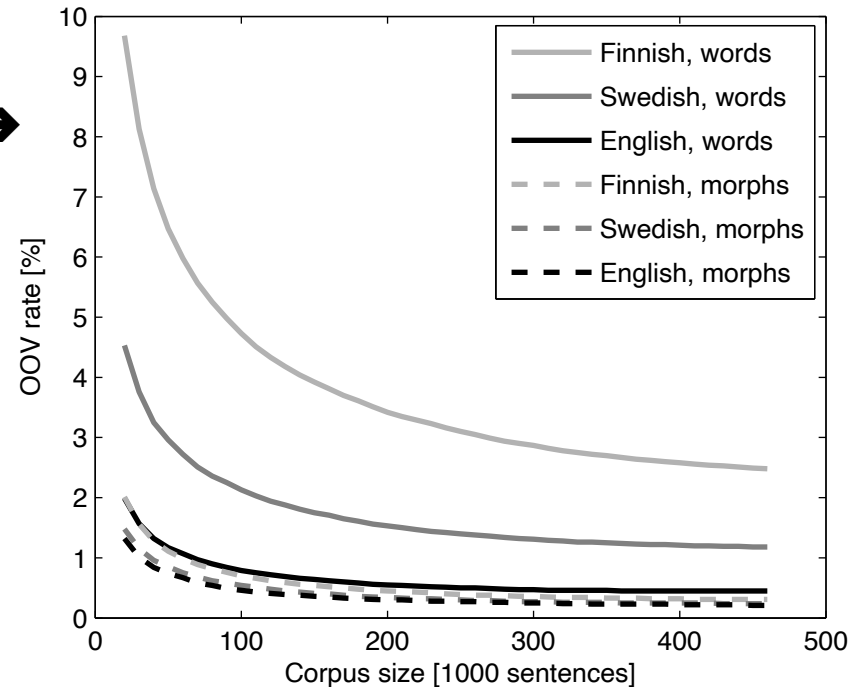
B	E	B	I	I	I	I	E	S	B	I	I	E		
#	u	n	r	e	l	a	t	e	d	n	e	s	s	#

- A related task is word segmentation for languages written without spaces between words; e.g., Chinese word segmentation.



# EFFECT OF MORPH-LEVEL MODELING

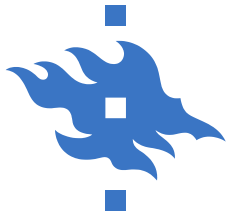
- Proportion of **out-of-vocabulary (OOV)** units in different languages as a function of the training corpus size, estimated from the Europarl corpus
- By using morphs instead of words as basic units in the NLP system, the OOV rate is reduced.





## Morphological segmentation: **SUPERVISED LEARNING**

- Train a model that predicts the label  $y_i$  of the current character  $x_i$  given the characters and the previous labels:  $P(y_i | (x_0, \dots, x_n); (y_0, \dots, y_{i-1}))$
- E.g., Hidden Markov Models, Conditional Random Fields

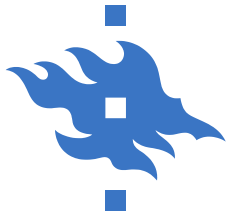


Morphological segmentation:

# UNSUPERVISED LEARNING, METHOD 1

- **Zellig Harris** proposed the first(?) unsupervised morpheme segmentation algorithm (1955)
- Computer experiment carried out in 1967
  - Test data consisted of 48 words...
- Principle:
  - Morpheme boundaries are proposed at intra-word locations with a **peak** in **successor** and **predecessor variety**.
  - Demonstrated on the next slides.





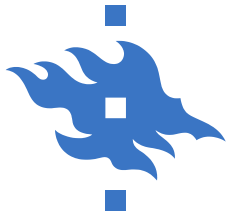
Zellig Harris's morpheme segmentation model:  
**SUCCESSOR VARIETY**

**Test word:**  
readable

**Corpus:**  
able  
ape  
beatable  
fixable  
read  
readable  
reading  
reads  
red  
rope  
ripe

Prefix	Successor variety

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



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Prefix	Successor variety	
r	3	e, o, i

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



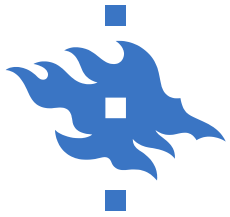
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r	3	e, o, i
re	2	a, d

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rea	1	d

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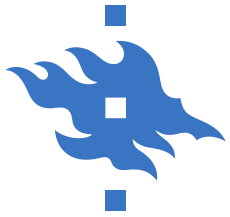
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re	2	a, d
rea	1	d
read	3*	a, i, s

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Prefix	Successor variety	
r	3	e, o, i
re	2	a, d
rea	1	d
read	3*	a, i, s
reada	1	b

← peak here  
successor  
variety  
higher than  
before and  
after

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



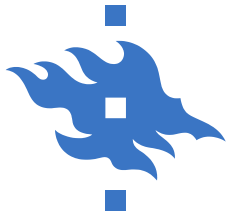
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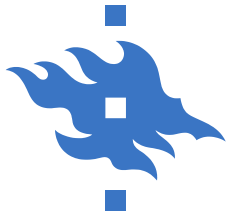
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readable	1*	-

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



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Suffix	Predecessor variety

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



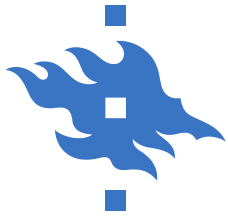
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Suffix	Predecessor variety	
e	2	l, p

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



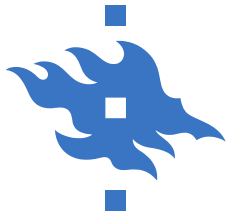
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Suffix	Predecessor variety	
e	2	l, p
le	1	b

