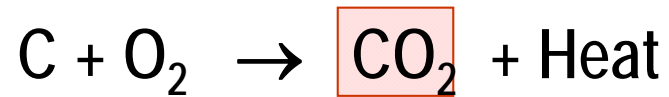


Plasma Heating

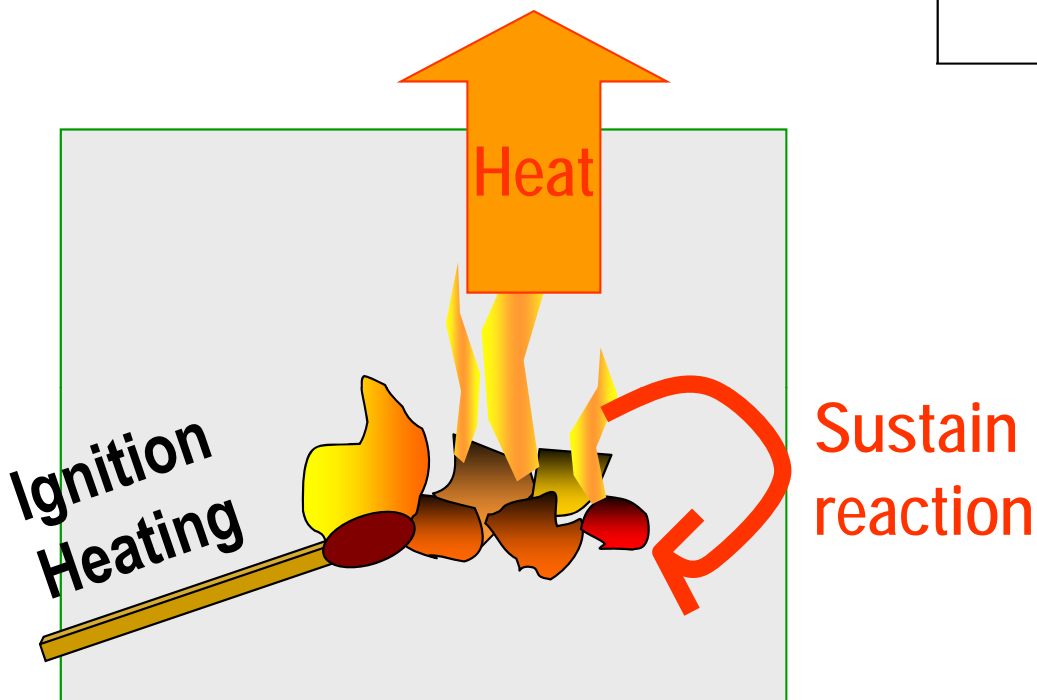
Dirk Hartmann
IPP Summer School 2016



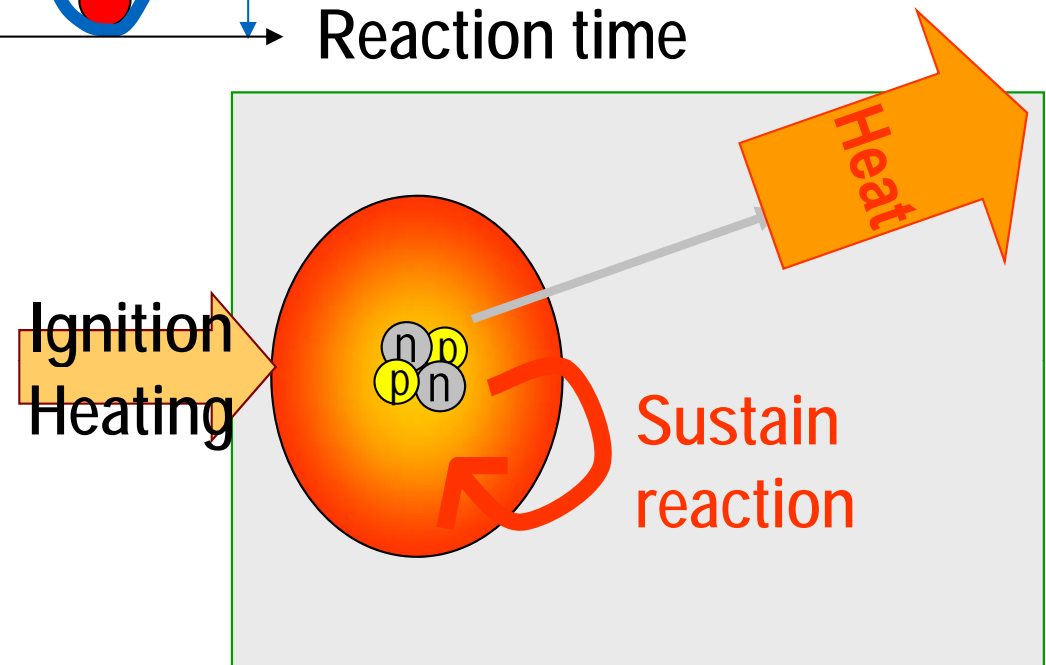
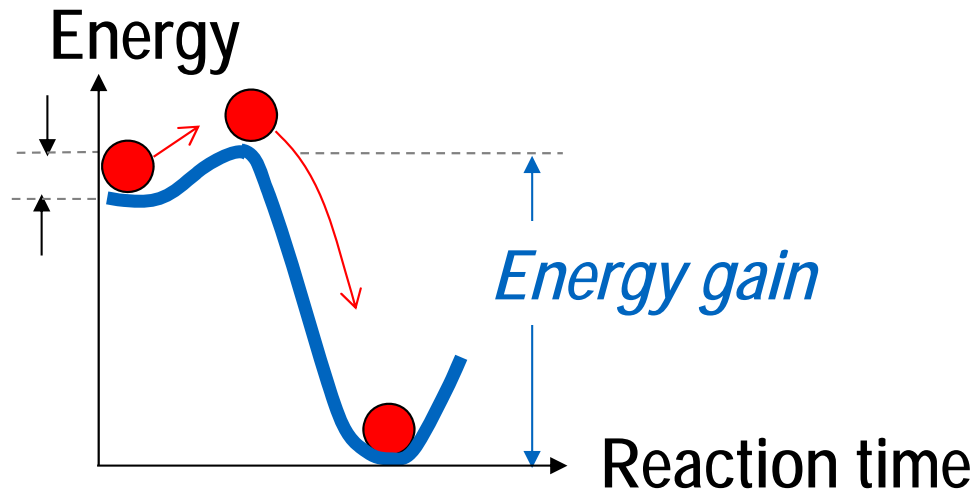
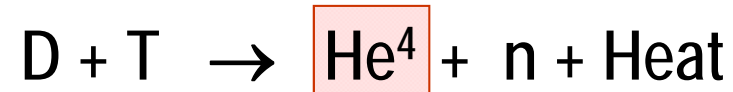
Coal oven



*Activation
energy*

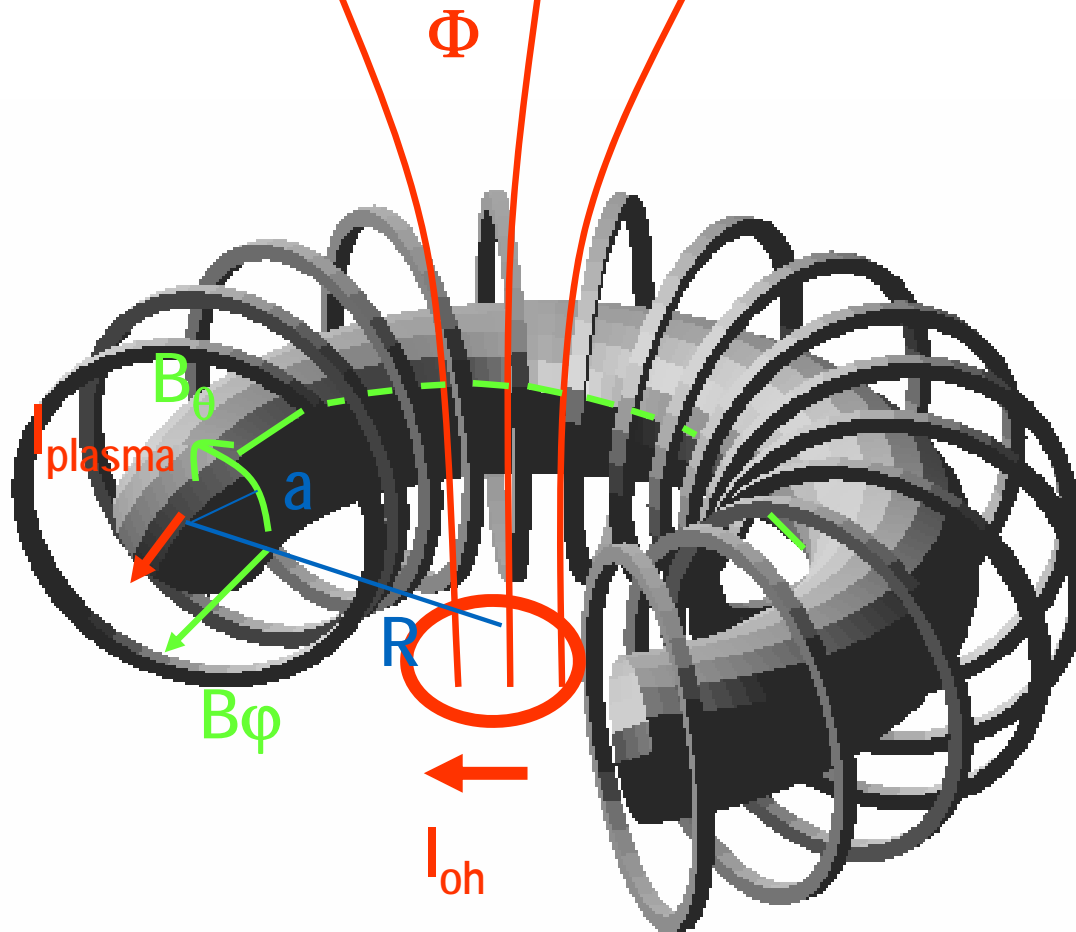


Fusion oven



Tokamak

toroidal coils + "ohmic" transformer



Plasma resistivity: $\eta \propto T_e^{-3/2}$

Dissipated power density: $p = \eta \cdot j^2$

Plasma stability requires:

$$q_{r=a} = \frac{a \cdot B_\phi}{R \cdot B_\theta} \geq 2$$

Therefore: $j \leq \frac{B_\phi}{\mu_0 \cdot R}$

Plasma current necessary for confinement
sufficient for heating?

Even in tokamak maximum
current limited.

Dominant loss mechanism: bremsstrahlung loss power p_b .

$$p_b = 3.2 \cdot 10^{-37} \cdot Z^2 \cdot n_e^2 \cdot \sqrt{T_e} \quad [\text{Wm}^{-3}, \text{m}^{-3}, \text{keV}]$$

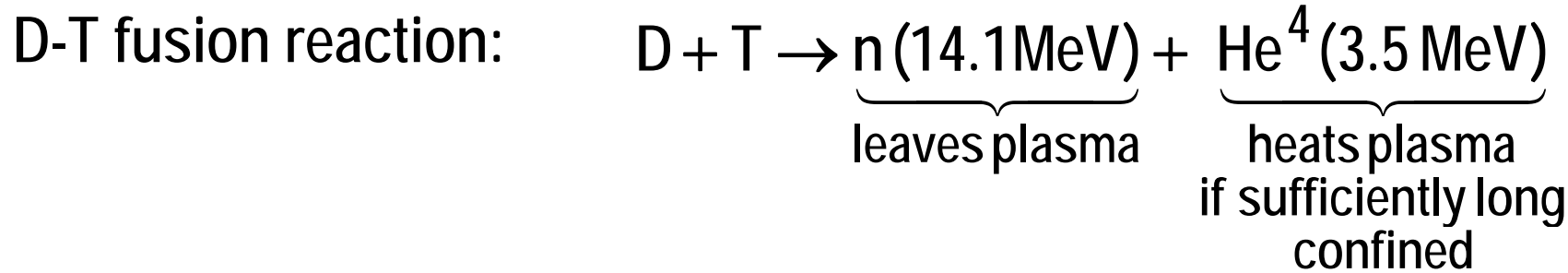
Ohmic heating power: $p_{oh} = \eta \cdot j^2$

$$T_e = \frac{2 \cdot 10^{20}}{n_e} \cdot \frac{1}{Z} \cdot \frac{B_\phi}{R} \quad [\text{keV}, \text{m}^{-3}, T, \text{m}]$$

Ohmic heating alone: T_e only a few keV

→ need additional heating power!

In fusion reactor:	provide initial heating for ignition	50-100 MW
	influence plasma properties	20 MW
In experiments:	sustain plasmas at relevant T	<40 MW
	simulate α -particle heating	
	study plasma transport properties	
Neutral Beam Injection	heating of plasma ions	
Ion Cyclotron Resonance Heating	heating of ions and electrons	
Lower Hybrid Heating	heating of electrons, current	
Electron Cyclotron Resonance Heating	heating of electrons	
α -particle Heating	mainly electrons	



Heating power density: $= 0.2 \cdot n_D \cdot n_T \cdot \langle \sigma v \rangle \cdot E$

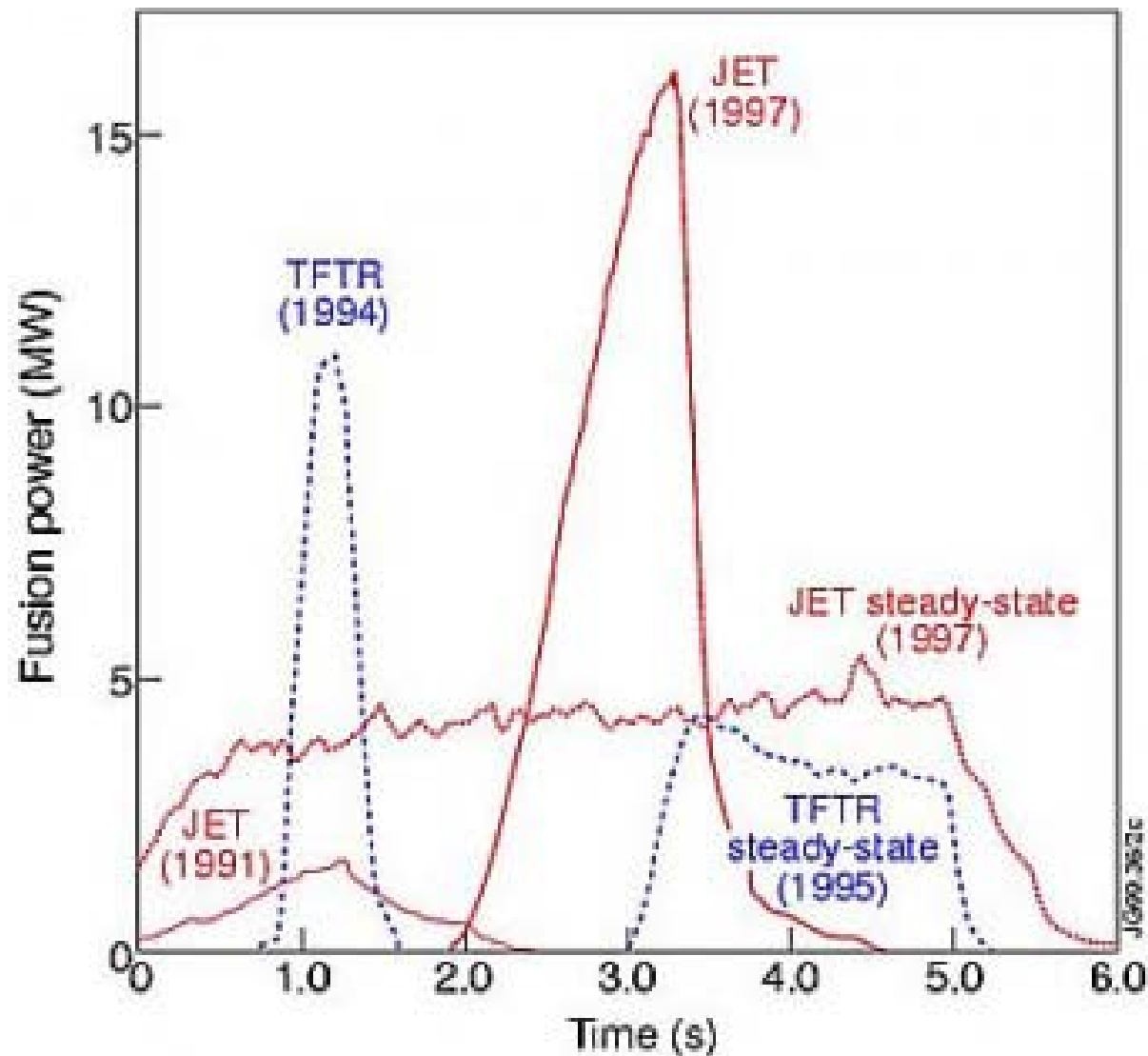
$$\langle \sigma v \rangle \propto T_i$$

\Rightarrow peaked heating profile

α -particles need to be well confined through
large plasma currents in tokamaks
optimized stellarator fields

Loss mechanisms: field ripples
MHD events

D, T experiments only done on JET and TFTR



Waves

dispersion relation
cold plasma
warm plasma

Plasma heating

NBI heating
EM wave heating
current drive

Plasma Waves

diagnostic

density

electron (and ion) temperature

small scale structures

magnetic field

heating

electron heating

ion heating

confinement

macroscopic current drive

Plane waves

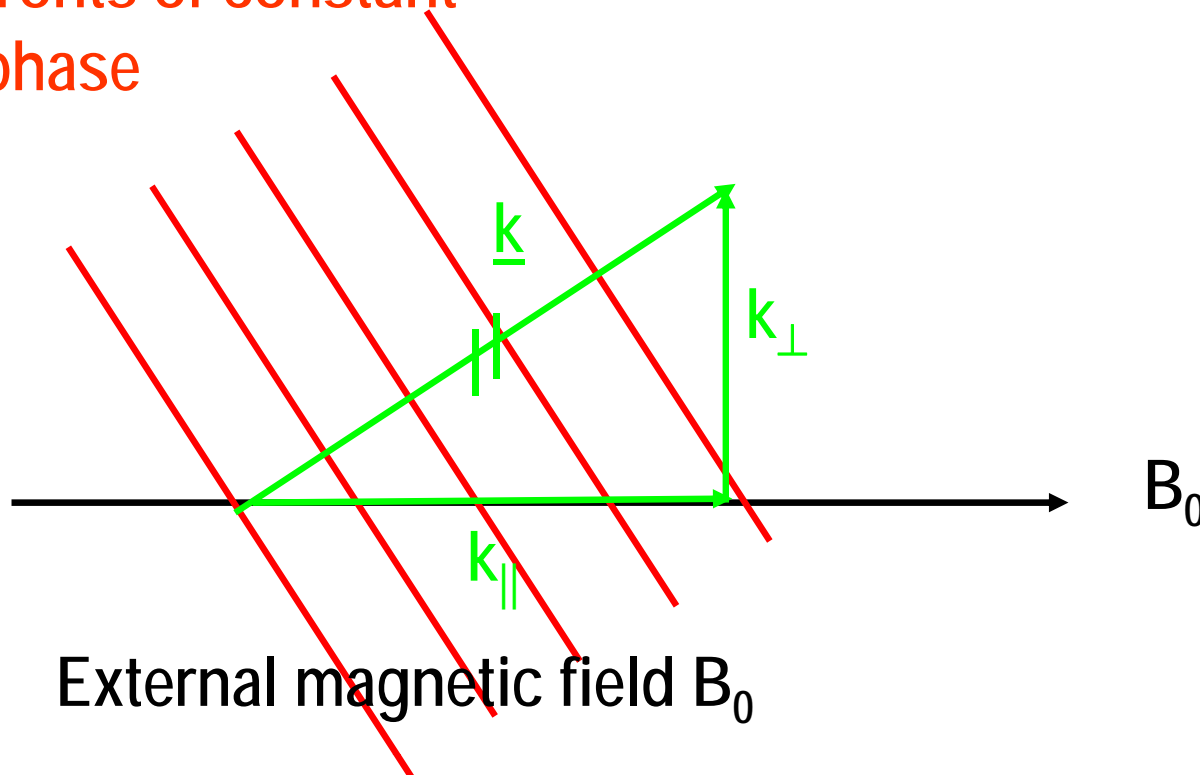
$$\tilde{\mathbf{E}}(\mathbf{r}, t) = \sum_{\omega, \mathbf{k}} \tilde{\mathbf{E}}_{\omega, \mathbf{k}} e^{i\mathbf{k}\mathbf{r} - i\omega t}$$

\mathbf{k} : wave vector

ω : angular frequency

Plane waves

fronts of constant
phase



External magnetic field B_0

$$v_{ph} = \frac{\omega}{k} : \text{phase velocity}$$

$$N = \frac{c}{v_{ph}} = \frac{c \cdot \omega}{k} : \text{refractive index}$$

ω fixed by „generator“
 k response of plasma

goal: derive $k=k(\omega)$

equivalent to: solve dispersion relation $D(\omega, k)=0$

Maxwell's Equations

$$\nabla \times \underline{E} = \frac{\partial \underline{B}}{\partial t}$$
$$\nabla \times \underline{H} = \varepsilon_0 \frac{\partial \underline{E}}{\partial t} + \underline{j}$$
$$\nabla \cdot \underline{E} = \rho / \varepsilon_0$$
$$\nabla \cdot \underline{B} = 0$$

$$i \underline{k} \times \underline{E} = -i \omega \underline{B}$$

$$i \underline{k} \times \underline{H} = -i \omega \varepsilon_0 \underline{E} + \underline{j}$$

$$i \underline{k} \cdot \underline{E} = \rho / \varepsilon_0$$

$$i \underline{k} \cdot \underline{B} = 0$$

generalized Ohm's law $\underline{j} = \underline{j}(\underline{E}, \underline{B})$

$$\underline{j}_{\omega, \underline{k}} = \underline{\sigma}(\omega, \underline{k}) \cdot \underline{E}_{\omega, \underline{k}}$$

$\underline{\sigma}$: conductivity tensor

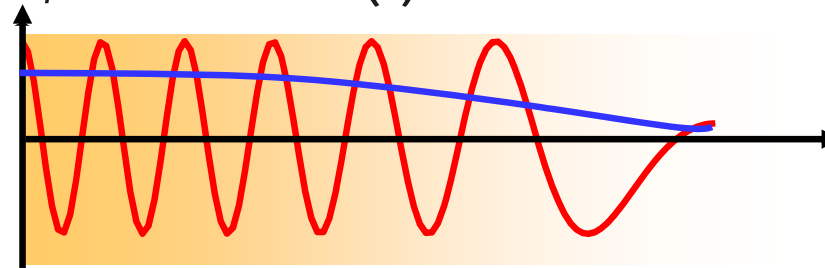
$$\underline{k} \times (\underline{k} \times \underline{E}_{\omega, \underline{k}}) + \frac{\omega^2}{c^2} \underline{K} \cdot \underline{E}_{\omega, \underline{k}} = 0$$

$$\underline{K} = \underline{1} + \frac{\underline{\sigma}}{i \omega \varepsilon_0} \quad \underline{K} : \text{dielectric tensor}$$

Dispersion relation $\det[\underline{N} \times (\underline{N} \times \underline{1}) + \underline{K}(\omega, \underline{N})] = 0$

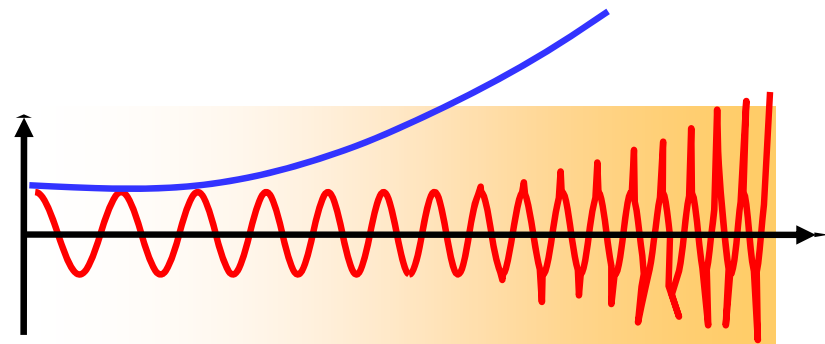
N depends on plasma parameters, therefore $N=N(x)$

1. $N \rightarrow 0$ „cutoff“



reflexion
tunnelling
 $v_{ph} > c!$

2. $N \rightarrow \infty$ „resonance“



$v_{gr} \rightarrow 0$
wave „gets stuck“
wave energy
dissipation

Goal: determine $\mathbf{j}=\mathbf{j}(\mathbf{E})$ Small perturbation

$$\underline{\mathbf{v}} = \underline{\mathbf{v}}_0 + \underline{\mathbf{v}}_1$$

$$\underline{\mathbf{E}} = \underline{\mathbf{E}}_0 + \underline{\mathbf{E}}_1$$

$$\underline{\mathbf{B}} = \underline{\mathbf{B}}_0 + \underline{\mathbf{B}}_1$$

cold plasma

$$\frac{d\underline{\mathbf{v}}}{dt} = \frac{q_s}{m_s} \cdot (\underline{\mathbf{E}} + \underline{\mathbf{v}} \times \underline{\mathbf{B}})$$

Lorenz

force

$$-i\omega \underline{\mathbf{v}}_1 = \frac{q_s}{m_s} \cdot (\underline{\mathbf{E}}_1 + \underline{\mathbf{v}}_1 \times \underline{\mathbf{B}}_0)$$

$$\left| \frac{k_{\perp} v_{th}}{\Omega_s} \right|^2 \ll 1 \quad \left| \frac{\omega - n\Omega_s}{k_{\parallel} v_{th}} \right|^2 \gg 1$$

$$\underline{\mathbf{j}}_1 = \sum_s q_s n_s \underline{\mathbf{v}}_{1,s}$$

Vlasov equation

$$\text{warm plasma} \quad \partial_t \mathbf{f} + \underline{\mathbf{v}} \partial_x \mathbf{f} + \frac{q_s}{m_s} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) = 0$$

$$\mathbf{f}_1 = \dots$$

$$\underline{\mathbf{j}}_1 = \sum_s q_s \int \underline{\mathbf{v}} \cdot \mathbf{f}_1(\mathbf{v}) d^3v$$

Further: Relativistic plasmas, nonlinear waves, ...

Ions immobile, i.e. frequencies too high:

$$\underline{j} = -en\underline{v}$$

$$-i\omega\underline{v} = \frac{-e \cdot \underline{E}}{m_e} \quad \underline{j} = \frac{-i \cdot e^2 n_e}{m_e \omega}$$

Plasma frequency: $\omega_{p,e}^2 = \frac{e^2 n_e}{\epsilon_0 m_e}$

$$K = 1 + \frac{\sigma}{i\omega\epsilon_0} = 1 - \frac{\omega_p^2}{\omega^2}$$

$$(\underline{k} \cdot \underline{E})\underline{k} - k^2 \underline{E} + \frac{\omega^2}{c^2} \left(1 - \frac{\omega_p^2}{\omega^2} \right) \underline{E} = 0$$

$\underline{k} \parallel \underline{E}$: Langmuir waves

$$\omega = \omega_p$$

No energy propagation!

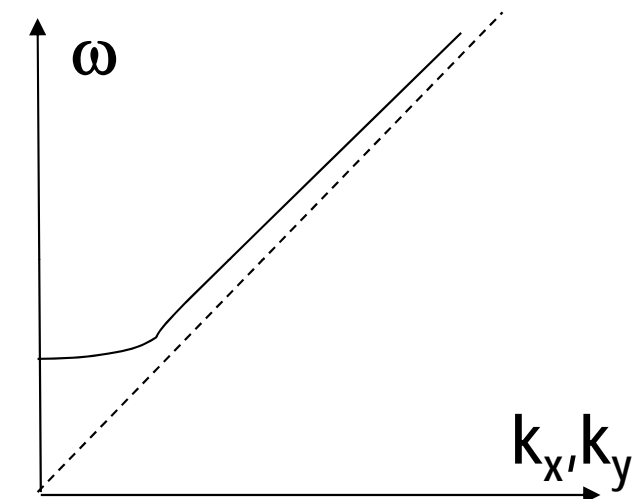
$\underline{k} \perp \underline{E}$: EM waves

$$N^2 = \frac{c^2 k^2}{\omega^2} = 1 - \frac{\omega_p^2}{\omega^2}$$

2 solutions

$$E_x \neq 0$$

$$E_y \neq 0$$



Rectangular coordinate system, external magnetic field B_0 along z-axis

$$\underline{\underline{K}} = \begin{pmatrix} K_{xx} & K_{xy} & 0 \\ K_{yx} & K_{yy} & 0 \\ 0 & 0 & K_{zz} \end{pmatrix} \quad K_{xx} = K_{yy} = K_{zz} = 1 - \sum_s \frac{\omega_{ps}^2}{\omega^2 - \omega_{cs}^2}$$

$$K_{xy} = -K_{yx} = i \sum_s \frac{\omega_{cs}}{\omega} \cdot \frac{\omega_{ps}^2}{\omega^2 - \omega_{ps}^2}$$

Signed Gyration frequency: $\omega_{cs} = \frac{q_s B_0}{m_s}$

$$\begin{pmatrix} K_{xx} - N_z^2 & K_{xy} & N_x N_z \\ K_{yx} & K_{yy} - N_x^2 - N_z^2 & 0 \\ N_x N_z & 0 & K_{zz} - N_x^2 \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix} = 0$$

Dispersion relation: $\det (...) = 0$!

