ELEC-E5510 Speech Recognition

Recurrent Neural Networks in Language Modeling

Tamás Grósz

Department of Signal Processing and Acoustics

Introduction

The french boy lived in the capital city, Paris

• We would need at least 8-grams to cover this context

- We would need at least 8-grams to cover this context
- High order n-grams are rare

- We would need at least 8-grams to cover this context
- High order n-grams are rare
- Using large n-grams is inefficient

- We would need at least 8-grams to cover this context
- High order n-grams are rare
- Using large n-grams is inefficient
- Google's English n-grams: 24 GB, up to 5-grams (that appear at least 40 times)

• Neural Networks could be used for LM

- Neural Networks could be used for LM
- The issues that we need to solve:

- Neural Networks could be used for LM
- The issues that we need to solve:
 - 1. How to input words to the network

- Neural Networks could be used for LM
- The issues that we need to solve:
 - 1. How to input words to the network
 - 2. How to remember long sequences/ distant words?

- Neural Networks could be used for LM
- The issues that we need to solve:
 - 1. How to input words to the network
 - 2. How to remember long sequences/ distant words?
 - 3. How to train is efficiently?

Neural LM, input words

One-hot Encoding

Idea: Let's convert the word-id to a one-hot vector!

One-hot Encoding

Idea: Let's convert the word-id to a one-hot vector!

Picture by Marco Bonzanini

We have a simple neural network, which knows 100 K words, and contains 2 hidden layers with 100 neurons. This network uses the one-hot embedding to process words.

We have a simple neural network, which knows 100K words, and contains 2 hidden layers with 100 neurons. This network uses the one-hot embedding to process words.

 Question 1. What percentage of the parameters are in the input and output layers?

We have a simple neural network, which knows 100K words, and contains 2 hidden layers with 100 neurons. This network uses the one-hot embedding to process words.

- Question 1. What percentage of the parameters are in the input and output layers?
- Question 2. If we want to cover 10 past words with this network how would the percentage change?

We have a simple neural network, which knows 100K words, and contains 2 hidden layers with 100 neurons. This network uses the one-hot embedding to process words.

- Question 1. What percentage of the parameters are in the input and output layers?
- Question 2. If we want to cover 10 past words with this network how would the percentage change?
- Question 3. How would you change this model to reduce its size and increase its speed?

We have a simple neural network, which knows 100 K words, and contains 2 hidden layers with 100 neurons. This network uses the one-hot embedding to process words.

- Question 1. What percentage of the parameters are in the input and output layers?
- Question 2. If we want to cover 10 past words with this network how would the percentage change?
- Question 3. How would you change this model to reduce its size and increase its speed?
- Don't forget to submit the answers in MyCourse!

• If we have 10M words, and 1000 hidden neurons, what is the #parameters=?

- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)

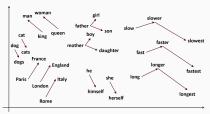
- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)
- Solution: word2vec

- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)
- Solution: word2vec
 - Basic idea: create a small continuous vector representations

- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)
- Solution: word2vec
 - Basic idea: create a small continuous vector representations
 - Autoencoder-based solutions (word in, same word out)

- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)
- Solution: word2vec
 - Basic idea: create a small continuous vector representations
 - Autoencoder-based solutions (word in, same word out)
 - Similar words will have similar representation

- If we have 10M words, and 1000 hidden neurons, what is the #parameters=? (10M*1000 = 10B)
- The one-hot vectors have no relation to each other (everything is equally different)
- Solution: word2vec
 - Basic idea: create a small continuous vector representations
 - Autoencoder-based solutions (word in, same word out)
 - Similar words will have similar representation



Picture by Samy Zafrany

To reduce the size of the input layer, one can switch to using sub-words or characters.

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

There are several ways of getting the sub-word units:

• Byte pair encoding (BPE)

6

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

- Byte pair encoding (BPE)
- Morfessor

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

- Byte pair encoding (BPE)
- Morfessor
- Sentence/word-piece

To reduce the size of the input layer, one can switch to using sub-words or characters.

I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

- Byte pair encoding (BPE)
- Morfessor
- Sentence/word-piece
- All uses the basic idea of building a subword vocabulary that covers some training text well

Sub-words

To reduce the size of the input layer, one can switch to using sub-words or characters.

