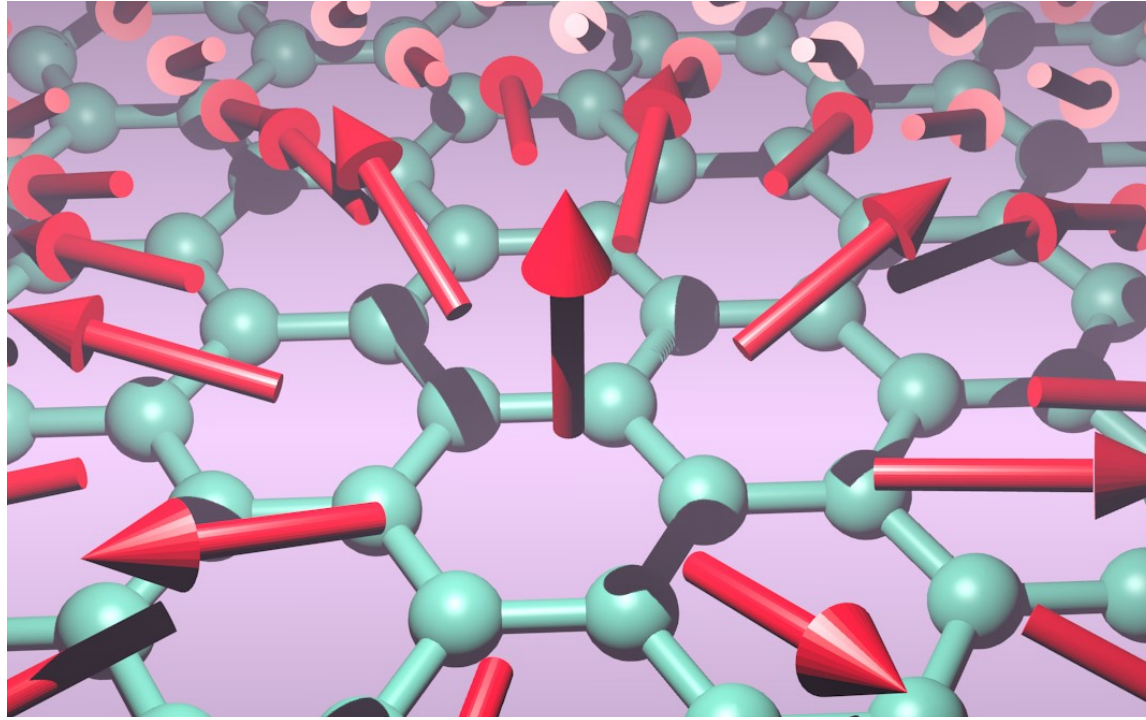


# Magnetism, magnons, quantum magnetism and spinons



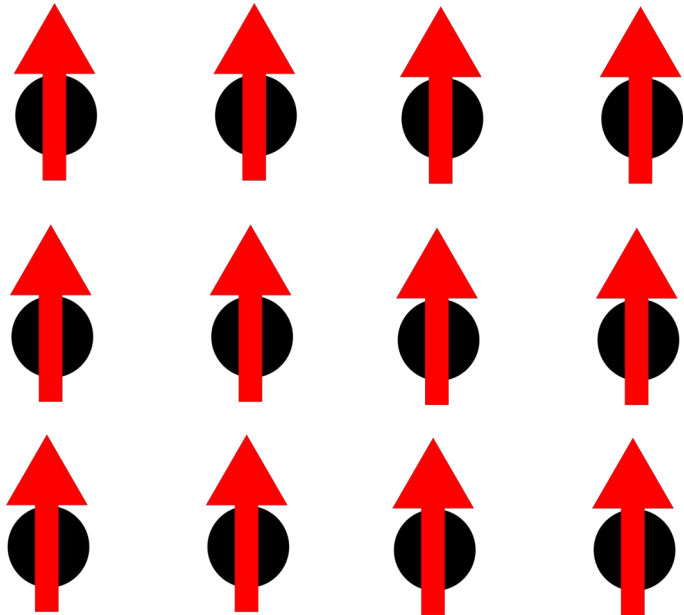
May 10<sup>th</sup> 2021

# Today's learning outcomes

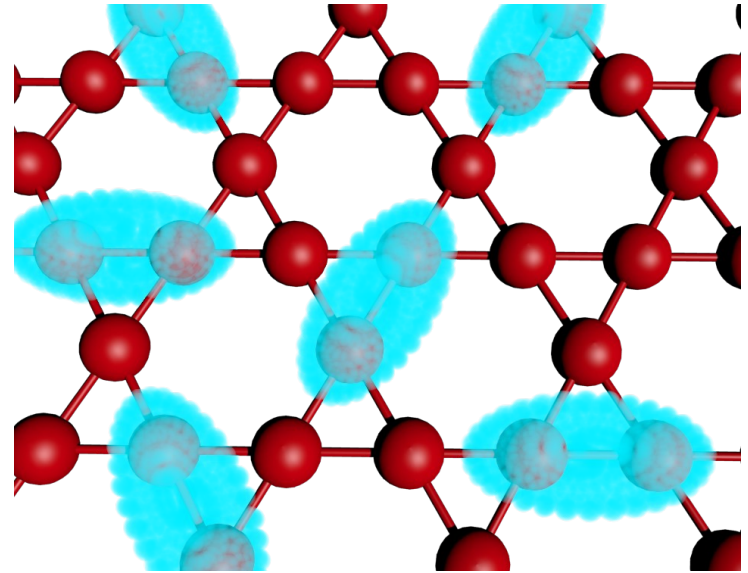
- The physical nature of magnetism
- The quantum excitations of ordered magnets
- The basics of quantum spin-liquids
- The quantum excitations of quantum spin-liquids