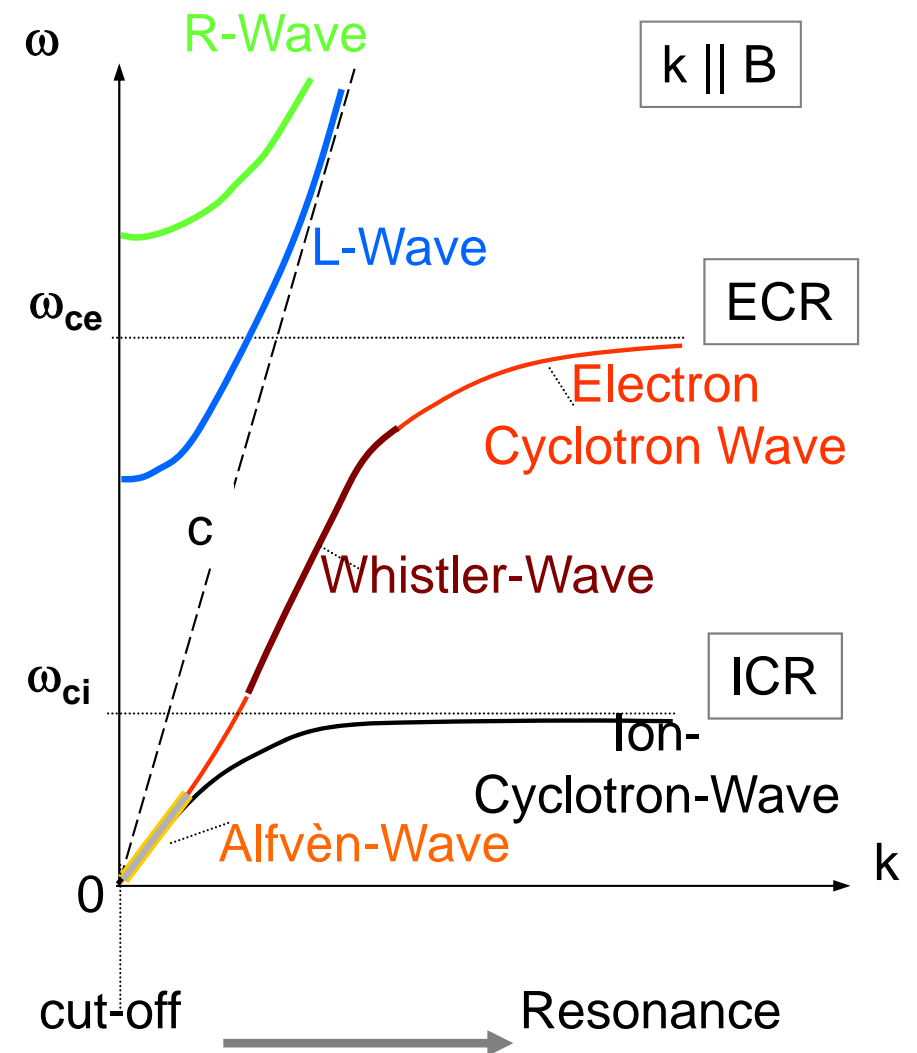
$$N_x = 0$$

$$\begin{pmatrix} K_{xx} - N_z^2 & K_{xy} & 0 \\ K_{yx} & K_{yy} - N_z^2 & 0 \\ 0 & 0 & K_{zz} \end{pmatrix} = 0$$

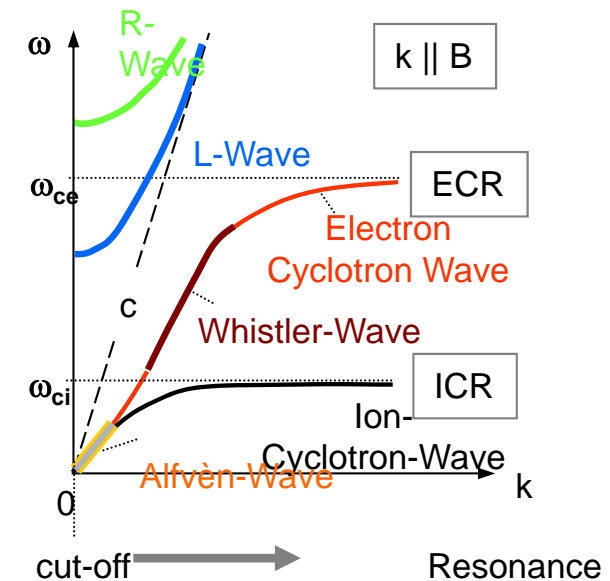
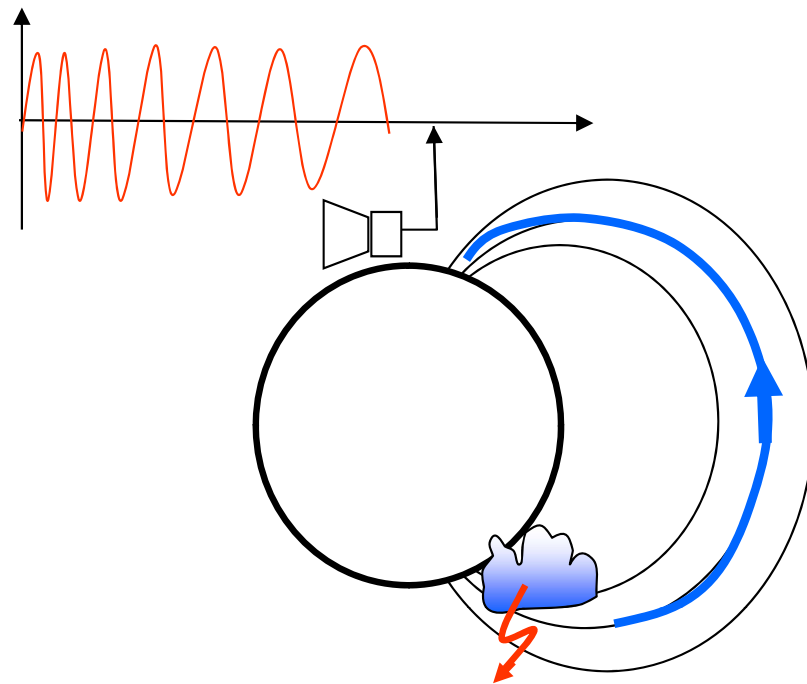
2 solutions:

$$N_{z1,2}^2 = K_{xx} \pm iK_{xy}$$

Polarization: $\frac{E_x}{E_y} = \pm i$



Discovered during WW I:
chirping sound
electromagnetic disturbances in the audio frequencies



Group velocity $v_{gr} = \frac{d\omega}{dk} \propto \sqrt{\omega}$

$$N_z=0$$

$$\begin{vmatrix} K_{xx} & K_{xy} & 0 \\ K_{yx} & K_{yy} - N_x^2 & 0 \\ 0 & 0 & K_{zz} - N_x^2 \end{vmatrix} = 0$$

2 solutions:

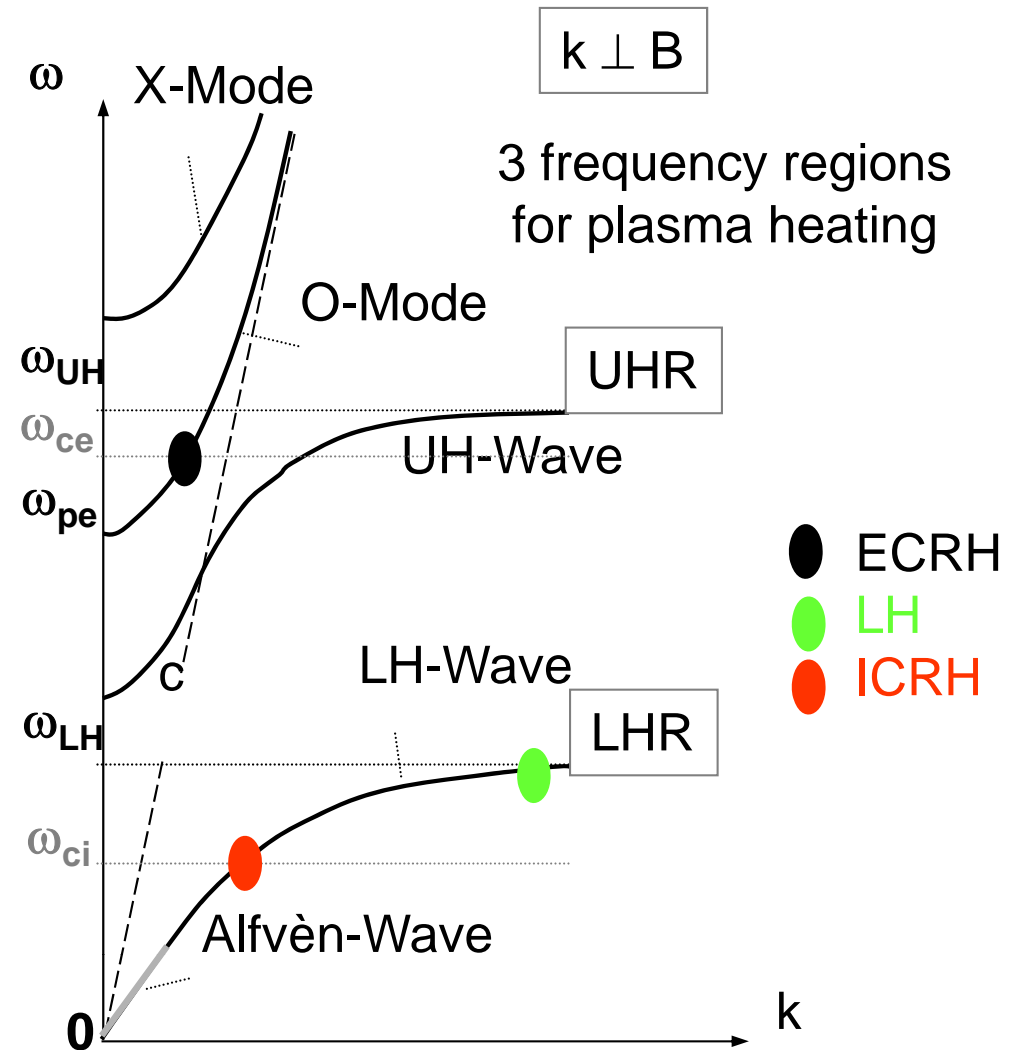
$E \parallel B_0$: Ordinary wave $N_{x1}^2 = 1 - \frac{\omega_p^2}{\omega^2}$

$E \perp B_0$: Extraordinary wave

$$N_{x2}^2 = \frac{(\omega^2 - \omega_{c01}^2)(\omega^2 - \omega_{c02}^2)}{(\omega^2 - \omega_{lh}^2)(\omega^2 - \omega_{uh}^2)}$$

ω_{lh} : Lower hybrid frequency

ω_{uh} : Upper hybrid frequency

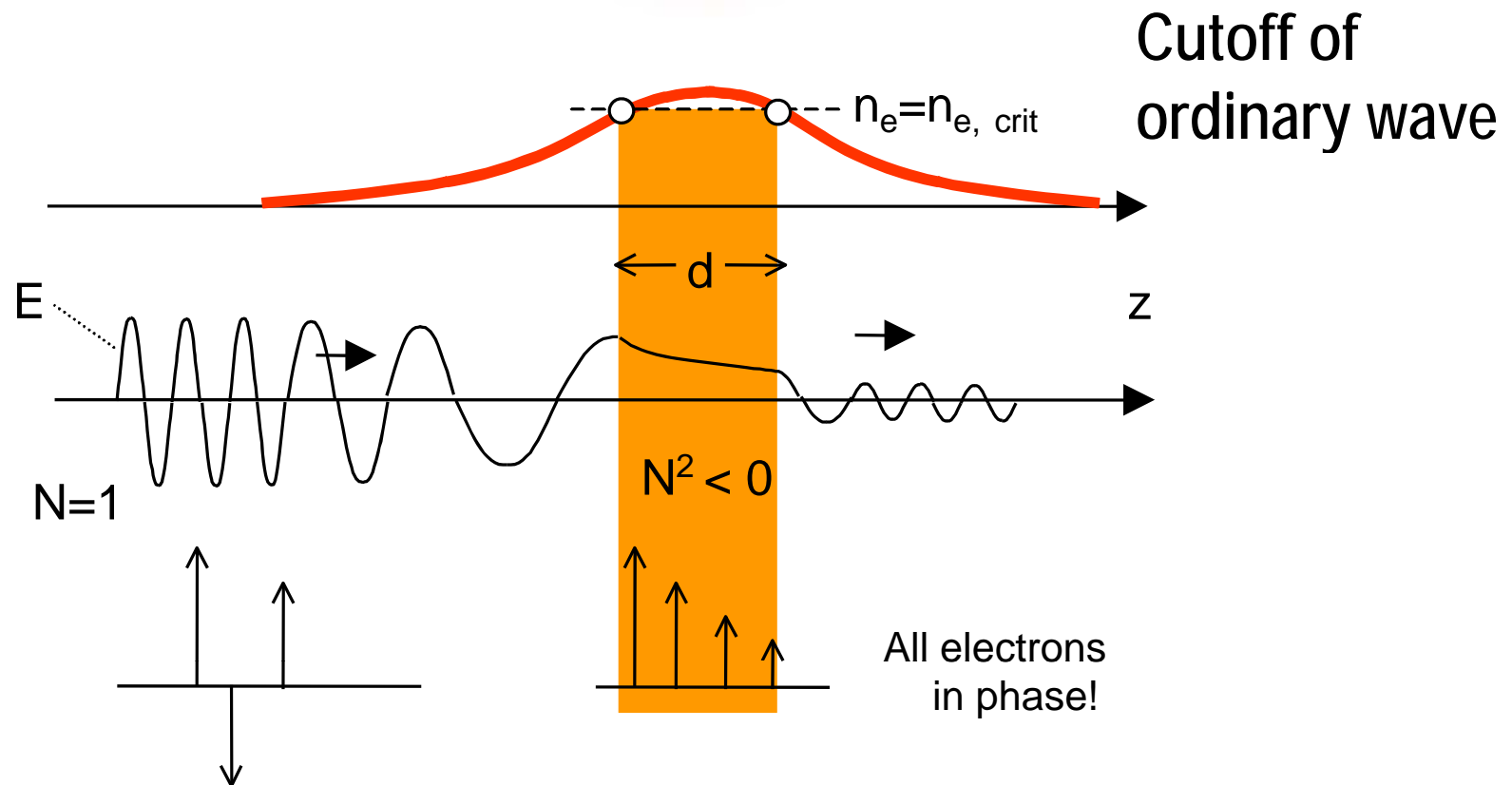


transmitter



$\otimes B_0$

receiver



Cold plasma theory breaks down where

$$\left| \frac{k_{\perp} v_{th}}{\omega_c} \right|^2 \geq 1 \quad \text{Average gyro radius} \approx \text{Perpendicular wavelength}$$

$$\text{or } \left| \frac{\omega - n\omega_c}{k_{\parallel} v_{th}} \right|^2 \leq 1 \quad \text{Wave-particle resonance}$$

Linearized Vlasov equation:

$$\partial_t f_1 + \underline{v} \cdot \partial_x f_1 + \frac{q}{m} [\underline{v} \times \underline{B}_0] \partial_v f_1 = -\frac{q}{m} (\underline{E}_1 + \underline{v} \times \underline{B}_0) \partial_v f_0$$

-> Complicated form of K_{ij}

Now: „new“ set of waves: electrostatic waves for $k_z=0$

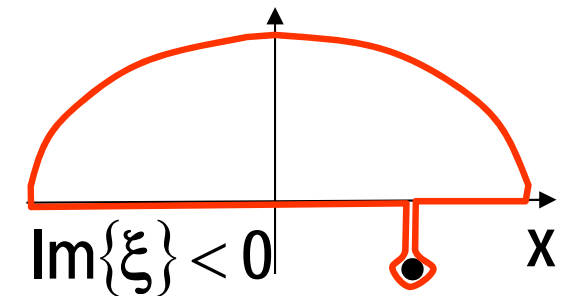
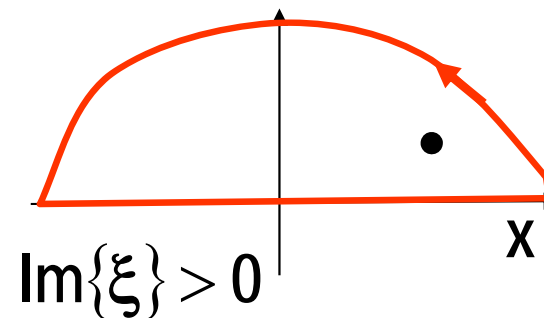
For Maxwellian plasma

$$f_0(v) \propto e^{-v^2/v_{th}^2}$$

$$Z(\xi) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{+\infty} \frac{e^{-x^2}}{x - \xi} dx$$

$$\xi_n = \frac{\omega - n\omega_c}{\sqrt{2}k_{||}v_{th}}$$

Integration path



Values of Z are complex

Thus dispersion relation has complex solutions.

Waves are damped near regions where $\xi_n \approx 0$

Landau Damping

„collisional damping“

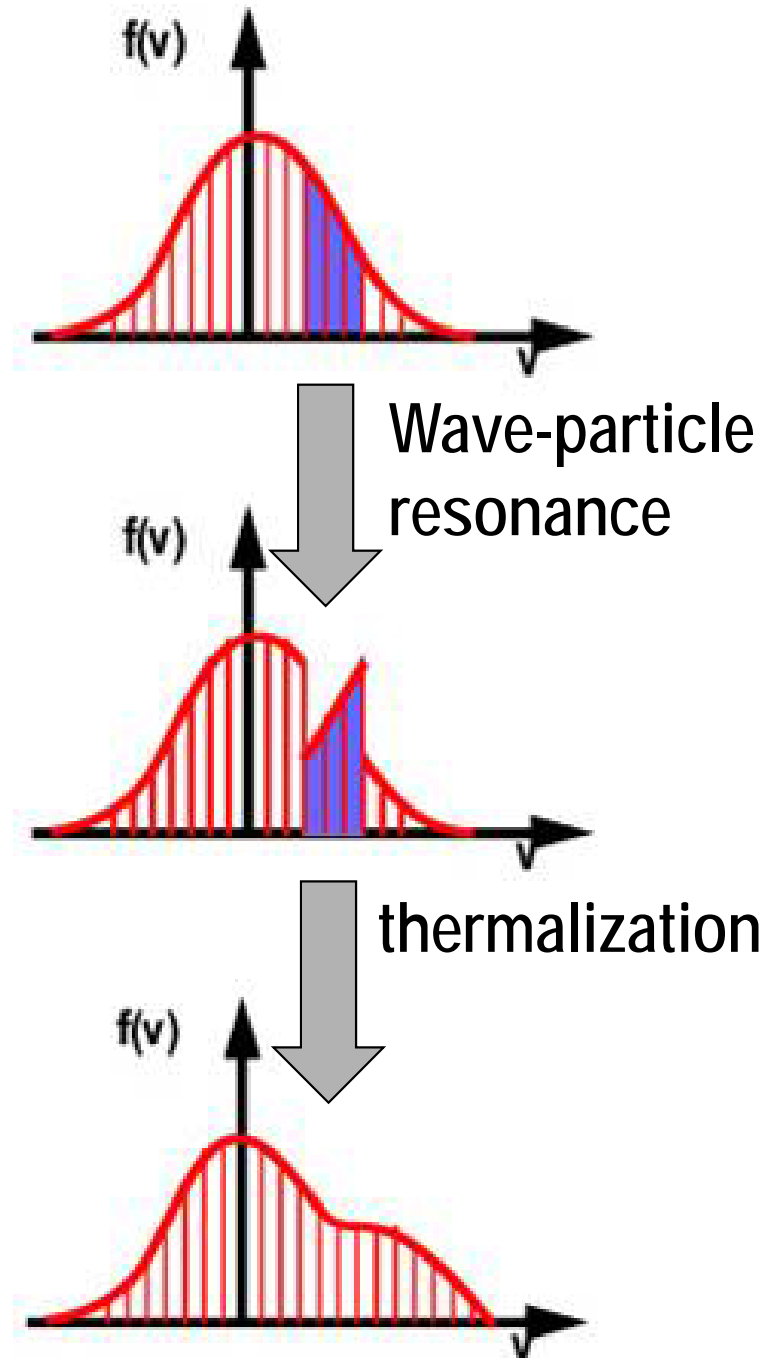
ν : collision frequency

$\omega \ll \nu$: low temperature plasmas

collisional damping
all particles involved

$\omega \gg \nu$: high temperature plasmas (fusion)

„collisionless“ damping
only some particles (ions, electrons)
involved



Damping on ions:

electric EM wave field E_{hf}

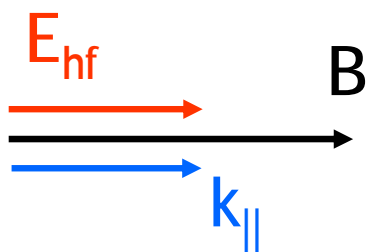
Damping on electrons:

electric EM wave field E_{hf}

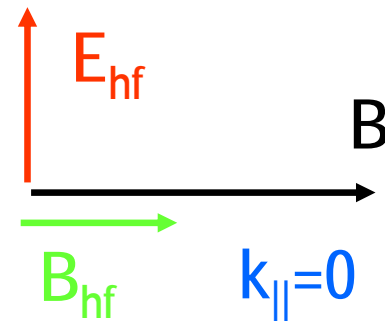
magnetic EM wave field B_{hf}

Damping efficient only at finite temperature.

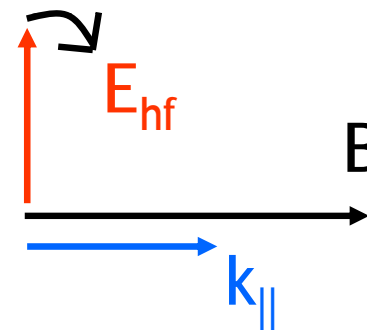
Landau
damping



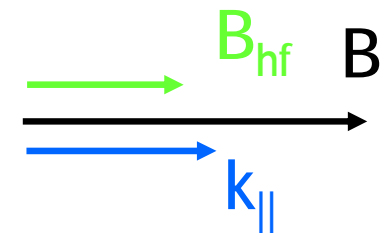
Landau
damping



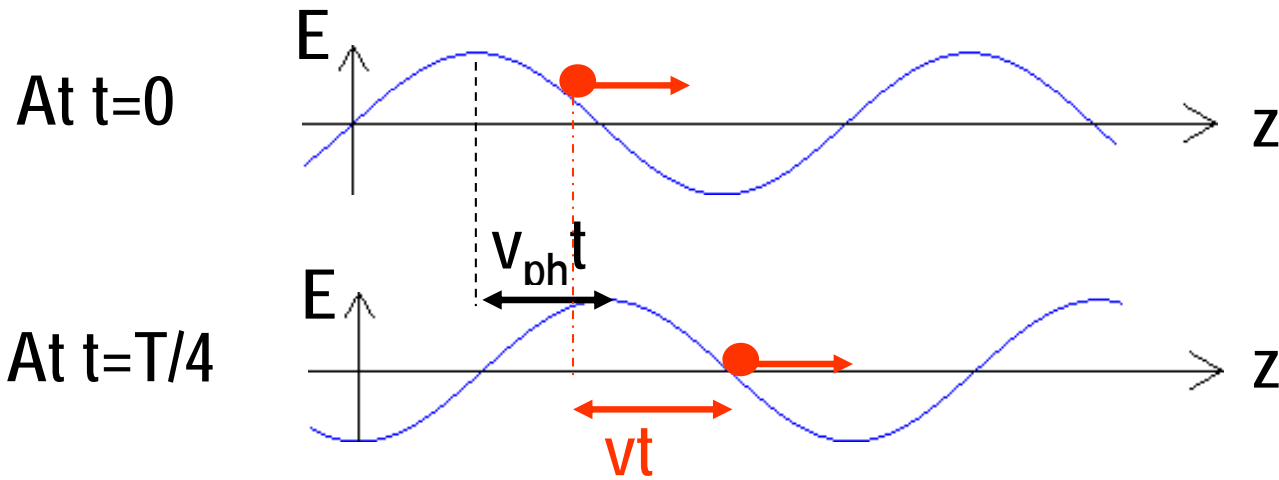
Landau
cyclotron
damping



Transit time
magnetic
pumping



(Electrostatic) waves, $k \parallel E$



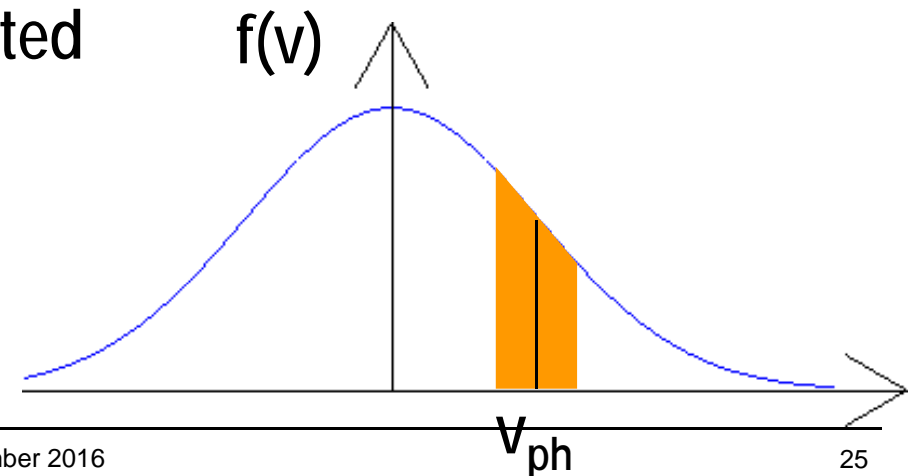
Strong wave-particle
interaction if: $v_{ph}t=vt$

$$\Leftrightarrow \omega/k = v \Leftrightarrow \omega = vk$$

Group of resonant particles: $\left| v - \frac{\omega}{k} \right| \leq \frac{\lambda}{\tau_{coll}}$

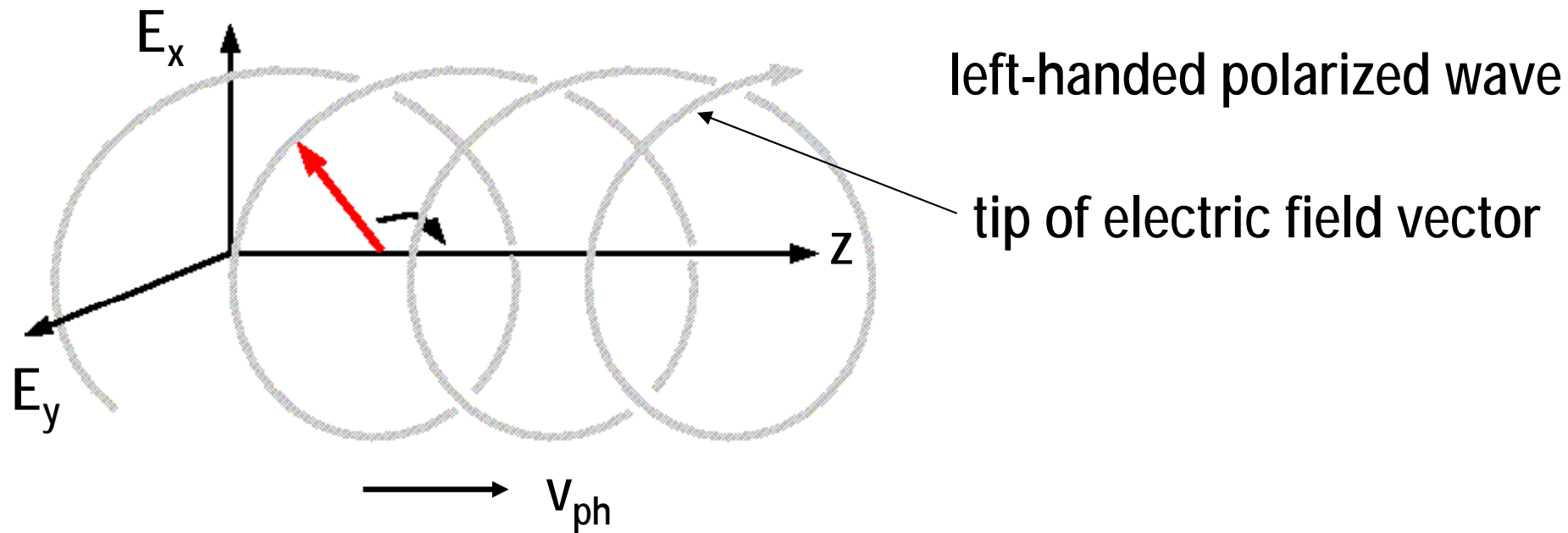
On average: slower particles are accelerated
faster particles are decelerated

$\left. \frac{\partial f}{\partial v} \right|_{v_{ph}} < 0$ Net loss of wave energy
No collisions necessary!



Electromagnetic wave

$$\mathbf{E} \perp \mathbf{k}$$

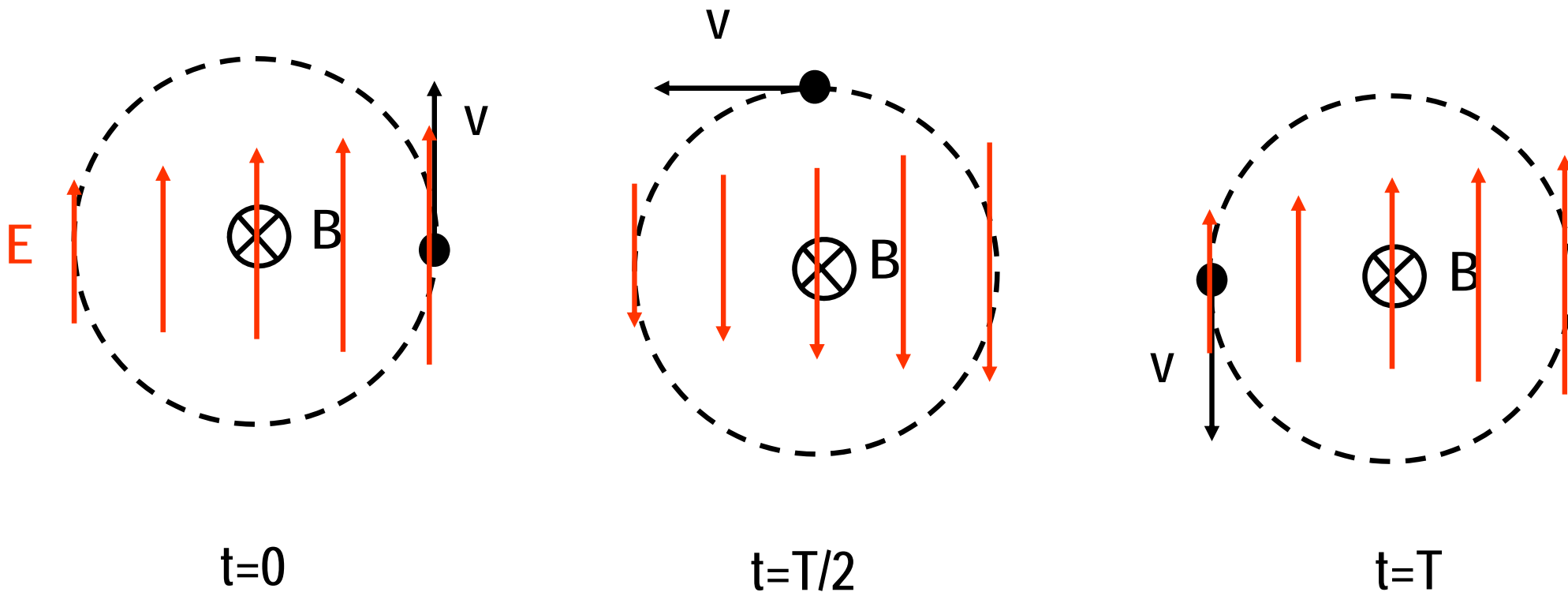


Strong wave-particle interaction if: $\omega - k_{||} \cdot v_{||} \approx l \cdot \Omega_s$ where $l = 1, 2, (3, \dots)$

Strength of the damping: polarization of the wave

slope of the velocity distribution $\left. \frac{\partial f}{\partial v} \right|_{\omega/k_{||}}$

gradient of electric field $\frac{\partial^{(l)} E}{\partial r^{(l)}} \neq 0$



$$\omega = 2 \cdot \Omega_s$$

Maxwells Equations + linearized equation of motion

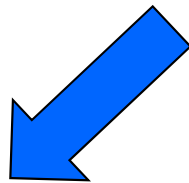
Disperison relation $k=k(\omega)$ resonances $k \rightarrow \infty$
cutoff $k \rightarrow 0$

Wave length larger than particle gyro radius

and

no wave particle resonance ($v_{ph} \neq v_{particle}$)

yes



Cold plasma theory
EM waves

no

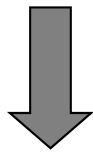


Warm plasma theory
EM and electrostatic waves
Collisionless damping
(Landau damping)

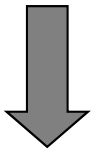
Please, stay tuned.