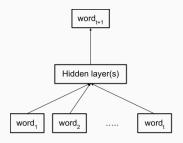
I saw a girl with a telescope : I saw a girl with a te+le+s+c+o+pe

There are several ways of getting the sub-word units:

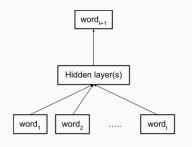
- Byte pair encoding (BPE)
- Morfessor
- Sentence/word-piece
- All uses the basic idea of building a subword vocabulary that covers some training text well
- Using a few thousand units could cover a large vocabulary

Neural LM, long context

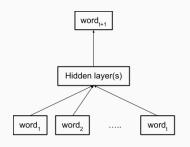
Naive solution:



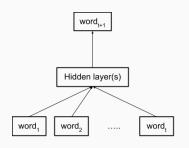
Naive solution: just connect all words to the first hidden layer.



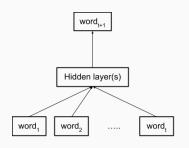
Too many parameters: #words*#neurons*context



- Too many parameters: #words*#neurons*context
- Increasing the context grows the network!



- Too many parameters: #words*#neurons*context
- Increasing the context grows the network!
- We lose the temporal info (not time-shift invariant)



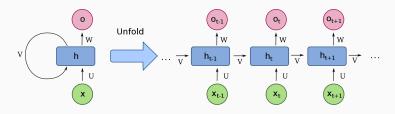
- Too many parameters: #words*#neurons*context
- Increasing the context grows the network!
- We lose the temporal info (not time-shift invariant)

Recurrent neurons

Recurrent neurons: new type of neurons to handle time series through a "recurrent" connection.

Recurrent neurons

Recurrent neurons: new type of neurons to handle time series through a "recurrent" connection.

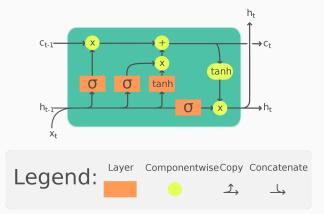


Picture by Wikipedia

Having a recurrent connection is not enough, we need long-term memory!

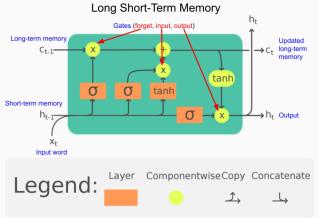
Having a recurrent connection is not enough, we need long-term memory! RNNs are vulnerable to the "vanishing gradient". (the long-term gradients could get close to 0, or explode)

Having a recurrent connection is not enough, we need long-term memory! RNNs are vulnerable to the "vanishing gradient". (the long-term gradients could get close to 0, or explode)



Picture by Wikipedia

Having a recurrent connection is not enough, we need long-term memory! RNNs are vulnerable to the "vanishing gradient". (the long-term gradients could get close to 0, or explode)



Picture by Wikipedia

• Recurrent models are slow and hard to train.

- Recurrent models are slow and hard to train.
- In LSTMs the long-term memory could be forgotten or overwritten.

- Recurrent models are slow and hard to train.
- In LSTMs the long-term memory could be forgotten or overwritten.
- Alternative solution: use feed-forward models with attention.

- Recurrent models are slow and hard to train.
- In LSTMs the long-term memory could be forgotten or overwritten.
- Alternative solution: use feed-forward models with attention.

Attention mechanism

The core idea is that the model should have access to all inputs instead of just the last one and learn to "pay attention" to the relevant parts/words.

- Recurrent models are slow and hard to train.
- In LSTMs the long-term memory could be forgotten or overwritten.
- Alternative solution: use feed-forward models with attention.

Attention mechanism

The core idea is that the model should have access to all inputs instead of just the last one and learn to "pay attention" to the relevant parts/words.

```
The FBI is chasing a criminal on the run.
The FBI is chasing a criminal on the run.
The FBI is chasing a criminal on the run.
    FBI is chasing a criminal on the run.
The
     FBI is chasing a criminal on the run.
The
     FBI is chasing a criminal on the run.
The
          is chasing a criminal on the run.
     FBI is chasing a criminal on the run.
The
    FBI is chasing a criminal on the run.
The
     FBI
              chasing a criminal on
                                        the
```

How can we calculate the attention values?

 \bullet We need 3 component: Query, Key, Value

- \bullet We need 3 component: Query, Key, Value
- Query: the embedding of the last word

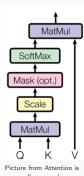
- We need 3 component: **Q**uery, **K**ey, **V**alue
- Query: the embedding of the last word
- Key: the embedding of other words

- We need 3 component: Query, Key, Value
- Query: the embedding of the last word
- Key: the embedding of other words
- Value: additional transformation of the words.

- We need 3 component: Query, Key, Value
- Query: the embedding of the last word
- Key: the embedding of other words
- Value: additional transformation of the words.
- We use Q and K to get the attention values:

$$Attention(Q, K, V) = \underbrace{softmax}_{\text{sum is } 1} \left(\frac{\overbrace{QK^T}^{\text{Dot-product}}}{\sqrt{d_k}} \right) V$$

$$\underbrace{dimension of Q \text{ and } K}^{\text{Dot-product}}$$

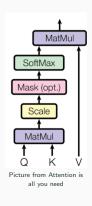


all you need

How can we calculate the attention values?