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



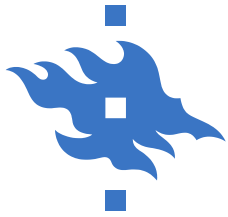
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Suffix	Predecessor variety	
e	2	l, p
le	1	b
ble	1	a

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



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**Test word:**  
readable

**Corpus:**  
\_able  
ape  
beatable  
fixable  
read  
readable  
reading  
reads  
red  
rope  
ripe

Suffix	Predecessor variety	
e	2	l, p
le	1	b
ble	1	a
able	3*	d, t, x

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



# Zellig Harris's morpheme segmentation model: **PREDECESSOR VARIETY**

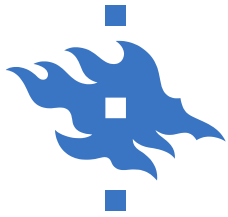
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ripe

Suffix	Predecessor variety	
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le	1	b
ble	1	a
able	3*	d, t, x
dable	1	a

← peak here  
predecessor  
variety  
higher than  
before and  
after

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



# Zellig Harris's morpheme segmentation model: **PREDECESSOR VARIETY**

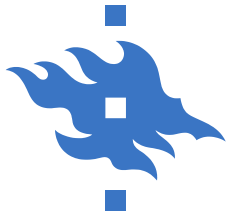
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Suffix	Predecessor variety	
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le	1	b
ble	1	a
able	3*	d, t, x
dable	1	a
adable	1	e

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)





# Zellig Harris's morpheme segmentation model: **PREDECESSOR VARIETY**

**Test word:**  
readable

**Corpus:**  
able  
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readable  
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Suffix	Predecessor variety	
e	2	l, p
le	1	b
ble	1	a
able	3*	d, t, x
dable	1	a
adable	1	e
eadable	1	r

From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



# Zellig Harris's morpheme segmentation model: **PREDECESSOR VARIETY**

**Test word:**  
readable

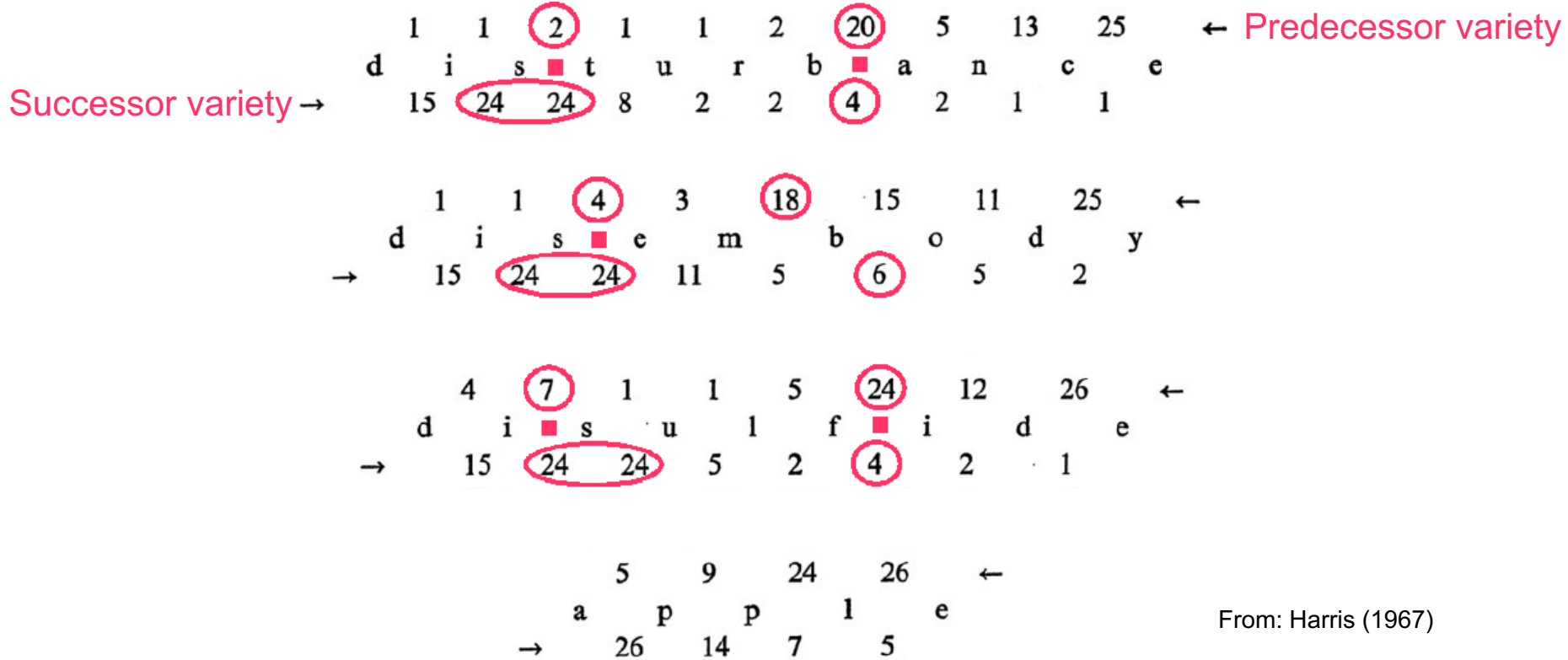
**Corpus:**  
able  
ape  
beatable  
fixable  
read  
readable  
reading  
reads  
red  
rope  
ripe

Suffix	Predecessor variety	
e	2	l, p
le	1	b
ble	1	a
able	3*	d, t, x
dable	1	a
adable	1	e
eadable	1	r
readable	1*	-