Plasma Heating

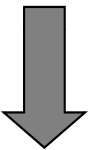
Injection of a beam of neutral
fuel atoms (H, D, T) at high energies
($E_b > 50$ keV)



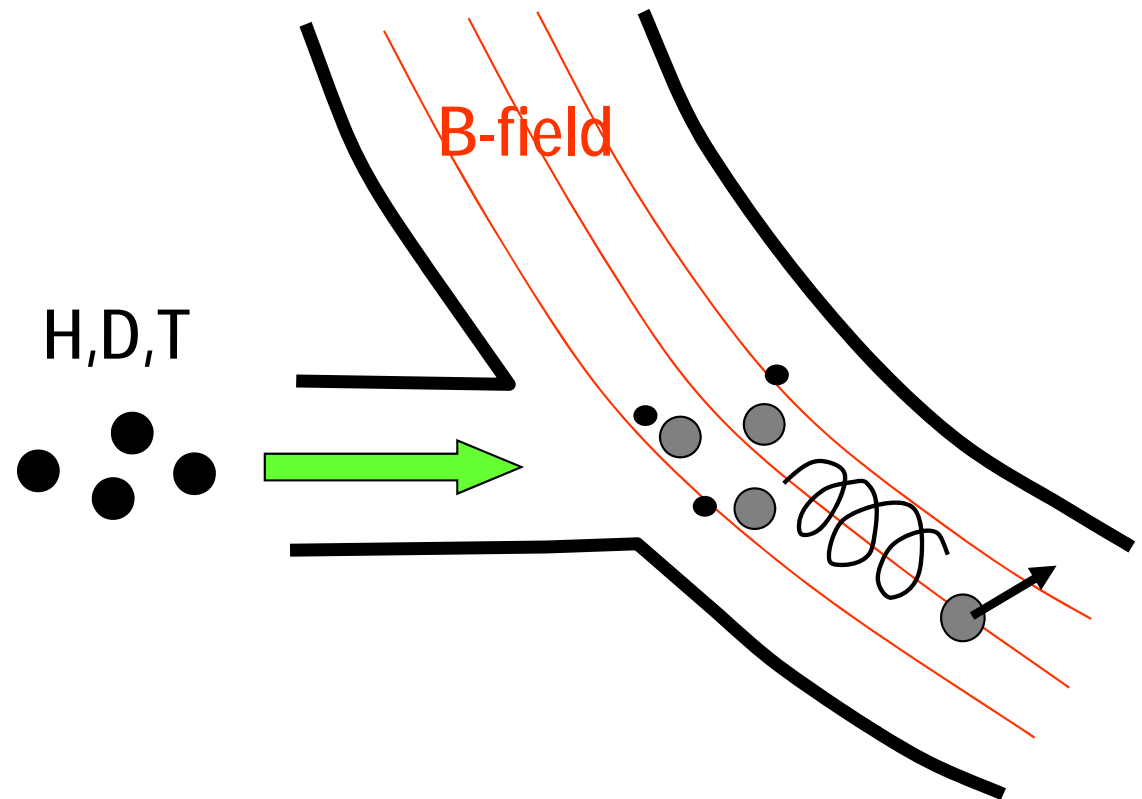
Ionization in the plasma

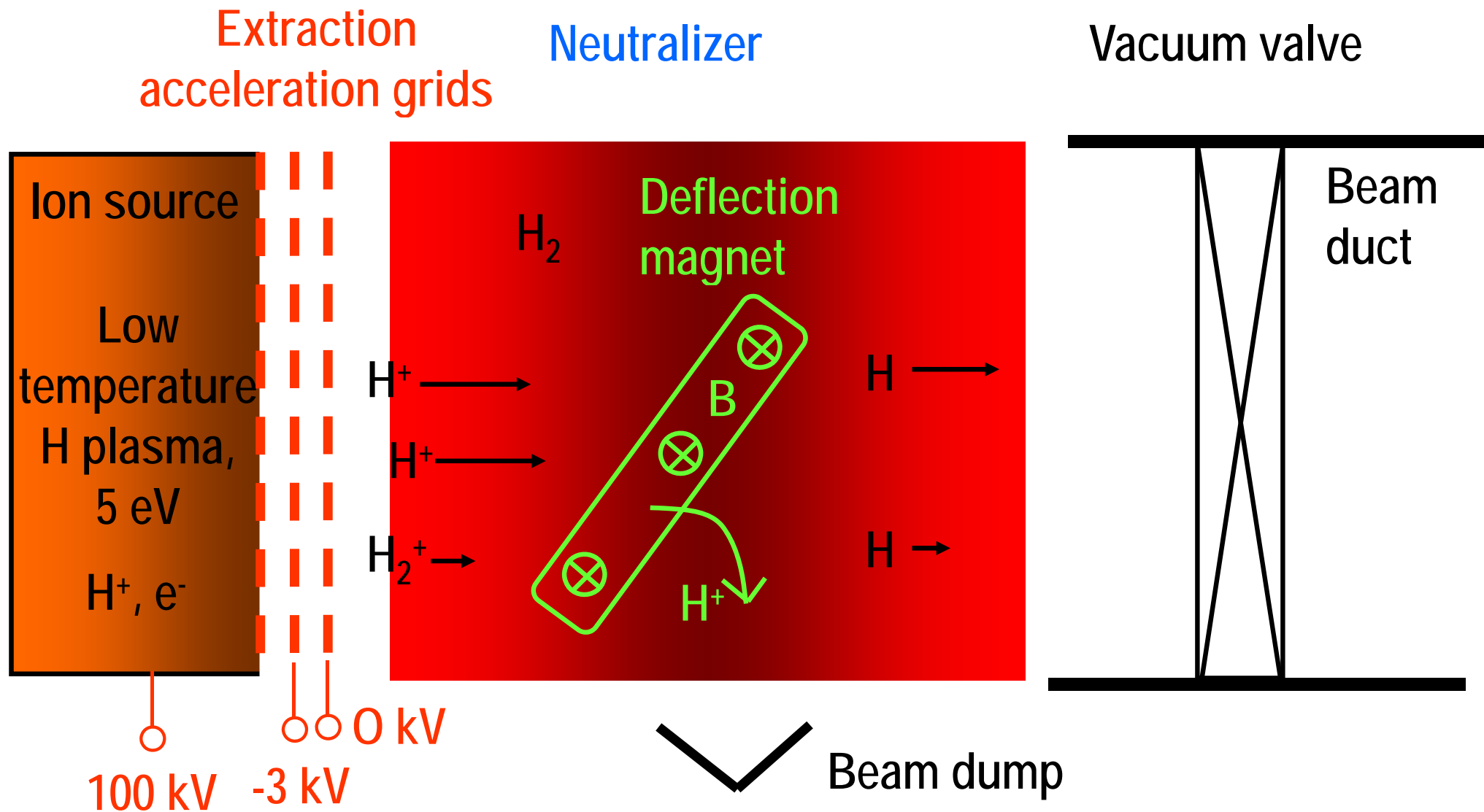


Beam particles confined



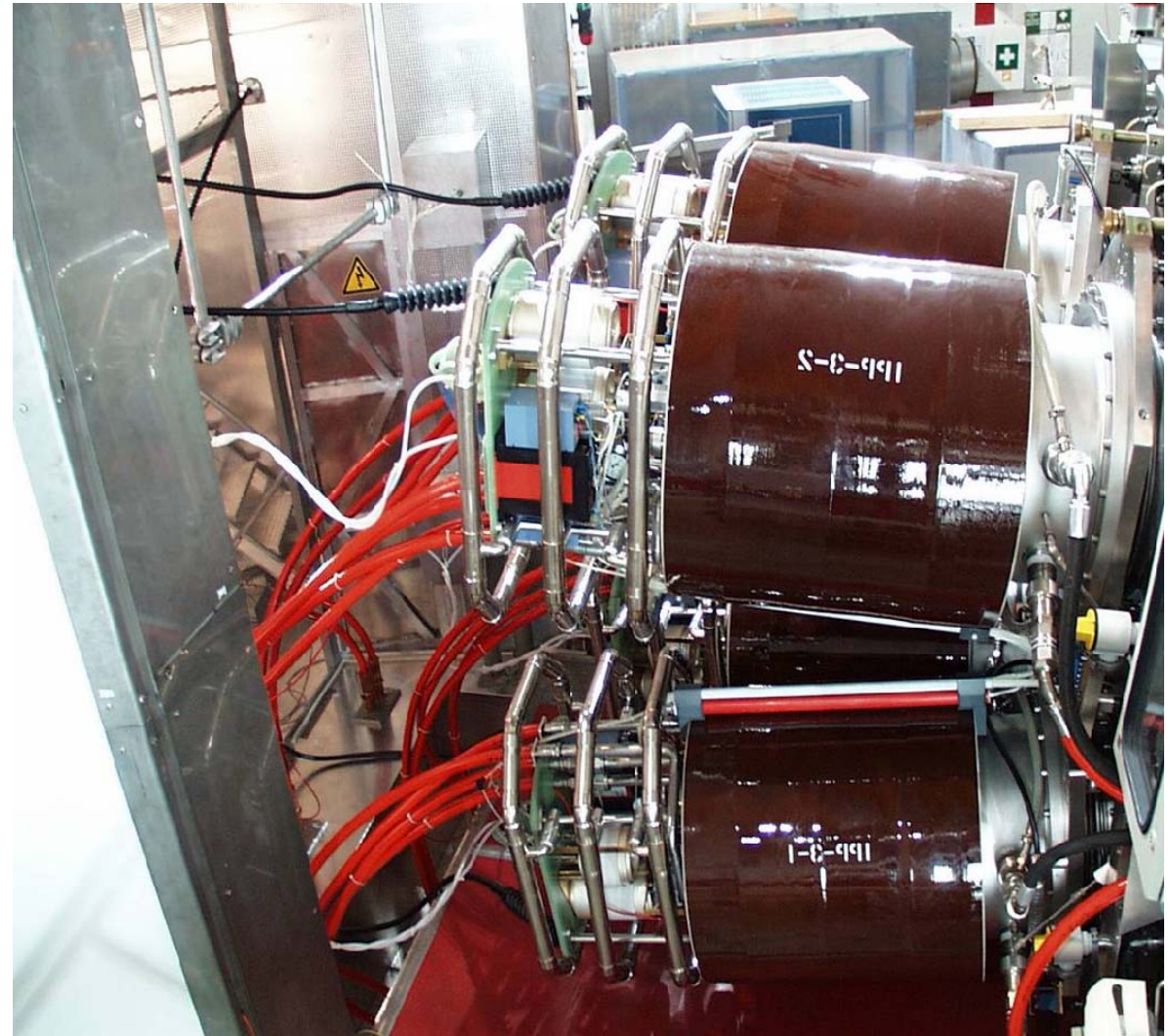
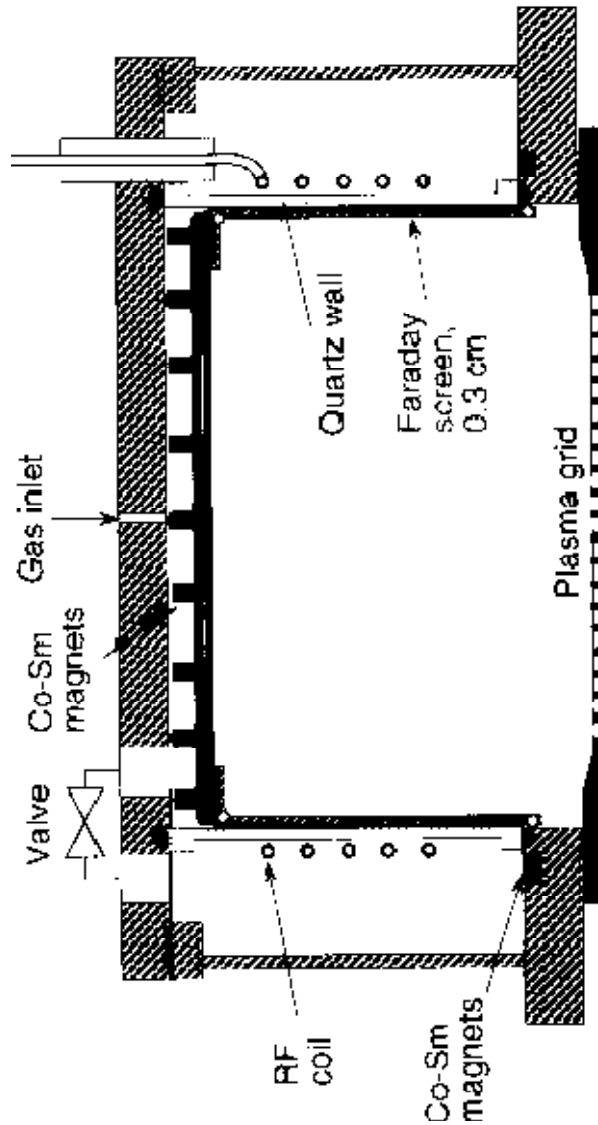
Collisional slowing down





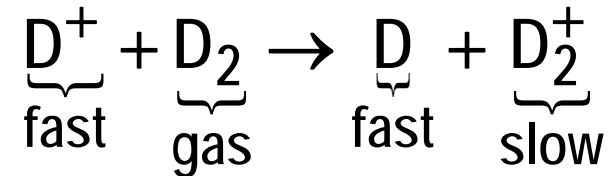
Example W7-AS: $V=50$ kV, $I=25$ A

power deposited in plasma: 0.4 MW

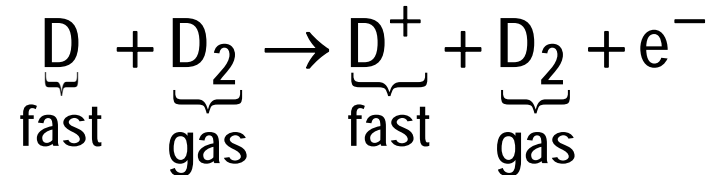


Based on balancing:

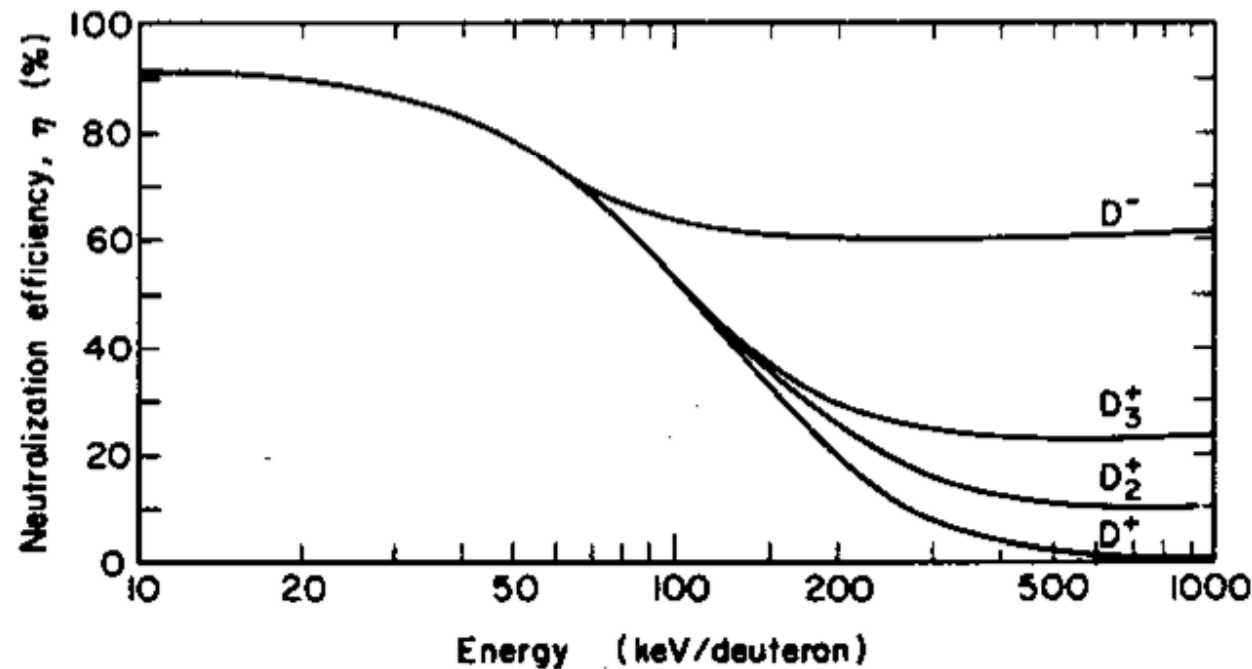
Charge exchange:

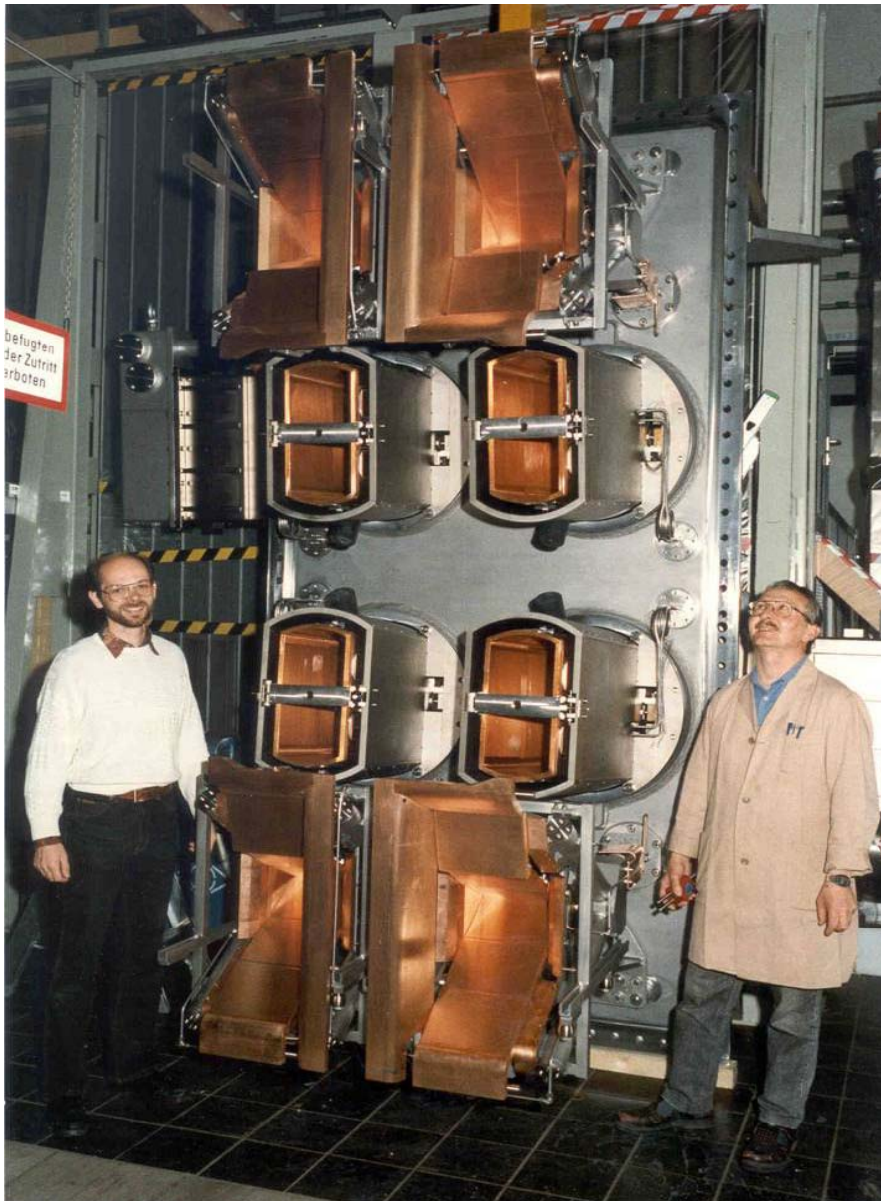


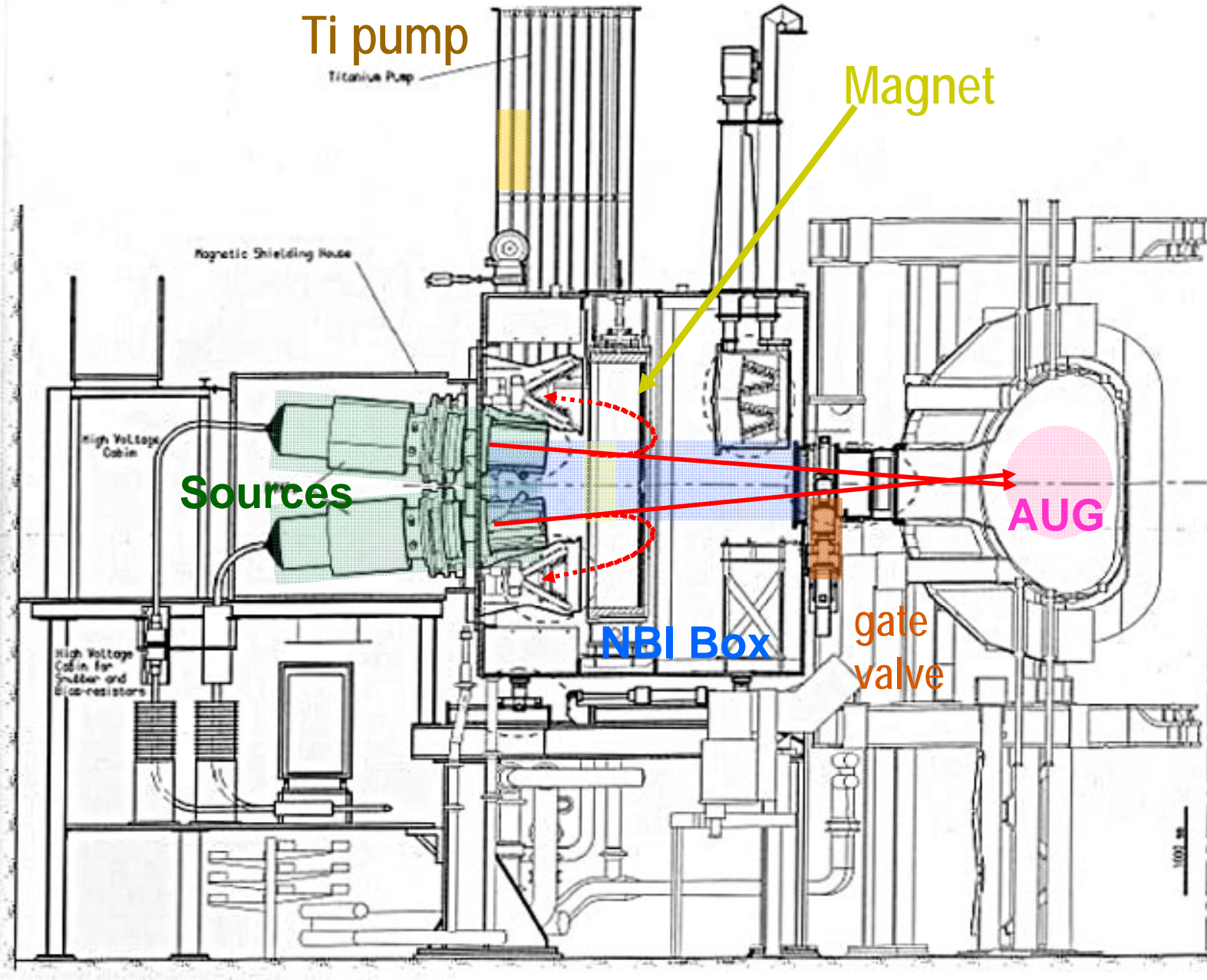
Re-ionization:



Efficiency:







- 2 beamlines
- 4 sources per beamline
- D: 93/60 keV
- H: 72/55 keV
- total NB power in D: 20 MW

1 m

Charge exchange: $H_{\text{fast}} + H^+ \rightarrow H_{\text{fast}}^+ + H$

Ion collision: $H_{\text{fast}} + H \rightarrow H_{\text{fast}}^+ + H + e^-$

Electron collision: $H_{\text{fast}} + e^- \rightarrow H_{\text{fast}}^+ + 2e^-$

Example:

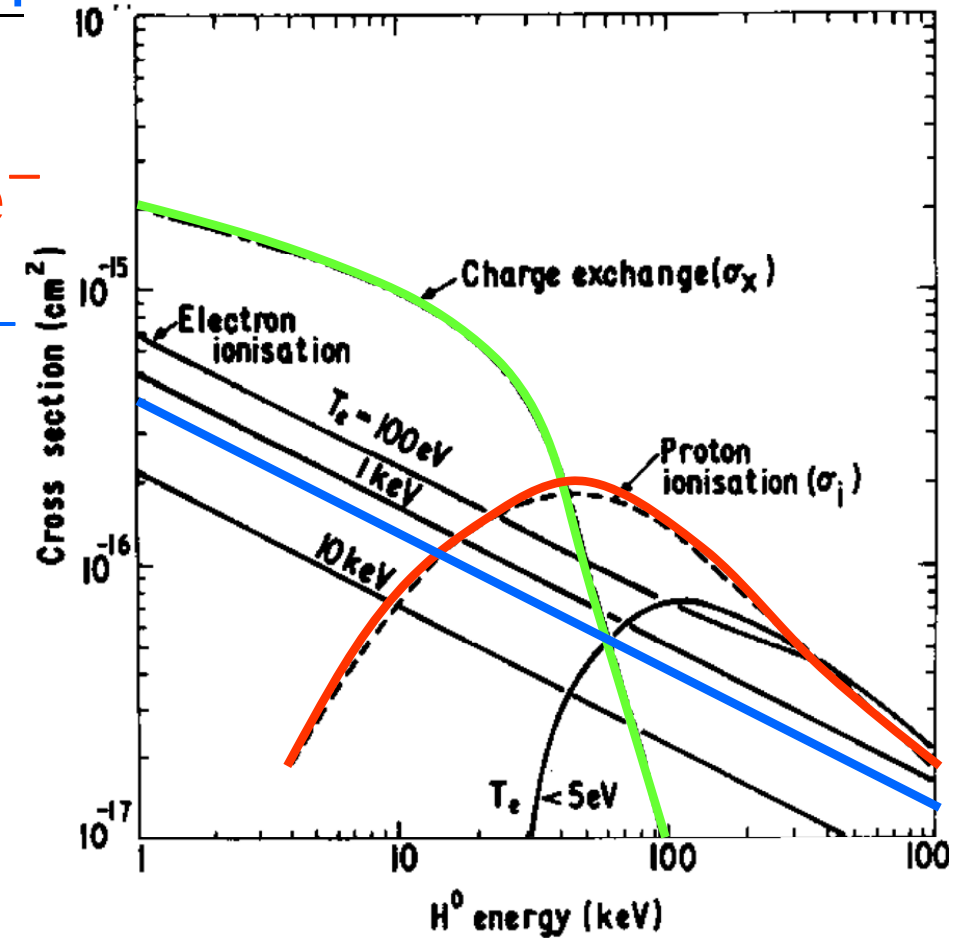
beam intensity: $I(x) = I_0 \cdot \exp\{-x/\lambda\}$

$E_{b0} = 70 \text{ keV}$

$\sigma_{\text{tot}} = 5 \cdot 10^{-20} \text{ m}^2$

$n = 5 \cdot 10^{20} \text{ m}^{-3}$

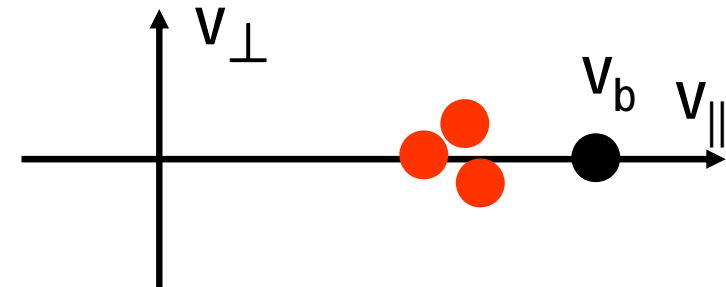
$$\lambda = \frac{1}{n\sigma_{\text{tot}}} \approx 0.4 \text{ m}$$



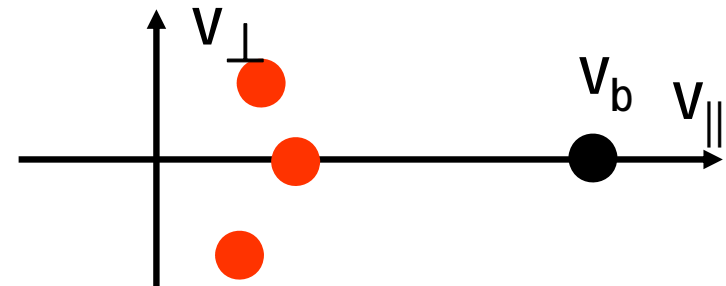
In large reactor plasmas: beam cannot reach core!

E_c : critical energy: $E_c = 14.8 \cdot \frac{A_b}{A_i^{2/3}} \cdot T_e [\text{keV}]$

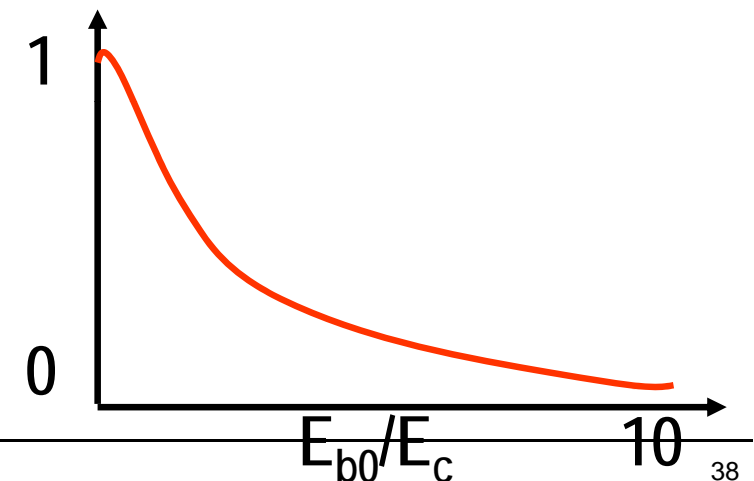
1. $E_b > E_c$: Slowing down on electrons
no scatter



2. $E_b < E_c$: Slowing down on ions
scattering of beams



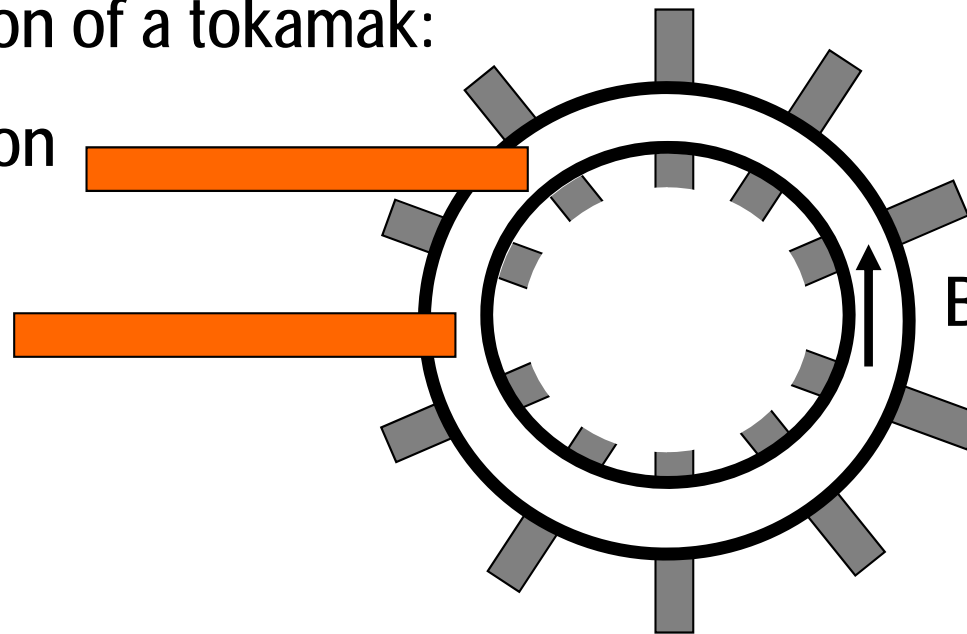
Fraction of initial beam energy going to ions.



