INDEXES

CS-A1153 - Databases (Summer 2020)

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INDEXES

- What is an index
- Why DB indexes are needed
- What indexes to create
- U&W 8:3-8:4
- Additional Example by Kerttu Pollari-Malmi (similar to 8:4, ex 14)



THE PROBLEM

- Databases are typically large
- The data needs to be stored somewhere, and in some order (often 'random')
- The tuples accessed by some query could require the DB system to go over all data on disk just to find those which matches a very narrow condition



INDEXES - A SOLUTION

An index on an attribute A of a relation is a data structure that makes it efficient to find those tuples that have a fixed value for attribute A

- Indexing a way to build up information of where some information is located in the DB table
- The attributes of the index is called the *index key* (these need not be the same as the keys of the relation)
- Note that the index itself needs to be stored
- And, crucially, the index needs to be updated
- Often implemented using B-trees



EXAMPLE

```
SELECT * FROM Movies
WHERE studioName = 'Disney'
AND year = 1990;
```

- No index means that all movies needs to be searched
- Index on e.g. year means DB can quickly narrow down search
- Indexes also products and joins where a table might need to be traversed multiple times



CREATING AN INDEX IN SQL

Creation of indexes not part of SQL standard, but commonly done as

CREATE INDEX <index_name> ON <table_and_attributes>;

For example:

CREATE INDEX KeyIndex **ON** Movies(title, year);



WHICH INDEXES SHOULD BE CREATED?

- How are the tuples of a relation spread over the storage medium?
 - Usually spread over several 'pages' (sequential blocks)
- What are common queries? When does it make sense to create an index?
- In practice there is also a cost associated with reading and updating the index



INDEXES ON RELATION KEYS

- Queries involving the relation key are common, and
- An index on a key yields a single page (because the key is unique)



INDEXES ON 'ALMOST' KEYS

Queries involving 'almost' keys can result in few page hits

```
SELECT * FROM Movies
WHERE title = 'King Kong';
```

- title is not a key of Movies, but
 - In general there are only a handful of movies with the same name
 - So, few pages to read (compared to the total number of the DB)



INDEXES ON CLUSTERED ATTRIBUTES

If we know that the attribute is *clustered* on a few storage pages, it can also make sense to create an index.

SELECT * FROM Movies
WHERE year = 1990;

- year is not a key of Movies, but
 - if the movie table should happen to be clustered on year on the storage medium it still makes sense to create an index
 - instead of searching all pages for the right year, we would look up the correct page(s) in the index



U&W 8:4.3 - EXAMPLE 14

StarsIn(movieTitle,movieYear, starName)

 $Q_1(s)$: Look for movie title and year for some star, s

```
SELECT movieTitle, movieYear
   FROM StarsIn
   WHERE starName = s;
```

 $Q_2(t,y)$: Look for star in some movie t, released in year y

```
SELECT starName
   FROM StarsIn
   WHERE movieTitle = t
   AND movieYear = y;
```

I(t, y, s): Insert entry with title t, year y, and star s.

INSERT INTO StarsIn VALUES(t,y,s);



EXAMPLE 14 - ASSUMPTIONS

- StarsIn Occupies 10 pages
- On average a star has appeared in 3 movies
- On average a movie has 3 stars
- An index fits on one page
- Inserting is easy (one read and one write)
- Usage patterns, we expect
 - Fraction p_1 of queries to be type Q_1
 - Fraction p_2 of queries to be type Q_2
 - Meaning that fraction $1 p_1 p_2$ is of type I



EXAMPLE 14 - COSTS WITHOUT INDEX

 $Q_1:$ 10

Need to scan the whole table

 $Q_2:$ 10

Need to scan the whole table

I : 2

One access to read page and one to write it back modified **Average**

 $10p_1 + 10p_2 + 2(1 - p_1 - p_2) = 2 + 8p_1 + 8p_2$



EXAMPLE 14 - COSTS WITH INDEX ON starName ONLY

 $Q_1:$ 4

One to read the index, and then three pages on average $Q_2:\mathbf{10}$

Need to scan the whole table

I : 4

Two to write new data plus two to update the index **Average**

 $4p_1 + 10p_2 + 4(1 - p_1 - p_2) = 4 + 6p_2$



EXAMPLE 14 - COSTS WITH INDEX ON (movieTitle,movieYear) ONLY

 $Q_1: \mathbf{10}$

Need to scan the whole table

 $Q_2:$ 4

One to read the index, and then three pages on average $I: {\bf 4}$

Two to write new data plus two to update the index **Average**

 $10p_1 + 4p_2 + 4(1 - p_1 - p_2) = 4 + 6p_1$



EXAMPLE 14 - COSTS WITH BOTH INDEXES

 $Q_1: \mathbf{4}$

One to read the index, and then three pages on average $Q_2: {\bf 4}$

One to read the index, and then three pages on average $I:{\bf 6}$

Two to write new data plus four to update the indexes **Average**

 $4p_1 + 4p_2 + 6(1 - p_1 - p_2) = 6 - 2p_1 - 2p_2$



EXAMPLE 14 - WHICH INDEX?

No index	Star index	Movie index	Both indexes
$2+8p_1+8p_2$	$4+6p_2$	$4+6p_1$	$6-2p_1-2p_2$

Best selection - the function with minimum average cost - depends on region of (p_1, p_2) .