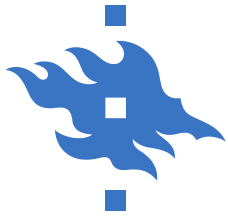
From: Hafer & Weiss: Word segmentation by letter successor varieties (1974)



# Zellig Harris's morpheme segmentation model: INSERT A BOUNDARY WHERE THE PEAKS "MEET"



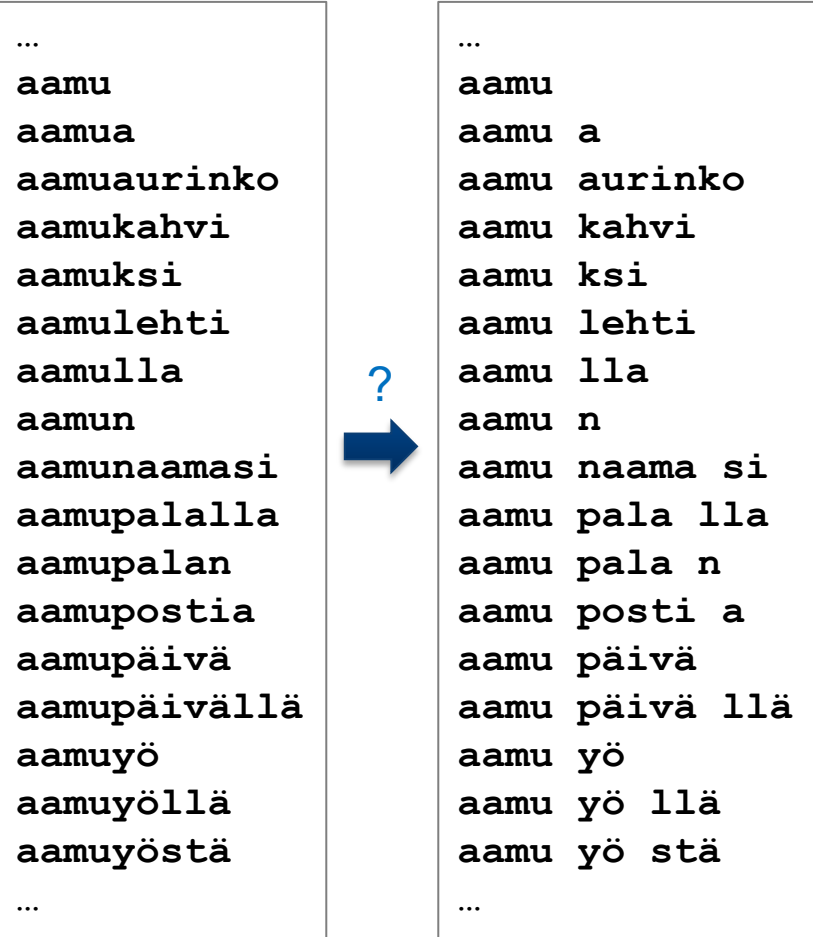
From: Harris (1967)



Morphological segmentation:

# UNSUPERVISED LEARNING, METHOD 2

- We want to send a vocabulary (= word list) of some language over a channel with limited band-width.
- We want to compress the vocabulary.
- What regularities can we exploit?
- What about morphemes, the smallest meaning-bearing units of language?
- The method is called *Morfessor* (Creutz & Lagus, 2002)

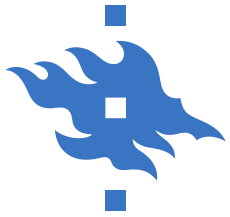




Morfessor:

## TWO-PART CODE

- Instead of sending over the vocabulary as it is, we split it into two parts:
  1. a fairly compact lexicon of morphs: “aamu”, “aurinko”, “ksi”, “lla”, ...
  2. the word vocabulary expressed as sequences of morphs



Morfessor:

## TWO-PART CODE

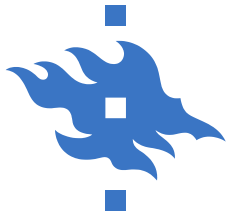
- Instead of sending over the vocabulary as it is, we split it into two parts:
  1. a fairly compact lexicon of morphs: “aamu”, “aurinko”, “ksi”, “lla”, ...
  2. the word vocabulary expressed as sequences of morphs
- Since we are doing unsupervised learning, we do not know the correct answer.
- Our target is to **minimize the combined code length** of:
  1. the code length of the morph lexicon
  2. plus the code length of the word vocabulary expressed using the morph lexicon.



Morfessor:

## TWO-PART CODE

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- Our target is to **minimize the combined code length** of:
  1. the code length of the morph lexicon
  2. plus the code length of the word vocabulary expressed using the morph lexicon.
- There are two theories that operate on two-part codes like this:
  - (Two-part code version of) **Minimum Description Length (MDL)**
  - **Minimum Message Length (MML)**

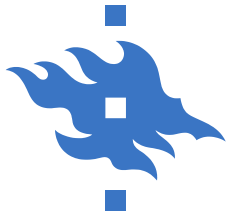


Morfessor:

# CODE LENGTH OF THE MORPH LEXICON

- Let us assume, for simplicity, that there are 32 different letters in our alphabet.
- This means we need 5 bits to encode one letter, because  $2^5 = 32$ :
  - The letter 'a' could have the code 00000.
  - The letter 'b' could have the code 00001.
  - The letter 'c' could have the code 00010.
  - The letter 'd' could have the code 00011, etc.