Horizontal cross-section of a tokamak:

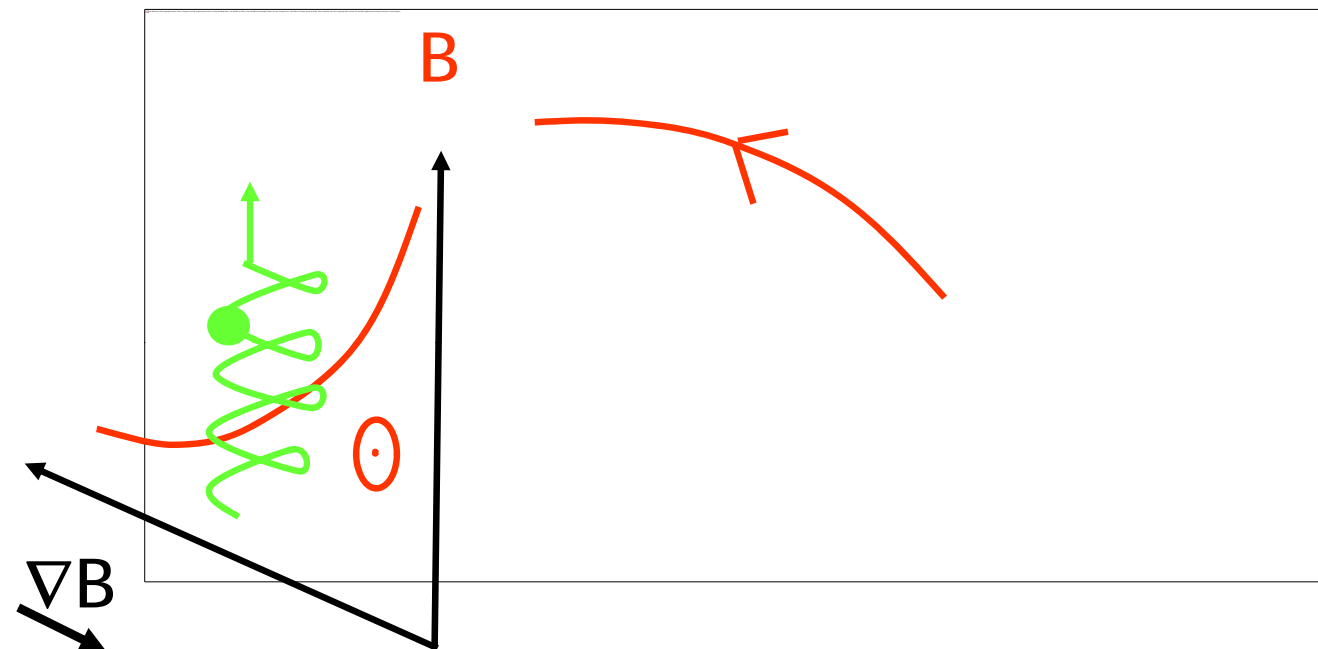
Tangential injection
(counter)

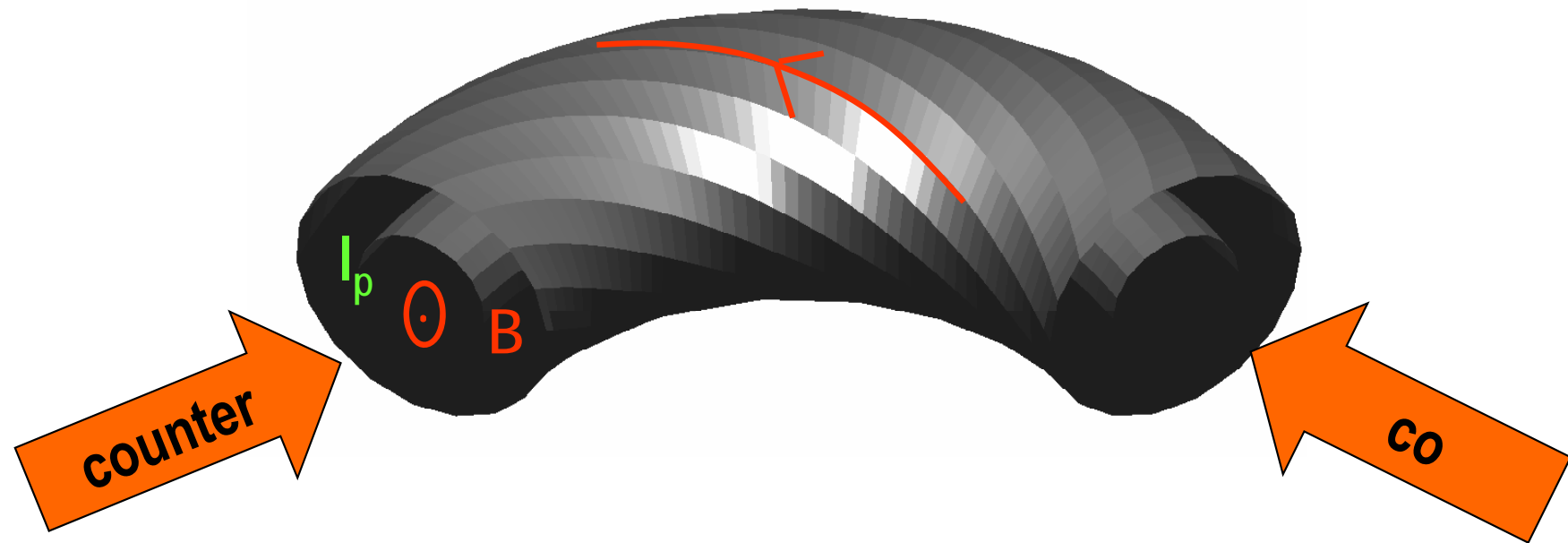
Radial injection



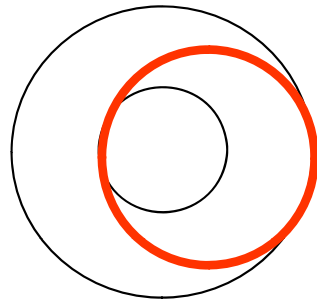
Radial injection:

- Standard ports
- shine-through
- particle loss

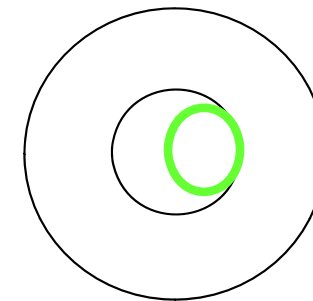




Worse heating
efficiency

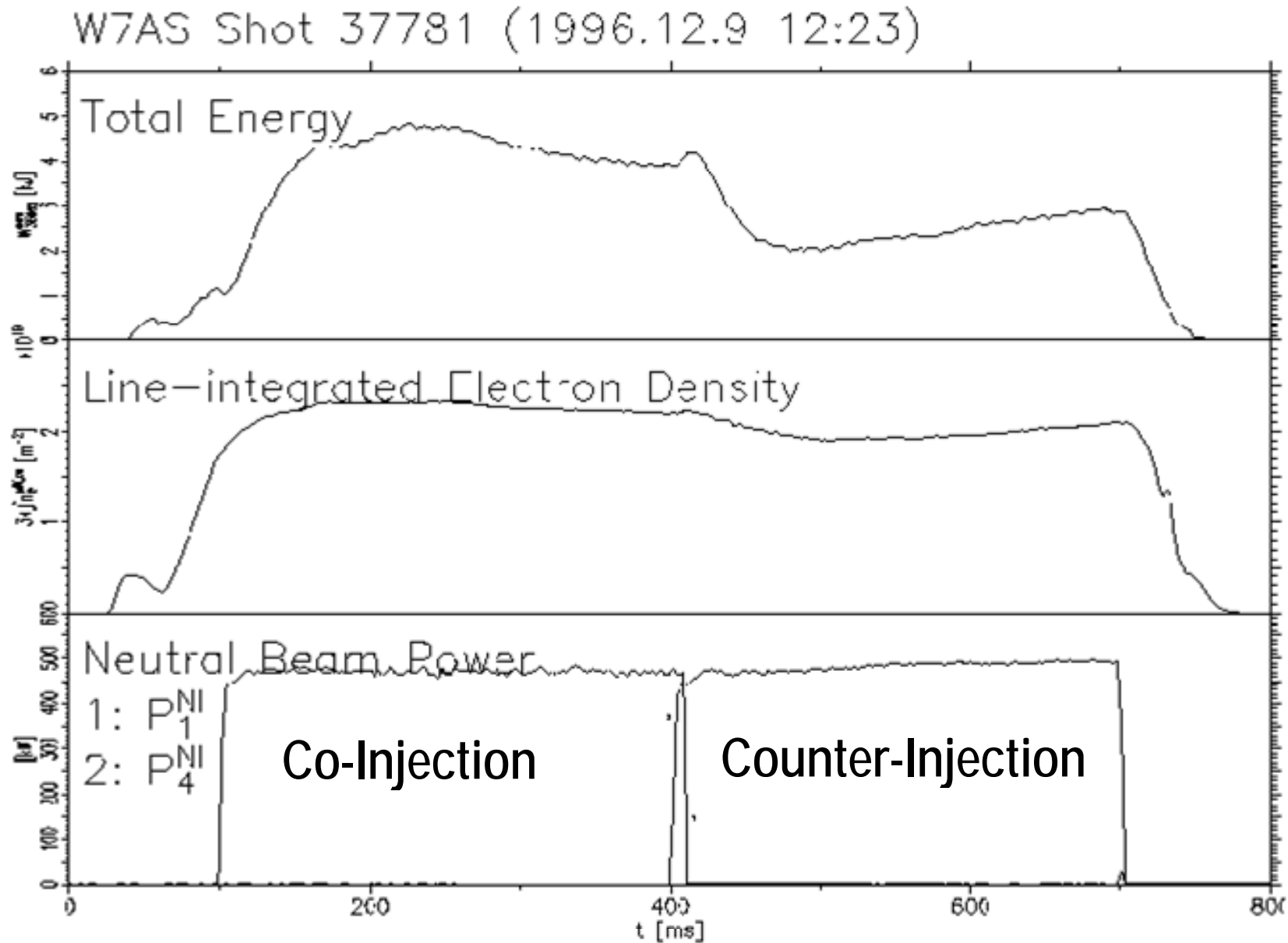


Projected
particle
drifts



Better heating
efficiency

At low magnetic fields heating efficiency depends on NBI direction.



Resonance
zone

Excitation of plasma wave (frequency ω)
near plasma edge



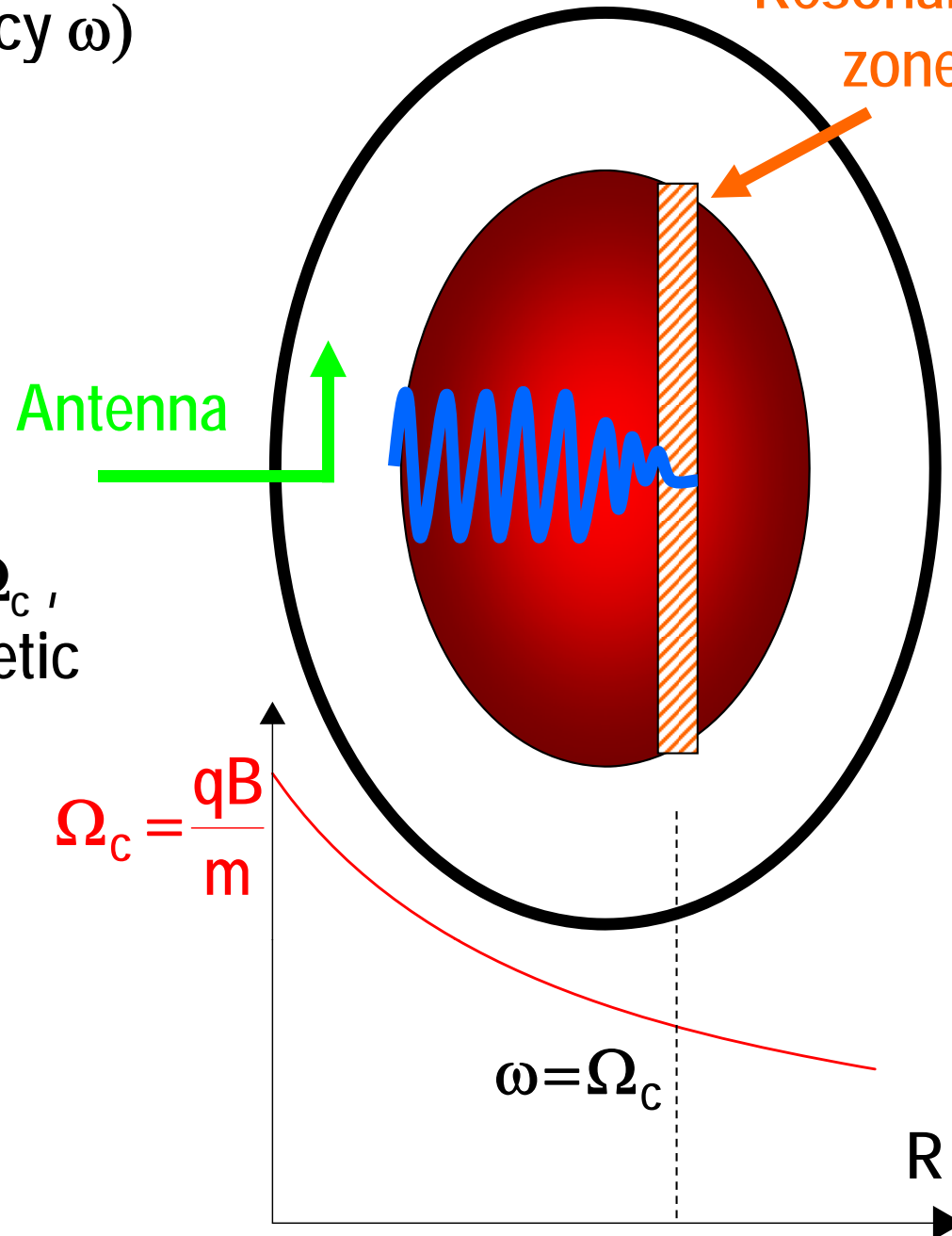
wave transports power into plasma
center



absorption near resonance, e.g. $\omega \approx \Omega_c$,
i.e. conversion of wave energy into kinetic
energy of resonant particles



Resonant particles thermalize.



Landau Cyclotron Resonance

generally $k_{\parallel} \cdot v_{th}^i / \Omega_s \ll 1$

Then good absorption where $\omega \approx l \cdot \Omega_s$

Electrons:	28 GHz / B[T]	Electron Cyclotron Resonance Heating	ECRH
Hydrogen:	15 MHz / B [T]	Ion Cyclotron Resonance Heating	ICRH

Landau Resonance

$$\omega/k_{\parallel} \approx v_{th}^e \quad 1.3 \text{GHz} \cdot \sqrt{T_e [\text{keV}]} / \lambda_{\parallel} [\text{cm}]$$

Lower Hybrid Heating

LH

Landau Resonance and Magn. Pumping also contribute to ICRH

Dispersion relation has two solutions:

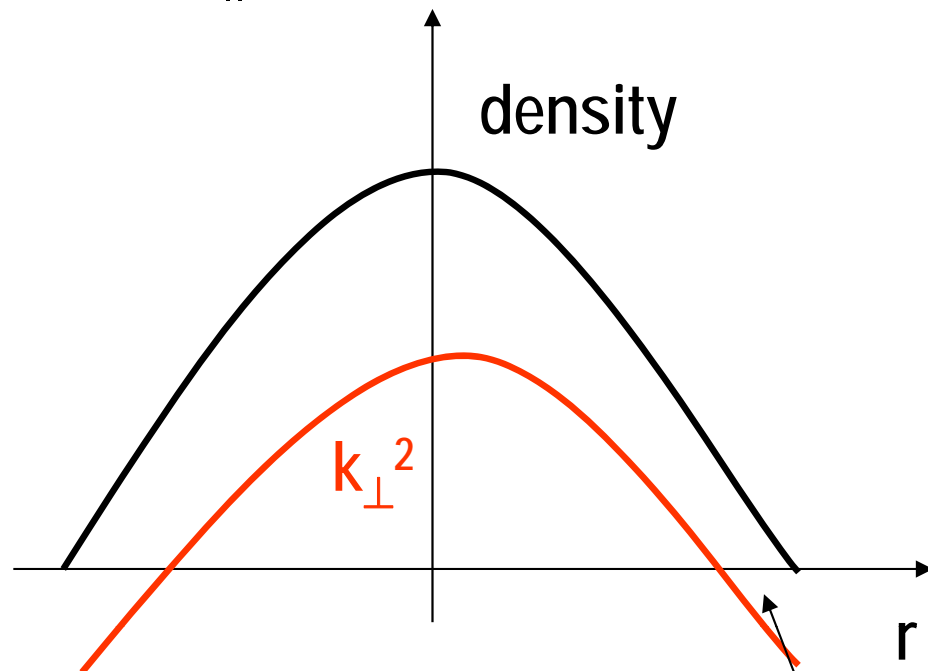
fast wave $E \perp B_0$

slow wave $E \parallel B_0$

$\lambda_{\parallel} \approx 50 - 100 \text{ cm}, \lambda_{\perp} \leq 10 \text{ cm}$

lower density limit approx. $2 \times 10^{19} \text{ m}^{-3}$

upper density limit approx. $1 \times 10^{19} \text{ m}^{-3}$



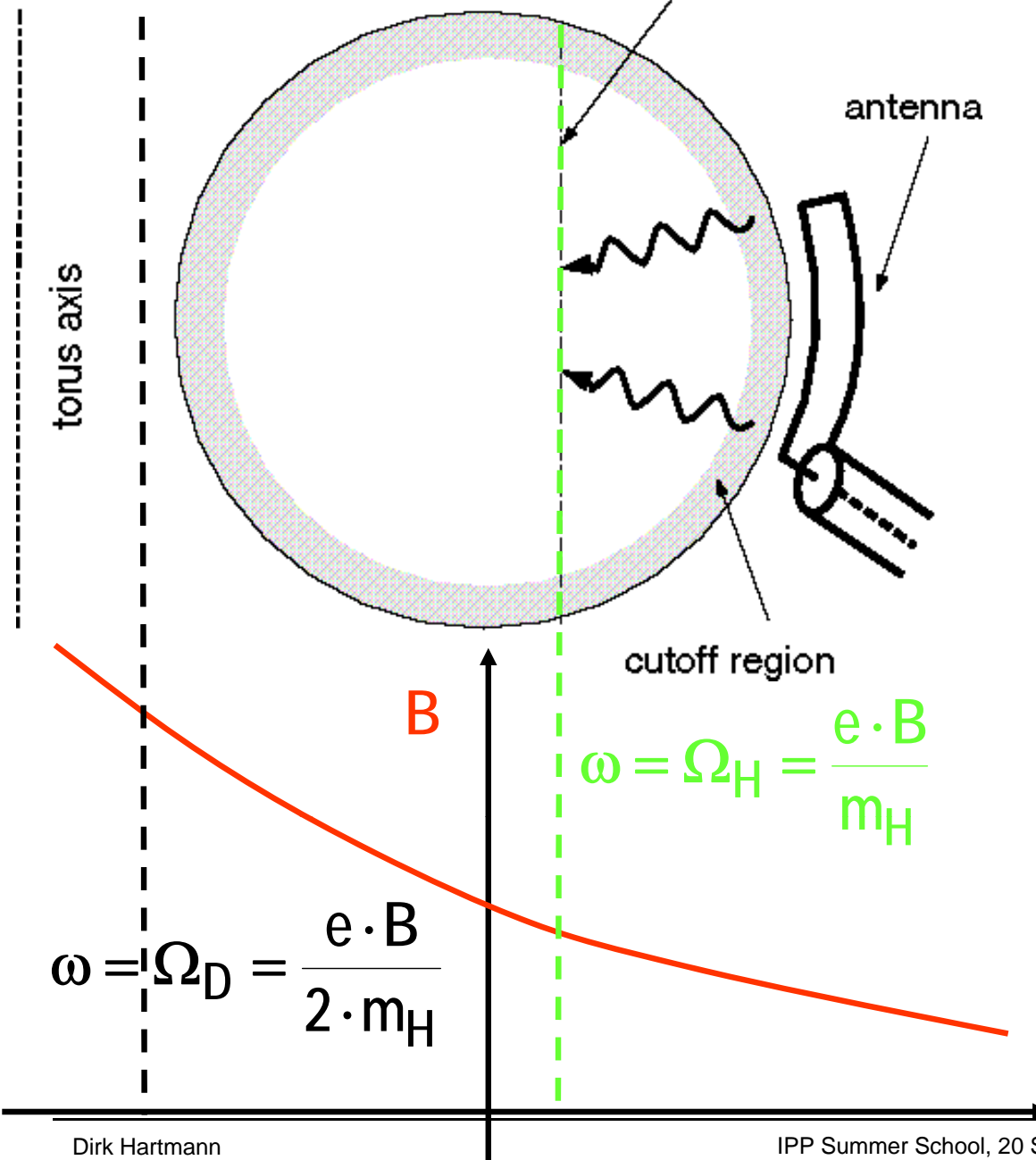
Excite **fast wave** at plasma edge,
needs to tunnel cutoff region.

Problem:

near $\omega = \Omega_i$ wave is right-handed
polarized, but ions need left-handed
polarization!

Plasma cross-section

Cutoff-region



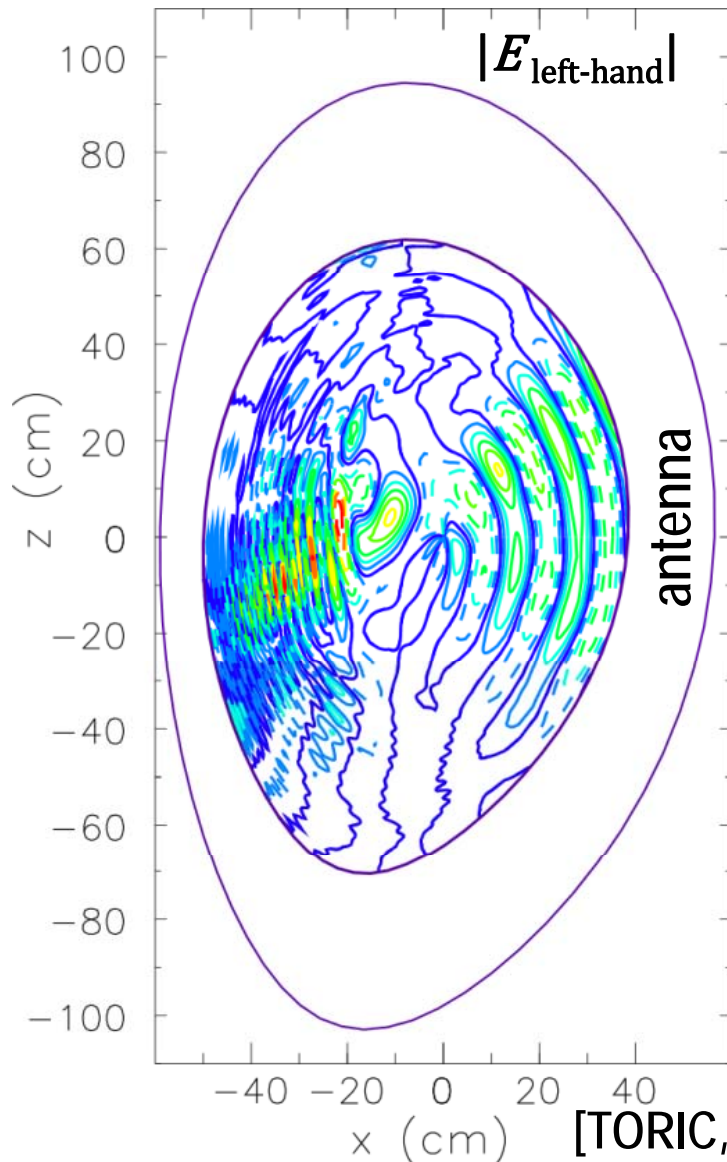
Plasma with mixture of H and D
with $n_H \ll n_D$

$n_D \rightarrow$ polarization
propagation
 $n_H \rightarrow$ absorption

Production of tail in H velocity
distribution function.

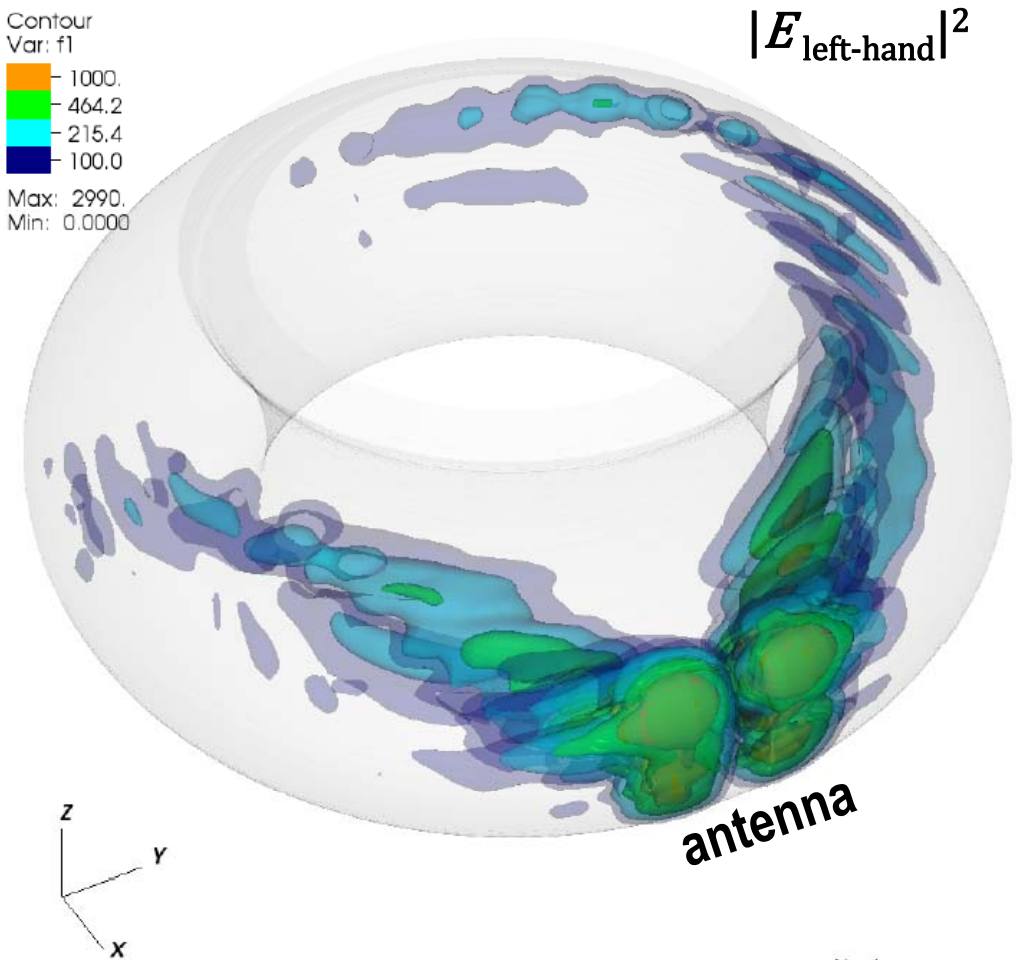
Good single pass absorption.

ASDEX Upgrade:



Alcator C-Mod:

DB: s1101015027_e.silo
Cycle: 0
Contour
Var: f1
1000.
464.2
215.4
100.0
Max: 2990.
Min: 0.0000



user: Naoto
Thu May 26 12:35:40 2011

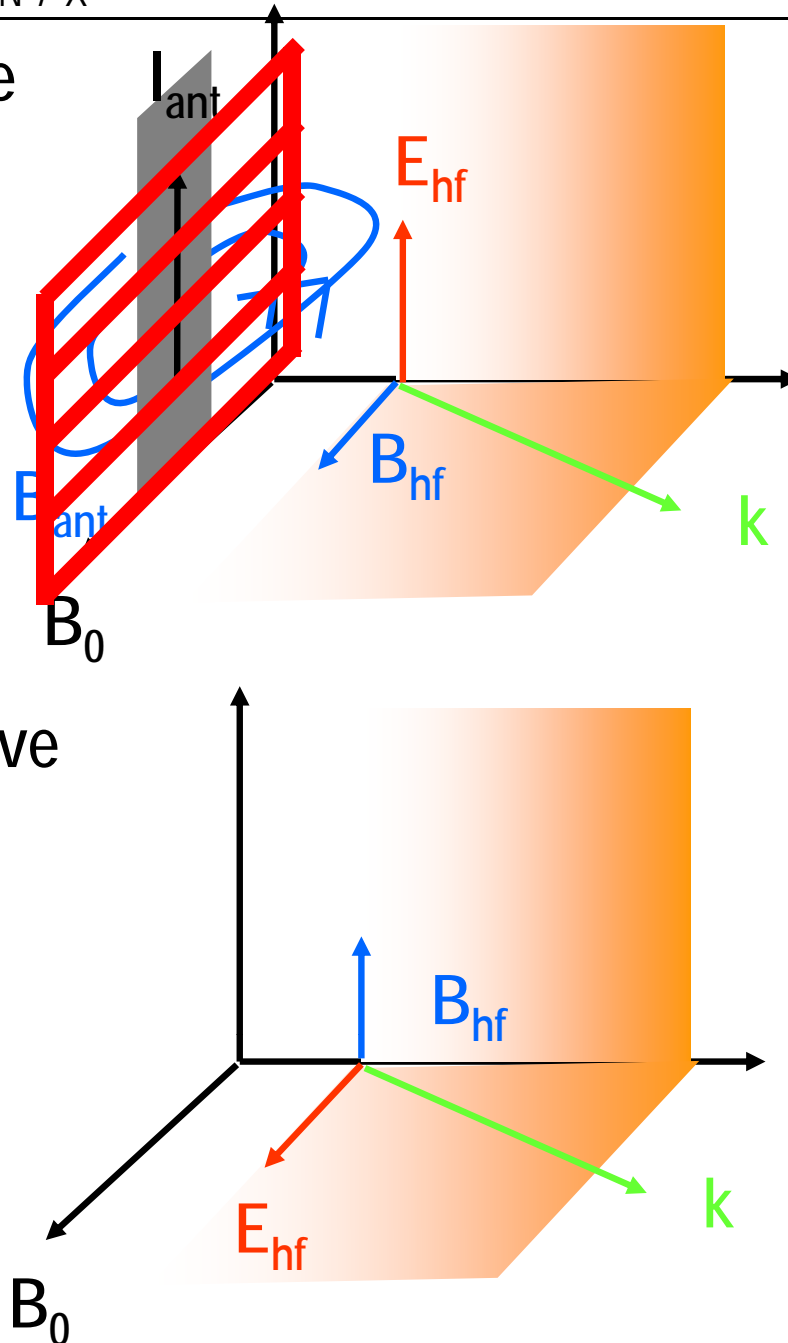
[AORSA/CQL3D, E. F. Jaeger,
calculations by N. Tsujii]

