

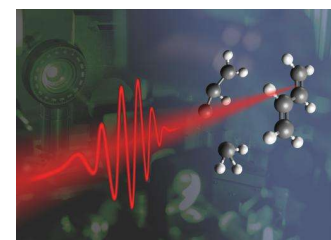
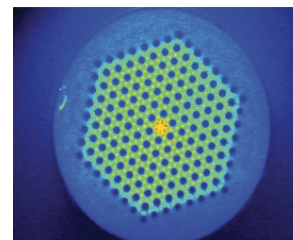
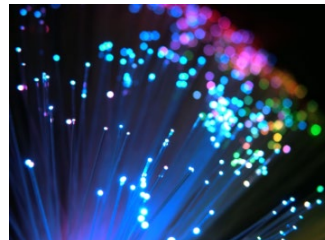
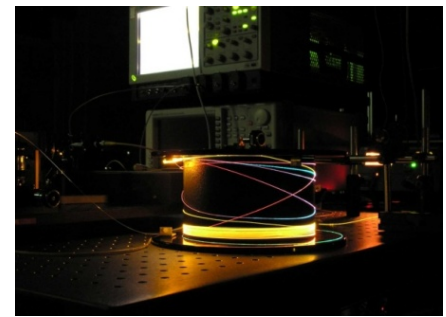
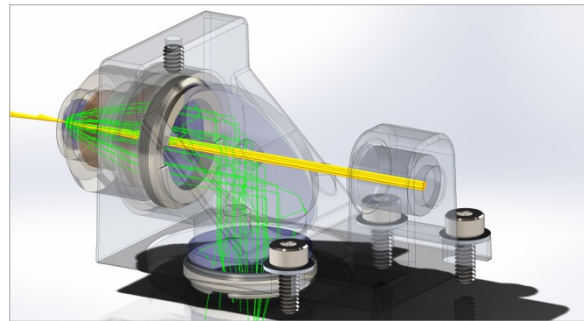
Optics E-5730 Spring 2021

Diffraction II

Lectures: Toni Laurila

Email: toni.k.laurila@aalto.fi

Tel. 050-358 3097



Last Lecture – Diffraction I

- Diffraction
- Diffraction integral
- Fraunhofer approximation
- Fraunhofer diffraction intensity for one, two and N slits
- Diffraction grating and grating spectrometer

Today's Lecture – Diffraction II

- Diffraction limit
- Fresnel approximation
- Fourier optics

Fraunhofer approximation

$$|\vec{r} - \vec{r}'| \approx z - \frac{xx'}{z} - \frac{yy'}{z} + \frac{x^2+y^2}{2z}$$

$$E(\vec{r}) = \frac{1}{i\lambda} \iint_{S'} E_{inc}(\vec{r}') \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r} - \vec{r}'|} dS'$$

$|\vec{r} - \vec{r}'| \approx z$

$$E(x, y, z) = \frac{e^{ikz + \frac{ik}{2z}(x^2+y^2)}}{i\lambda z} \iint_{S'} E_{inc}(x', y') e^{-ik\frac{xx'+yy'}{z}} dx' dy'$$

Using notation $v_x = \frac{x}{\lambda z}$ $v_y = \frac{y}{\lambda z}$

$$E(x, y, z) = \frac{e^{ikz + \frac{ik}{2z}(x^2+y^2)}}{i\lambda z} \iint_{S'} E_{inc}(x', y') e^{-i2\pi(v_x x' + v_y y')} dx' dy'$$

2D Fourier-
transformation

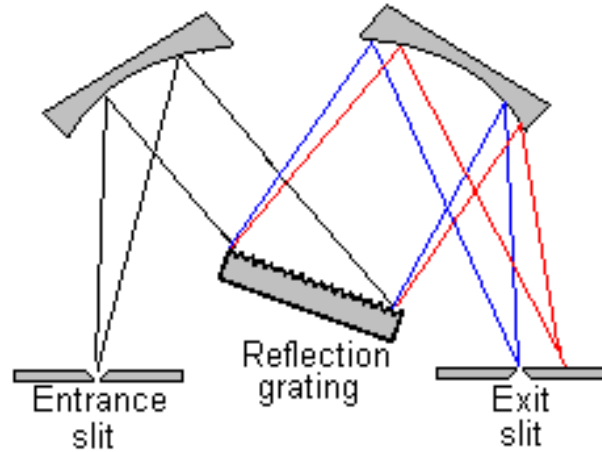
$$\mathcal{F}[E_{inc}(x', y')](v_x, v_y) = \iint_{S'} E_{inc}(x', y') e^{-i2\pi(v_x x' + v_y y')} dx' dy'$$

$$I(v_x, v_y) = \frac{1}{\lambda^2 z^2} [F[E_{inc}(x', y')](v_x, v_y)]^2$$

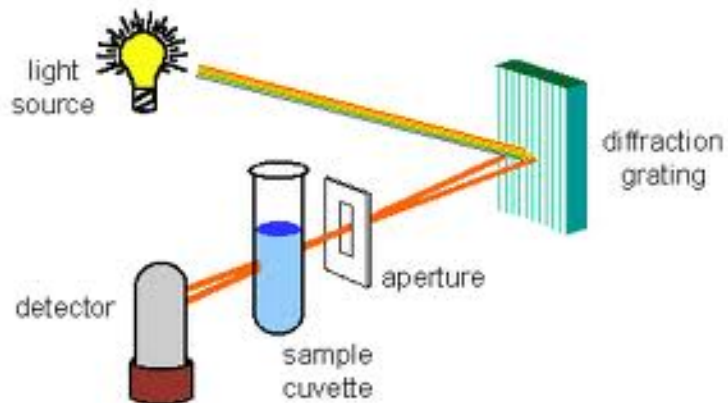
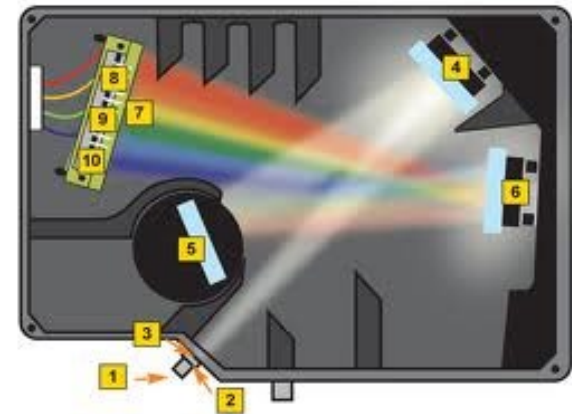
Intensity of the diffraction pattern results from 2D-Fourier transformation of the incident field.

Grating Monochromator and Grating Spectrometer

monochromator



spectrometer



Fraunhofer Diffraction of a Circular Aperture

- electric field E_0 hits a circular aperture having a radius of r_0
- diffraction integral expressed in spherical coordinates (pp. 98-99)

$$E(x, y, z) = \frac{e^{ikz + \frac{ik}{2z}(x^2 + y^2)}}{i\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} E_{inc}(x', y') e^{-i2\pi(v_x x' + v_y y')} dx' dy'$$
$$= E_0 \frac{e^{ikz + \frac{ik}{2z}(x^2 + y^2)}}{i\lambda z} \int_0^{2\pi r_0} \int_0^{2\pi} e^{-i2\pi(v_x r \cos \varphi + v_y r \sin \varphi)} r d\varphi dr$$

- solutions are Bessel functions $J_1(t)$

$$\int_0^{2\pi r_0} \int_0^{2\pi} e^{-i2\pi(v_x r \cos \varphi + v_y r \sin \varphi)} r d\varphi dr = 2\pi r_0^2 \left(\frac{J_1(t)}{t} \right),$$

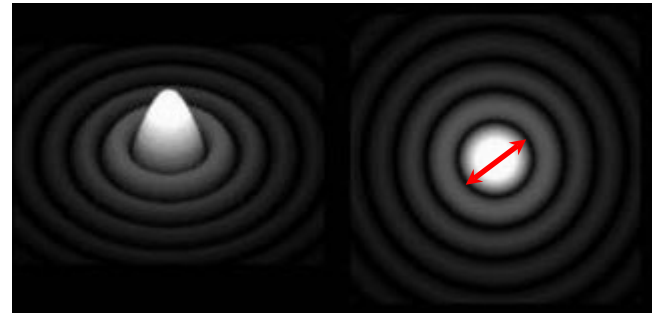
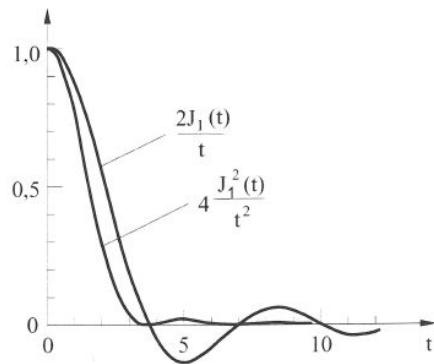
where $t = 2\pi r_0 \nu$ ja $\nu = \sqrt{x^2 + y^2} / (\lambda z)$