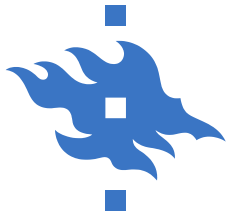




Morfessor:

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  - The letter 'c' could have the code 00010.
  - The letter 'd' could have the code 00011, etc.
- We could send over a four-morph lexicon as the following string:  
**aamu#aurinko#ksi#lla##** (binary: 000000000001000 ...)
- Here we use the hash tag '#' as a morph separator and use two hash tags '##' to indicate that the lexicon ends.
- The lexicon string contains 22 characters.
- Thus, the code length of this lexicon is  $22 * 5 \text{ bits} = 110 \text{ bits}$ .



Morfessor:

# CODE LENGTH OF THE CORPUS (1)

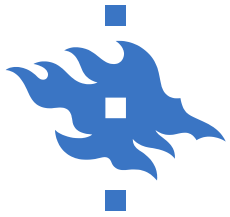
- Each word in our word vocabulary (or hereafter called **corpus**) is expressed as a concatenation of morphs:
  - **aamu** is expressed as *Morph1 + EoW* (= End of Word)
  - **aamuksi** is expressed as *Morph1 + Morph3 + EoW*
  - **aamulla** is expressed as *Morph1 + Morph4 + EoW*
  - **aamuaurinko** is expressed as *Morph1 + Morph2 + EoW*
- How are the symbols (or "variables") *Morph1*, *Morph2*, etc encoded?



Morfessor:

## CODE LENGTH OF THE CORPUS (2)

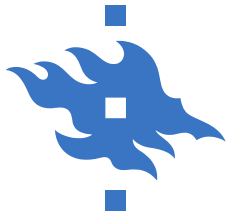
- For instance, if there were 64 different morphs, and all morphs were as frequently used, we could use a fixed 6-bit code for every morph (because  $2^6 = 64$ ).
  - The first morph would have the code 000000.
  - The second morph would have the code 000001.
  - The third morph would have the code 000010.
  - The fourth morph would have the code 000011, etc.



Morfessor:

## CODE LENGTH OF THE CORPUS (2)

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  - The first morph would have the code 000000.
  - The second morph would have the code 000001.
  - The third morph would have the code 000010.
  - The fourth morph would have the code 000011, etc.
- However, the morph distribution of a natural language is not uniform at all:
  - Some morphs are very frequent, such as 'ksi' and 'lla'.
  - Other morphs are infrequent, such as 'aurinko'.



Morfessor:

## CODE LENGTH OF THE CORPUS (3)

- Suppose that our morph-segmented “corpus” (= word vocabulary) consists of 8 words and looks like this.
  - The underscore ‘\_’ represents the end-of-word morph.

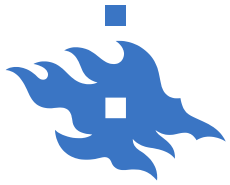


```
aamu aurinko a _  
aamu ksi ko _  
aamu lla kin han _  
aamu pala lla _  
pala a _  
pala ksi _  
posti n kulje t us _  
suu pala _
```

- In this segmentation there are 32 morph **tokens**, representing 16 different morph **types**.
  - The morph frequencies are as follows:



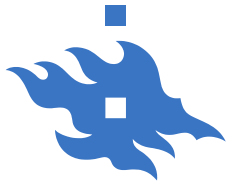
8 _	1 kulje
2 a	2 lla
4 aamu	1 n
1 aurinko	4 pala
1 han	1 posti
1 kin	1 suu
1 ko	1 t
2 ksi	1 us



Morfessor:

# CODE LENGTH OF THE CORPUS (4)

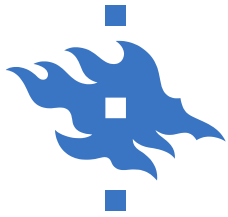
- It turns out that the optimal code length of a symbol is the **negative logprob** (with base 2) of the symbol in the data.
  - The probability of a symbol is the frequency of the symbol in the data divided by the total frequency of all symbols in the data.
    - For instance,  $Prob(\text{"aamu"}) = 4/32 = 1/8 = 0.125$ .
  - The negative logprob of a symbol is:  $-\log_2 Prob(\text{symbol})$ 
    - For instance,  $neglogprob(\text{"aamu"}) = -\log_2 1/8 = \log_2 8 = 3$  (because  $2^3 = 8$ )
  - Frequent morphs will have shorter codes than rare morphs.



Morfessor:

# CODE LENGTH OF THE CORPUS (4)

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    - For instance,  $neglogprob(\text{"aamu"}) = -\log_2 1/8 = \log_2 8 = 3$  (because  $2^3 = 8$ )
  - Frequent morphs will have shorter codes than rare morphs.
- The code needs to be a so-called **prefix code** in order to be unambiguous:
  - When symbols have different code lengths, it must be clear to the decoder at every time how many bits to expect for that symbol.
  - For instance, if there is one symbol that has code length = 2, then it could have the code '00'.
  - This means that no other symbol is allowed to have a code that starts with '00', because then this prefix would be ambiguous, and the system would not know when the whole symbol has been read.
- Let's do the maths for our morph set...