Fast wave

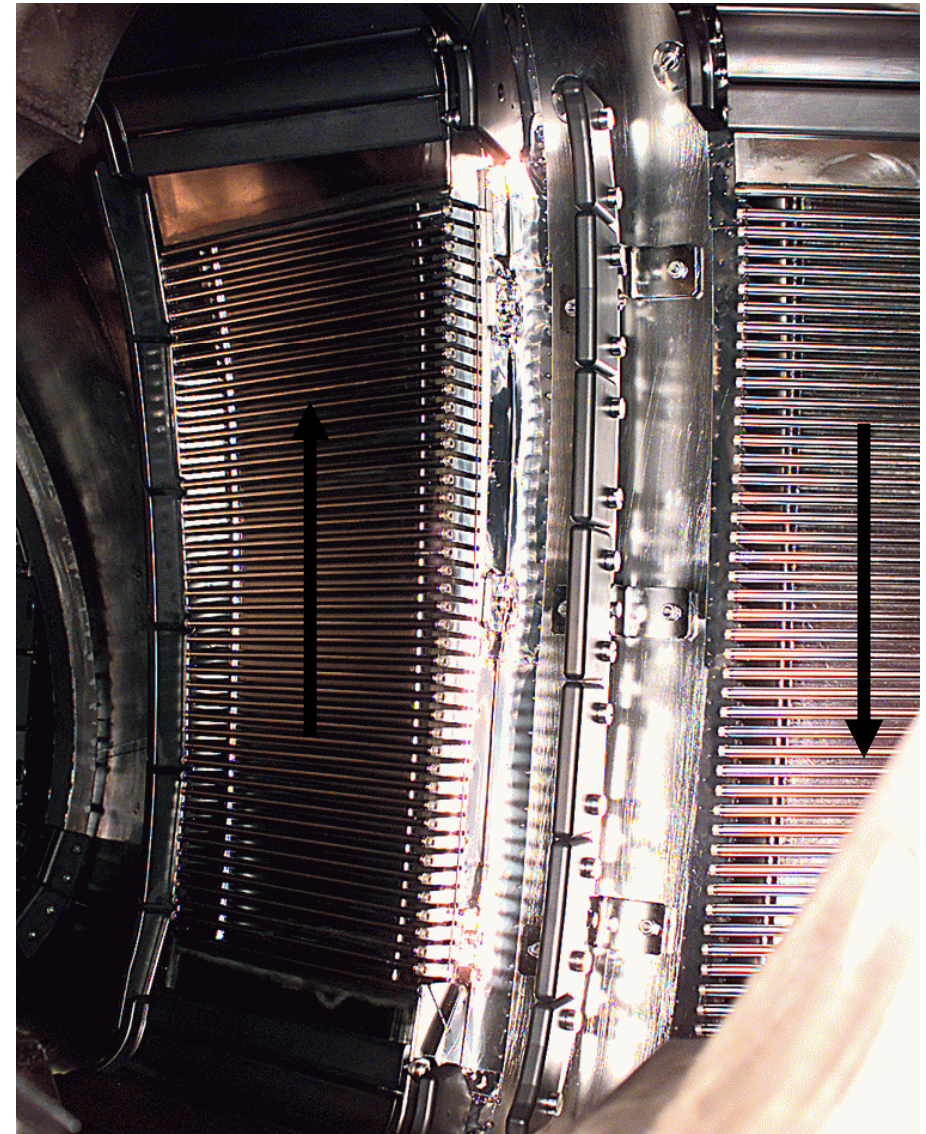
Strap
antenna

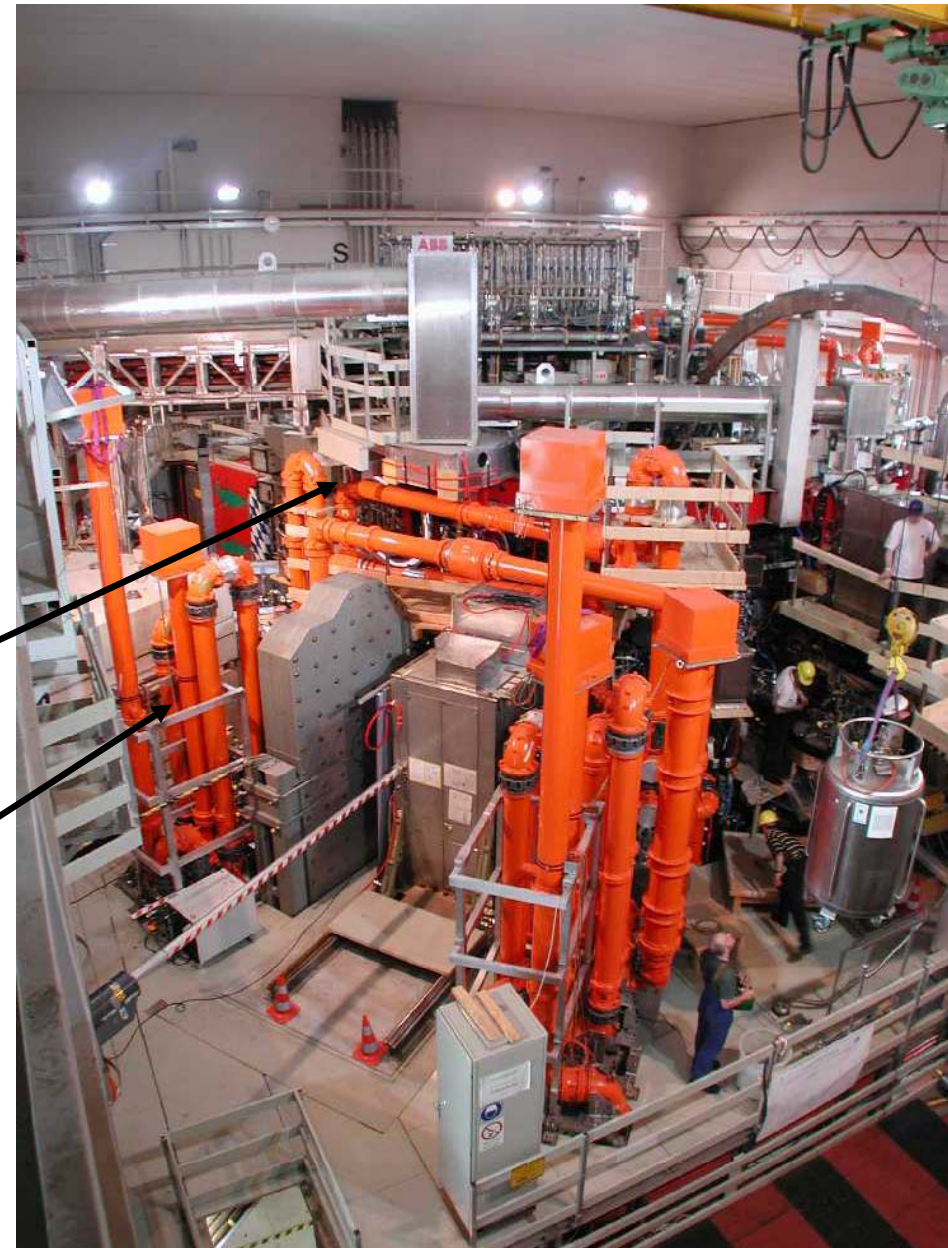
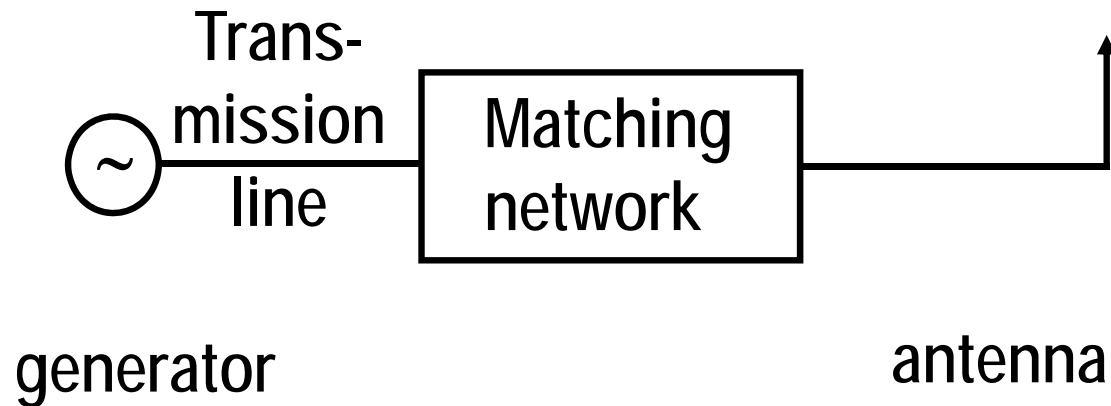
Faraday
screen

Slow wave



W7-AS Antenna





50Ω Coaxial transmission lines
20cm Ø, low loss

Matching network
antenna resistance $\neq 50\Omega$
dependent on plasma

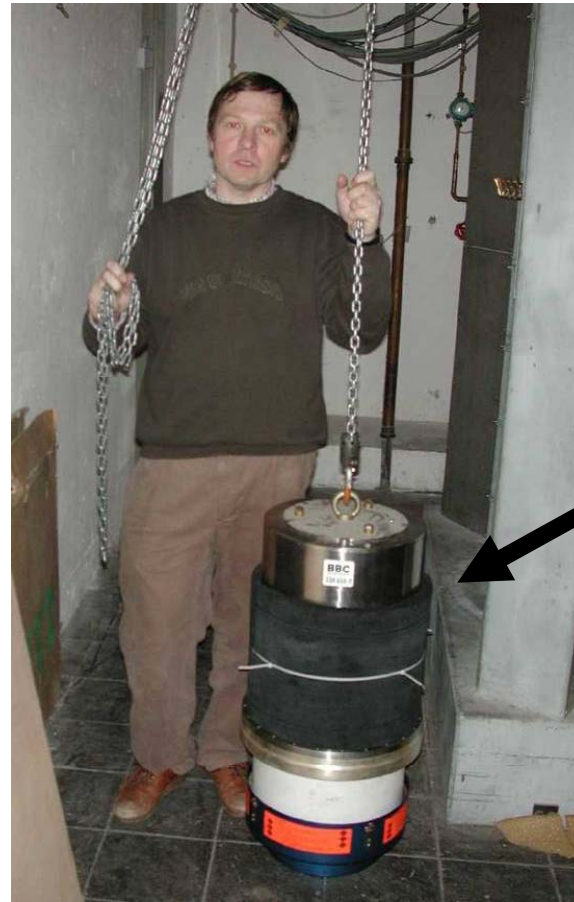
4 amplifier chain

final stage:
tetrode with
2MW / 10 sec

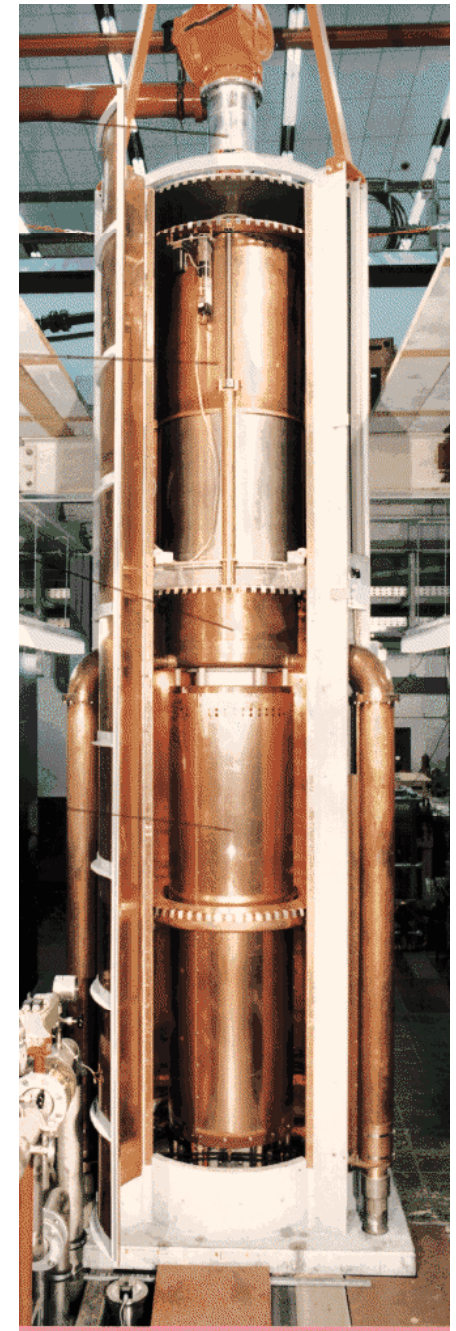
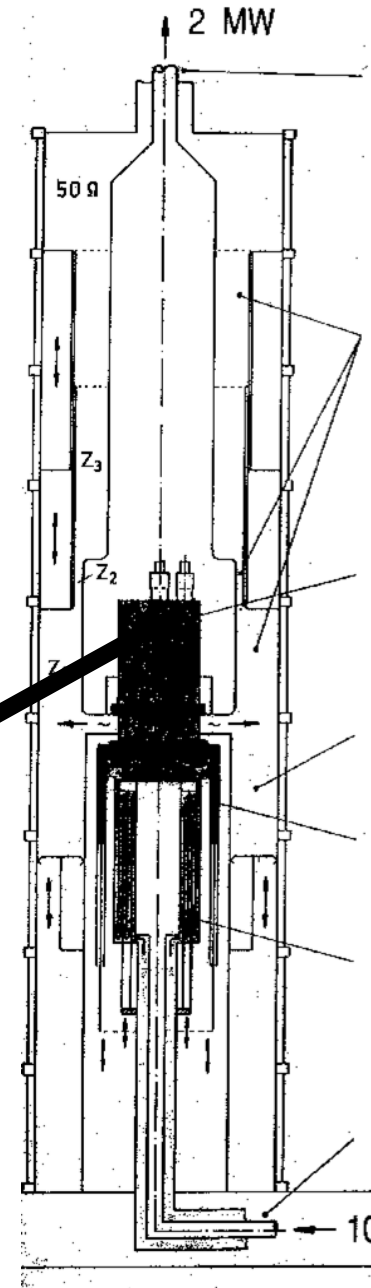
tunable between
30 and 110 MHz

efficiency 60%

end stage

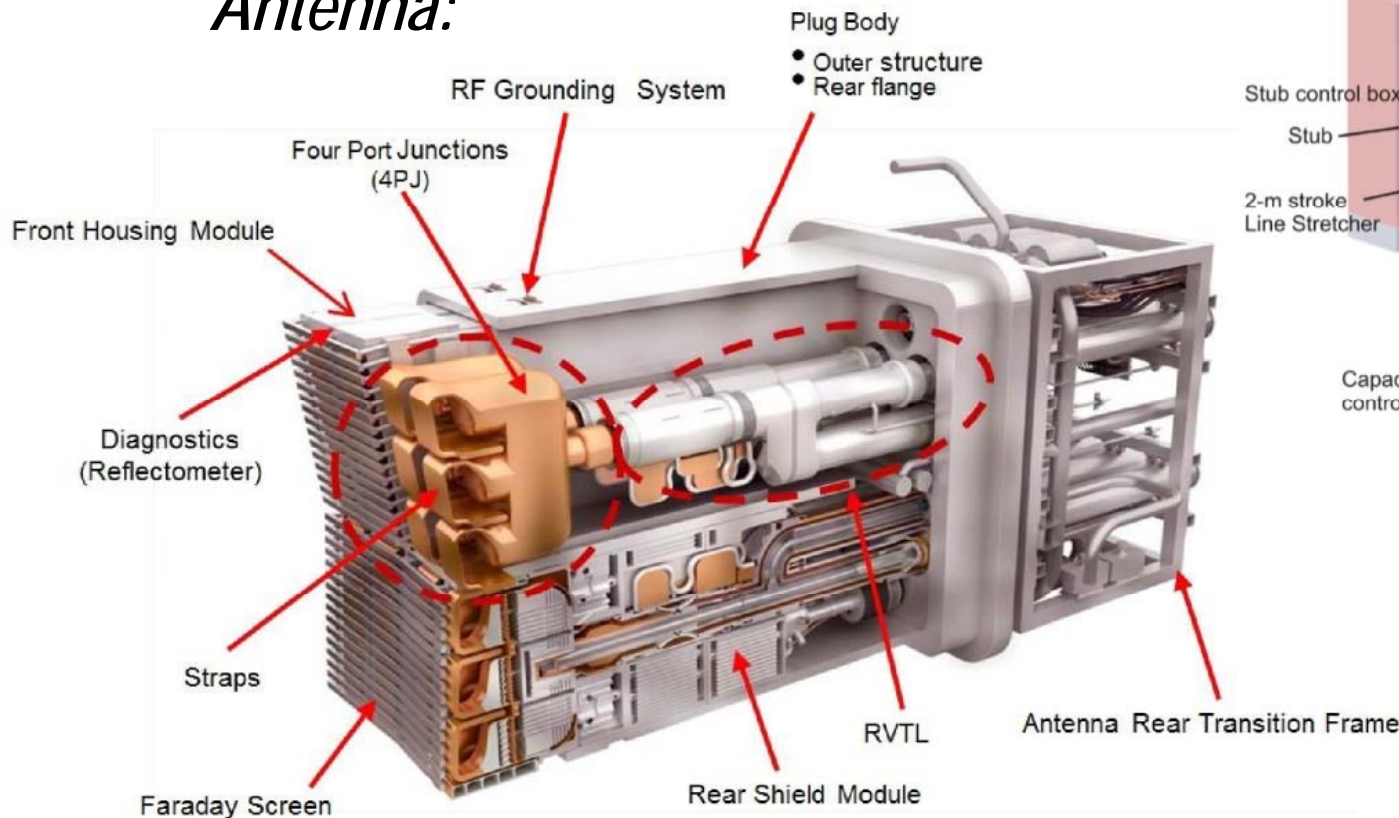


tetrode

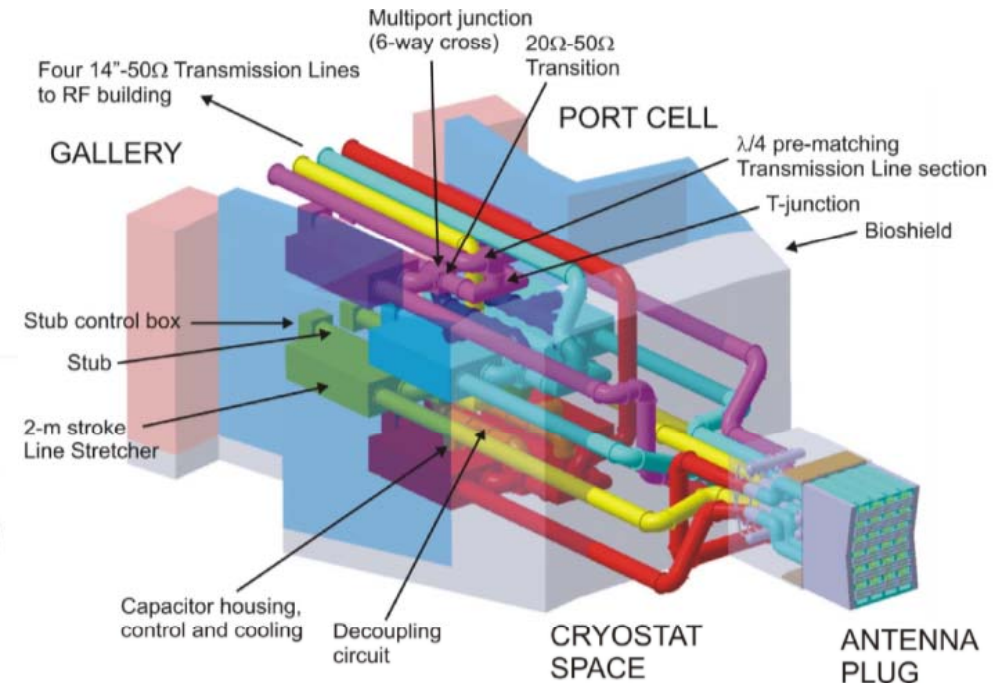


2 ICRH antennas are in the planning,
20 MW each, 40-55 MHz

Antenna:



Matching system:



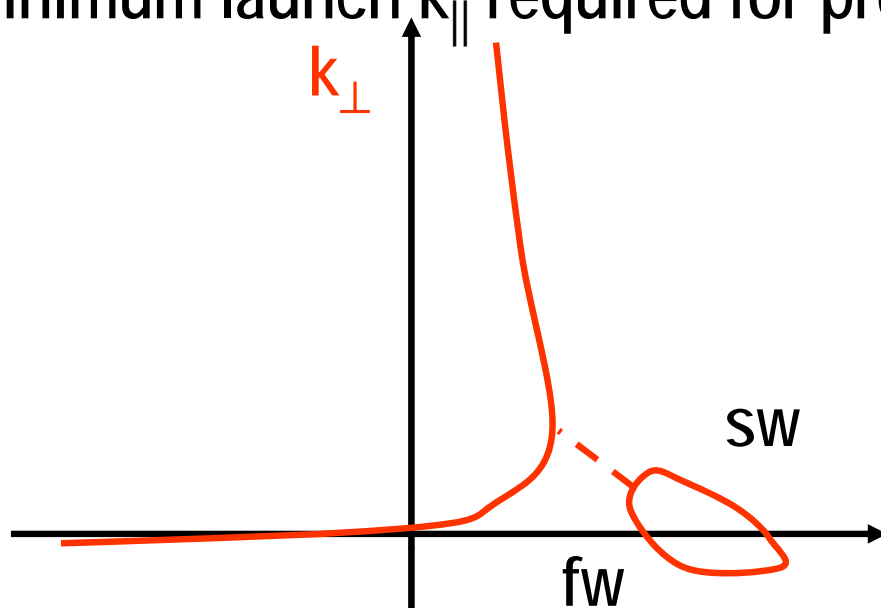
24 current-phased straps,
complicated matching
due to cross-coupling

2 solutions of dispersion relation: slow wave (exhibits lower hybrid res.)
fast wave

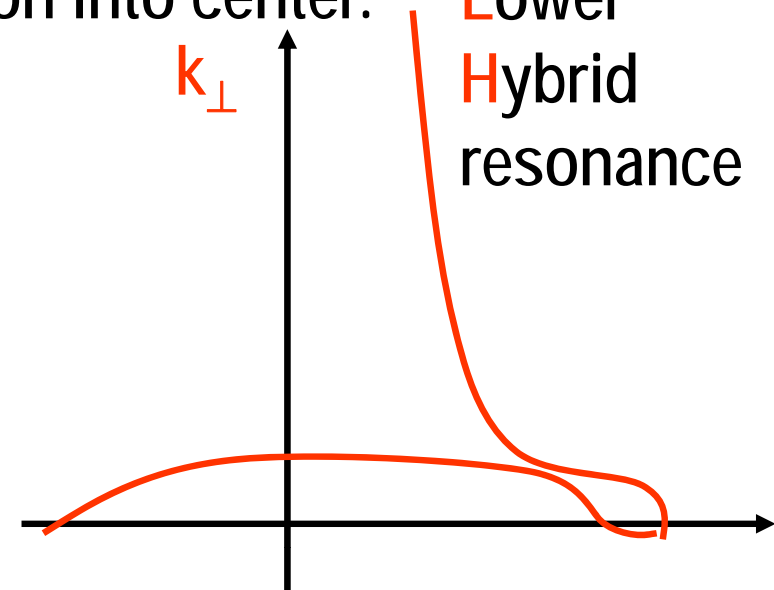
$$\Omega_i \ll \omega_{LH} \ll \Omega_e \quad \lambda_{\parallel} \approx 2 - 10 \text{cm}, \lambda_{\perp} \leq 1 \text{cm}$$

minimum density required for propagation into plasma (10^{17}m^{-3})

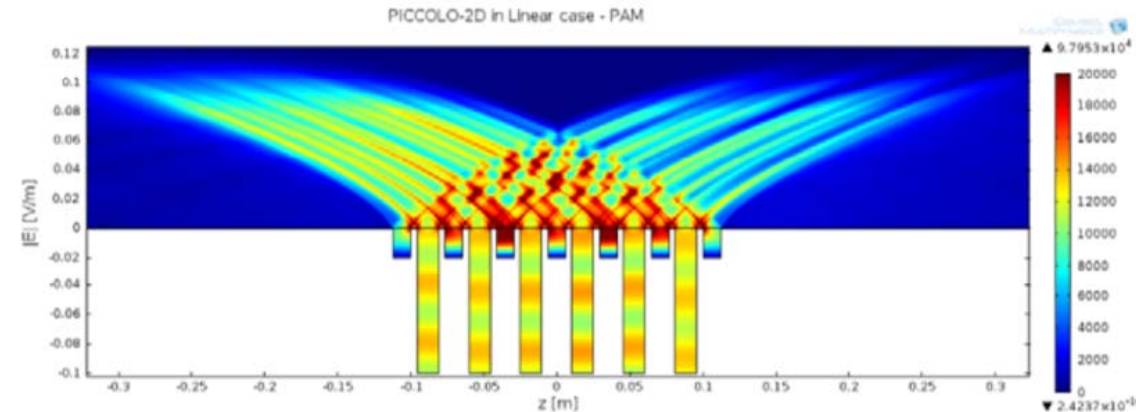
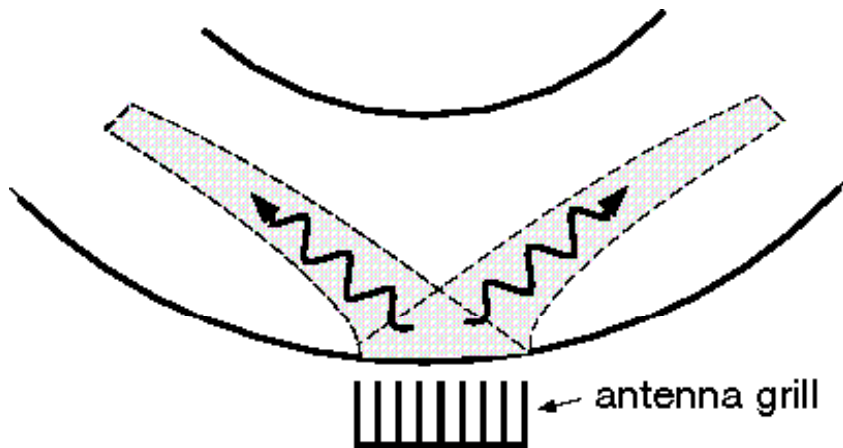
minimum launch k_{\parallel} required for propagation into center. Lower Hybrid resonance



k_{\parallel} too low, power stays
near plasma edge



k_{\parallel} sufficiently high,
slow wave travels into plasma,
absorption at LH or before



Coupling code PICCOLO-2D [M. Preynas et al.]

**For $k_{||} > k_{\text{crit}}$ v_{gr}, v_{ph} independent of $k_{||}$.
⇒ all launched power flows into same direction.**

Typically power is absorbed off-axis before reaching LH resonance

Depends on n_e and B

ASDEX

Slow wave

Multiple
wave
guides

E_{wg}

B_0

B_{hf}

E_{hf}

k

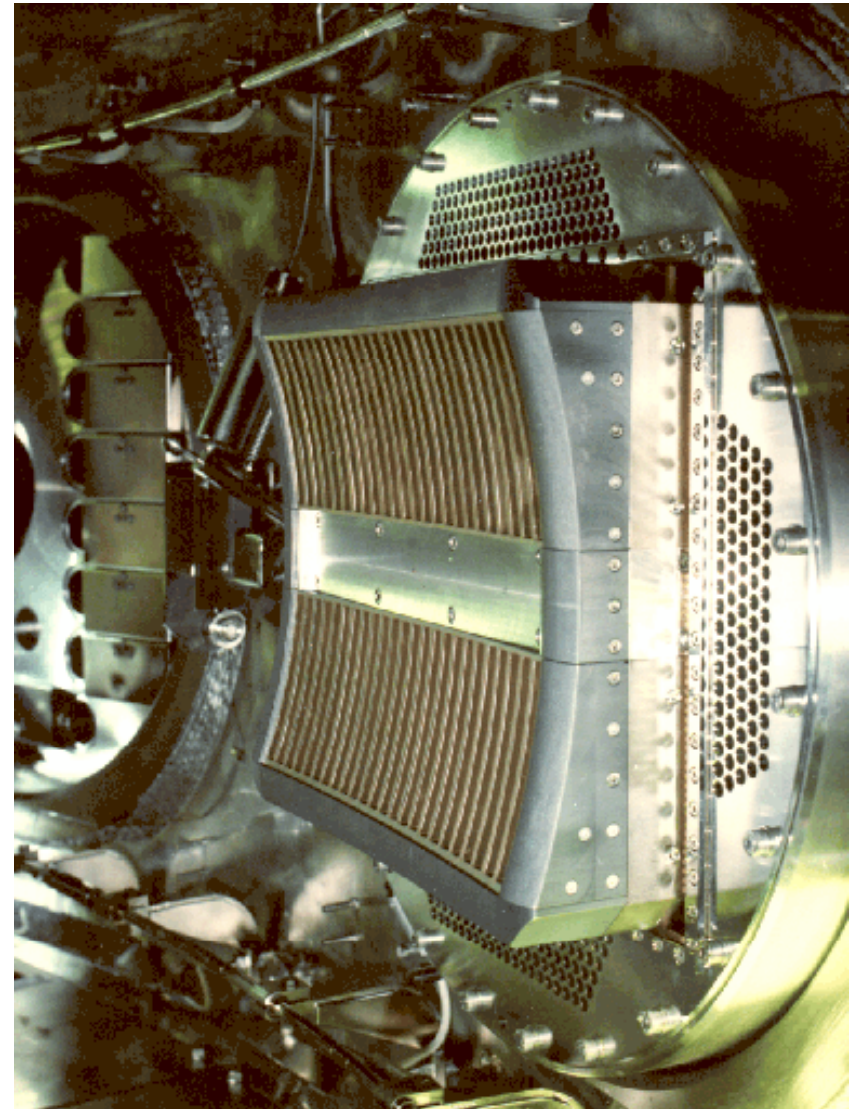
Fast wave

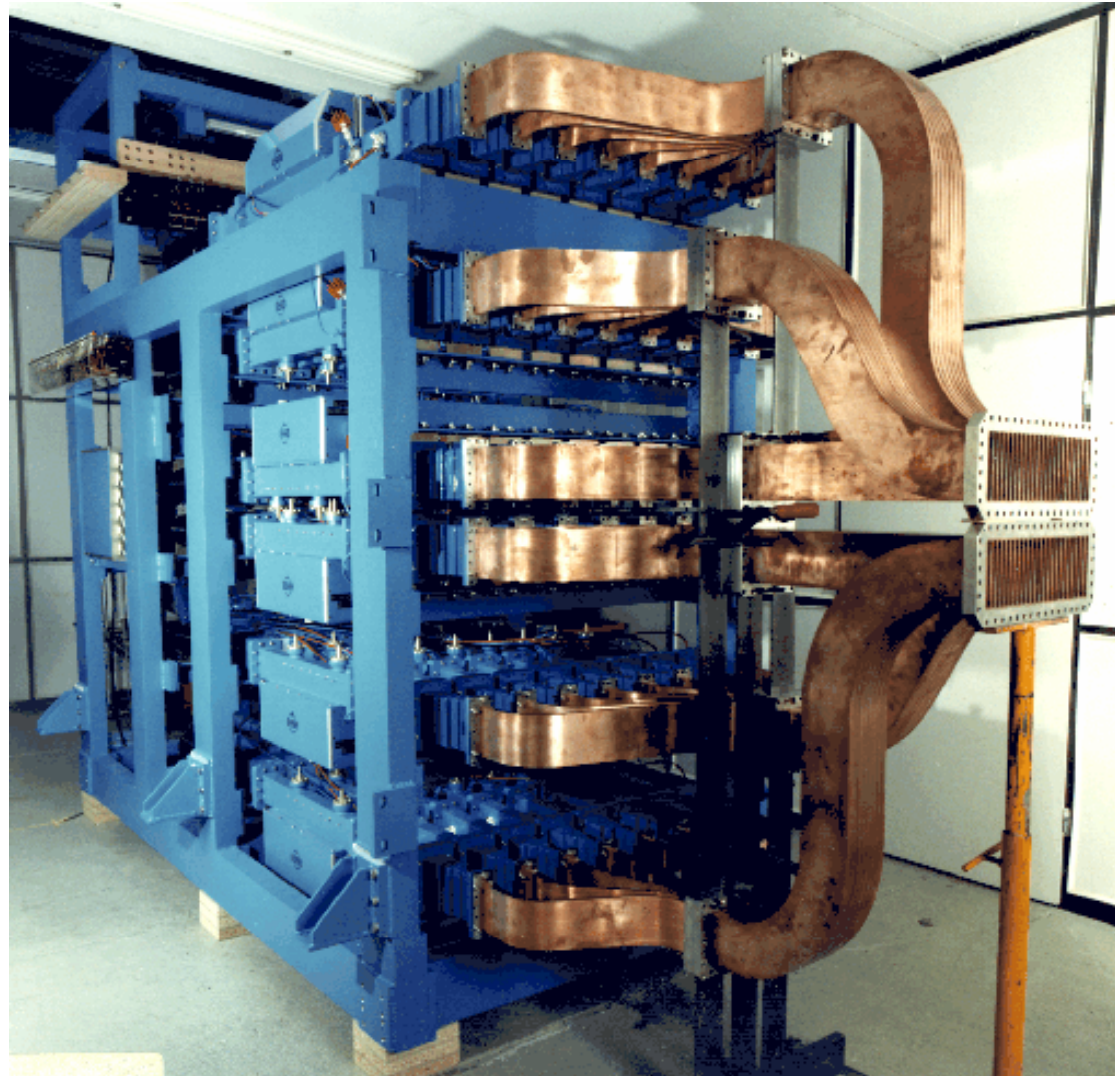
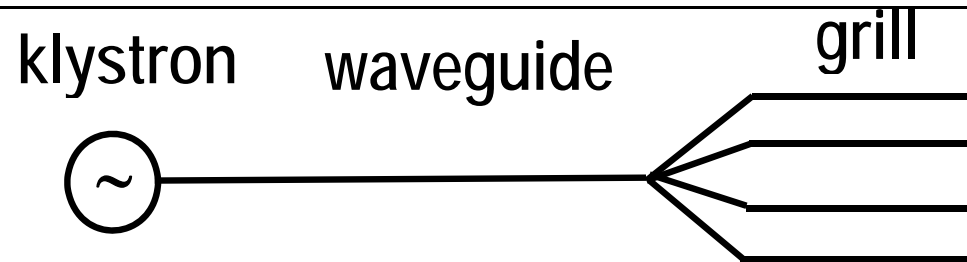
E_{hf}