# Today's materials

Ferromagnet & antiferromagnets

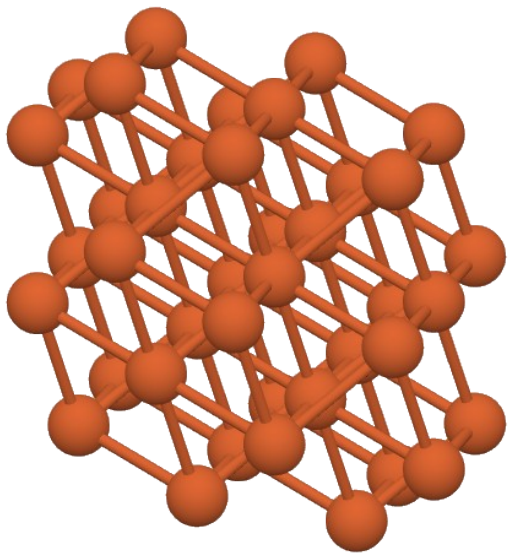


Quantum spin-liquids



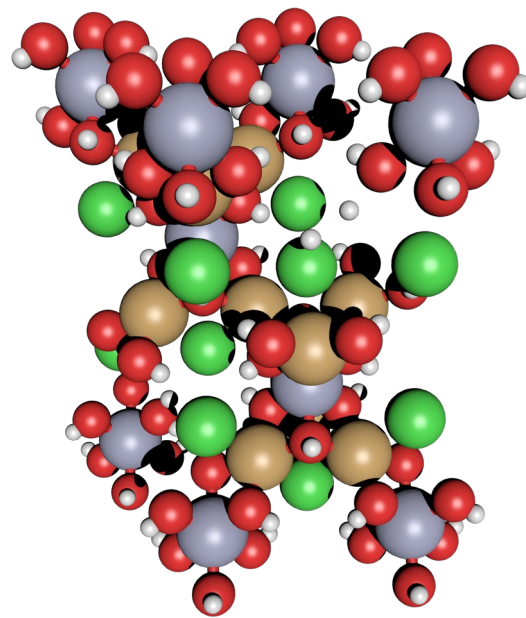
# Today's materials

## Ferromagnet & antiferromagnets



Iron

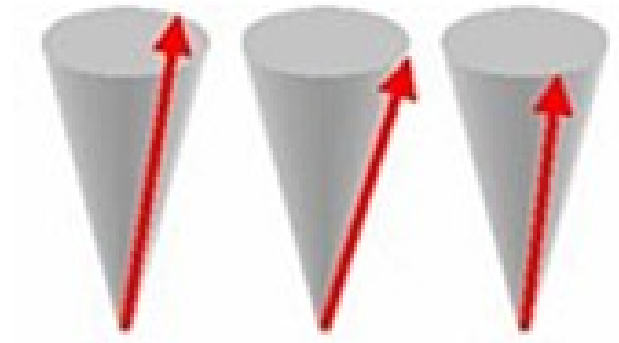
## Quantum spin-liquids



*Herbertsmithite*

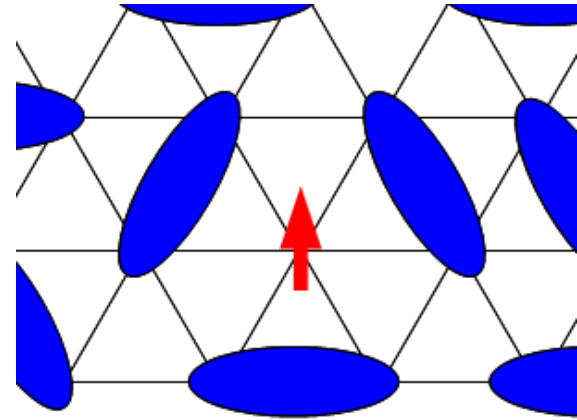
# Today's quasiparticles

**Magnons**



$S=1$   
No charge

**Spinons**



$S=1/2$   
No charge

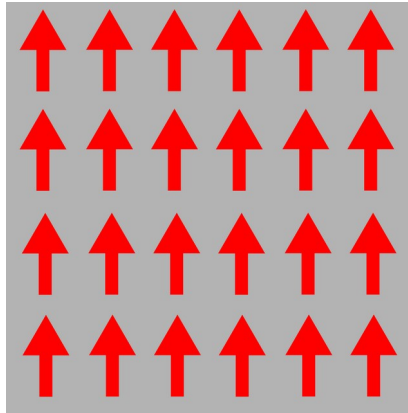


# A reminder from previous sessions

**Electronic interactions are responsible for symmetry breaking**

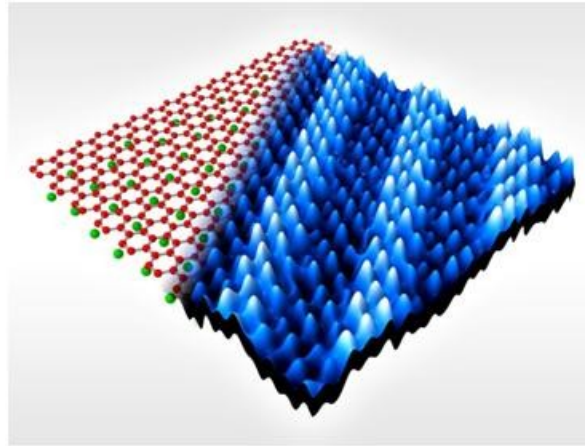
**Broken  
time-reversal symmetry**

*Classical magnets*



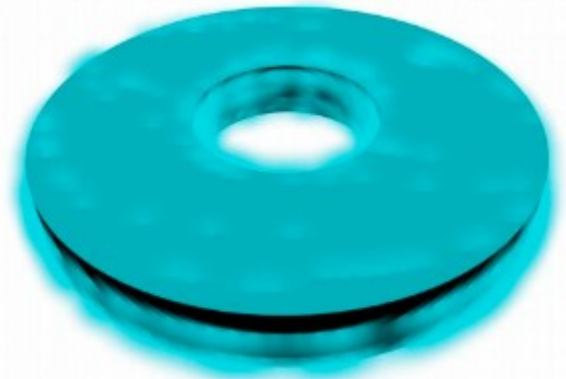
$$\mathbf{M} \rightarrow -\mathbf{M}$$

**Broken  
crystal symmetry**  
*Charge density wave*



$$\mathbf{r} \rightarrow \mathbf{r} + \mathbf{R}$$

**Broken  
gauge symmetry**  
*Superconductors*



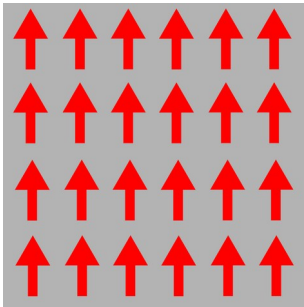
$$\langle c_{\uparrow} c_{\downarrow} \rangle \rightarrow e^{i\phi} \langle c_{\uparrow} c_{\downarrow} \rangle$$

# Correlations and mean field

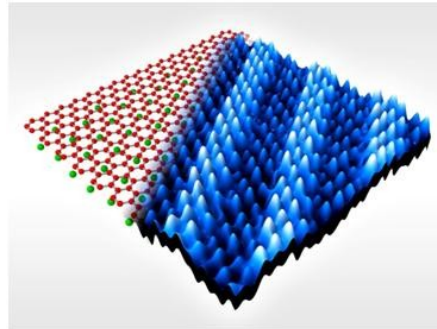
Many quantum states can be approximately described by mean field theories

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_{ijkl} V_{ijkl} c_i^\dagger c_j c_k^\dagger c_l$$

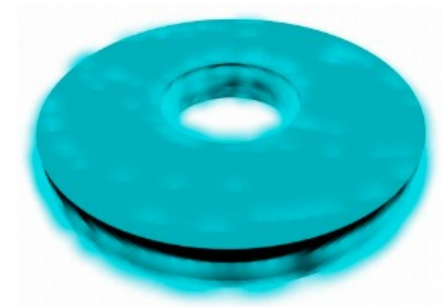