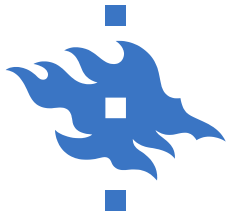
Morfessor:

# CODE LENGTH OF THE CORPUS (5)

Morph	Frequency	Probability	Neglogprob	Binary prefix code	Morph	Frequency	Probability	Neglogprob	Binary prefix code
_	8	0.25	2	<u>00</u>	kin	1	0.03125	5	<u>11</u> 000
aamu	4	0.125	3	<u>010</u>	ko	1	0.03125	5	<u>11</u> 001
pala	4	0.125	3	<u>011</u>	kulje	1	0.03125	5	<u>11</u> 010
a	2	0.0625	4	<u>1000</u>	n	1	0.03125	5	<u>11</u> 011
ksi	2	0.0625	4	<u>1001</u>	posti	1	0.03125	5	<u>11</u> 100
lla	2	0.0625	4	<u>1010</u>	suu	1	0.03125	5	<u>11</u> 101
aurinko	1	0.03125	5	<u>10110</u>	t	1	0.03125	5	<u>11</u> 110
han	1	0.03125	5	<u>10111</u>	us	1	0.03125	5	<u>11</u> 111

In the “Binary prefix code” columns above I have underlined the part of the code, after which the decoder knows how long the code for that symbol is.





Morfessor:

## CODE LENGTH OF THE CORPUS (6)

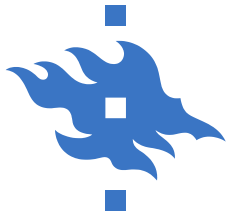
- The code for our corpus is thus:  
0101011010000001010011100100 ...

- The total code length of the corpus is:

$$\begin{aligned} & 8 * 2 \text{ bits} + (4 + 4) * 3 \text{ bits} \\ & + (2 + 2 + 2) * 4 \text{ bits} + 10 * 5 \text{ bits} \\ & = 114 \text{ bits} \end{aligned}$$

```
aamu aurinko a _  
aamu ksi ko _  
aamu lla kin han _  
aamu pala lla _  
pala a _  
pala ksi _  
posti n kulje t us _  
suu pala _
```

8 _	1 kulje
2 a	2 lla
4 aamu	1 n
1 aurinko	4 pala
1 han	1 posti
1 kin	1 suu
1 ko	1 t
2 ksi	1 us



## Morfessor: **TO CONSIDER**

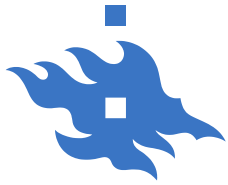
- In real situations, we don't get tidy integer-number code lengths, such as 2, 3, 4 in the example above.
- Instead, we can get any real-valued number of bits, such as 5.37 or 1.111.
  - There is a proof by Jorma Rissanen (the inventor of MDL) that this does not matter.
- Also, the base of the logarithm does not matter either: we don't have to calculate in bits (with base 2), but can use **nats** (with base  $e$  for the natural logarithm).
- Furthermore, we are not really interested in the actual codes of our symbols, because we are not building an encoder/decoder.
  - We use this encode-decode methodology as a “metaphor” to learn a morph segmentation in an unsupervised way.
  - Maximum A Posteriori (MAP) optimization is a fully equivalent method that does not deal with code lengths at all, just plain probabilities.
- Also on the lexicon side, we could have used variable-length codes instead of fixed-length codes for the letters of the alphabet.
- There are other parts of the mathematical formulation that I have been left out, for simplicity.



Morfessor:

# HOW TO FIND THE BEST SEGMENTATION

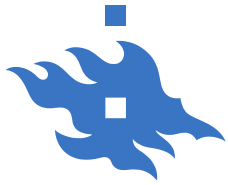
- We use a **search algorithm** that tests different morph segmentations and calculates the two-part code length: code length of lexicon plus code length of corpus.
- The algorithm stops when it has reached a minimum, the shortest code length it can find.



Morfessor:

# DIFFERENT MORPH SPLITTING SCENARIOS

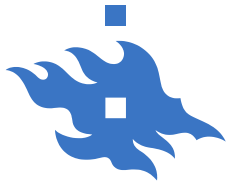
1. The algorithm splits every word into individual letters, such as: `a a m u p a l a`
  - The code length of the lexicon will be very small, because it only contains 32 morphs: every letter of the alphabet is its own morph.
  - The code length of the corpus will be large, because it consists of a very high number of morph symbols.
  - As a consequence, the combined code length will be fairly large.



Morfessor:

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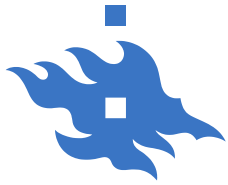
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  - The code length of the corpus will be large, because it consists of a very high number of morph symbols.
  - As a consequence, the combined code length will be fairly large.
2. The algorithm does not split any word at all; each word is its own morph, such as `aamupa1a`.
  - The code length of the corpus will be fairly small, because it contains the smallest number of morph symbols possible.
  - The code length of the lexicon will be large, because every word form is there as its own morph.
  - As a consequence, the combined code length will be fairly large.



Morfessor:

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  - The code length of the lexicon will be large, because every word form is there as its own morph.
  - As a consequence, the combined code length will be fairly large.
3. Balanced morph splitting, such as: **aamu pa1a.**
  - The shortest combined code length is achieved by an optimal balance (a “compromise”): not the shortest possible lexicon, nor the shortest possible representation of the corpus.