Fraunhofer Diffraction of a Circular Aperture

- diffraction intensity for a circular aperture becomes Airy function (J_1 = Bessel function):

$$I(x, y, z) = \frac{4\pi^2 r_0^4 E_0^2}{\lambda^2 z^2} \left(\frac{J_1(t)}{t} \right)^2$$

$$t = 2\pi r_0 \nu \quad \text{ja} \quad \nu = \sqrt{x^2 + y^2} / (\lambda z)$$

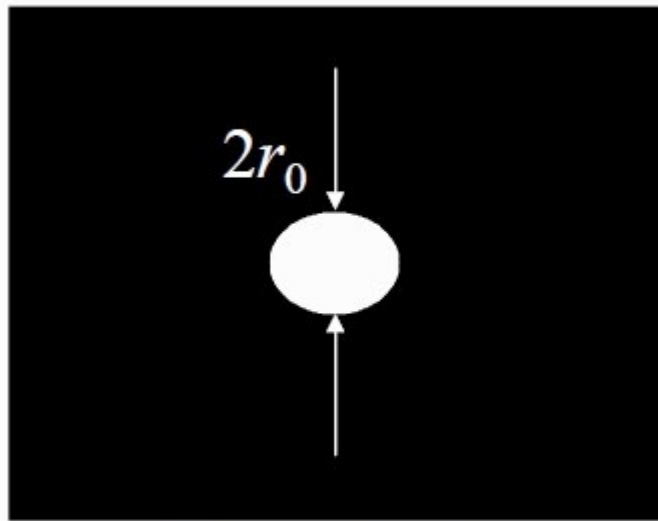


- the first zero value of J_1 is obtained at $t = 3.83$:

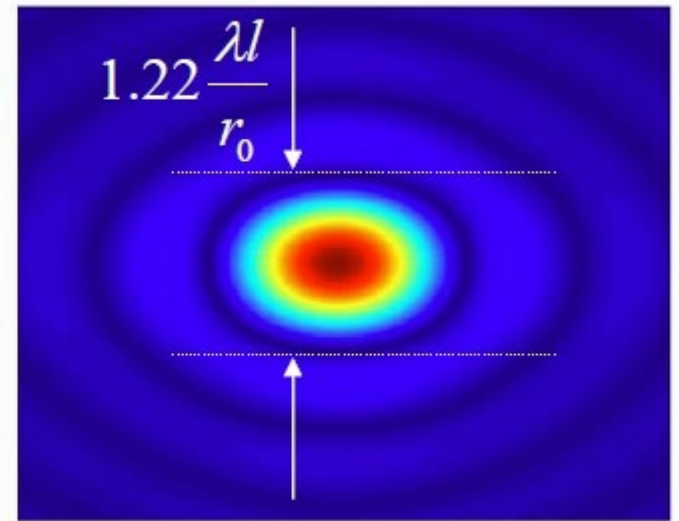
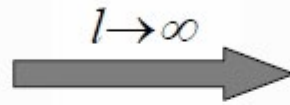
$$t = 2\pi r_0 \sqrt{x^2 + y^2} / (\lambda z) = 3.83$$

$$2r = 2\sqrt{x^2 + y^2} = \frac{3.83\lambda z}{\pi r_0} = \frac{1.22\lambda z}{r_0}$$

Fraunhofer Diffraction of a Circular Aperture



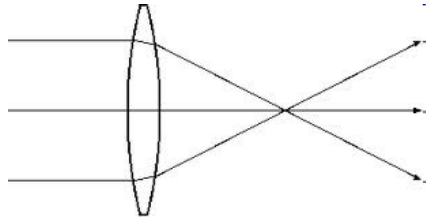
input field



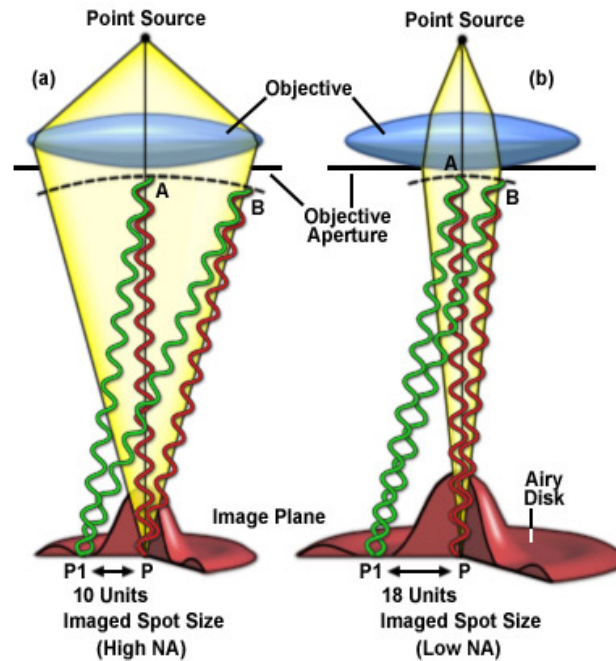
far-field diffraction pattern

Diffraction Limited Spot Size

- Diffraction limit: focused ideal plane wave yields the minimum spot size (diameter \varnothing_{MIN}) of (f = focal length of the lens, D = lens diameter):



$$\varnothing_{MIN} = \frac{2.44\lambda f}{D}$$



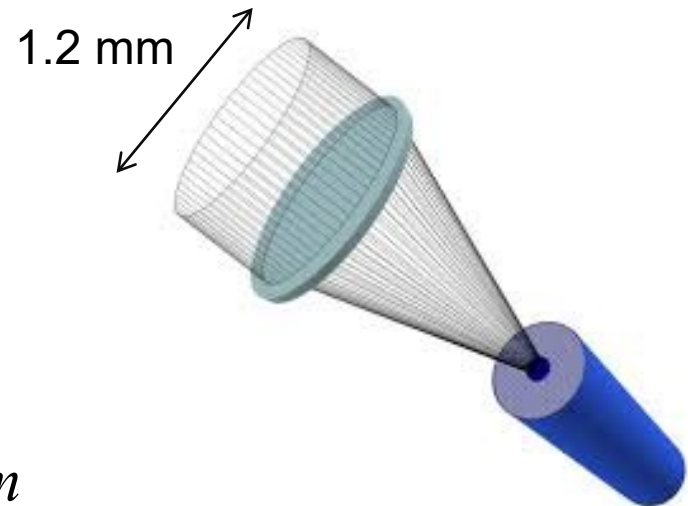
Example: Coupling a Laser Beam Into a Single-mode Fibre (SMF)

- laser beam diameter 1.2 mm
- laser wavelength 1550 nm
- SMF-28 mode field diameter 10.4 μm
- numerical aperture 0.14

$$\varnothing_{MIN} = \frac{2.44\lambda f}{D}$$



$$f \approx \frac{\varnothing_{MIN} D}{2.44\lambda} = \frac{10.4 \mu\text{m} \cdot 1.2 \text{ mm}}{2.44 \cdot 1550 \text{ nm}} = 3.3 \text{ mm}$$



EFL = 3.xx mm

e.g. www.thorlabs.com



Item # (Unmounted/ Mounted)	Info	EFL ^a	NA	OD	CA	WD ^b	DW	AR Range	M	Glass	Performance	Thread	Suggested Spanner Wrench
354330-C ^c		3.10 mm	0.68	6.3 mm	5.00 mm	1.76 mm	830 nm	1050 - 1700 nm	∞	D-ZK3	Focal Shift Spot Size Cross Section	-	-
C330TMD-C ^c				9.2 mm		1.76 mm						M9 x 0.5	SPW301
A414-C		3.30 mm	0.47	4.50 mm	3.52 mm	1.94 mm	670 nm	1050 - 1620 nm	∞	N-SF57	A414 Asph.pdf	-	-
A414TM-C				6.22 mm		1.81 mm						M6 x 0.5	SPW306
N414-C		3.30 mm	0.47	4.50 mm	3.52 mm	1.94 mm	670 nm	1050 - 1620 nm	∞	H-ZLAF52	N414 Asph.pdf	-	-
N414TM-C				6.22 mm		1.83 mm						M6 x 0.5	SPW306

- a. EFL is specified at the design wavelength for the unmounted lens.
- b. WD is specified at the design wavelength.
- c. These Geltech Lenses feature an improved AR coating range of 1050 - 1700 nm.