**Magnets**



**Charge density waves**



**Superconductors**



# Interactions and mean field

$$H = \sum_{ij} \overset{\text{Free Hamiltonian}}{t_{ij} c_i^\dagger c_j} + \sum_{ijkl} \overset{\text{Interactions}}{V_{ijkl} c_i^\dagger c_j c_k^\dagger c_l}$$

What are these interactions coming from?

- Electrostatic (repulsive) interactions
- Mediated by other quasiparticles (phonons, magnons, plasmons,...)

**The net effective interaction can be attractive or repulsive**

Magnetism is promoted by repulsive interactions



# A simple interacting Hamiltonian

*Free Hamiltonian*

*Interactions  
(Hubbard term)*

$$H = \sum_{ij} t_{ij} [c_{i\uparrow}^\dagger c_{j\uparrow} + c_{i\downarrow}^\dagger c_{j\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow}$$

**What is the ground state of this Hamiltonian?**

$U < 0$  Superconductivity

$U > 0$  Magnetism

# The mean-field approximation

**Mean field:** Approximate four fermions by two fermions times expectation values

**Four fermions**  
(not exactly solvable)

**Two fermions**  
(exactly solvable)

$$U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} \approx U \langle c_{i\uparrow}^\dagger c_{i\uparrow} \rangle c_{i\downarrow}^\dagger c_{i\downarrow} + h.c.$$

$$U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} \approx M \sigma_{ss'}^z c_{i,s}^\dagger c_{i,s'} + h.c.$$