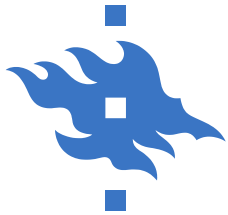


Morfessor:

## DOES THIS WORK?

English example output from (the earliest context-insensitive version of) *Morfessor*, which corresponds fairly closely to the model described above:

abandon ed	absolute	differ	present ed
abandon ing	absolute ly	differ ence	present ing
abb	absorb	differ ence s	present ly
abb y	absorb ing	differ ent	present s
ab del	absurd	differ ent ial	pre serve
able	absurd ity	differ ent ly	pre serve s
ab normal	ab t	differ ing	provide s
a board	a bu	difficult	pro vi d ing
ab out	abuse	difficult ies	pull ed
a broad	abuse d	difficult y	pull ers
ab rupt ly	abuse r s	dig	pull ing
ab s ence	abuse s	dig est	pump
ab s ent	ab y s s	dig it al	pump ed
ab s ent ing	ac cent	dig li pur	pump ing

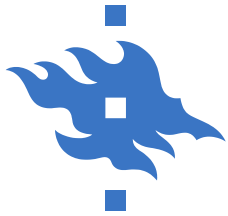


# Morfessor: ERROR ANALYSIS

Morphs that make sense in some context appear in **contexts where they don't really belong**. There are also instances of **over-** and **under-**segmentation.

abandon ed	absolute	differ	present ed
abandon ing	absolute ly	differ ence	present ing
abb	absorb	differ ence s	present ly
abb <b>y</b>	absorb ing	differ ent	present s
ab del	absurd	differ ent ial	pre serve
able	absurd ity	differ ent ly	pre serve s
ab normal	<b>ab t</b>	differ ing	provide s
a board	a bu	difficult	<b>pro vi <b>d</b> ing</b>
ab <b>out</b>	abuse	difficult <b>ies</b>	pull ed
a broad	abuse d	difficult y	pull <b>ers</b>
ab rupt ly	abuse r s	dig	pull ing
<b>ab s</b> ence	abuse s	dig <b>est</b>	pump
<b>ab s</b> ent	<b>ab y s s</b>	dig it al	pump ed
<b>ab s</b> ent ing	ac cent	dig li pur	pump ing





# Morfessor: IMPROVED MODEL

Software available at:  
<http://www.cis.hut.fi/projects/morpho/>

- A later context-sensitive version of Morfessor introduces three categories: stem (STM), prefix (PRE) and suffix (SUF) that each morph must belong to.
- A word form must have the structure of the following regular expression: ( PRE\* STM SUF\* )+
- From the updated examples below, you can see that many issues have been fixed, but the model is still fairly crude; for instance, it suggests two consecutive s-suffixes in the word “abyss”: aby s s.

abandon/STM ed/SUF  
abandon/STM ing/SUF  
abb/STM  
abby/STM  
abdel/STM  
able/STM  
ab/STM normal/STM  
aboard/STM  
about/STM  
abroad/STM  
abrupt/STM ly/SUF  
absence/STM  
absent/STM  
absent/STM ing/SUF

absolute/STM  
absolute/STM ly/SUF  
absorb/STM  
absorb/STM ing/SUF  
absurd/STM  
absurd/STM ity/SUF  
abt/STM  
abu/STM  
abuse/STM  
abuse/STM d/SUF  
ab/STM users/STM  
abuse/STM s/SUF  
aby/STM s/SUF s/SUF  
accent/STM

differ/STM  
differ/STM ence/STM  
differ/STM ence/STM s/SUF  
different/STM  
differential/STM  
different/STM ly/SUF  
differ/STM ing/SUF  
difficult/STM  
difficult/STM i/SUF es/SUF  
difficult/STM y/SUF  
dig/STM  
digest/STM  
digital/STM  
diglipur/STM

present/STM ed/SUF  
present/STM ing/SUF  
present/STM ly/SUF  
present/STM s/SUF  
preserv/STM e/SUF  
preserv/STM e/SUF s/SUF  
provide/STM s/SUF  
provi/STM ding/STM  
pull/STM ed/SUF  
pull/STM er/SUF s/SUF  
pull/STM ing/SUF  
pump/STM  
pump/STM ed/SUF  
pump/STM ing/SUF



Pragmatic segmentation approach:

## METHOD 3: BYTE PAIR ENCODING (BPE)

- Simple data compression algorithm (like Morfessor)
- Repeat in multiple steps: The *most common* pair of consecutive bytes (characters) of data is replaced with a byte (character) that does not occur within that data:

1. aaabdaaabac

2. Z = aa → ZabdZabac

3. Y = ab, Z = aa → ZYdZYac

4. X=ZY, Y = ab, Z = aa → XdXac

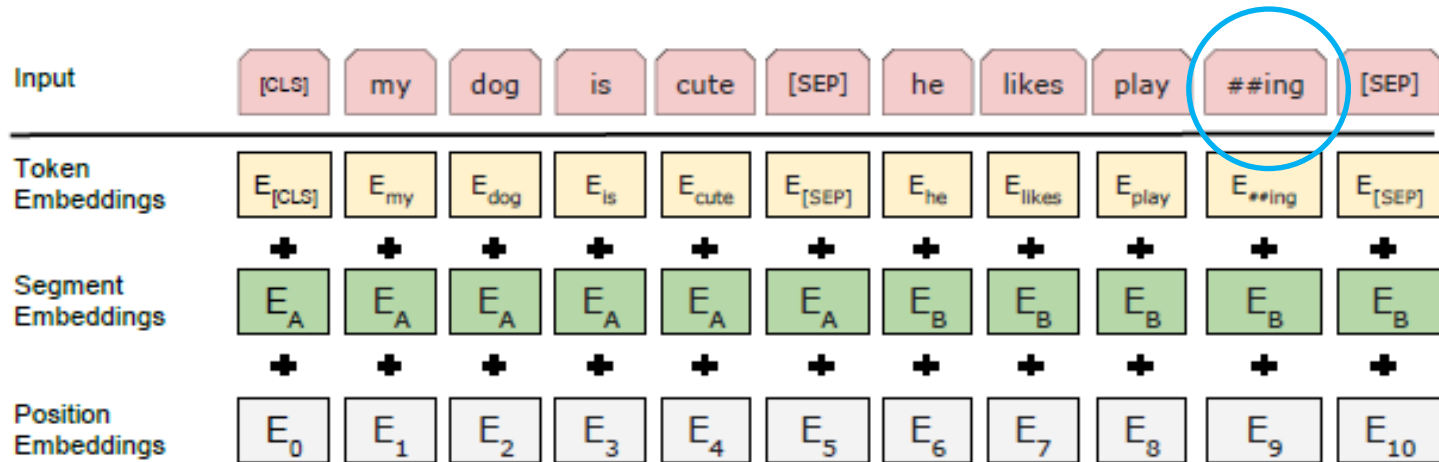
- Stop when you have reached the number of **subword units** you want or when there is no byte pair that occurs more than once.