EFL = Effective Focal Length WD = Working Distance OD = Outer Diameter
 NA = Numerical Aperture DW = Design Wavelength M = Magnification
 CA = Clear Aperture

Based on your currency / country selection, your order will ship from European warehouse

+1	Qty	Docs	Part Number - Universal	Price ex VAT	Available / Ships
	<input type="text"/>		354330-C f = 3.10 mm, NA = 0.68, Unmounted Geltech Aspheric Lens, AR: 1050-1700 nm	€ 54,09	✓ Today
	<input type="text"/>		C330TMD-C f = 3.10 mm, NA = 0.68, Mounted Geltech Aspheric Lens, AR: 1050-1700 nm	€ 67,23	✓ 2-3 Days
	<input type="text"/>		A414-C f = 3.3 mm, NA = 0.47, Unmounted Rochester Aspheric Lens, AR: 1050-1620 nm	€ 73,40	✓ 2-3 Days
	<input type="text"/>		A414TM-C f = 3.3 mm, NA = 0.47, Mounted Rochester Aspheric Lens, AR: 1050-1620 nm	€ 77,90	✓ 2-3 Days
	<input type="text"/>		N414-C f = 3.3 mm, NA = 0.47, Unmounted Rochester Aspheric Lens, AR: 1050-1620 nm	€ 75,60	✓ Today
	<input type="text"/>		N414TM-C f = 3.3 mm, NA = 0.47, Mounted Rochester Aspheric Lens, AR: 1050-1620 nm	€ 80,28	✓ Today

Add To Cart



A375TM-C



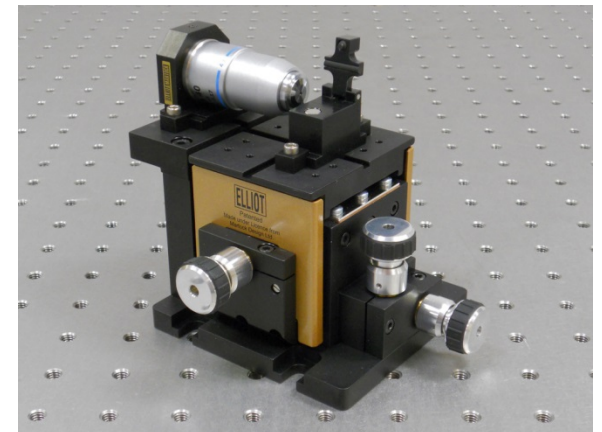
A375-C



C140TMD-C

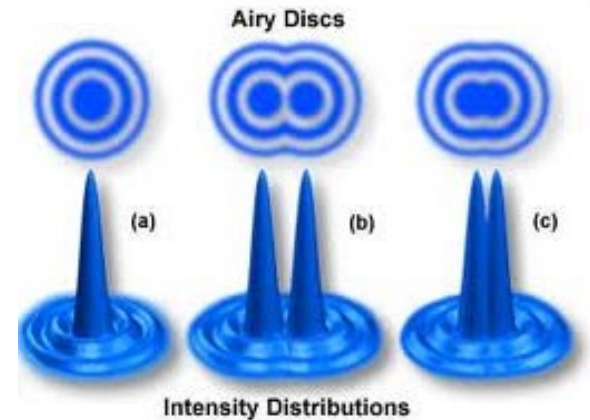
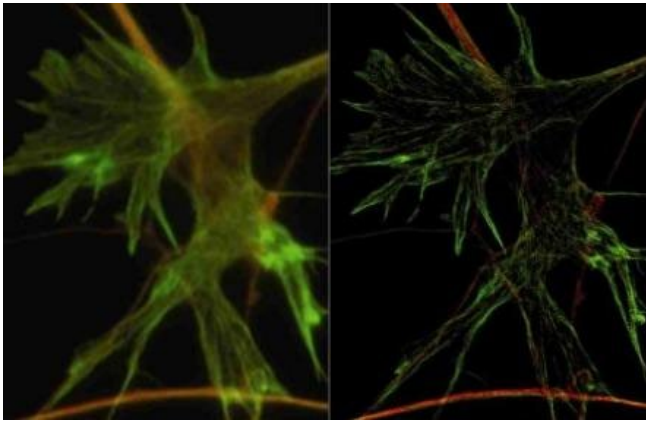


354140-C



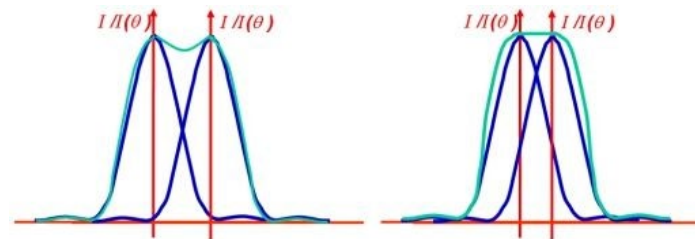
Optical Resolution

- When two spot-like light sources can be separated from each other? Question is timely in modern microscopy where the target is to distinguish single molecules, e.g., in biological samples.



- Rayleigh's criterion:** two geometrical points can be separated if the maximum of the intensity distribution of one point source falls at the minimum of the other intensity distribution; aperture diameter D (radius r_0) yields a minimum spatial resolution δ (resolution) at the distance z from the aperture:

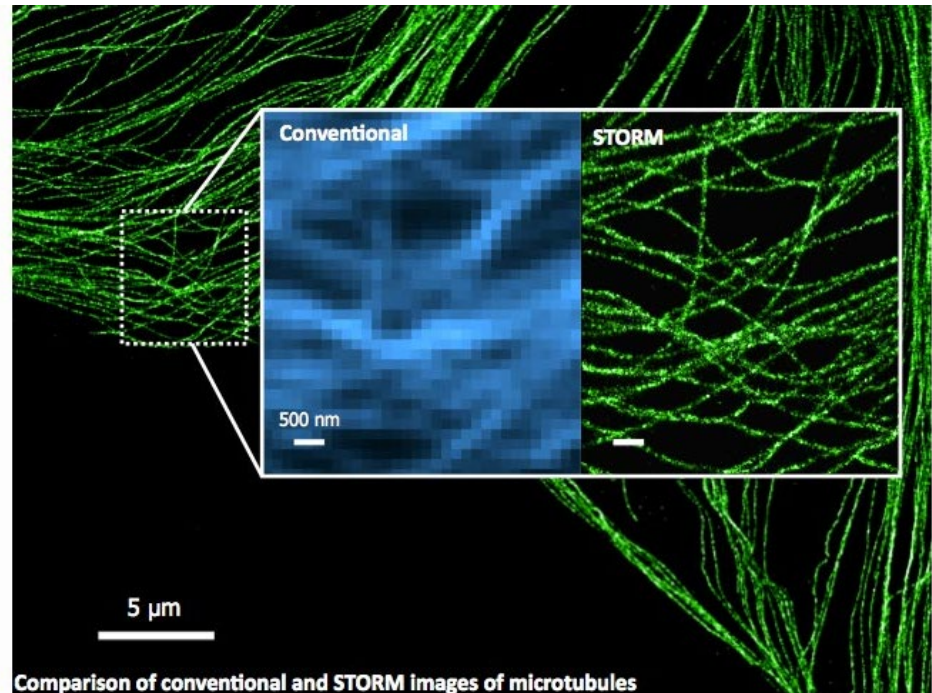
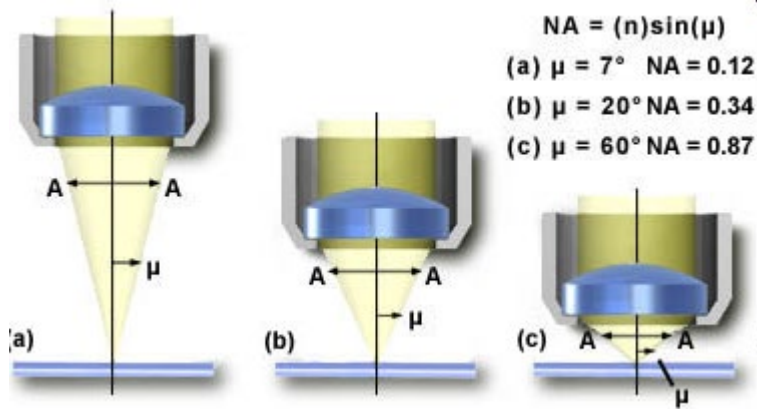
$$\frac{\text{spatial resolution}}{\text{distance}} = \frac{\delta}{z} = 1.22 \frac{\lambda}{D}$$



Optical Resolution

For resolution at the focal point of a lens:

$$\text{spatial resolution } \delta = 1.22 \frac{\lambda f}{D}$$



Fraunhofer Approximation Creates Useful Outcomes:

- intensity distribution of N slits (diffraction grating)
- smallest possible spot size of a lens and optical resolution

"observation far from the aperture": $2z \gg k(x'^2 + y'^2)$ $E(\vec{r}) = \frac{1}{i\lambda} \int_{S'} E_{inc}(\vec{r}') \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} dS'$

exponent:

$$|\vec{r} - \vec{r}'| = \sqrt{z^2 + (x - x')^2 + (y - y')^2}$$

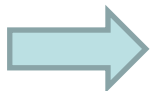
$$\approx z \left(1 + \frac{(x - x')^2}{2z^2} + \frac{(y - y')^2}{2z^2} \right)$$

$$= z - \frac{xx'}{z} - \frac{yy'}{z} + \frac{x^2 + y^2}{2z} + \frac{x'^2 + y'^2}{2z}$$

$$\approx z - \frac{xx'}{z} - \frac{yy'}{z} + \frac{x^2 + y^2}{2z}$$

denominator:

$$|\vec{r} - \vec{r}'| \approx z$$



$$E(x, y, z) = \frac{e^{ikz + \frac{ik}{2z}(x^2 + y^2)}}{i\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} E_{inc}(x', y') e^{-i2\pi(v_x x' + v_y y')} dx' dy'$$

$$\propto \mathfrak{F}\{E_{inc}(x', y')\}$$

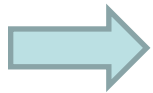
Fresnel Approximation (More Accurate than Fraunhofer)

$$E(\vec{r}) = \frac{1}{i\lambda} \int_{S'} E_{inc}(\vec{r}') \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} dS'$$

exponent

$$|\vec{r}-\vec{r}'| = \sqrt{z^2 + (x-x')^2 + (y-y')^2}$$
$$\approx z \left(1 + \frac{(x-x')^2}{2z^2} + \frac{(y-y')^2}{2z^2} \right)$$

denominator $|\vec{r}-\vec{r}'| \approx z$



$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} E_{inc}(x', y') e^{\frac{ik}{2z}[(x-x')^2 + (y-y')^2]} dx' dy'$$
$$= E_{inc}(x', y') \otimes h_z(x', y')$$

Diffracted field is the 2D convolution between the incident field and the transfer function of an empty space h_z

$$h_z(x', y') = \frac{e^{ikz}}{i\lambda z} e^{\frac{ik}{2z}(x'^2 + y'^2)}$$

Fresnel Diffraction of a Rectangular Aperture

- In the Exercises the Fraunhofer approximation for a rectangular aperture is derived (aperture size $2x_0 \bullet 2y_0$):

$$I(v_x, v_y) = \frac{16x_0^2 y_0^2 E_0^2}{\lambda^2 z^2} \text{sinc}^2(2\pi x_0 v_x) \text{sinc}^2(2\pi y_0 v_x)$$

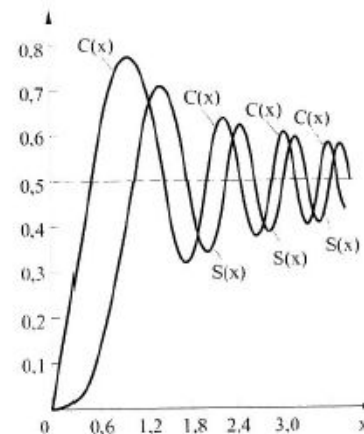
- In Fresnel approximation diffraction integral is separated into x- and y-dependent parts:

$$E(x, y, z) = E_0 \frac{e^{ikz}}{i\lambda z} I_{x_0}(x) I_{y_0}(y)$$

$$I_{x_0}(x) = \int_{-x_0}^{x_0} e^{\frac{ik}{2z}(x-x')^2} dx' = \sqrt{\frac{\lambda z}{2}} [C(\eta_1) - C(\eta_2) + iS(\eta_1) - iS(\eta_2)]$$

$$C(\eta) = \int_0^\eta \cos\left(\frac{\pi u^2}{2}\right) du, \quad S(\eta) = \int_0^\eta \sin\left(\frac{\pi u^2}{2}\right) du$$

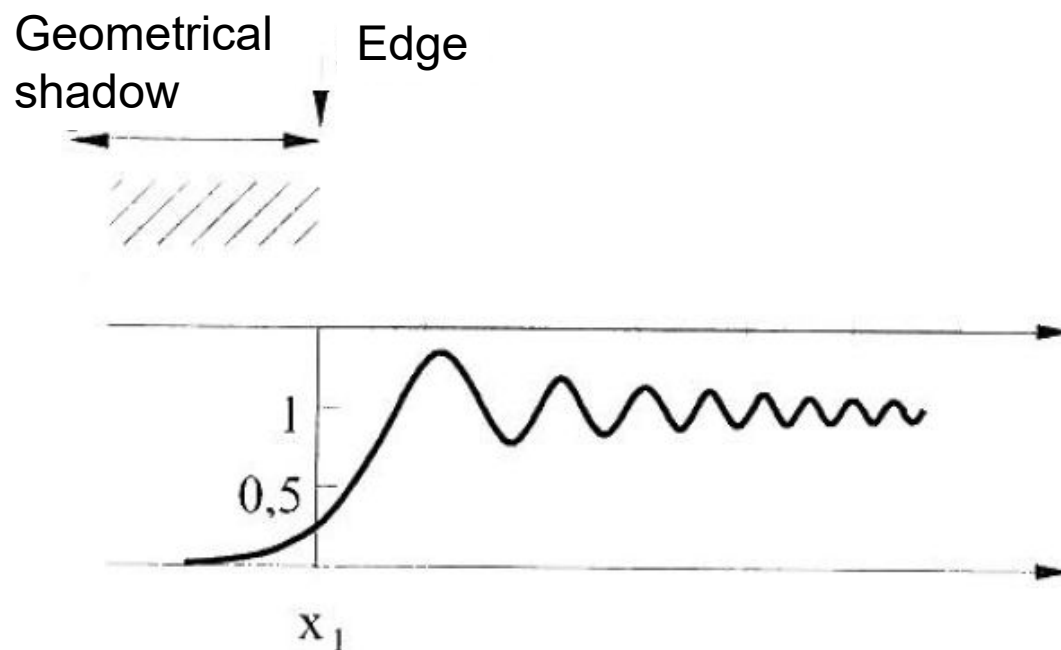
$$\eta_1 = \sqrt{\frac{2}{\lambda z}}(x + x_0), \quad \eta_2 = \sqrt{\frac{2}{\lambda z}}(x - x_0)$$



Fresnel Diffraction of a Rectangular Aperture

By default, Fresnel approximation gives more accurate results than Fraunhofer approximation so the Fresnel approximation is valid closer to the diffracting aperture. The downside is that the solutions are not as straightforward to interpret and numerical analysis is required.

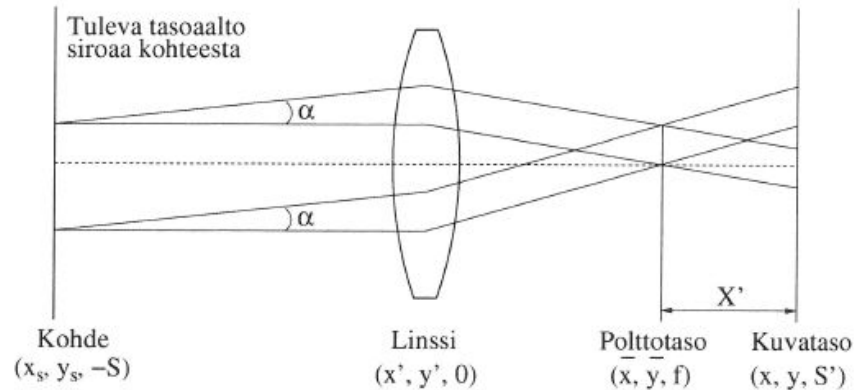
Sharp edge creates intensity oscillation that can be calculated using Fresnel approach near the edge.



Fourier Optics

Fourier Optics

- Let us investigate the following case where at plane $z = -S$ there is a diffractive object which is illuminated by a plane wave $E_0 e^{ikz}$
- Diffractive object has an amplitude transmission function $\tau(x_S, y_S)$. According to Fraunhofer approximation far away from the object we see intensity that is the Fourier transformation of the field $E_0 \tau(x, y)$.