For  $U > 0$   
i.e. repulsive interactions

Magnetic order

$$M \sim \langle c_{i\uparrow}^\dagger c_{i\uparrow} \rangle - \langle c_{i\downarrow}^\dagger c_{i\downarrow} \rangle$$

# A Hamiltonian for a weakly correlated magnet

*Free Hamiltonian*

*Exchange term*

$$H = \sum_{ij} t_{ij} [c_{i\uparrow}^\dagger c_{j\uparrow} + c_{i\downarrow}^\dagger c_{j\downarrow}] + M \sum_i \sigma_{s,s'}^z c_{i,s}^\dagger c_{i,s'}$$

Here we assume that interactions are weak (in comparison with the kinetic energy)

**What if interactions are much stronger than the kinetic energy?**

# The strongly localized limit

Let us start with a Hubbard model dimer

$$H = t[c_{0\uparrow}^\dagger c_{1\uparrow} + c_{0\downarrow}^\dagger c_{1\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} + h.c.$$

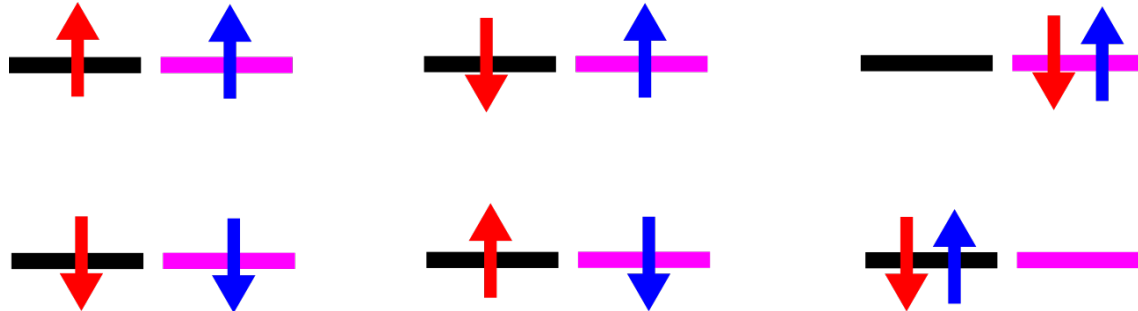
Now in the limit

$$U \gg t$$

0

1

The full Hilbert space at half filling is



# The strongly localized limit

Let us start with a Hubbard model dimer

$$H = t[c_{0\uparrow}^\dagger c_{1\uparrow} + c_{0\downarrow}^\dagger c_{1\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} + h.c.$$

The energies in the strongly localized limit are  $U \gg t$

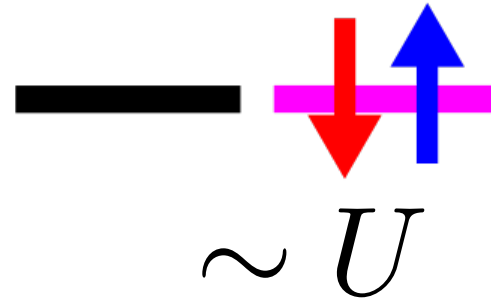
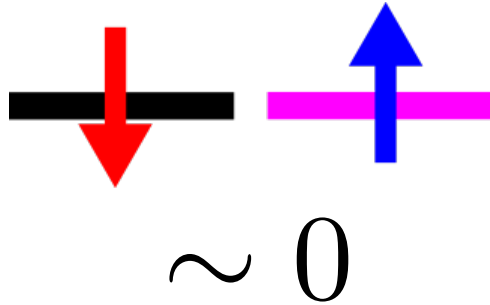


# The strongly localized limit

Let us start with a Hubbard model dimer

$$H = t[c_{0\uparrow}^\dagger c_{1\uparrow} + c_{0\downarrow}^\dagger c_{1\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} + h.c.$$

The energies in the strongly localized limit are  $U \gg t$





# The strongly localized limit

Let us start with a Hubbard model dimer

$$H = t[c_{0\uparrow}^\dagger c_{1\uparrow} + c_{0\downarrow}^\dagger c_{1\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} + h.c.$$



The low energy manifold is



Just one electron in each site for  $U \gg t$

Local  $S=1/2$  at each site

# The strongly localized limit

Effective Heisenberg model in the localized limit  $\mathcal{H} = J \vec{S}_0 \cdot \vec{S}_1$

**We can compute J using second order perturbation theory**

$$H = H_0 + V$$

$$H_0 = \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow}$$

“pristine” Hamiltonian  
(Hubbard)

$$V = t[c_{0\uparrow}^\dagger c_{1\uparrow} + c_{0\downarrow}^\dagger c_{1\downarrow}] + \text{h.c.}$$

“perturbation” Hamiltonian  
(hopping)

# The strongly localized limit

Effective Heisenberg model in the localized limit  $\mathcal{H} = J \vec{S}_0 \cdot \vec{S}_1$

**We can compute J using second order perturbation theory**

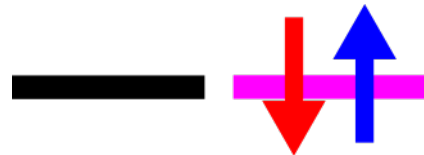
$$H = H_0 + V$$

$$J \sim \frac{t^2}{U}$$

**Ground state**



**Virtual state**



# The Heisenberg model

For a generic Hamiltonian in a generic lattice

$$H = \sum_{ij} t_{ij} [c_{i\uparrow}^\dagger c_{j\uparrow} + c_{i\downarrow}^\dagger c_{j\downarrow}] + \sum_i U c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow}$$