B_{hf}

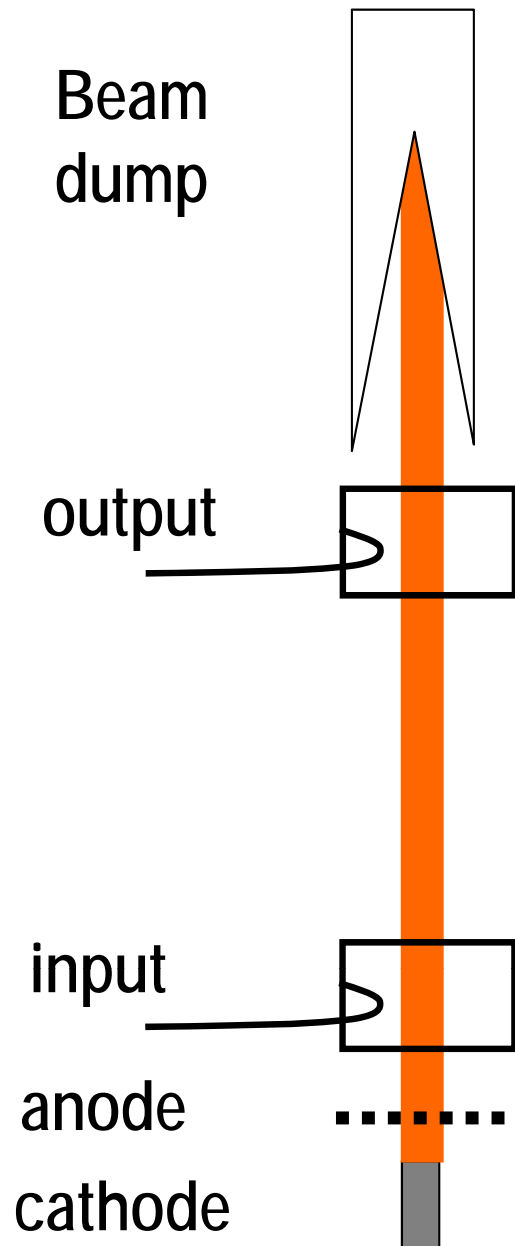
k

B_0

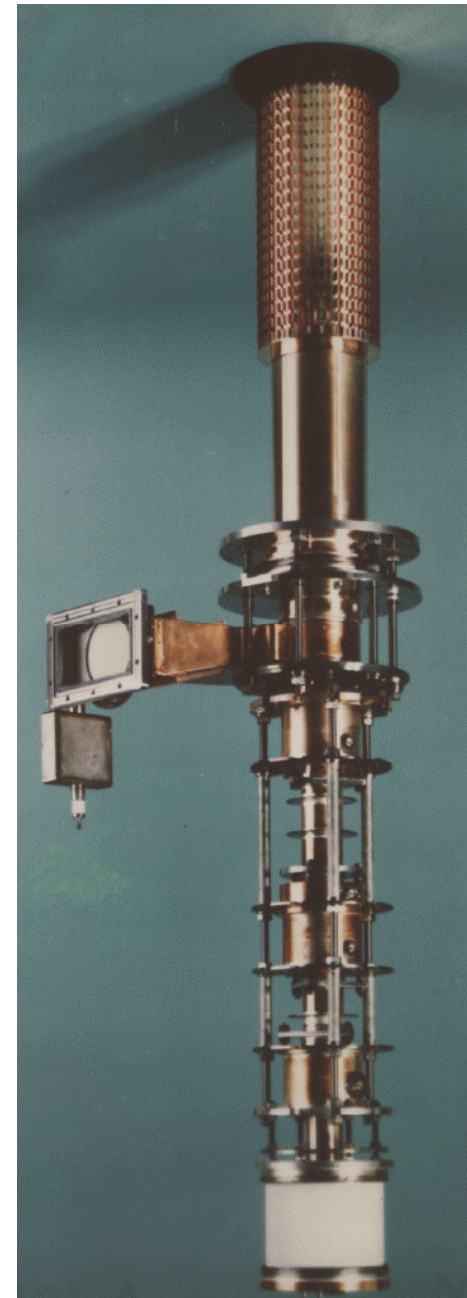
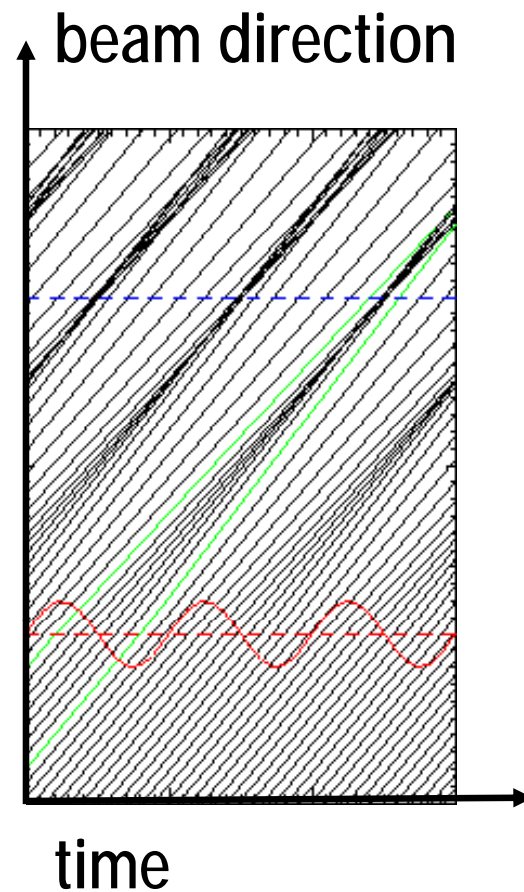




ASDEX



3.7 GHz
500 kW, 3 sec



Dispersion relation :two solutions for perpendicular propagation:

ordinary (O)-mode

$$\mathbf{E} \parallel \mathbf{B}_0$$

extraordinary (X)-mode

$$\mathbf{E} \perp \mathbf{B}_0$$

$$\lambda \approx 2\text{mm}$$

No low density cut-off, but high density cutoff.

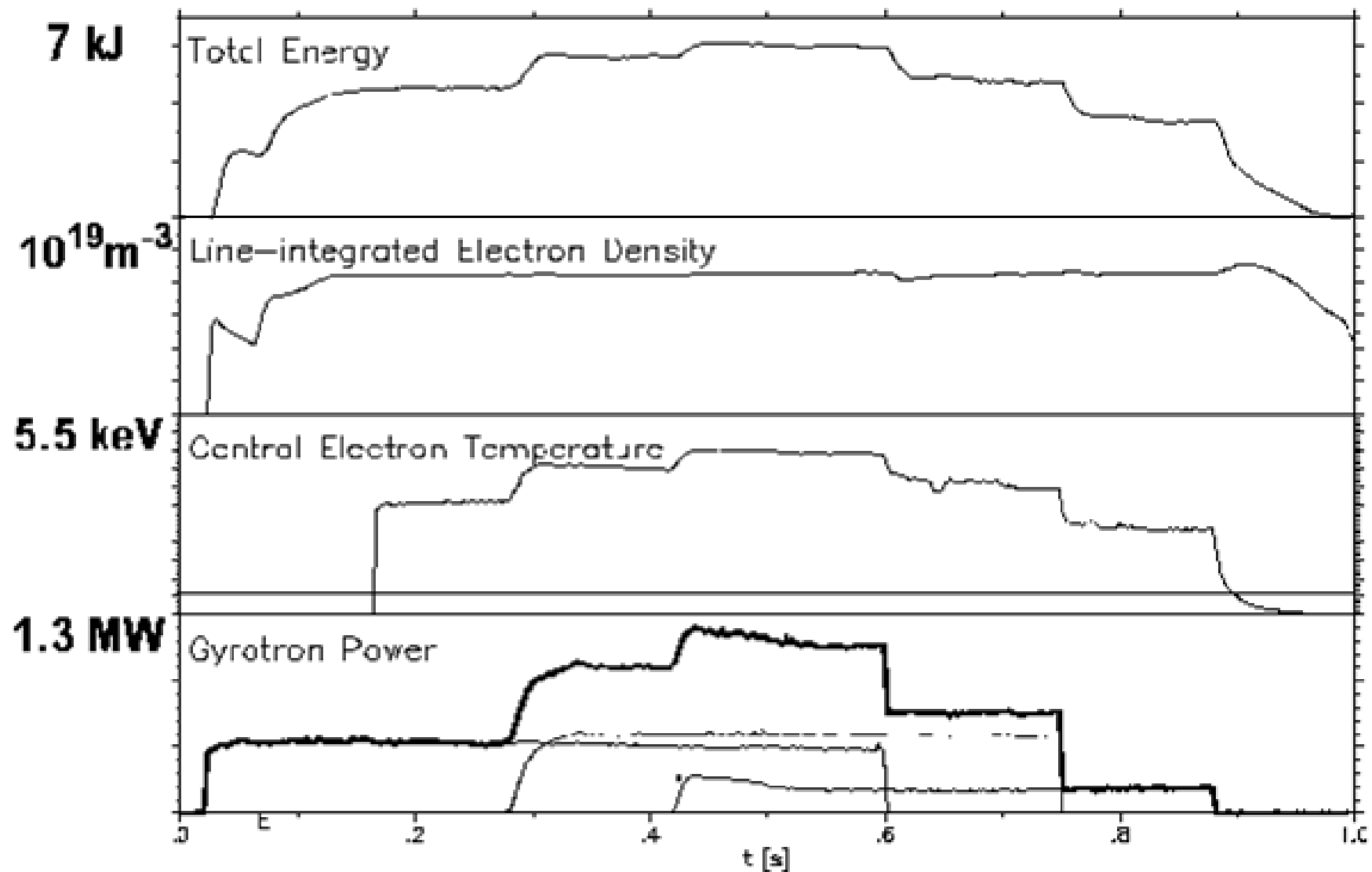
Ions can be assumed stationary, but relativistic electron mass has to be included.

Various absorption mechanisms:

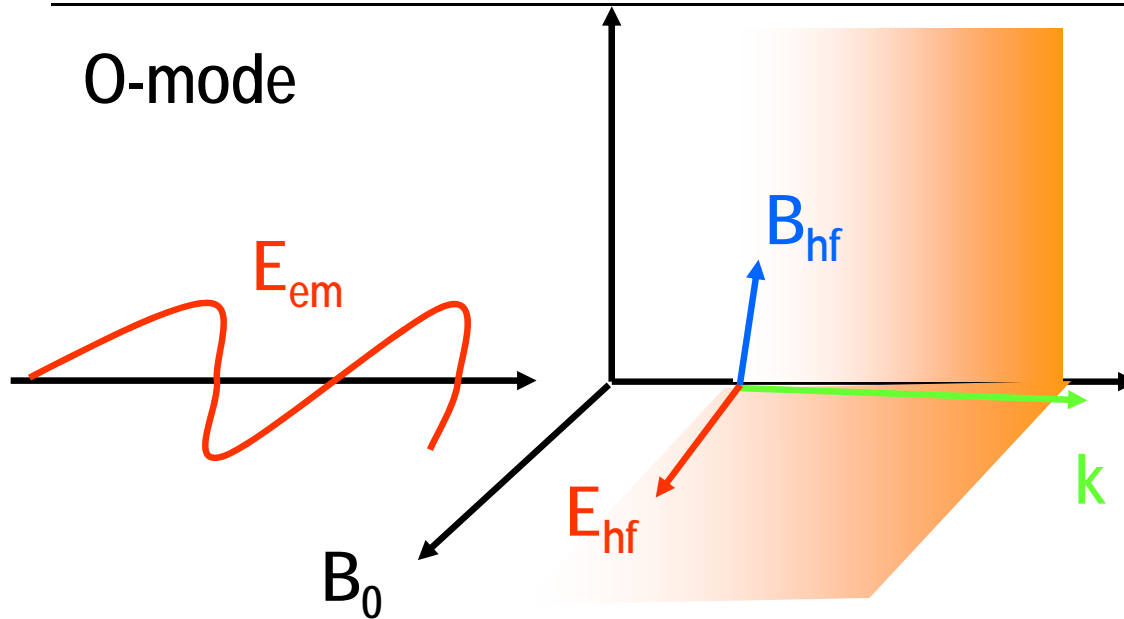
X2 heating

O2 heating

AXB heating...



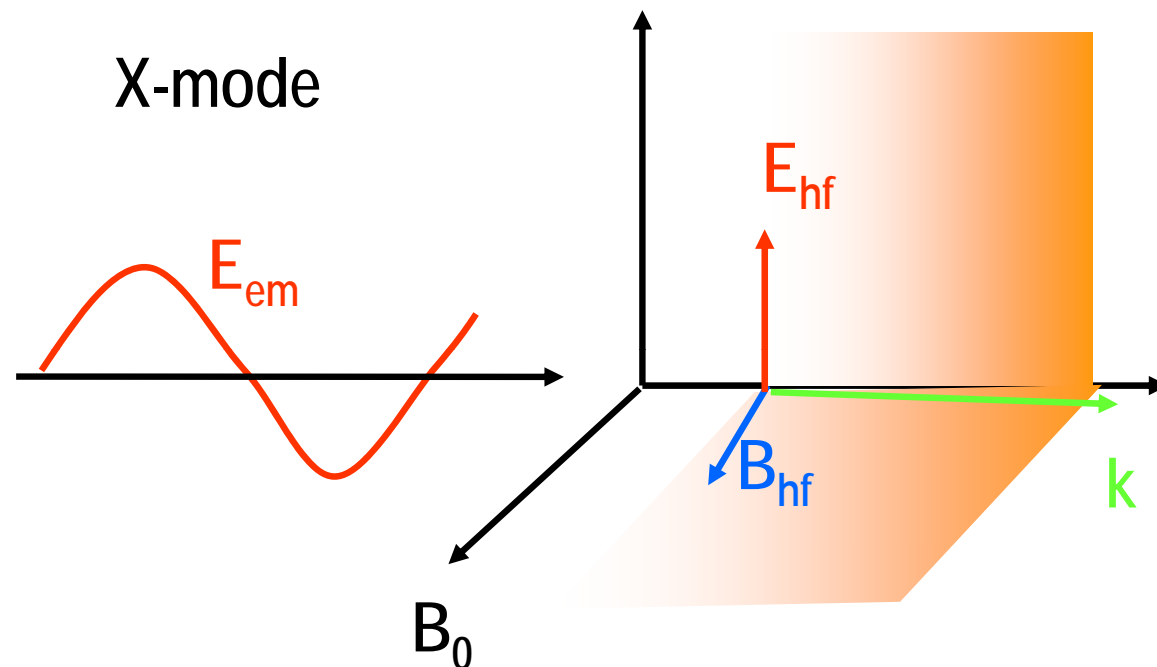
O-mode



$$f^2 = f_{pe}^2 + \frac{c^2}{\lambda_{\perp}^2}$$

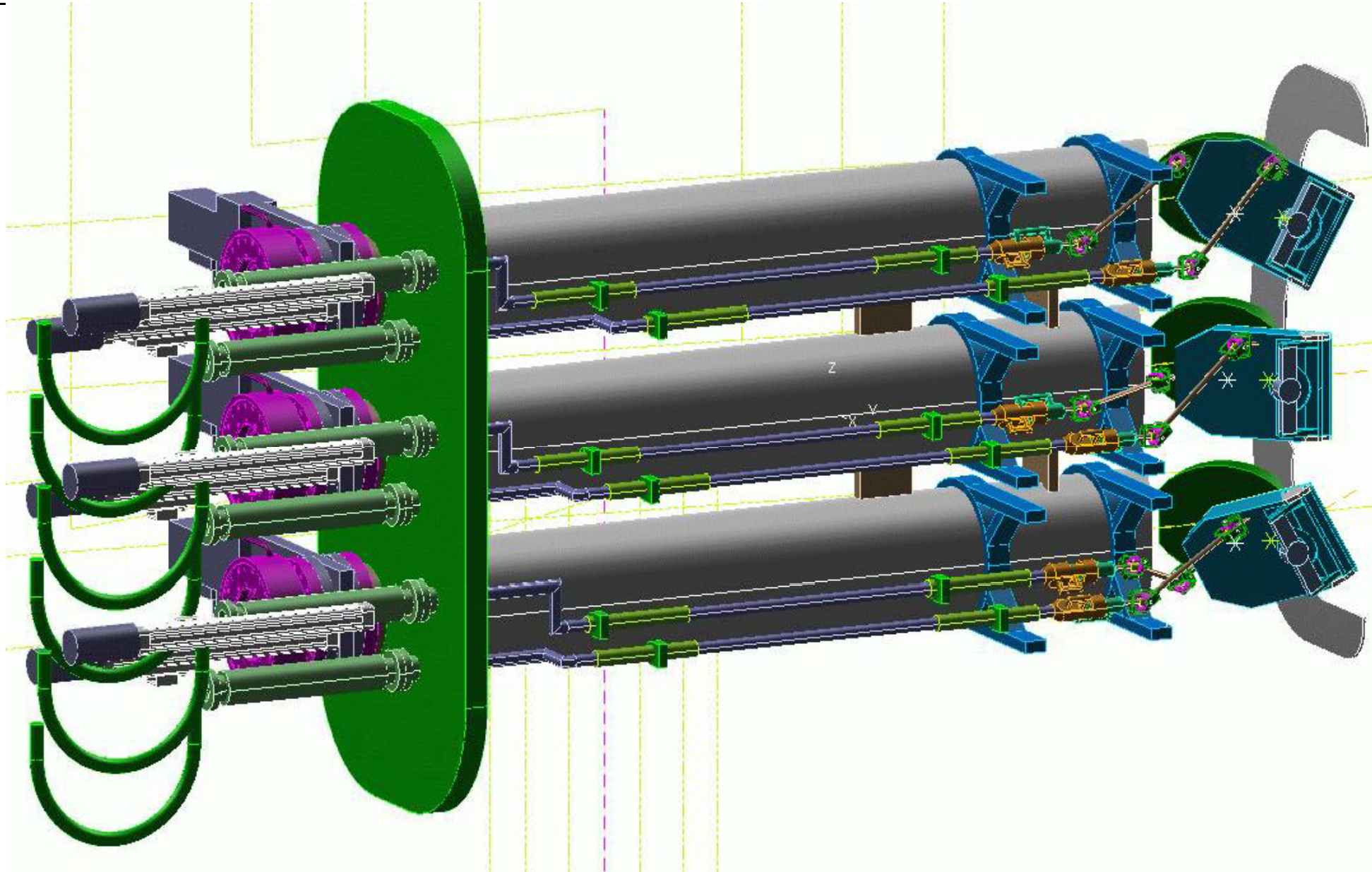
Smooth connection
to vacuum EM waves.

X-mode



Waves are launched with
movable mirrors

Polarization adjustable

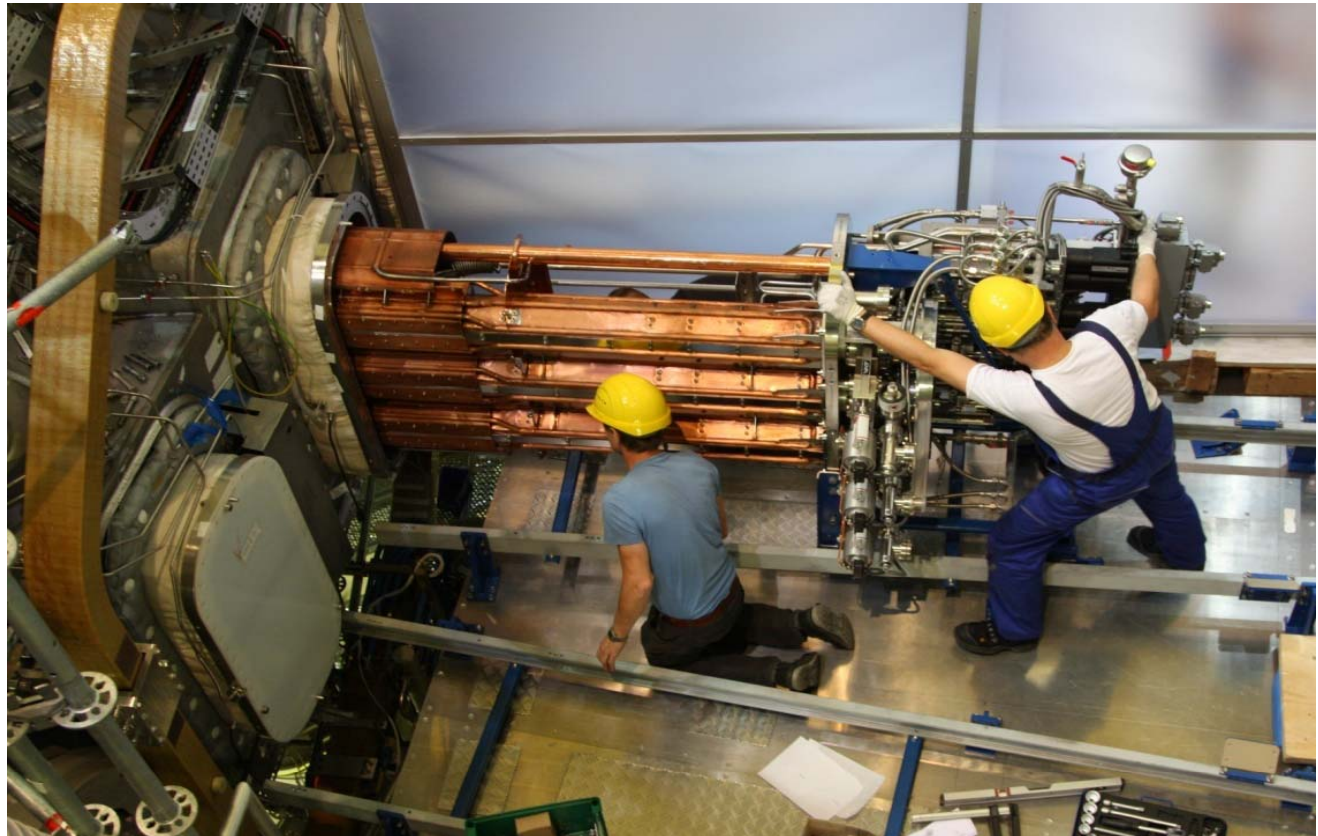


7 gyrotrons installed

4 optical launches with steerable mirrors installed

Optical transmission installed and adjusted

7 gyrotrons with a total power of about 5 MW ready for operation

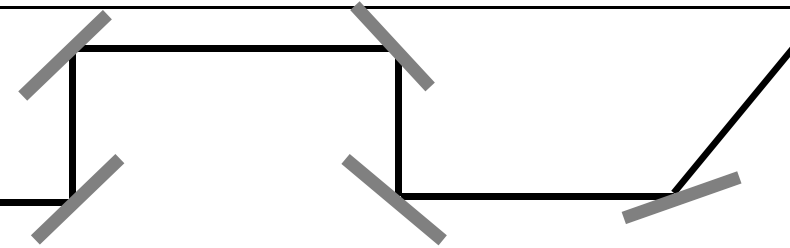




gyrotron

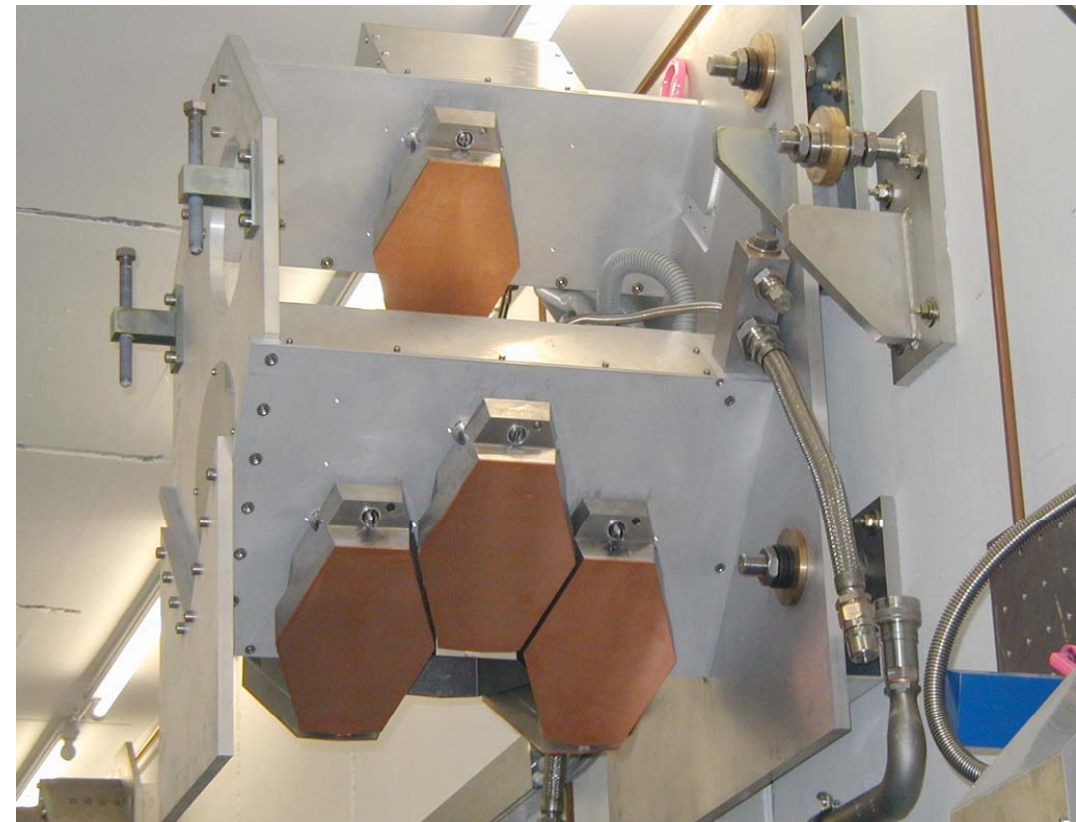


waveguide or
quasi-optical line



mirrors,
can change
polarization

movable
mirror

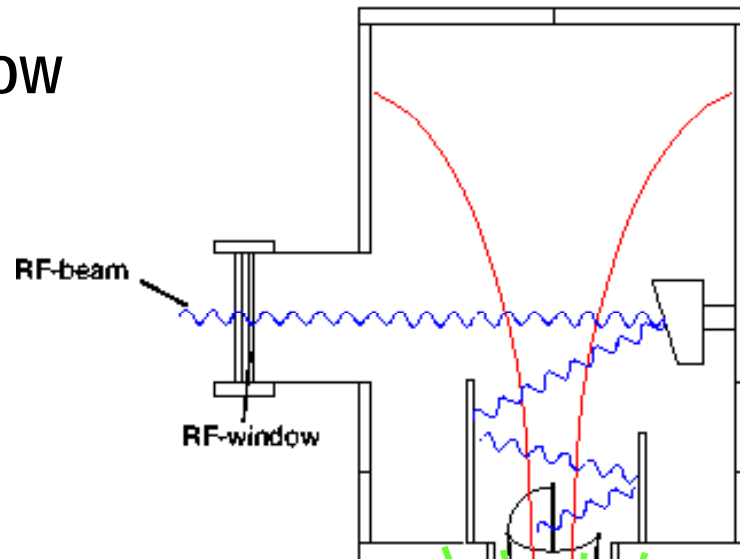


Oscillator

Gyrotron

Window

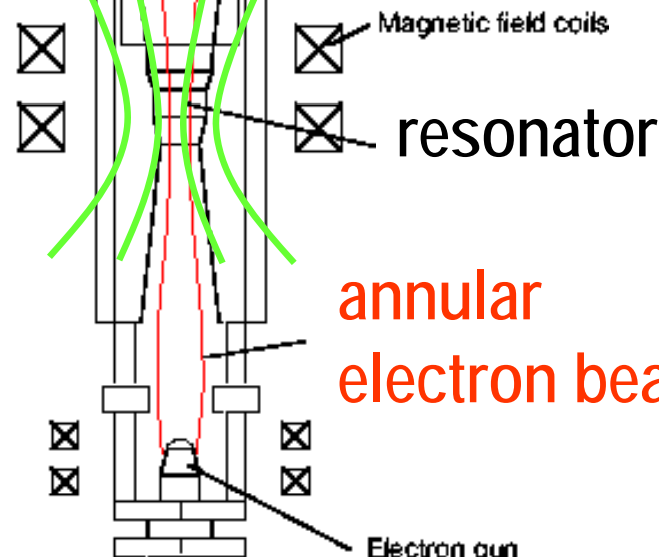
Al_2O_3
or C



collector

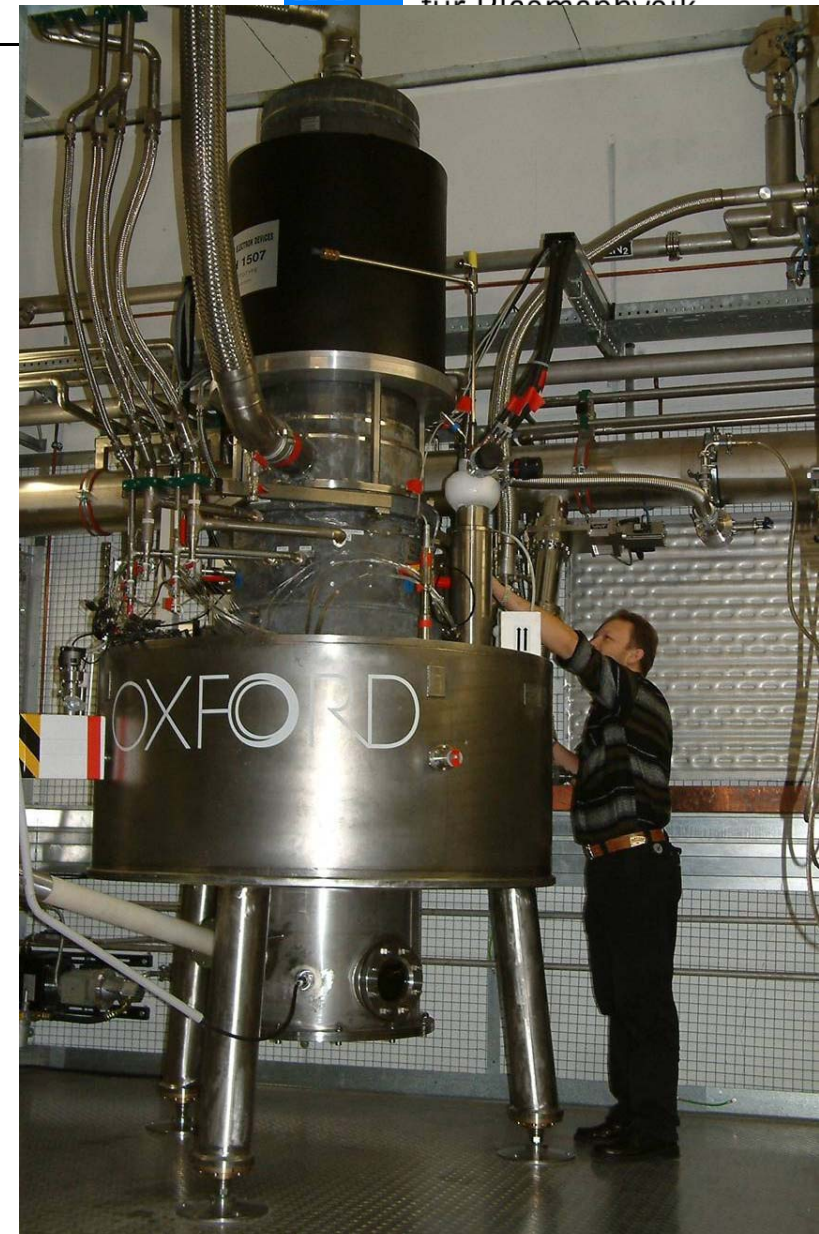
Conversion
to Gaussian
beam

B field
superconducting
coils



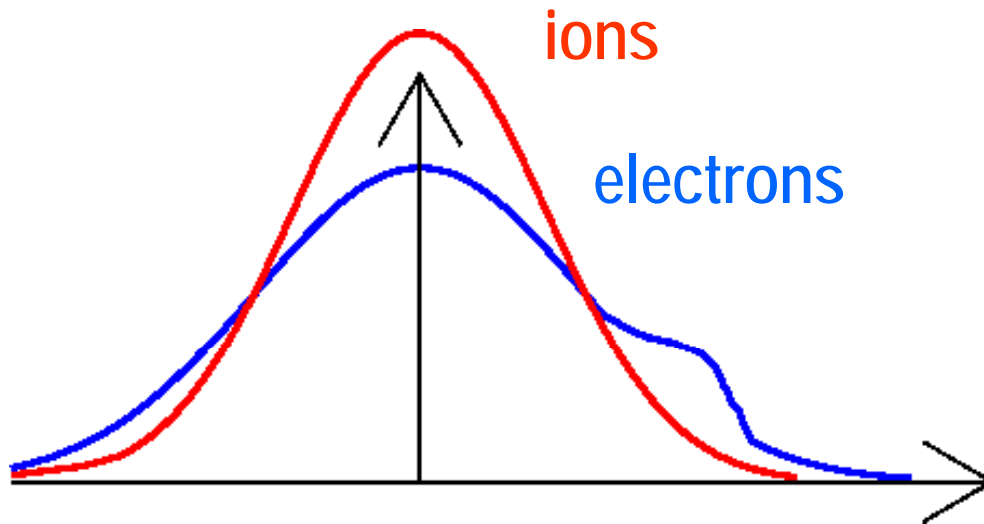
annular
electron beam

Electron gun



Presently development of 1 MW cw gyrotrons

Asymmetric velocity distribution can be a side effect of plasma heating.