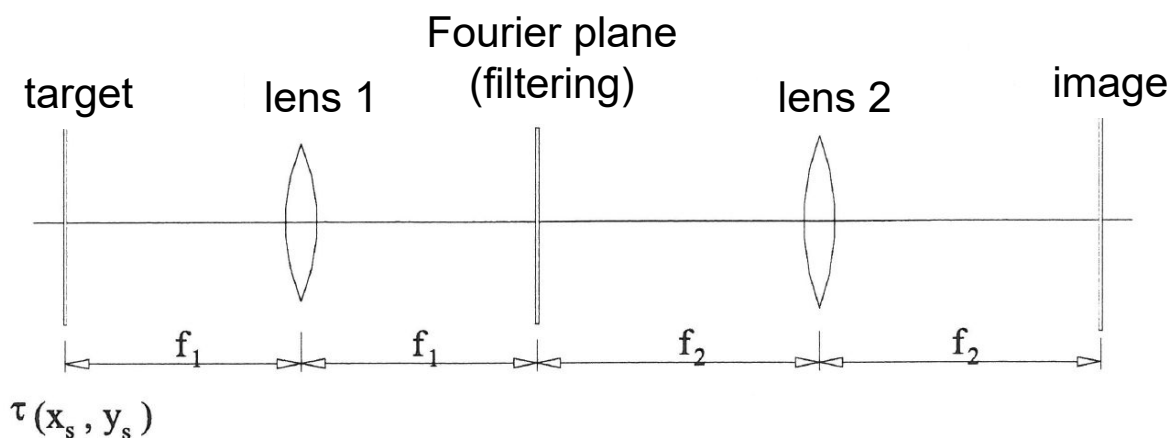
- A lens collects the diffracted rays together and the result is a Fourier transformation at the focal plane of the lens (image position determined by the thin lens equation).
- It can be formally shown that intensity at the focal plane is 2D Fourier transformation:

$$I(\bar{x}, \bar{y}, f) = \left| E(\bar{x}, \bar{y}, z) \right|^2 = \frac{|E_0|^2}{\lambda^2 z^2} \left| \mathfrak{F} \left\{ \tau(x, y)_S (v_{\bar{x}}, v_{\bar{y}}) \right\} \right|^2$$

Fourier Optics – 4F System

Optical Fourier signal can be filtered like electronic Fourier signal.

Below two consecutive Fourier transformations form an image (x,y) of $\tau(x_s,y_s)$



$$I(\bar{x}, \bar{y}, f) = |E(\bar{x}, \bar{y}, z)|^2 = \frac{|E_0|^2}{\lambda^2 z^2} \left| \mathfrak{F}\{\tau(x, y)_S(v_{\bar{x}}, v_{\bar{y}})\} \right|^2$$

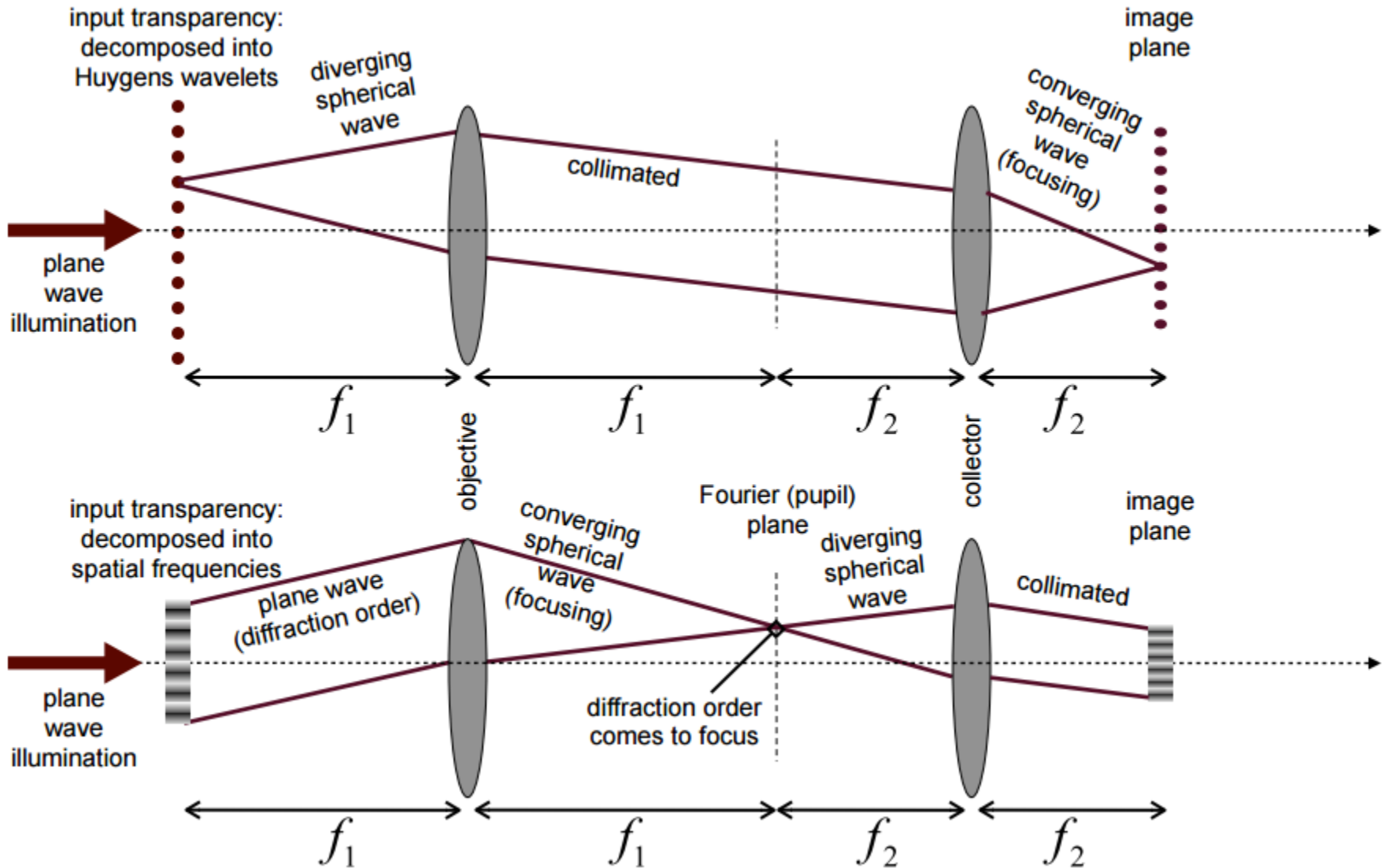
$$v_x = x / (\lambda z), v_y = y / (\lambda z)$$

v 's dimension $\propto k$
that is "frequency"

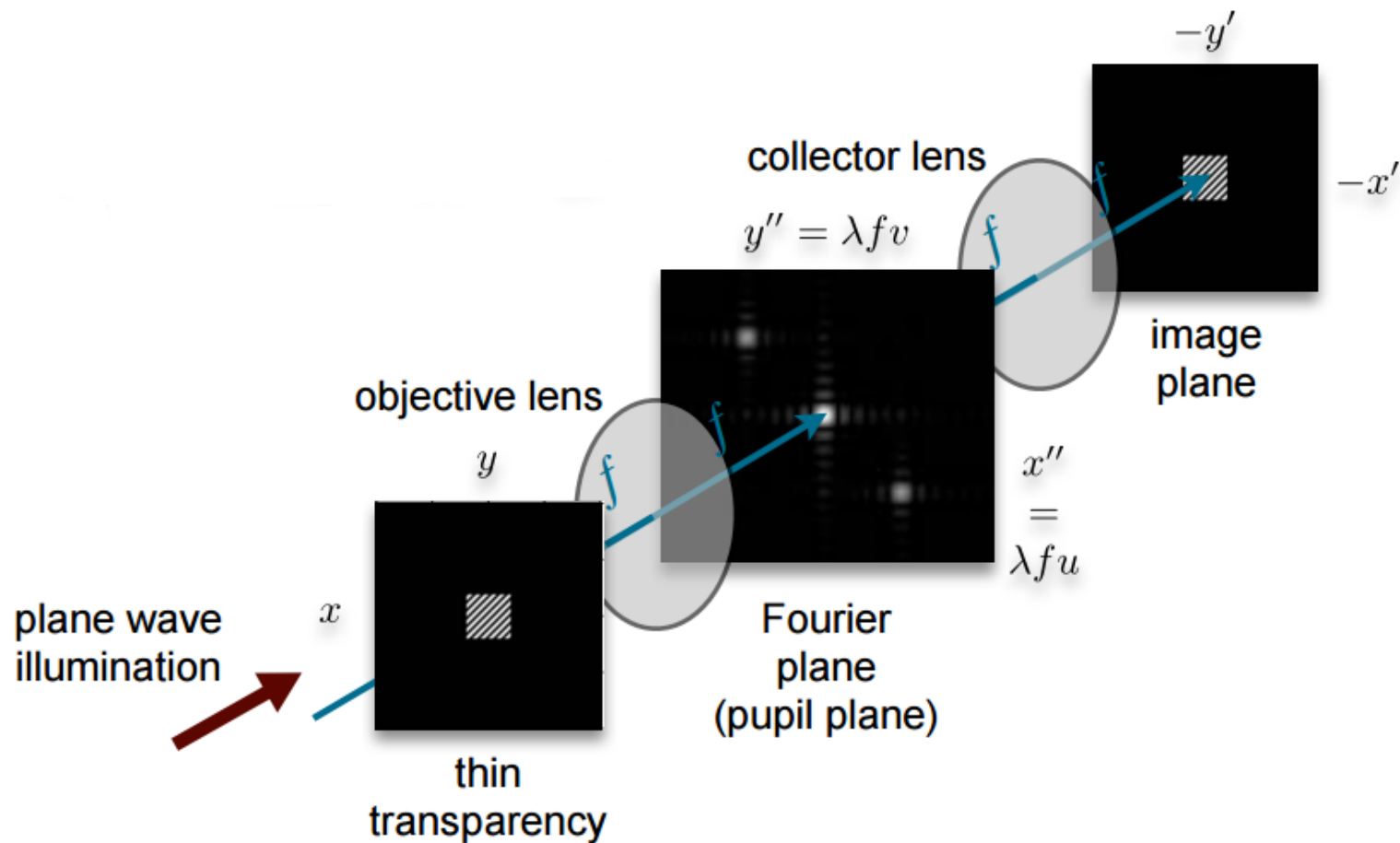
In the Fourier plane with a suitable aperture one can remove:

- high spatial frequencies v_x and v_y (low-pass filtering)
- low spatial frequencies (high-pass filtering)

4F System – Physical Justification

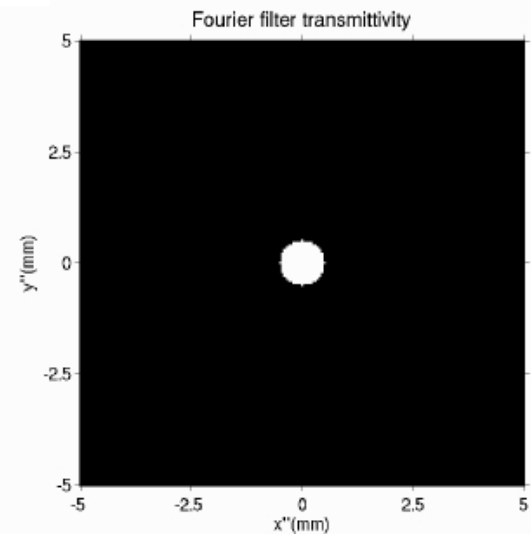
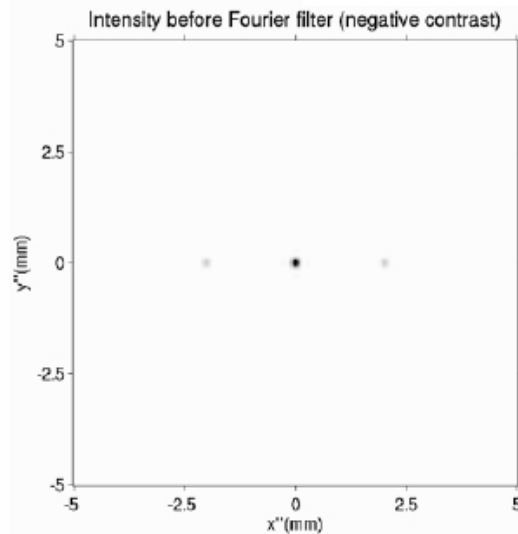
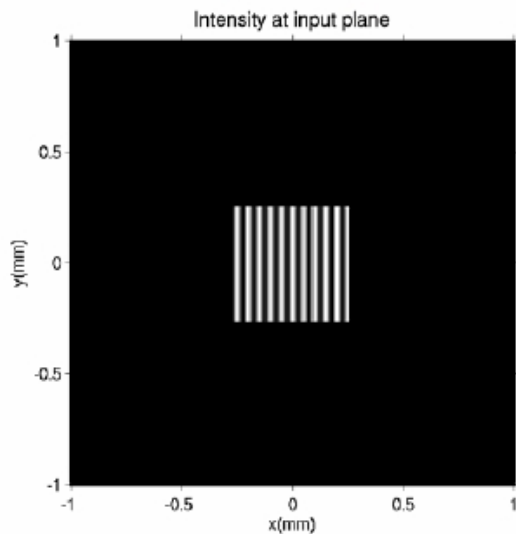
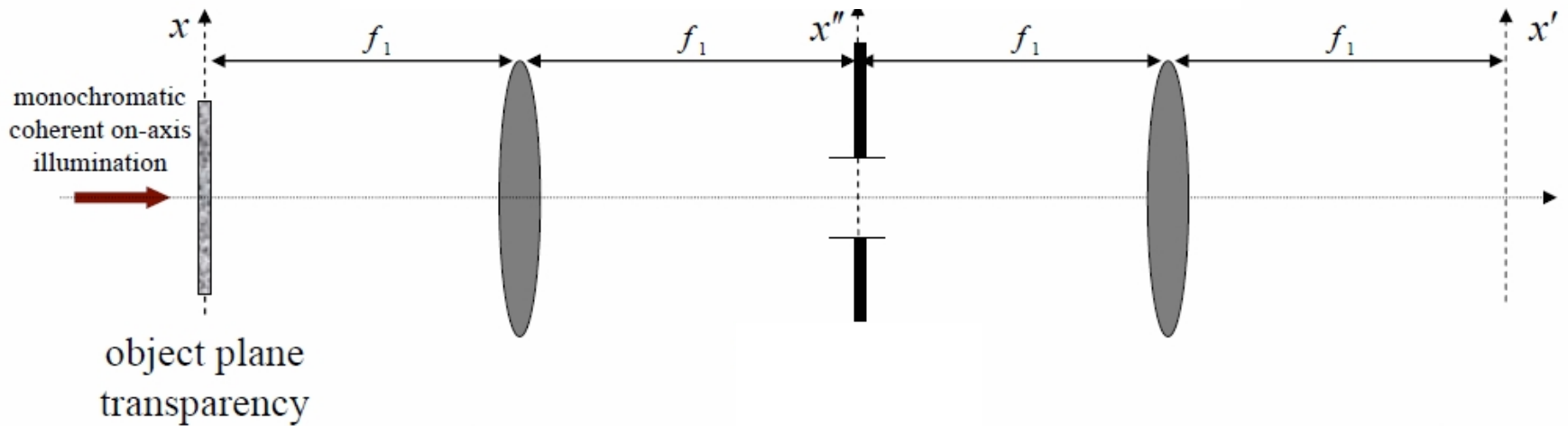