In the strongly correlated (half-filled) limit we obtain a Heisenberg model

$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j \qquad J_{ij} \sim \frac{|t_{ij}|^2}{U}$$

# The Heisenberg model

Non-Hubbard (multiorbital) models also yield effective Heisenberg models

$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

In those generic cases, the exchange couplings can be positive or negative

$$J_{ij} > 0$$

Antiferromagnetic coupling

$$J_{ij} < 0$$

Ferromagnetic coupling

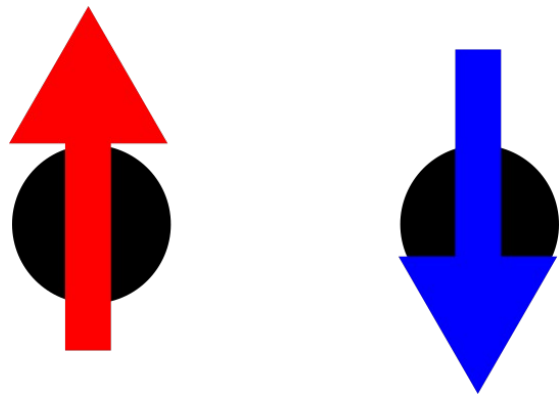
Spin-orbit coupling introduces anisotropic couplings

$$\mathcal{H} = \sum_{ij} J_{ij}^{\alpha\beta} S_i^\alpha S_j^\beta$$

# The Heisenberg model

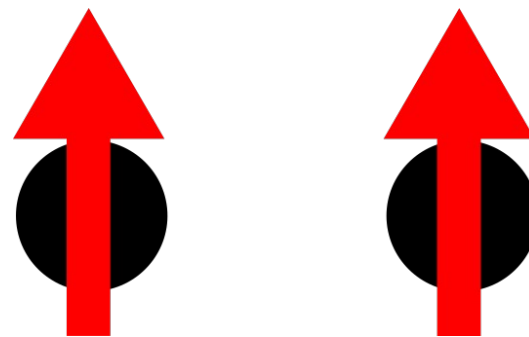
$$J_{ij} > 0$$

Antiferromagnetic coupling



$$J_{ij} < 0$$

Ferromagnetic coupling



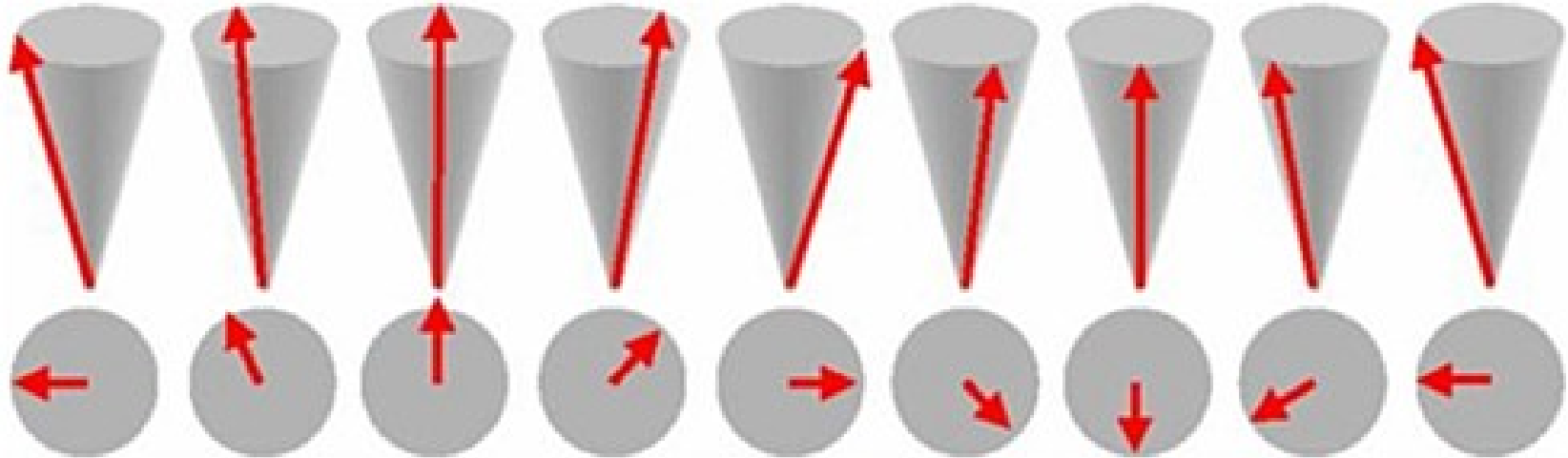
*Classical ground states*



# Magnons

# Excitations in a ferromagnet

Qualitatively, magnons are the fluctuations of the order parameter



# Excitations in the Heisenberg model

The Heisenberg model is a full-fledged many-body problem

$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Algebraic commutation relations  $[S_j^\alpha, S_j^\beta] = i\epsilon_{\alpha\beta\gamma} S_j^\gamma$