- We need 3 component: Query, Key, Value
- Query: the embedding of the last word
- Key: the embedding of other words
- Value: additional transformation of the words.
- We use Q and K to get the attention values:

$$Attention(Q, K, V) = \underbrace{softmax}_{\text{sum is 1}} \begin{pmatrix} \underbrace{QK^T} \\ \sqrt{d_k} \\ \underbrace{\text{dimension of Q and K}} \end{pmatrix} V$$



Note: this it the dot-product attention variant. There are several other ways to compute the scores (for more see Attention-Tutorial)

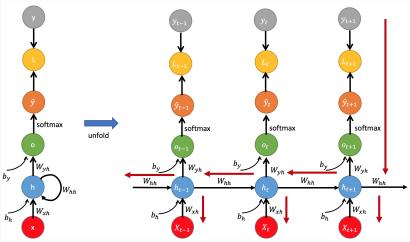
Efficient NNLM Training

Training RNNLMs

Backpropagation through time (BPTT) is a gradient-based training algorithm for RNNs.

Training RNNLMs

Backpropagation through time (BPTT) is a gradient-based training algorithm for RNNs.



Picture by Mustafa Murat Arat

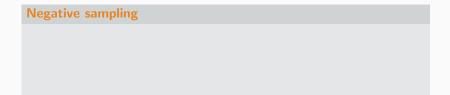
• Training NNLMs is a slow process.

- Training NNLMs is a slow process.
- One huge issue is the softmax activation.

- Training NNLMs is a slow process.
- One huge issue is the softmax activation.
- The expected/correct output is quite sparse (only one correct word)

- Training NNLMs is a slow process.
- One huge issue is the softmax activation.
- The expected/correct output is quite sparse (only one correct word)
- We can exploit this to reduce the required number of computations!

Solutions



Solutions

Negative sampling

1. Select a few non-target words (negative samples).

Negative sampling

- 1. Select a few non-target words (negative samples).
- Pretend that the target word and the negative samples represent the entire vocabulary.

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

Noise Contrastive Estimation

1. Very similar to negative sampling.

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

- 1. Very similar to negative sampling.
- 2. NCE uses a Logistic Regression to determine which words are real and which are noise (negative sample).

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

- 1. Very similar to negative sampling.
- 2. NCE uses a Logistic Regression to determine which words are real and which are noise (negative sample).
- 3. Main differences:
 - Sigmoid transformation instead of softmax

Negative sampling

- 1. Select a few non-target words (negative samples).
- 2. Pretend that the target word and the negative samples represent the entire vocabulary.
- 3. Update only these output units.

- 1. Very similar to negative sampling.
- 2. NCE uses a Logistic Regression to determine which words are real and which are noise (negative sample).
- 3. Main differences:
 - Sigmoid transformation instead of softmax
 - Binary Cross Entropy Loss

Using NNLM in ASR

How can we use the NNLMs in an ASR system?

1. By replacing the n-gram model

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the **n** most probable texts

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one
- 3. Lattice re-scoring

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one
- 3. Lattice re-scoring
 - Generate a decoded lattice with n-gram

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one
- 3. Lattice re-scoring
 - Generate a decoded lattice with n-gram
 - Replace the LM probabilities with NNLM estimates

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one
- 3. Lattice re-scoring
 - Generate a decoded lattice with n-gram
 - Replace the LM probabilities with NNLM estimates
 - Could be slow if the lattice is large

- 1. By replacing the n-gram model
 - Possible, but complicated (the search space could explode)
 - Requires special decoders and a lot of work
- 2. N-best re-scoring
 - Easiest option, after decoding with an n-gram generate the n most probable texts
 - Score n-best alternatives with NNLM to get the most probable one
- 3. Lattice re-scoring
 - Generate a decoded lattice with n-gram
 - Replace the LM probabilities with NNLM estimates
 - Could be slow if the lattice is large

A lattice could be quite simple:

A lattice could be quite simple:



A lattice could be quite simple:

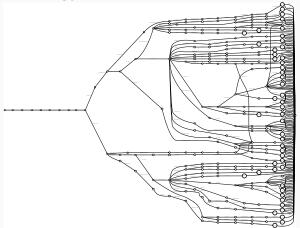


But reality is often ugly:

A lattice could be quite simple:



But reality is often ugly:



Summary

The main topics briefly explained in this presentation:

- 1. NNLM
- 2. Recurrent models
- 3. Attention
- 4. Techniques to make the training efficient