Examples of Optical Fourier Filtering

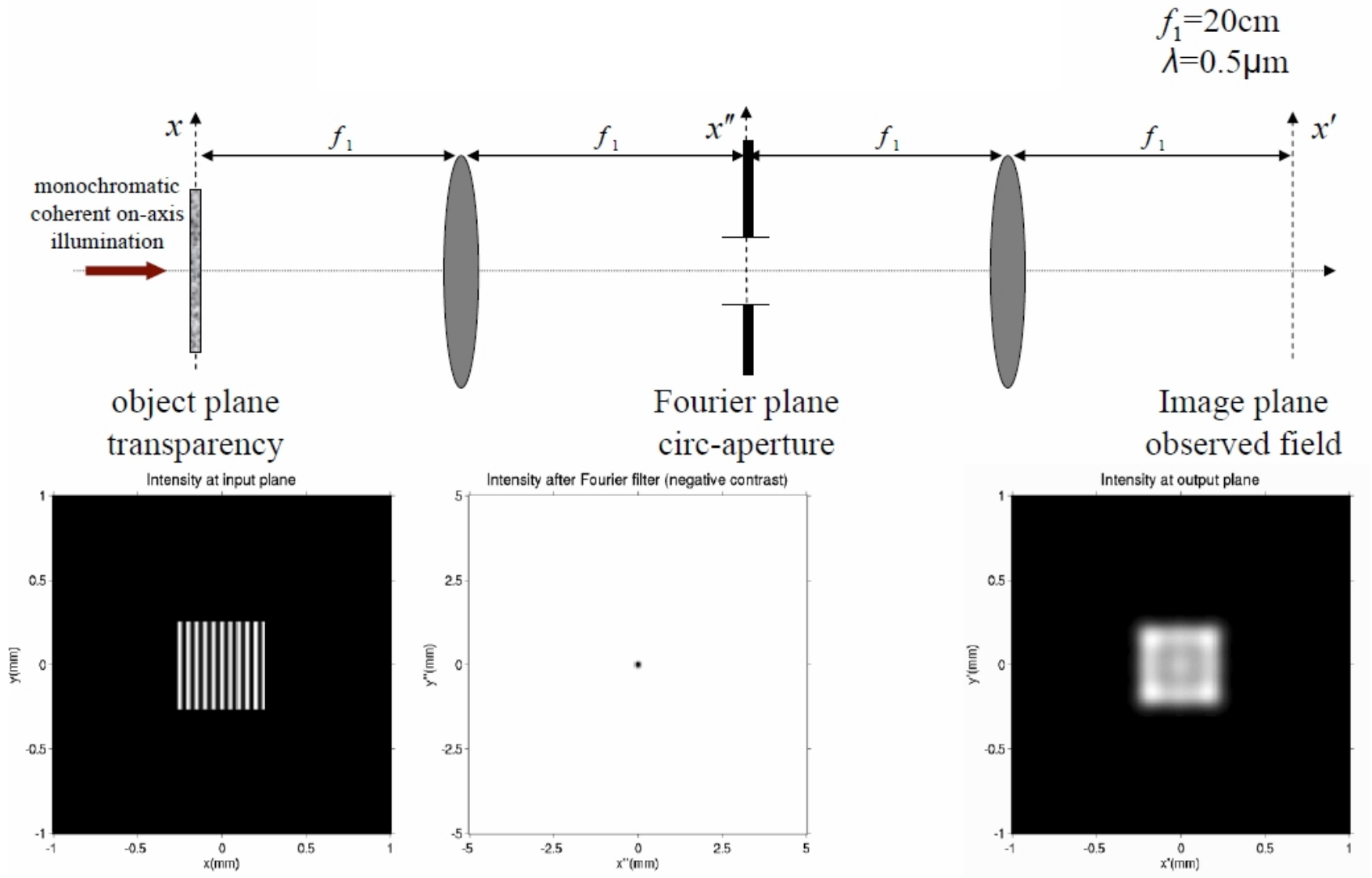


Examples of Optical Fourier Filtering

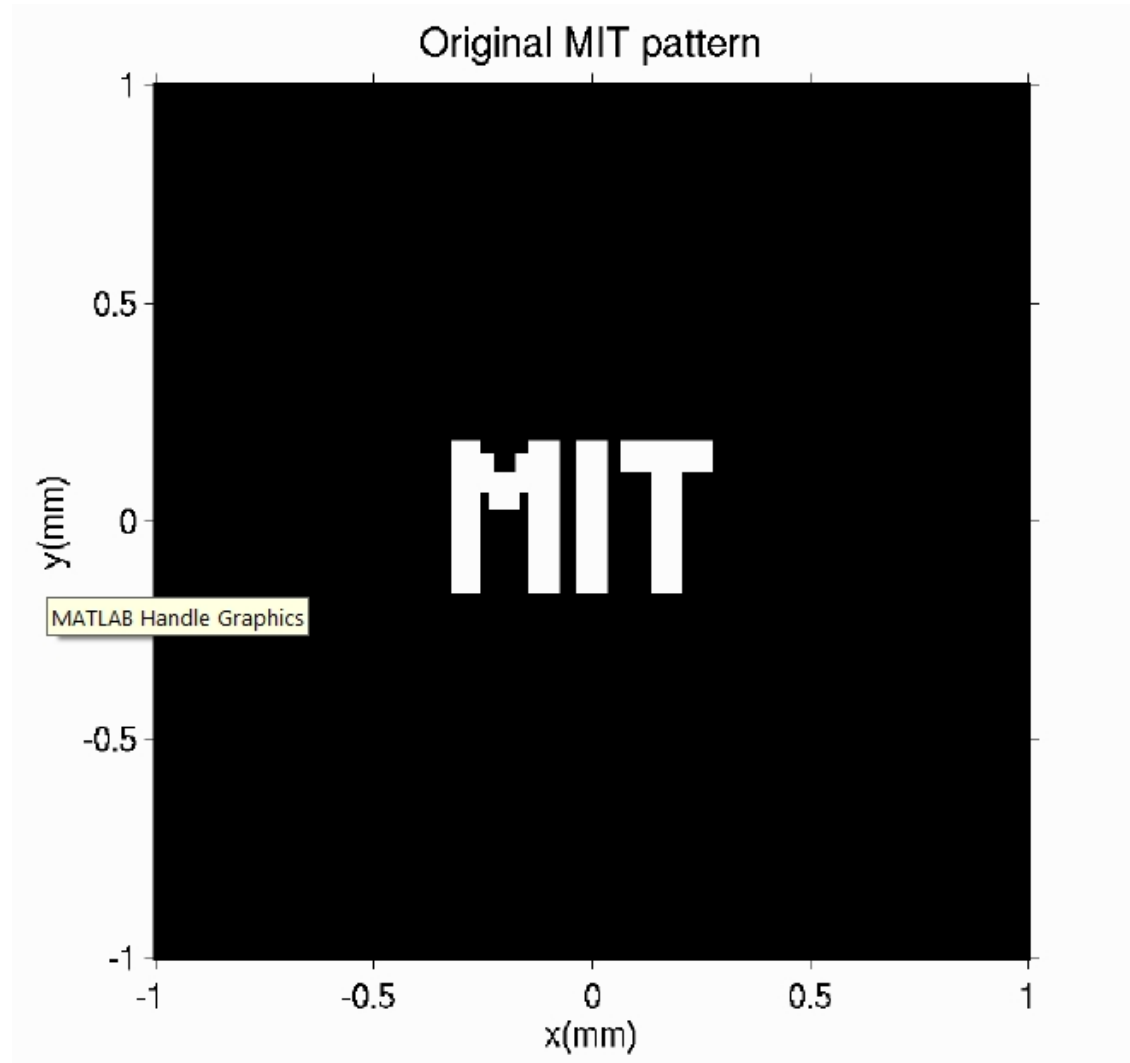
$$f_1 = 20\text{cm}$$
$$\lambda = 0.5\mu\text{m}$$



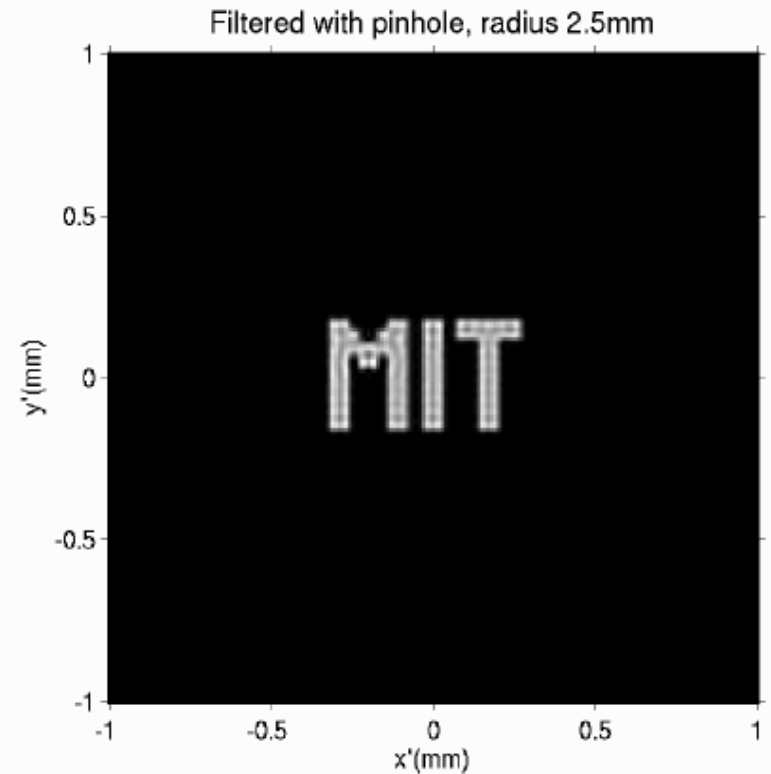
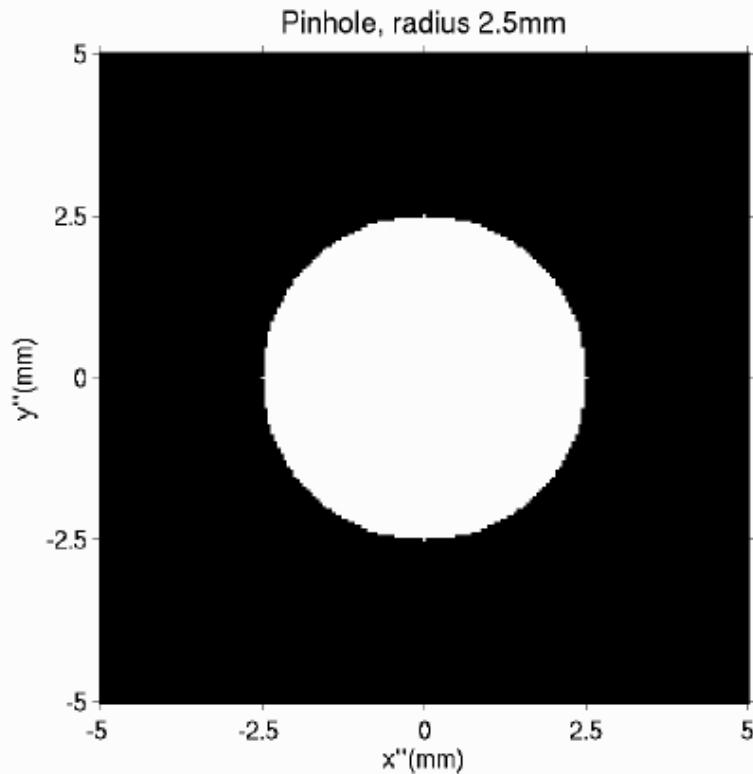
Examples of Optical Fourier Filtering