$$S = 1/2, 1, 3/2, 2, \dots$$

**How do we compute its many-body excitations?**

# The ferromagnetic Heisenberg model

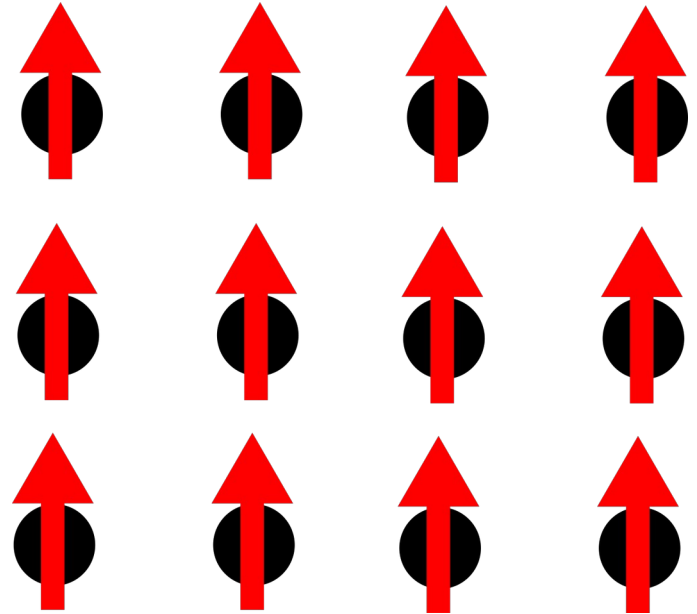
In the case of a ferromagnetic Heisenberg model, we know the ground state

$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$J_{ij} < 0$$

$$|GS\rangle = |\uparrow\uparrow\uparrow\uparrow\uparrow \dots\rangle$$

But how do we compute the excitations?



# The Holstein–Primakoff transformation

Replace the spin Hamiltonian by a bosonic Hamiltonian

$$S_+ = \hbar\sqrt{2s}\sqrt{1 - \frac{a^\dagger a}{2s}} a, \quad S_- = \hbar\sqrt{2s}a^\dagger \sqrt{1 - \frac{a^\dagger a}{2s}}, \quad S_z = \hbar(s - a^\dagger a)$$

Make the replacement and decouple with mean-field assuming  $\langle a_i^\dagger a_i \rangle \ll s$

$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j \longrightarrow \mathcal{H} = \sum_{ij} \gamma_{ij} a_i^\dagger a_j$$

**Spins**

**Magnon**

# Magnons in a nutshell

Increase the spin

$$S_i^+ \sim a_i$$

Destroy a magnon

Decrease the spin

$$S_i^- \sim a_i^\dagger$$

Create a magnon

Net magnetization

$$\langle S_i^z \rangle = S - \langle a_i^\dagger a_i \rangle$$

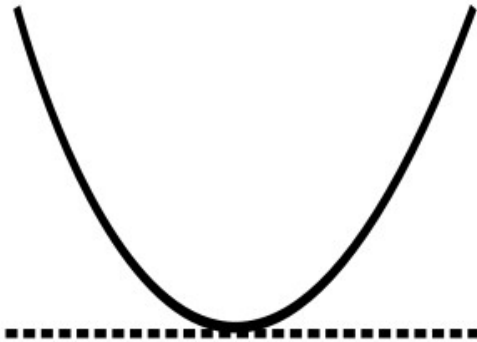
Maximal minus the magnons

Magnons are S=1 excitations that exist over the symmetry broken state

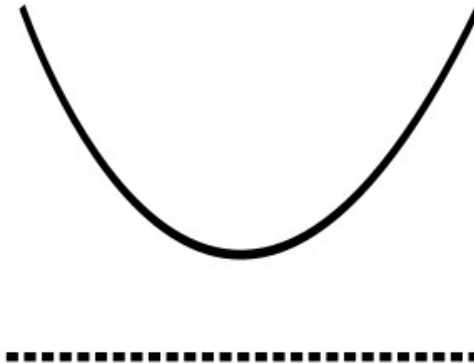


# Magnons dispersions

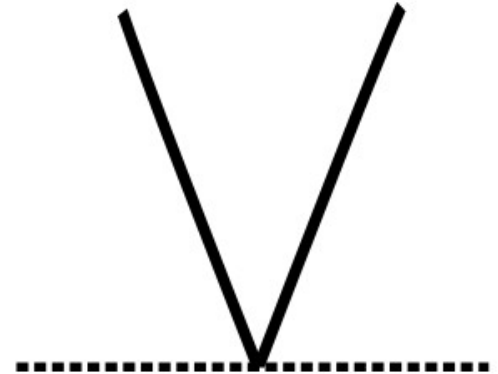
Gapless magnons



Gaped magnons



Dirac magnons



$$\mathcal{H} = \sum_{ij} \gamma_{ij} a_i^\dagger a_j$$

# Quantum magnets

# The Ising dimer

What is the ground state of this Hamiltonian

$$\mathcal{H} = S_0^z S_1^z$$

The Hamiltonian has two ground states (related by time-reversal symmetry)

$$|GS_1\rangle = |\uparrow\downarrow\rangle$$

$$|GS_2\rangle = |\downarrow\uparrow\rangle$$

Each ground state breaks time-reversal symmetry

**A symmetry broken antiferromagnet is a macroscopic version of this**

# The quantum Heisenberg dimer

What is the ground state of this quantum Hamiltonian?