$$j = \sum_s q_s n_s \int v_{||} f(v_{||}) dv$$

Needed for :

Steady-state tokamak
current profile control in tokamaks
bootstrap current compensation in stellarators

Efficiency:

Theory:
$$\eta_{th} = \frac{j}{p} = \frac{e \cdot n_{e||} \cdot v_{||}}{(n_{e||} \cdot m_e v_{||}^2 / 2) \cdot v_{coll}} \propto \frac{1}{v_{||} \cdot v_{coll}}$$

Experiment:
$$\eta_{ex} = \frac{n_e [10^{20} m^{-3}] \cdot R[m] \cdot I[A]}{P[W]} \propto \eta_{th}$$

Parallel momentum injection: required total electron momentum $2 \cdot 10^{-4} \text{ kg} \cdot \text{m/s}$

$$v_{\parallel} = \omega/k_{\parallel} \ll v_e^{\text{th}}$$

+ change of electron momentum / wave energy

+ many electrons

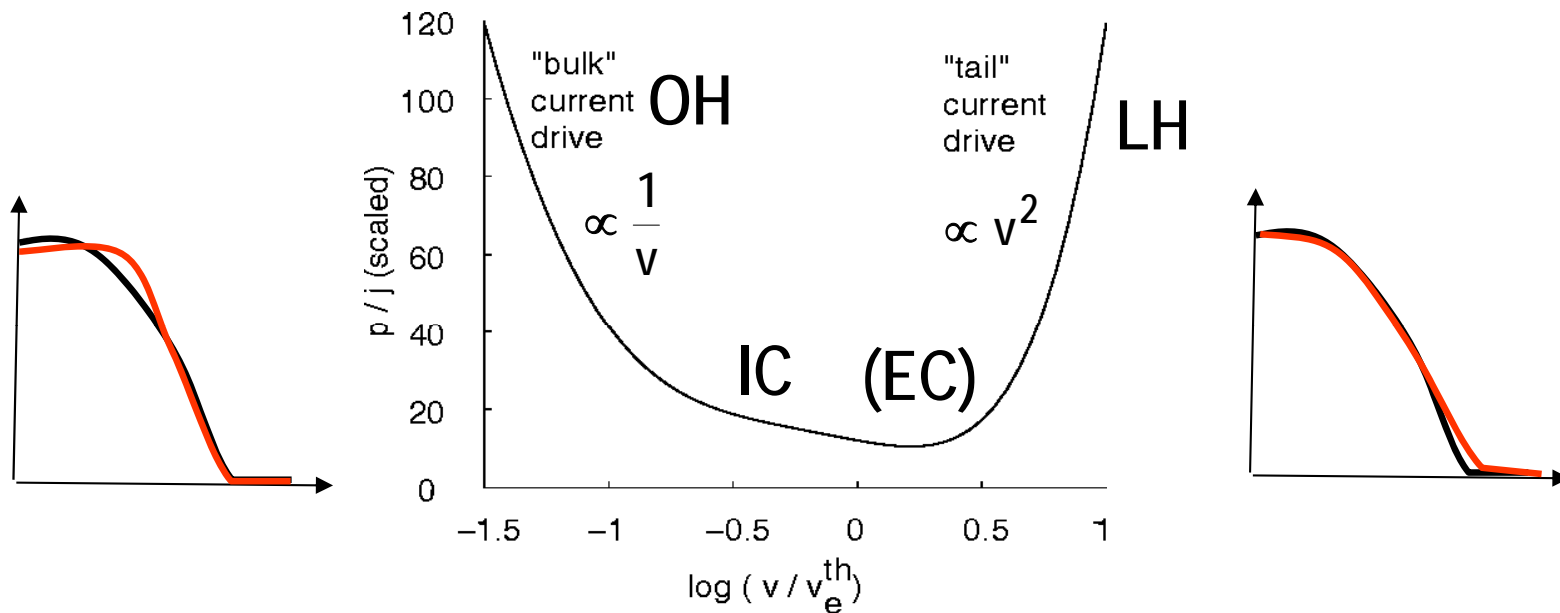
- large fraction of trapped electrons

- $v_{\text{coll}} \propto T_e^{-3/2}$

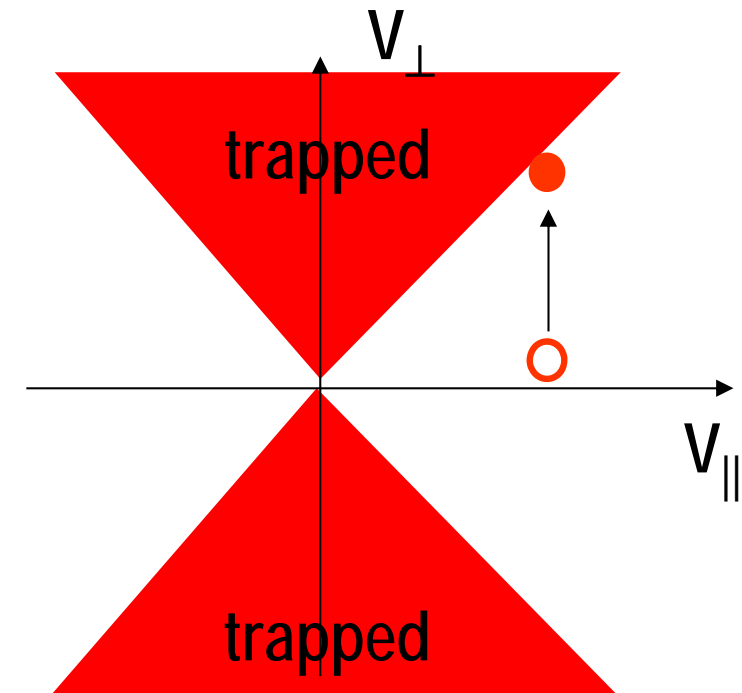
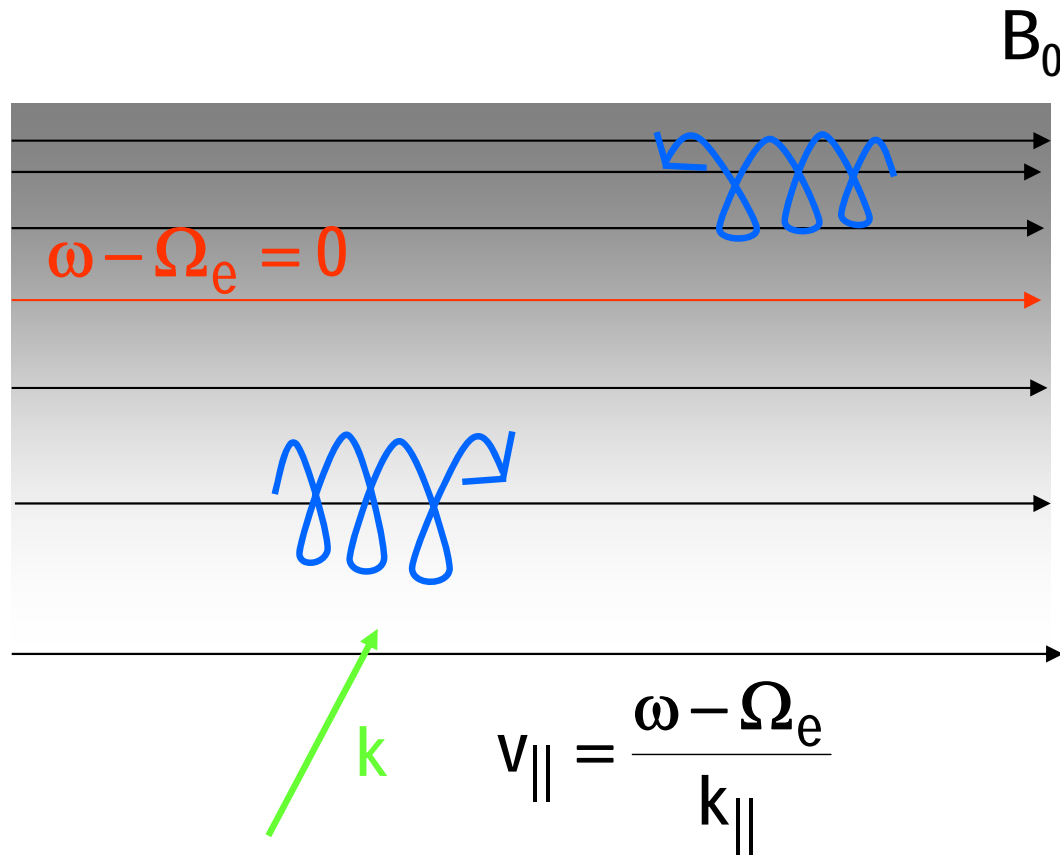
$$v_{\parallel} = \omega/k_{\parallel} \gg v_e^{\text{th}}$$

+ $v_{\text{coll}} \propto v_{\parallel}^{-3}$

- small change of electron momentum



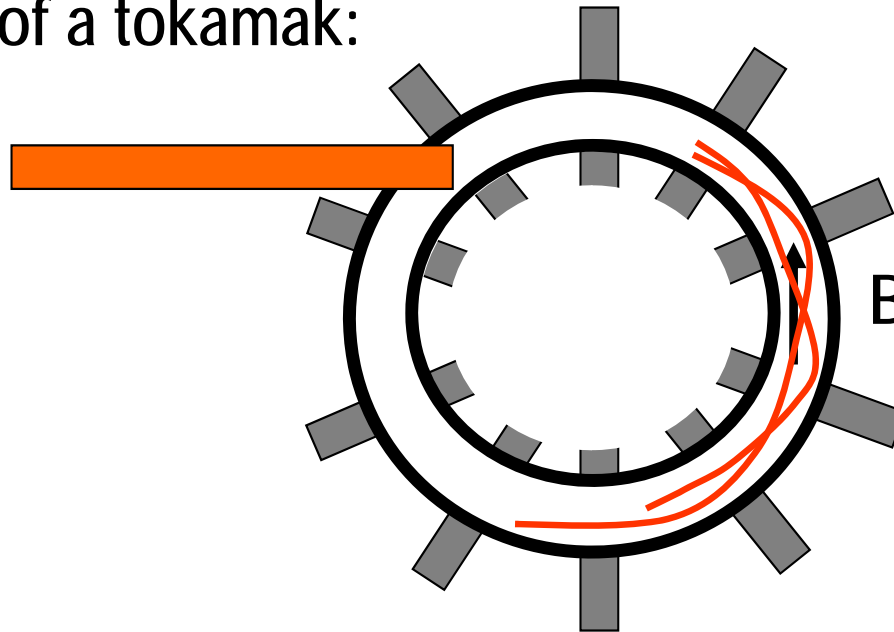
Asymmetric collision frequencies



Current drive simply by changing the launch angle.
Faster electrons collide less often.
Trapped electrons reduce efficiency.

Horizontal cross-section of a tokamak:

Tangential injection
(counter)



Circulating ions carry current
partially compensated by:

concurrent electron drift
trapping

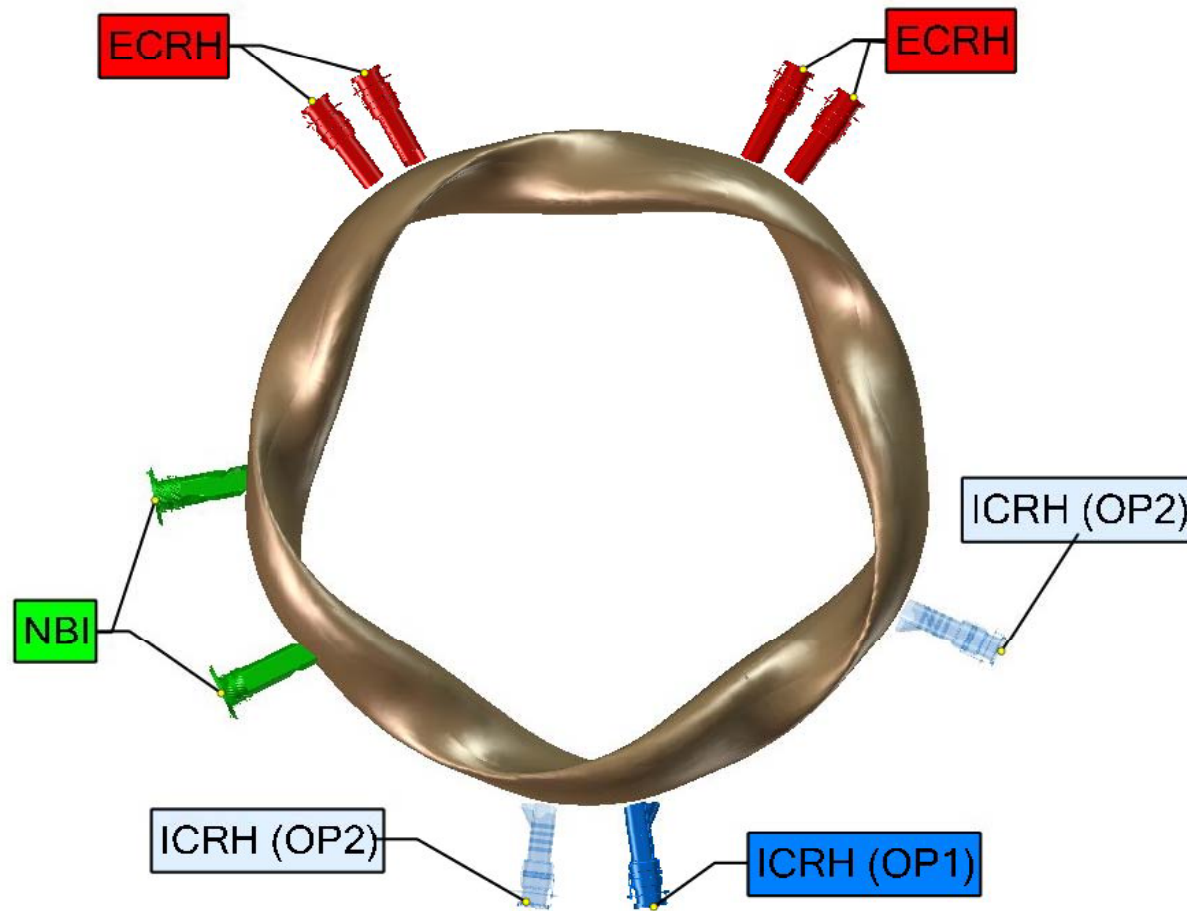
dependence on collision frequency

Best efficiency if

$$E_{\text{NBI}} \approx 40 \cdot T_e [\text{keV}] \cdot A_{\text{inj}}$$

Negative NBI necessary

	Efficiency
LHCD	0.35 – 0.4
ICCD	$0.1 \times T_e [10 \text{ keV}]$
ECCD	$<0.1 \times T_e [10 \text{ keV}]$
NICD	$.2 \times T_e [10 \text{ keV}]$



ECRH:

10 x 1 MW cw 140 GHz Gyrotrons

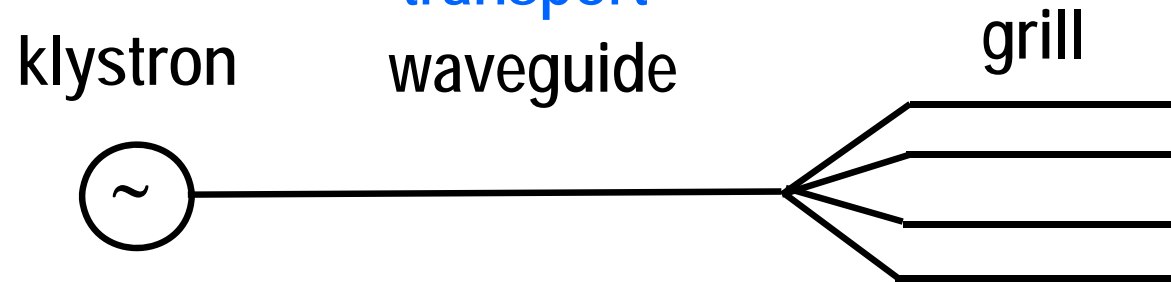
NBI

2 x 4 x 2.5 MW 90 kV D Injection

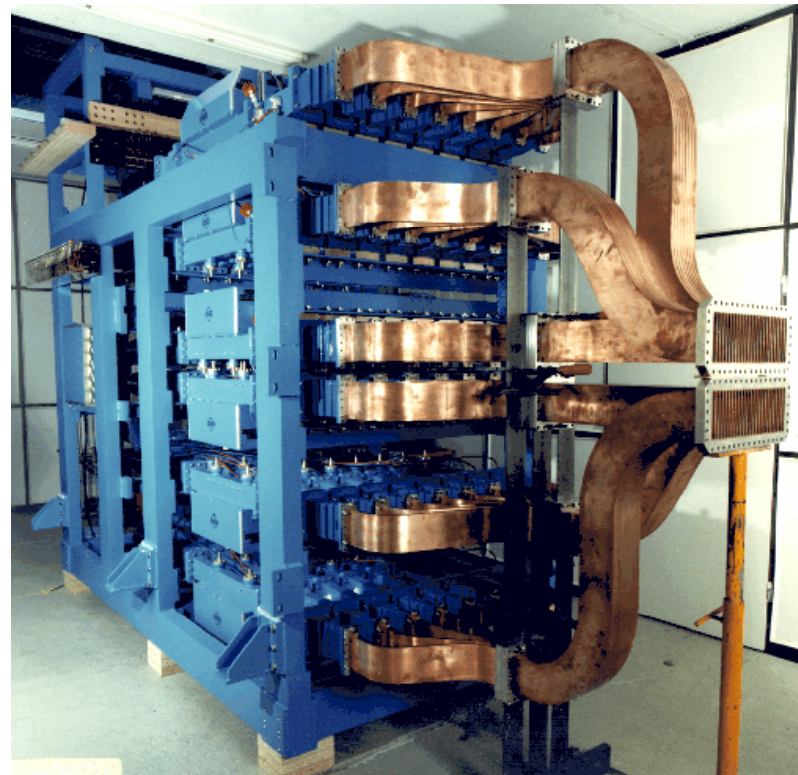
ICRH

2 x 2 MW 10 sec 25-38 MHz

Heating scheme	advantages	disadvantages
Ohmic	efficient	Cannot reach ignition Not in stellarators
NBI	reliable	close to torus negative ions necessary
LH	Efficient current drive	Antenna close to plasma Off-axis
ECRH	Reliable flexible	Electron heating (density limit)
ICRH	Ion-heating Central heating	Antenna close to plasma Antenna coupling



[thalesgroup.com]

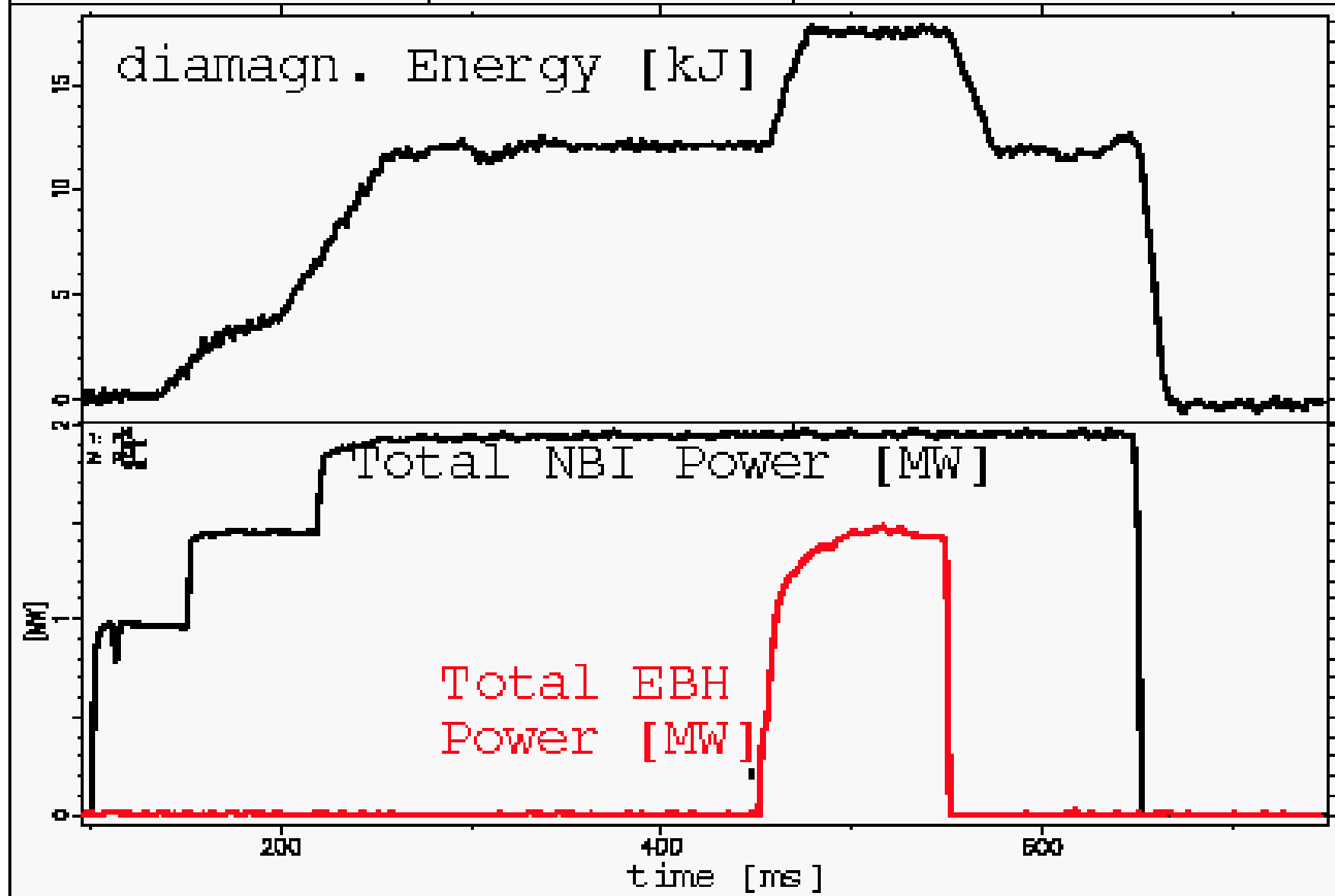


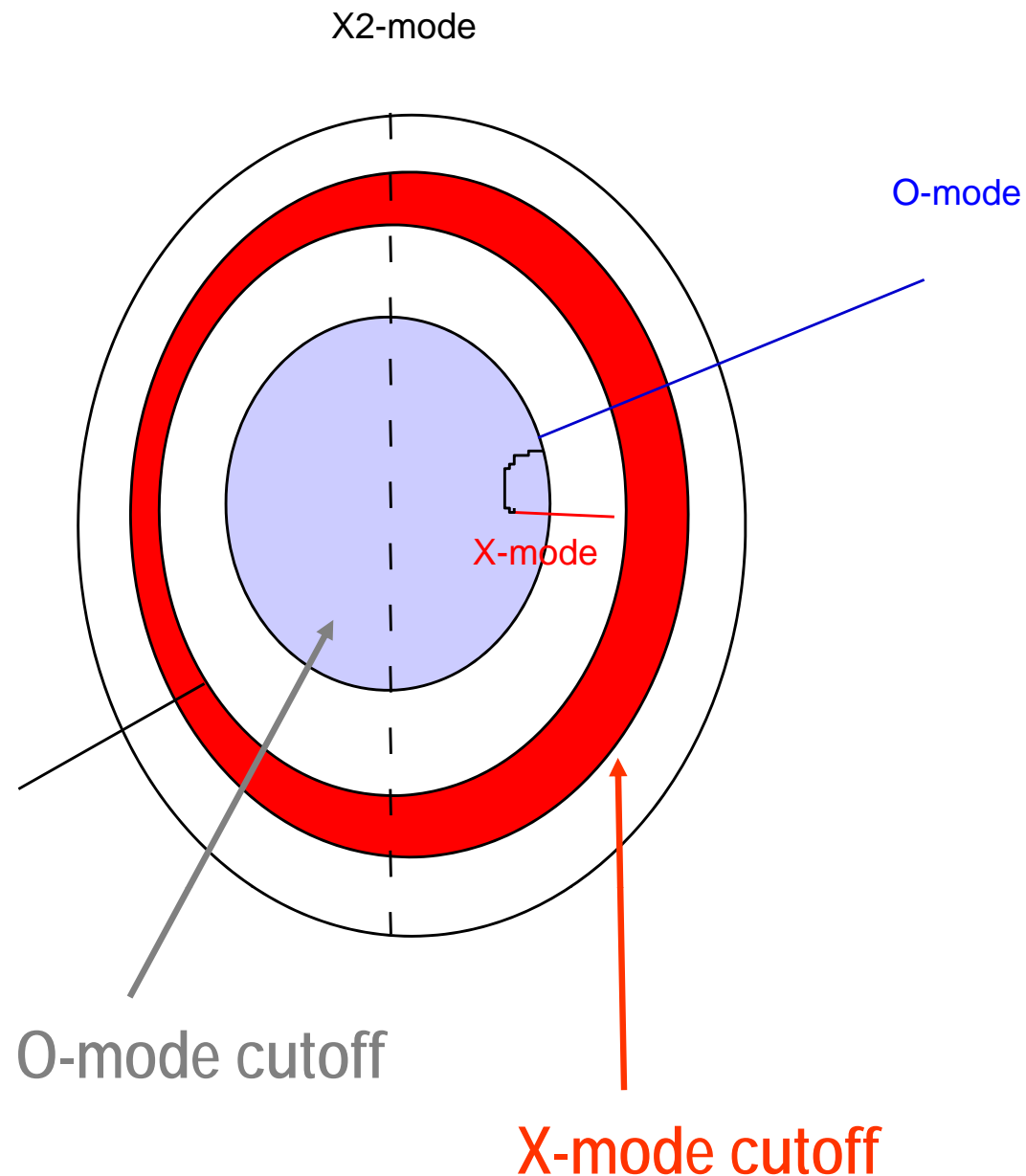
ASDEX



Tore Supra

W7AS Shot 51135 (2001.5.16 12:29)





Mode conversion process
under certain launch angles
and for minimum density.

O mode converts into X mode
at X-mode cutoff.

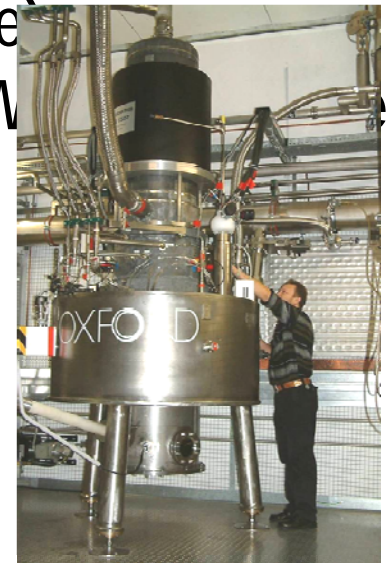
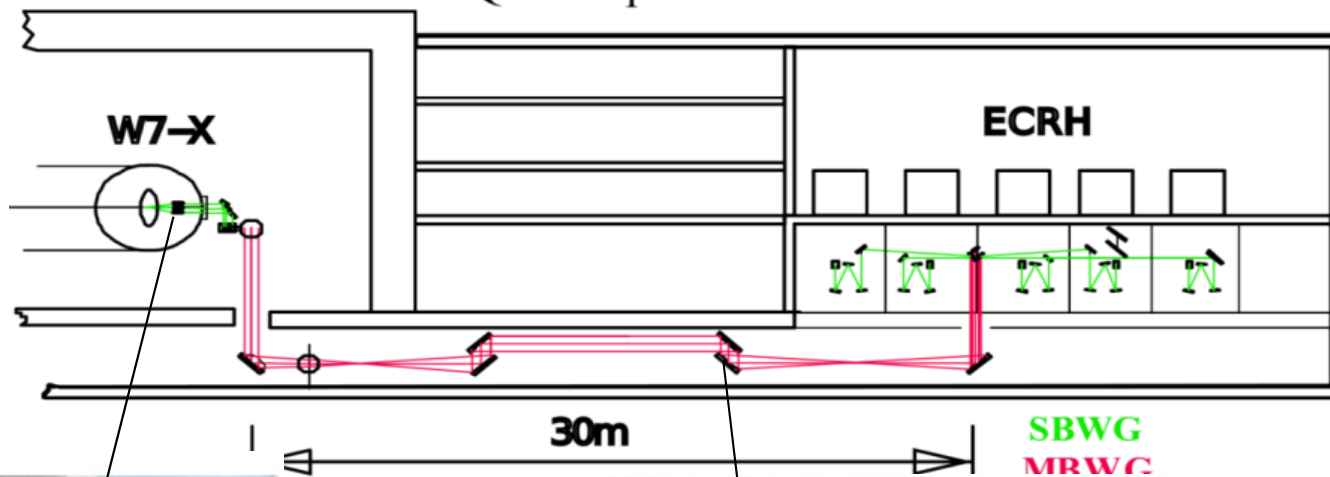
X-mode converts into
electrostatic electron (Bernstein)
wave.