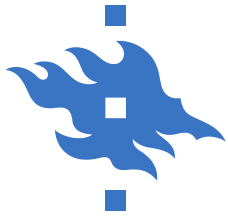
For more info, see Wikipedia, Philip Gage (1994) or Sennrich, Haddow, and Birch (2016).



# SUBWORD UNITS OBTAINED USING BPE OFTEN USED AS INPUT VECTORS TO NEURAL NETWORKS

- For instance, the widely used neural language model BERT creates input embeddings based on a BPE segmentation, even for English input:



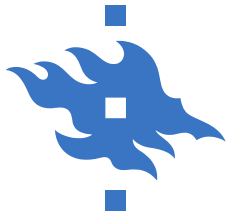


Extension of BPE:

# METHOD 3++: SENTENCE PIECE

- Supports two segmentation algorithms: BPE and a unigram language model
- **Whitespace is treated as a basic symbol**
  - *Raw text:* Hello\_world.
  - *Tokenized:* [Hello] [\_wor] [ld] [.]
- *Raw text:* こんにちは世界。(Hello world.)
- *Tokenized:* [こんにちは] [世界] [。]

For more info, see <https://github.com/google/sentencepiece>



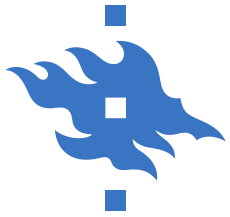
Extension of BPE:

# METHOD 3++: SENTENCEPIECE

- Sampling of multiple alternatives

```
>>> import sentencepiece as spm
>>> s = spm.SentencePieceProcessor(model_file='spm.model')
>>> for n in range(5):
...   s.encode('New York', out_type=str, enable_sampling=True, alpha=0.1, nbest=-1)
...
['_', 'N', 'e', 'w', '_York']
['_', 'New', '_York']
['_', 'New', '_Y', 'o', 'r', 'k']
['_', 'New', '_York']
['_', 'New', '_York']
```

For more info, see <https://github.com/google/sentencepiece>



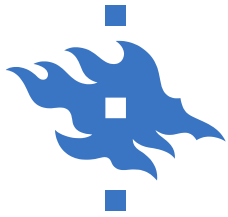
# APPROACH 4: IMPLICIT MODELING



# FASTTEXT: OVERLAPPING SUB-WORD SEGMENTS

- The *fastText* model is based on the *skipgram* model of the *word2vec* package.
- In *fastText*, word embeddings are created by summing overlapping subword vectors together.
- Also a vector for the whole word is included, if available (not possible for OOV words).

Piotr Bojanowski, Edouard Grave, Armand Joulin and Tomas Mikolov: [Enriching Word Vectors with Subword Information](#). Transactions of the Association for Computational Linguistics, Vol 5, 2017.



# FASTTEXT: OVERLAPPING SUB-WORD SEGMENTS

Each word  $w$  is represented as a bag of character  $n$ -gram. We add special boundary symbols  $<$  and  $>$  at the beginning and end of words, allowing to distinguish prefixes and suffixes from other character sequences. We also include the word  $w$  itself in the set of its  $n$ -grams, to learn a representation for each word (in addition to character  $n$ -grams). Taking the word *where* and  $n = 3$  as an example, it will be represented by the character  $n$ -grams:

`<wh, whe, her, ere, re>`

and the special sequence

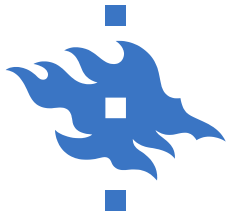
`<where>`.

Note that the sequence `<her>`, corresponding to the word *her* is different from the tri-gram `her` from the word *where*. In practice, we extract all the  $n$ -grams for  $n$  greater or equal to 3 and smaller or equal to 6.

- The *fastText* model is based on the *skipgram* model of the *word2vec* package.
- In *fastText*, word embeddings are created by summing overlapping subword vectors together.
- Also a vector for the whole word is included, if available (not possible for OOV words).

Piotr Bojanowski, Edouard Grave, Armand Joulin and Tomas Mikolov: [Enriching Word Vectors with Subword Information](#). Transactions of the Association for Computational Linguistics, Vol 5, 2017.



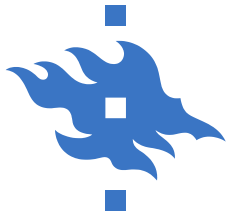


# FASTTEXT: OVERLAPPING SUB-WORD SEGMENTS

	word	<i>n</i> -grams		
DE	autofahrer	fahr	fahrer	auto
	freundeskreis	kreis	kreis>	<freun
	grundwort	wort	wort>	grund
	sprachschule	schul	hschul	sprach
	tageslicht	licht	gesl	tages
EN	anarchy	chy	<anar	narchy
	monarchy	monarc	chy	<monar
	kindness	ness>	ness	kind
	politeness	polite	ness>	eness>
	unlucky	<un	cky>	nlucky
	lifetime	life	<life	time
	starfish	fish	fish>	star
	submarine	marine	sub	marin
transform	trans	<trans	form	
FR	finirais	ais>	nir	fini
	finissent	ent>	finiss	<finis
	finissions	ions>	finiss	sions>

Table 6: Illustration of most important character *n*-grams for selected words in three languages. For each word, we show the *n*-grams that, when removed, result in the most different representation.