$$\mathcal{H} = \vec{S}_0 \cdot \vec{S}_1$$

# The quantum Heisenberg dimer

What is the ground state of this quantum Hamiltonian?

$$\mathcal{H} = \vec{S}_0 \cdot \vec{S}_1$$

The ground state is unique, and does not break time-reversal

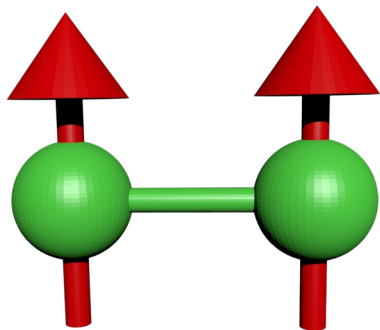
$$|GS\rangle = \frac{1}{2} [|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle] \quad \langle \vec{S}_i \rangle = 0$$

The state is maximally entangled

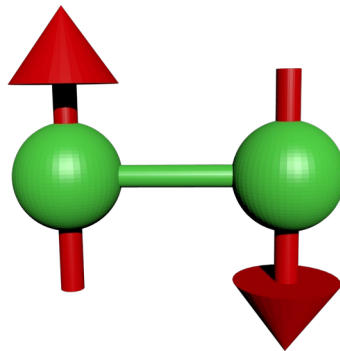
Can we have a macroscopic version of this ground state?  $\langle \vec{S}_i \rangle = 0$

# Towards quantum-spin liquids

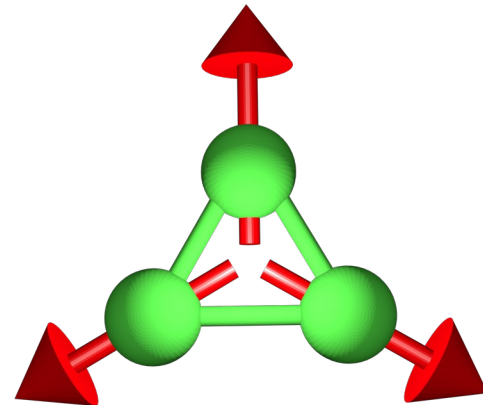
**Ferromagnetism**



**Antiferromagnetism**



**Frustrated magnetism**



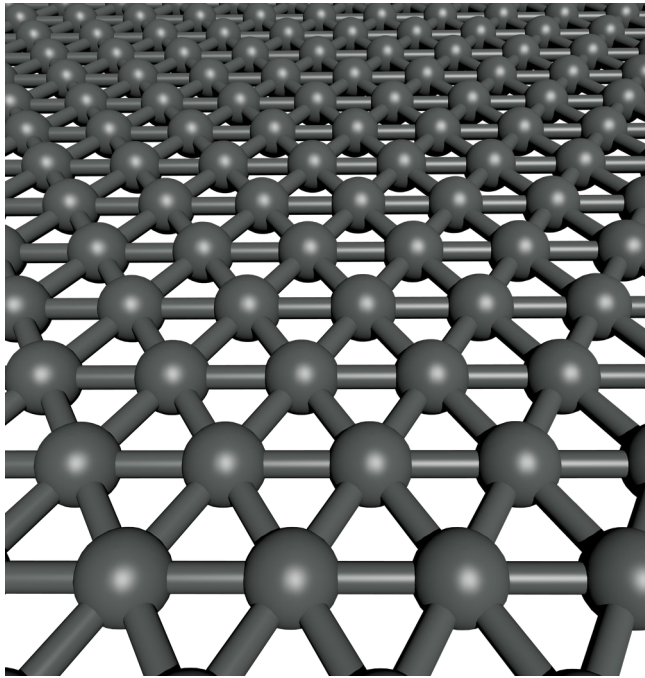
To get a quantum-spin liquid, we should look for frustrated magnetism

$$\langle \vec{S}_i \rangle = 0$$

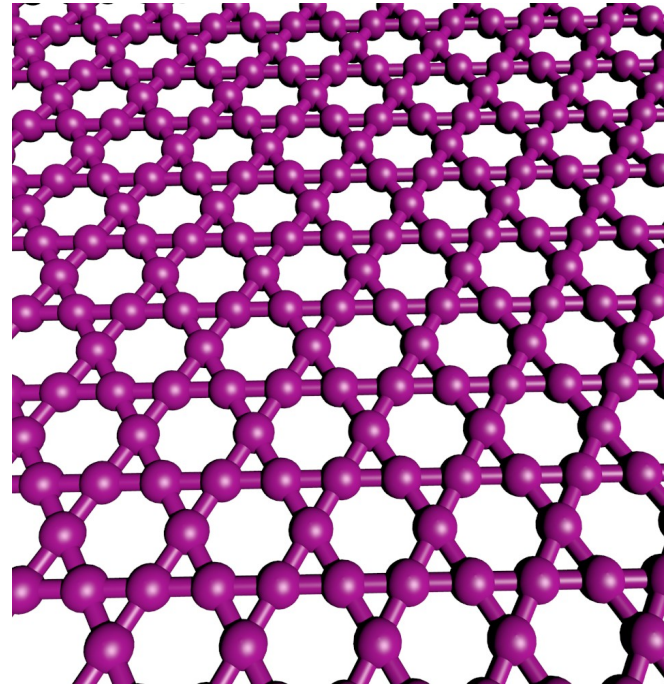


# Frustrated lattices

**Triangular**



**Kagome**



# Spinons

# Quasiparticles in a quantum spin-liquid

Let us assume that a certain Hamiltonian realizes a QSL  $\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$