Bernstein wave absorbed by
electron Landau damping.

No upper density limit.

10×1MW 140GHz (10×0.5 MW 106 GHz) for 30min (final state)
10 transmission lines (+spare space for 2 beams) with 2 MW
Transmission efficiency of 95% at 1MW cw

Quasi-Optical transmission line

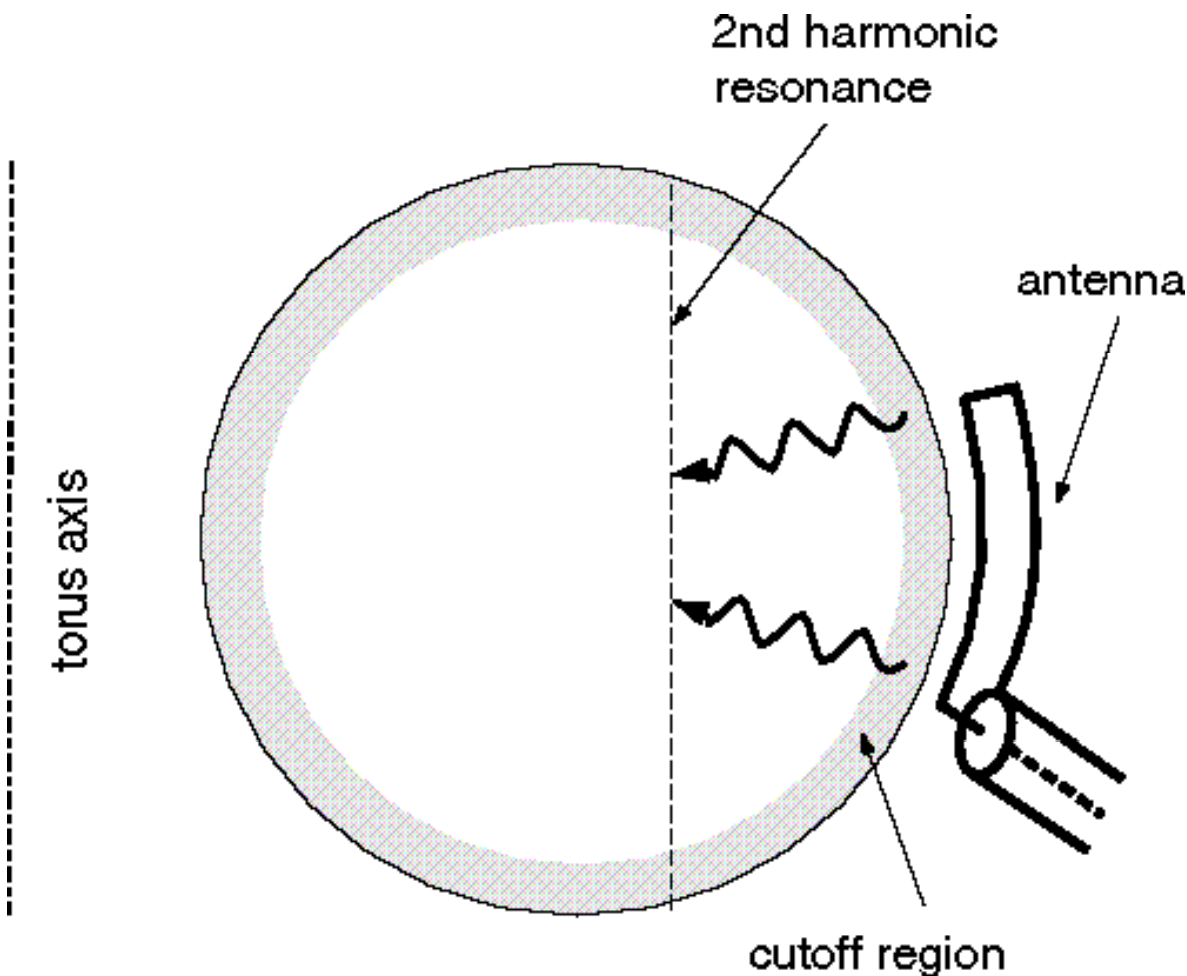


Front steering mirrors



Multi-beam mirrors



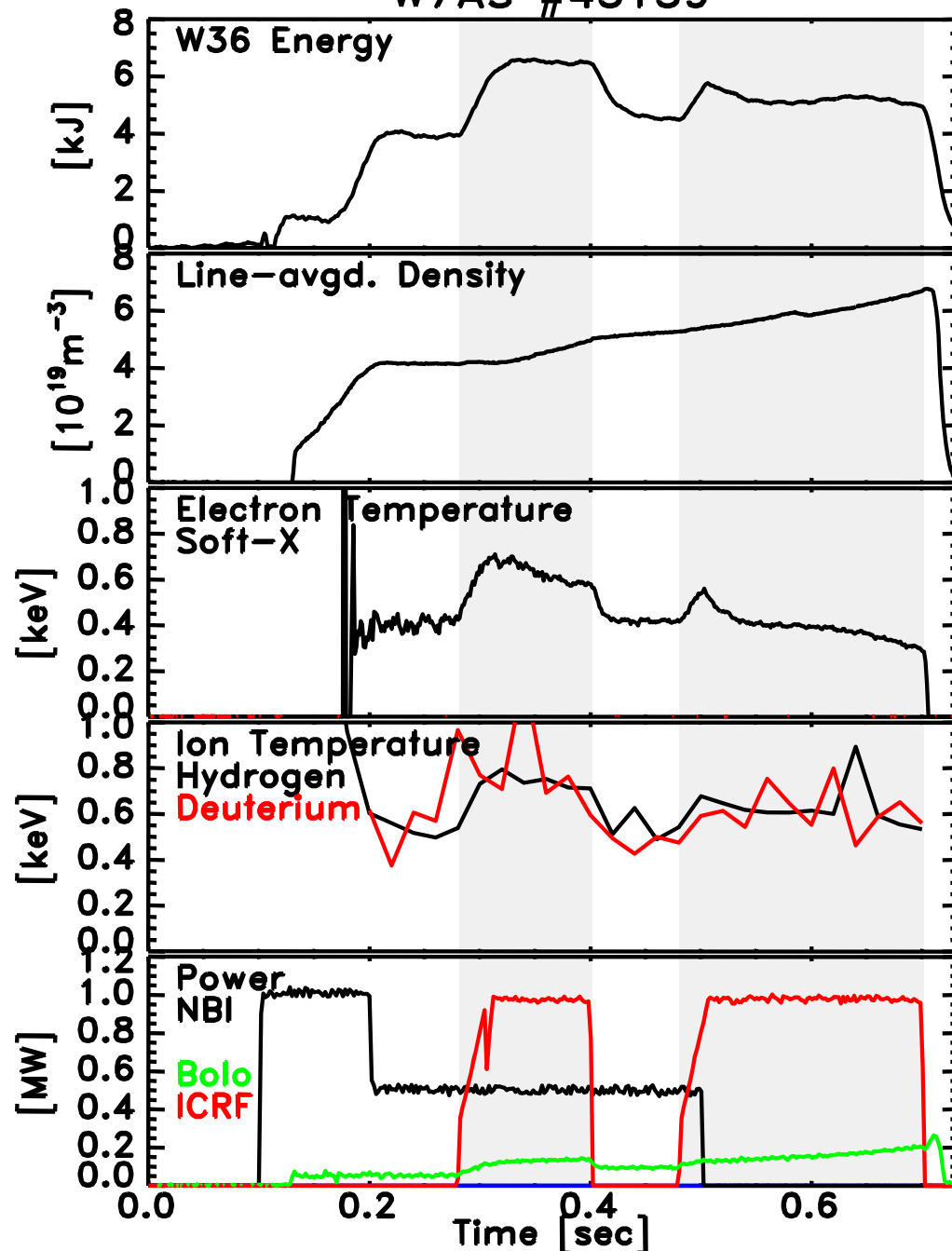


Single species plasma

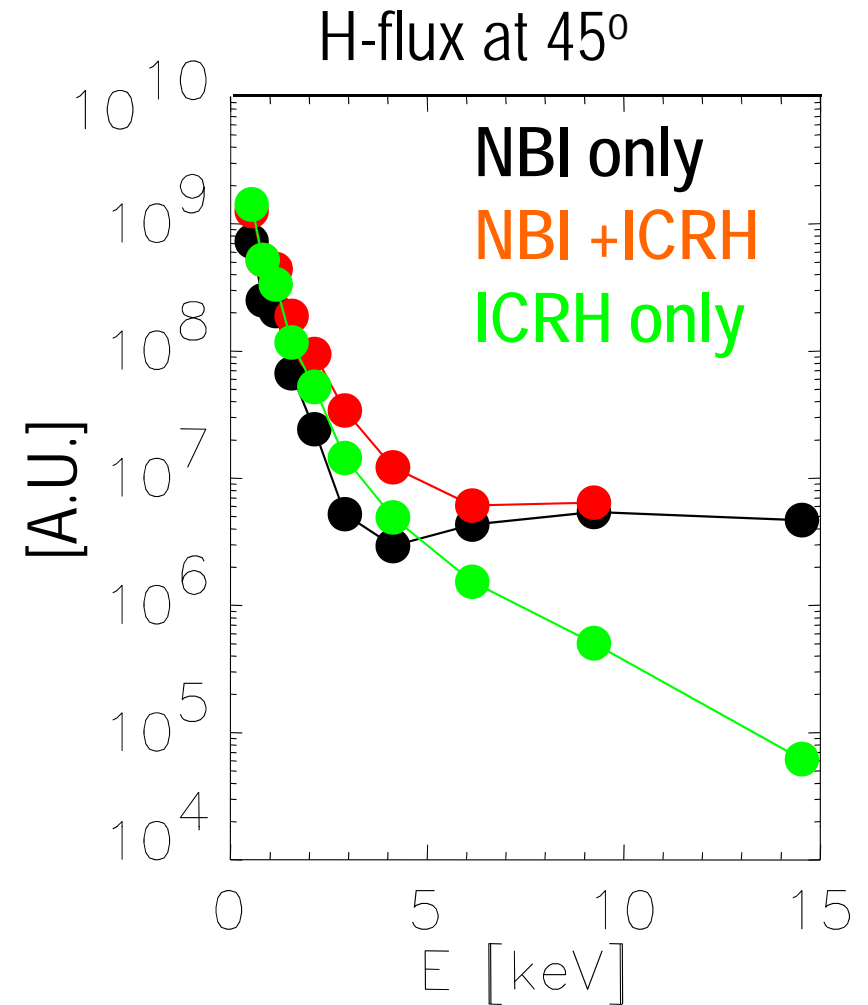
in large plasmas focussing
of wave power

absorption dependent on n $(\rho_i k_{\perp})^2$
high density needed
high ion temperature needed

development of tail in velocity
distribution function



B=1.25T



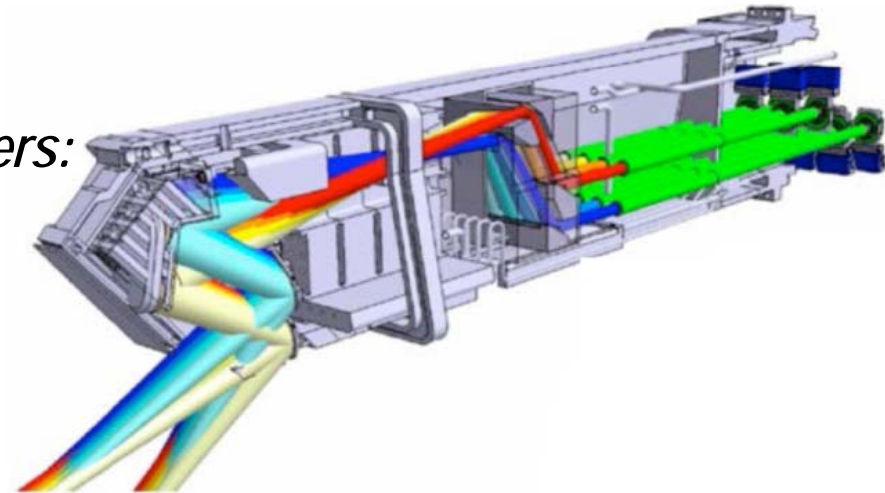
24 gyrotrons, 56 outputs, total 20 MW @ 170 GHz to plasma

One of gyrotron prototypes:

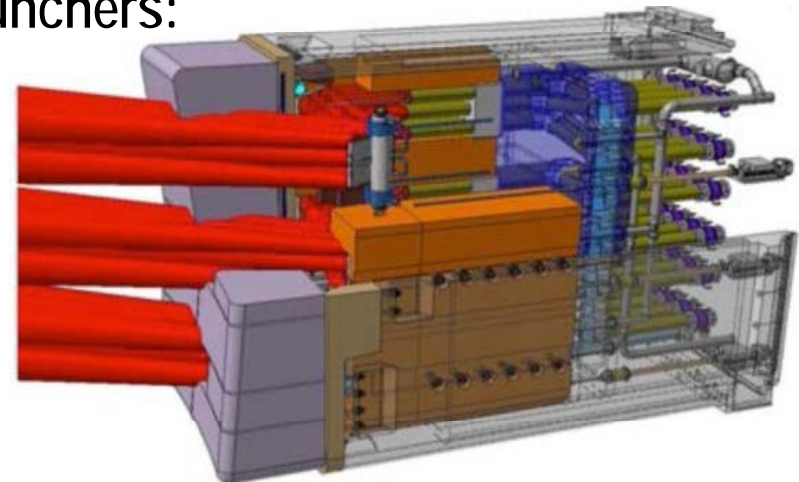


[iter.org]

Upper Launchers:

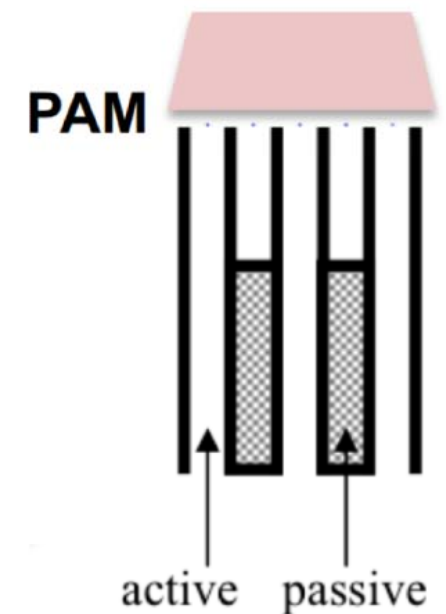
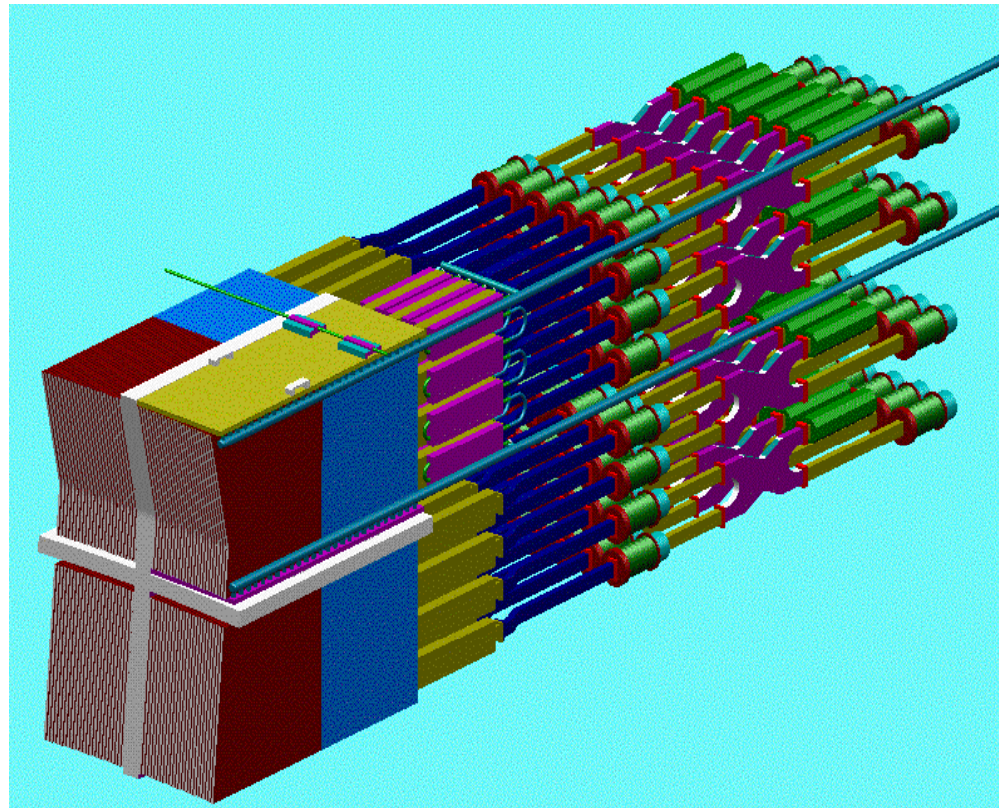


Equatorial Launchers:



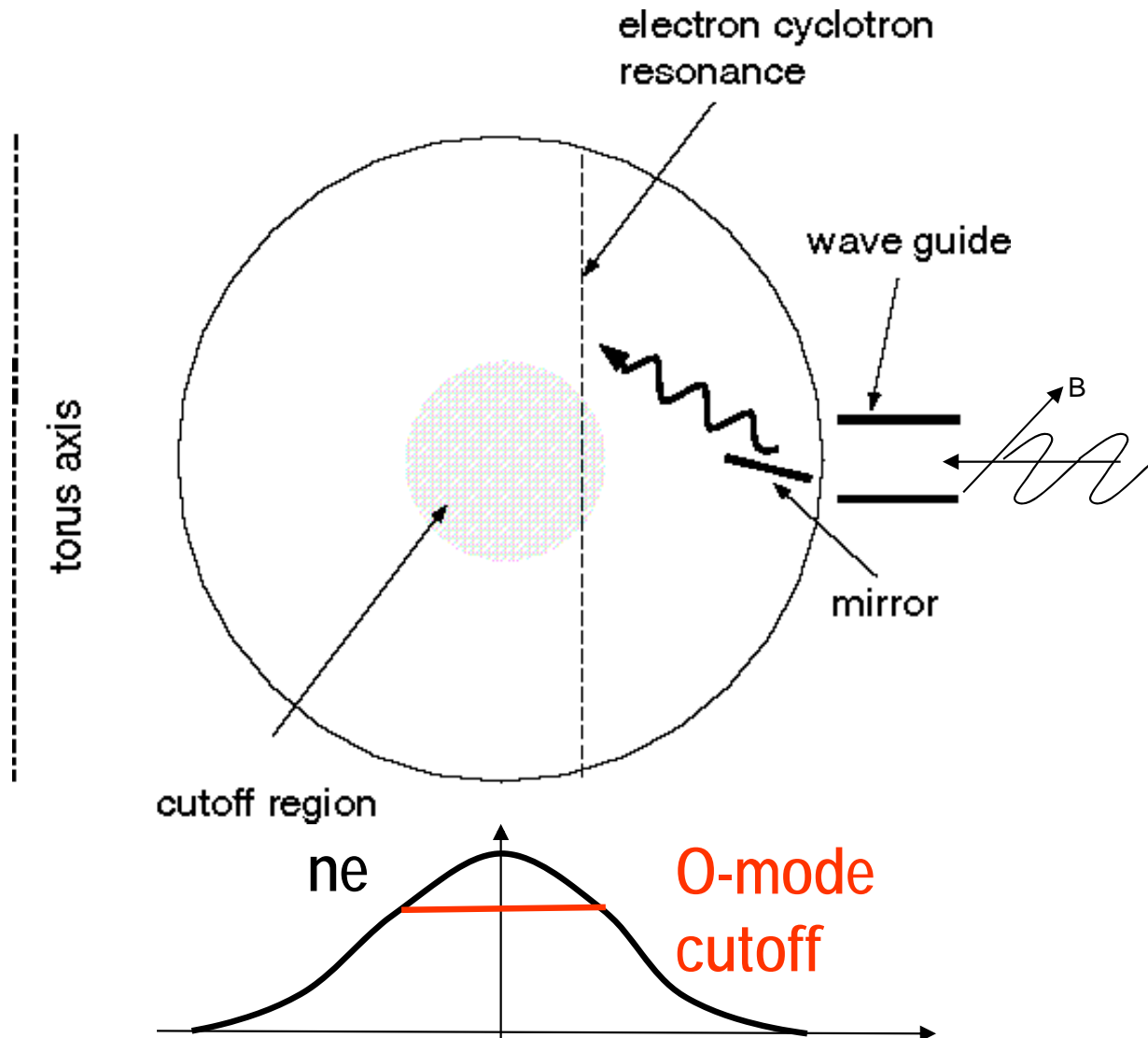
No LH is planned for initial phase of ITER,
later upgrade under discussion with 20 MW @ 5GHz

Possible passive-active multijunction (PAM) antenna:



LH system: efficient for current drive

Reflection at cut-off region



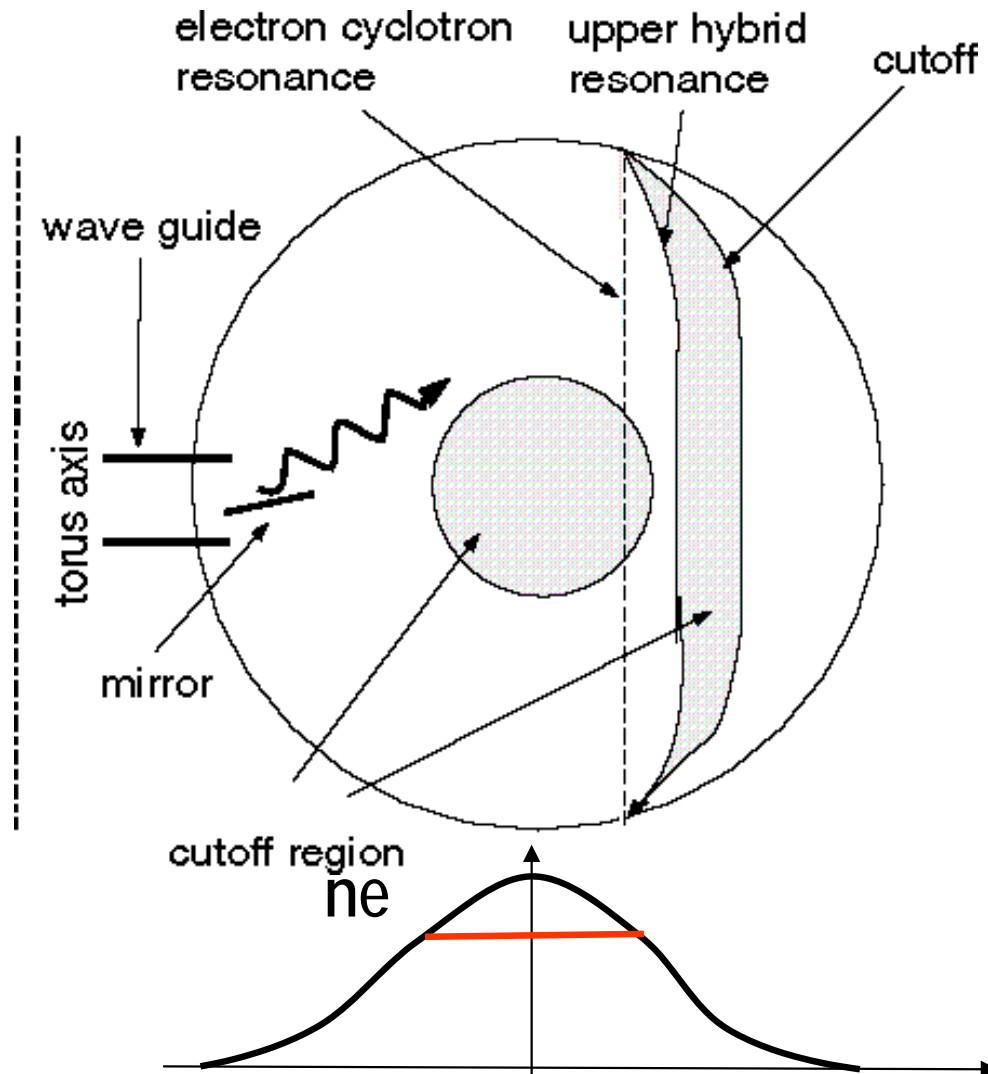
Dispersion

$$\omega^2 = k^2 c^2 + \omega_p^2$$

Density gradient leads
to diffraction away from
plasma center.

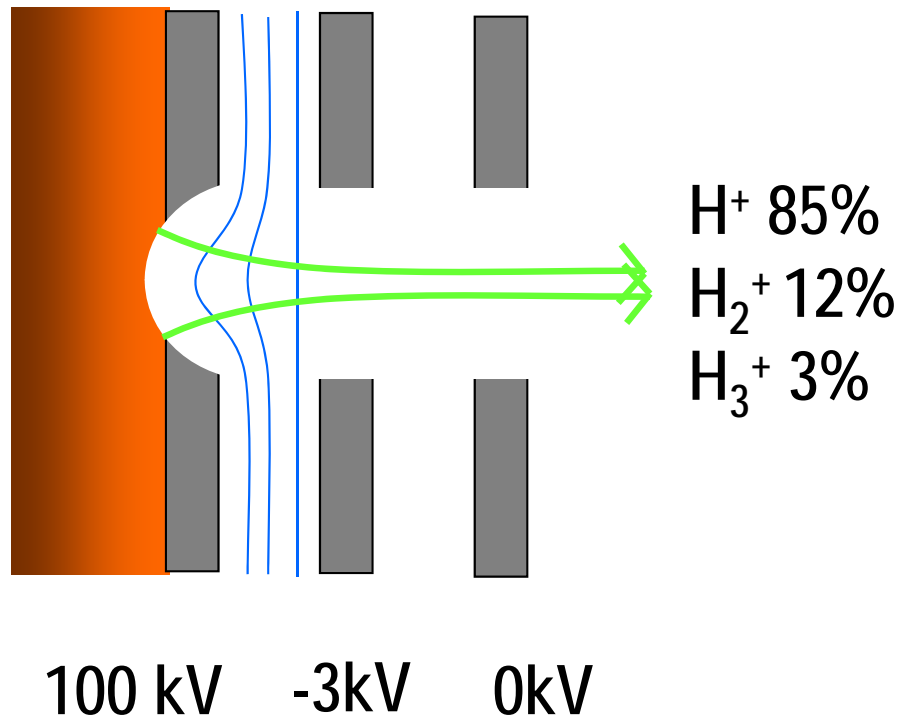
Resonance inaccessible from low field side because no propagation between cutoff and upper hybrid resonance.

X2 accessible from LFS
i.e. second harmonic heating

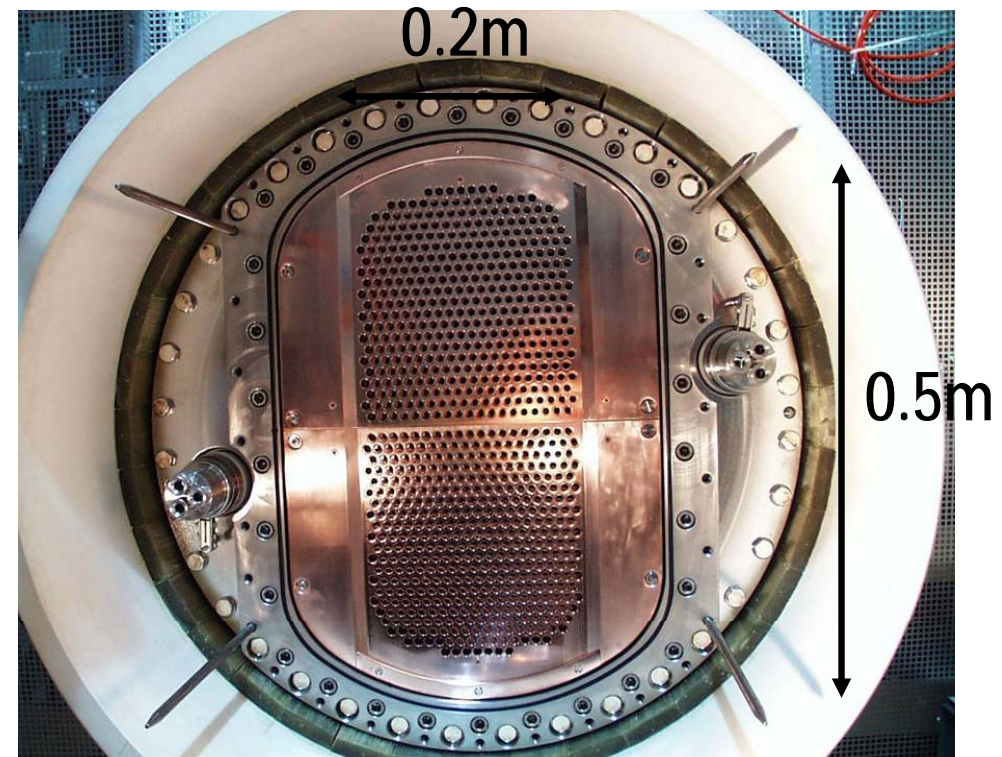


3-lens system

potential lines



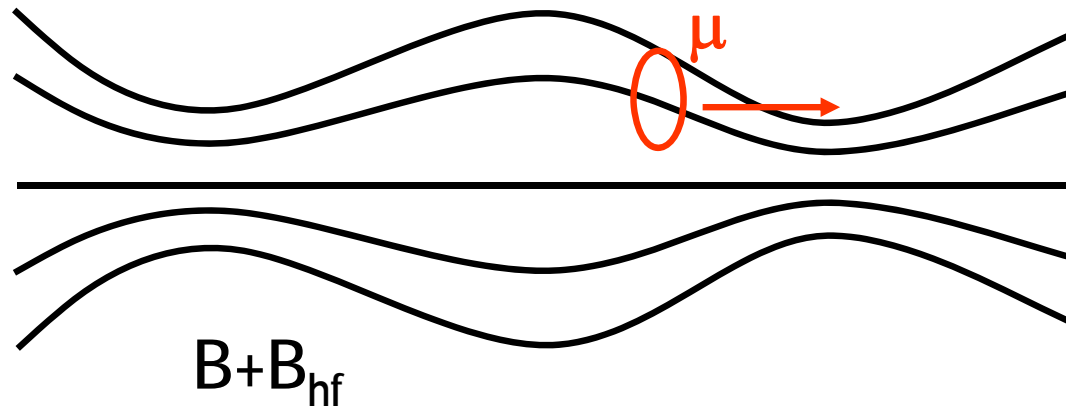
Typically 800 extraction
holes per source.



Grid system ASDEX Upgrade.

Magnetic moment approximately conserved:

$$\frac{d}{dt}\mu \approx 0 \quad \text{if} \quad \left(\frac{dB}{dt} / B \right) / \Omega \ll 1$$



Force on magnetic moment: $F = -\mu \nabla B$

Similar to Landau damping with substitution: $\mu \rightarrow q$
 $\nabla B \rightarrow E$