Piotr Bojanowski, Edouard Grave, Armand Joulin and Tomas Mikolov: [Enriching Word Vectors with Subword Information](#). Transactions of the Association for Computational Linguistics, Vol 5, 2017.



# FASTTEXT: OVERLAPPING SUB-WORD SEGMENTS

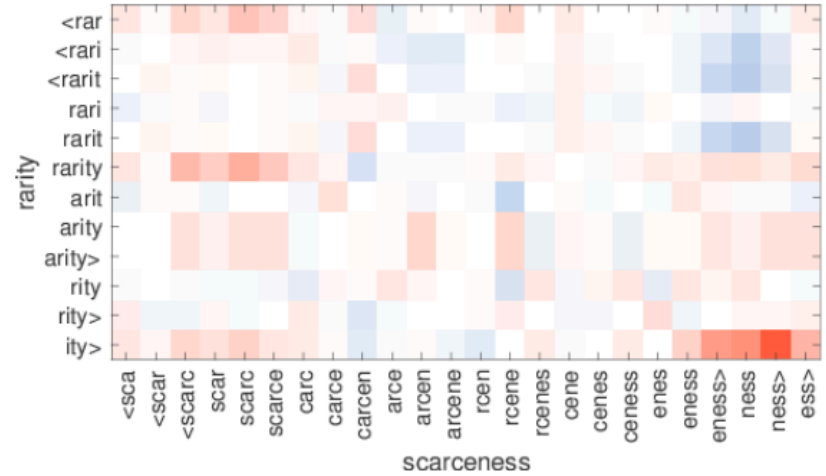
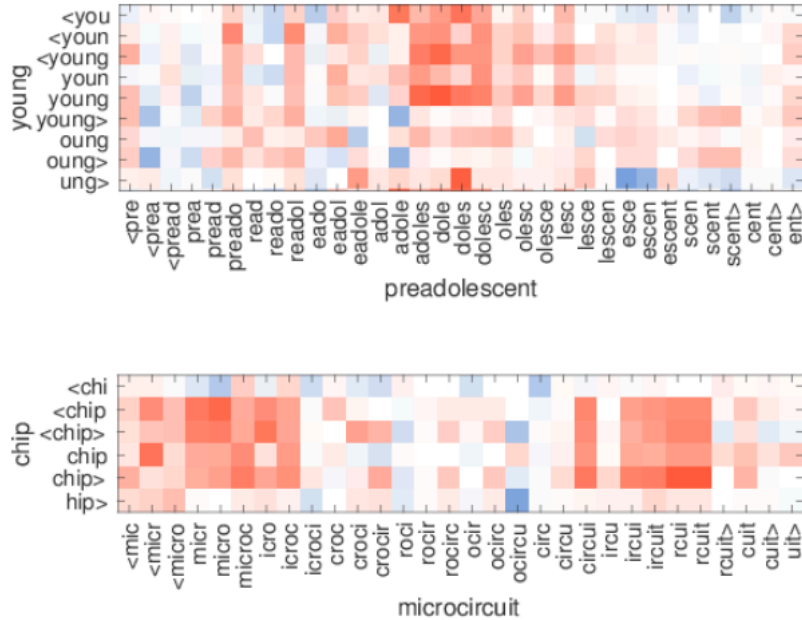
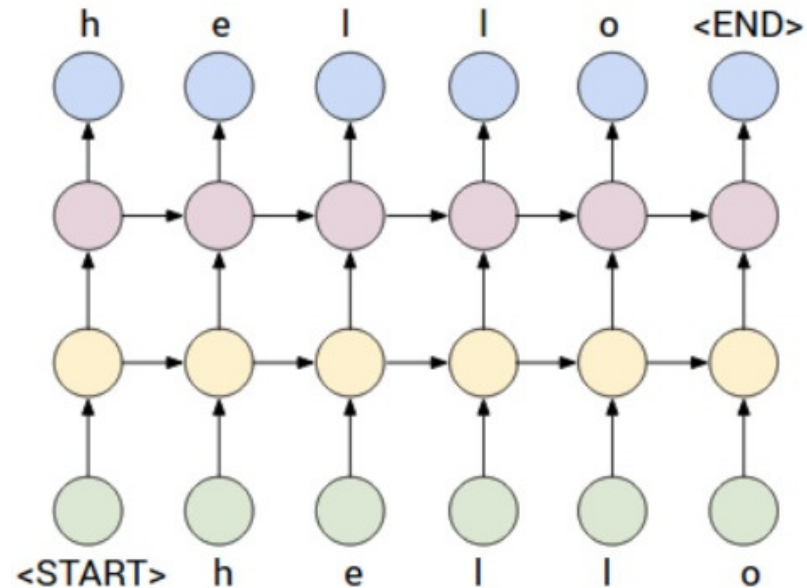


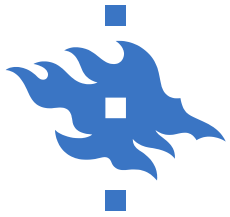
Figure 2: Illustration of the similarity between character  $n$ -grams in out-of-vocabulary words. For each pair, only one word is OOV, and is shown on the  $x$  axis. Red indicates positive cosine, while blue negative.



# CHARACTER-LEVEL EMBEDDINGS

- No morphology used!
- The neural network learns what it needs (hopefully...) about the internal structure of words.
- Each character (letter) is treated as its own "word" vector.
- Computationally heavy but some people believe this will be the standard approach in the future.





**THE END**



**THANK YOU!**