Quantum spin liquids require  $\langle \vec{S}_i \rangle = 0$

# Quasiparticles in a quantum spin-liquid

Let us assume that a certain Hamiltonian realizes a QSL  $\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$

Quantum spin liquids require  $\langle \vec{S}_i \rangle = 0$

The approximation used for magnons breaks down

$$\langle S_i^z \rangle = S - \langle a_i^\dagger a_i \rangle$$

$$\langle a_i^\dagger a_i \rangle \ll S$$

**We need a new approximation for the quantum excitations**

# The parton transformation

Transform spin operators to auxiliary fermions (Abrikosov fermions)

$$S_i^\alpha = \frac{1}{2} \sigma_{s,s'}^\alpha f_{i,s}^\dagger f_{i,s'}$$

The fermions  $f$  (spinons) have  $S=1/2$  but no charge

This transformation artificially enlarges the Hilbert space, thus we have to put the constraint

$$\sum_s f_{i,s}^\dagger f_{i,s} = 1$$

**This transformation allow to turn a spin Hamiltonian into a fermionic Hamiltonian**

# The spinon Hamiltonian

We can insert the auxiliary fermions  $S_i^\alpha \sim \sigma_{s,s'}^\alpha f_{i,s}^\dagger f_{i,s'}$

And perform a mean-field in the auxiliary fermions (spinons)

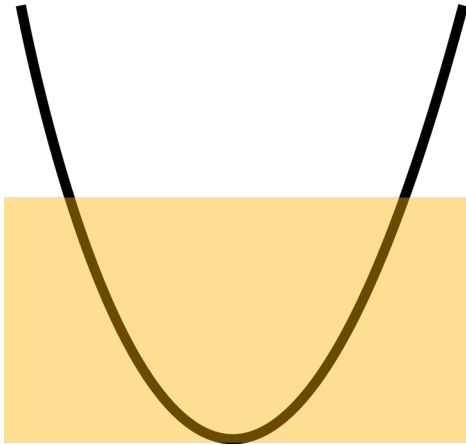
$$\mathcal{H} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j \longrightarrow \mathcal{H} = \sum_{ij,s} \chi_{ij} f_{i,s}^\dagger f_{j,s}$$

Enforcing time-reversal symmetry  $\langle \vec{S}_i \rangle = 0$

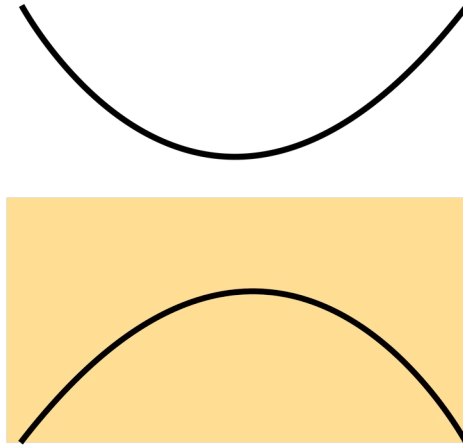
**The excitations of the QSL are described by a single particle spinon Hamiltonian**

# Spinon dispersions

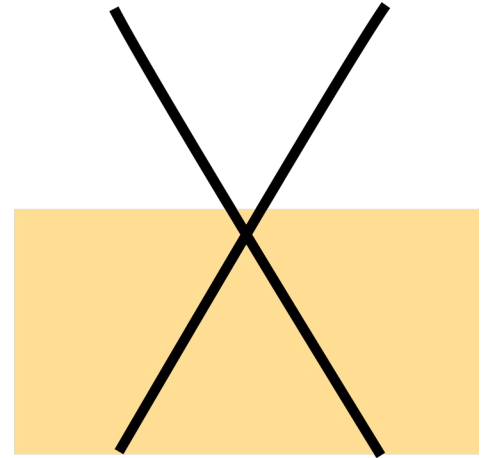
Gapless spinons



Gaped spinons



Dirac spinons



$$\mathcal{H} = \sum_{ij,s} \chi_{ij} f_{i,s}^{\dagger} f_{j,s}$$

# Take home

- Magnetism arises from repulsive interactions
- The fundamental excitations of magnets are magnons and have  $S=1$
- Frustrated magnetic models can display quantum spin-liquid behavior
- The fundamental excitations of QSL have  $S=1/2$



# Reading material

- Steven Simon, Oxford solid-state basics, pages 225-229
- Notes Titus Neupert, pages 125-137