Examples of Optical Fourier Filtering



Examples of Optical Fourier Filtering

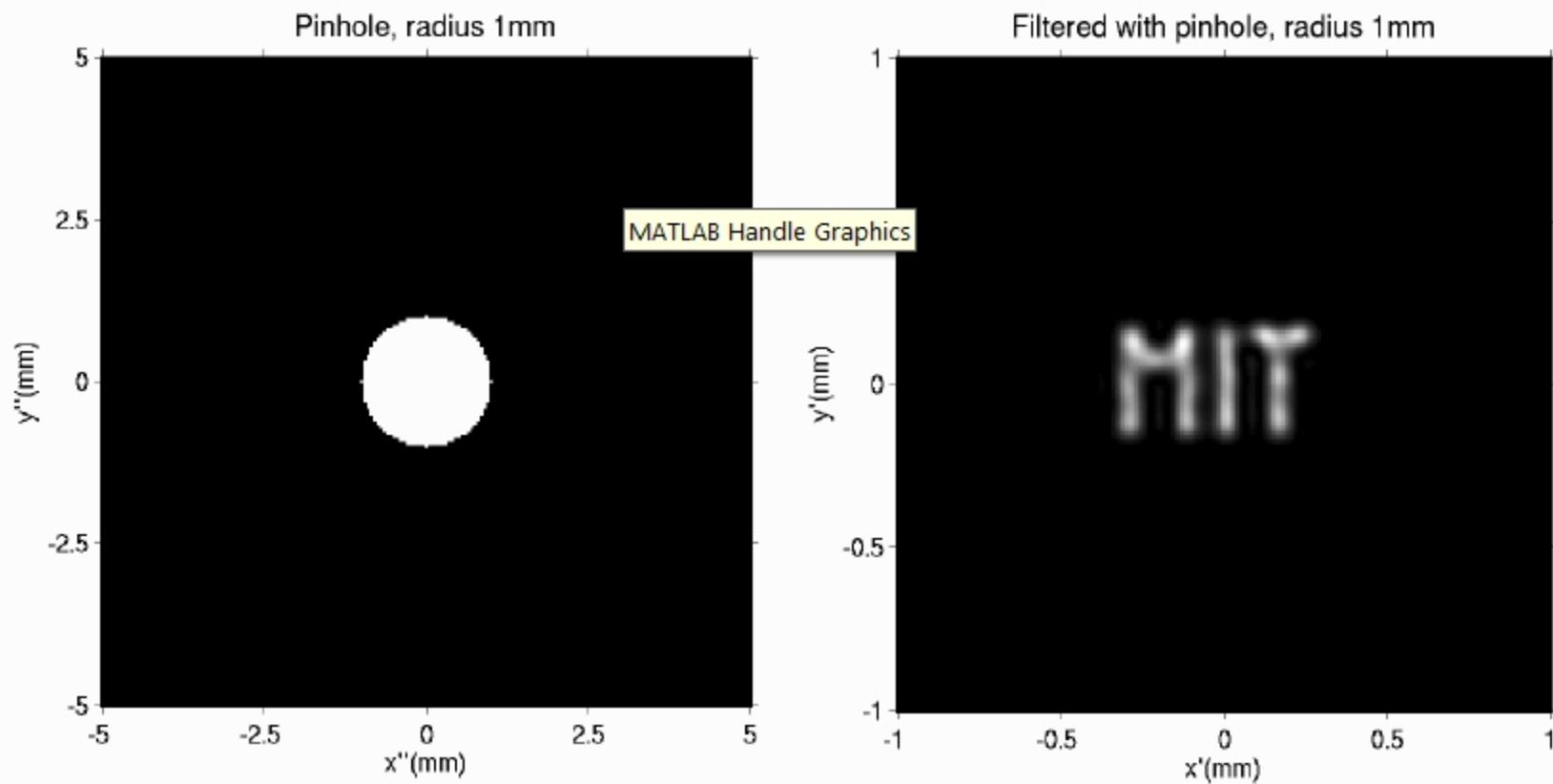


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering

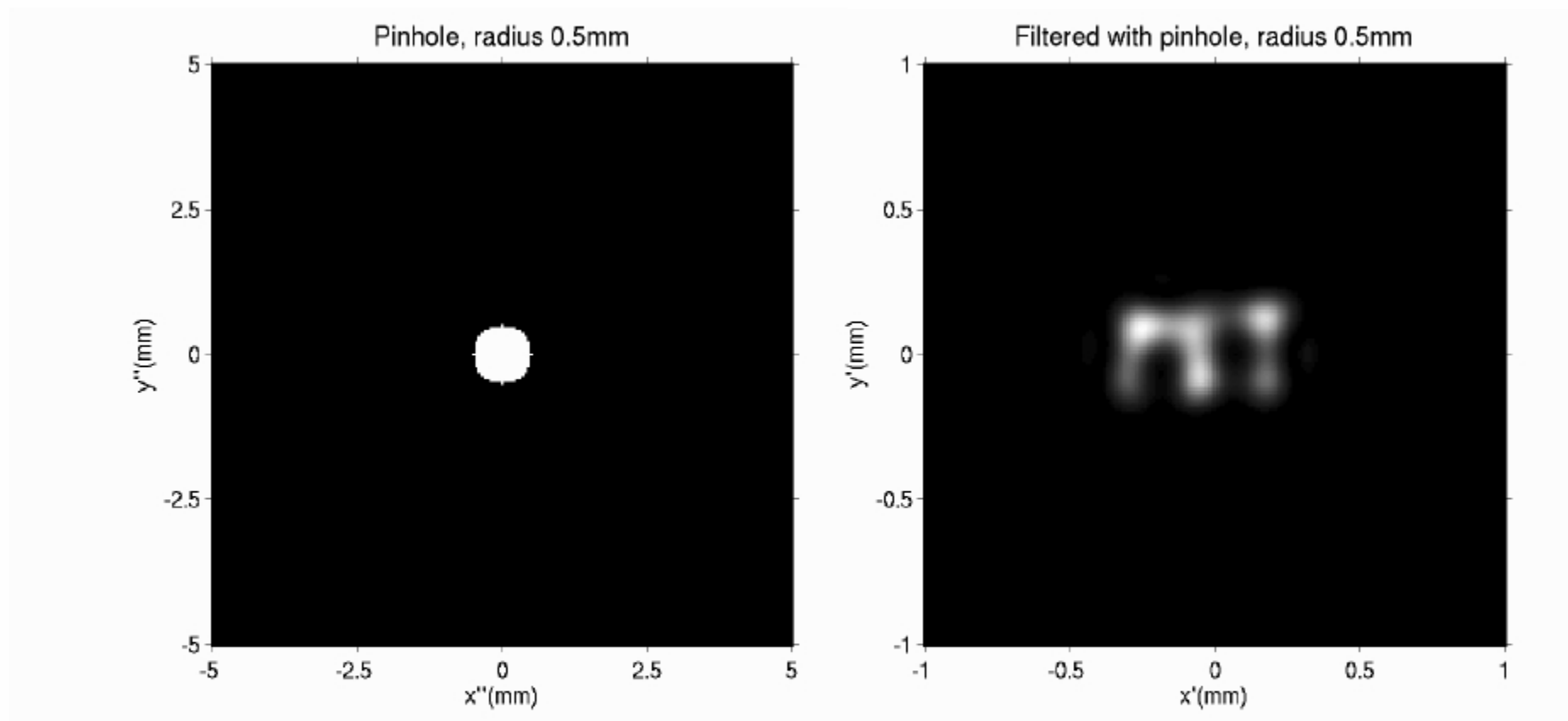


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering

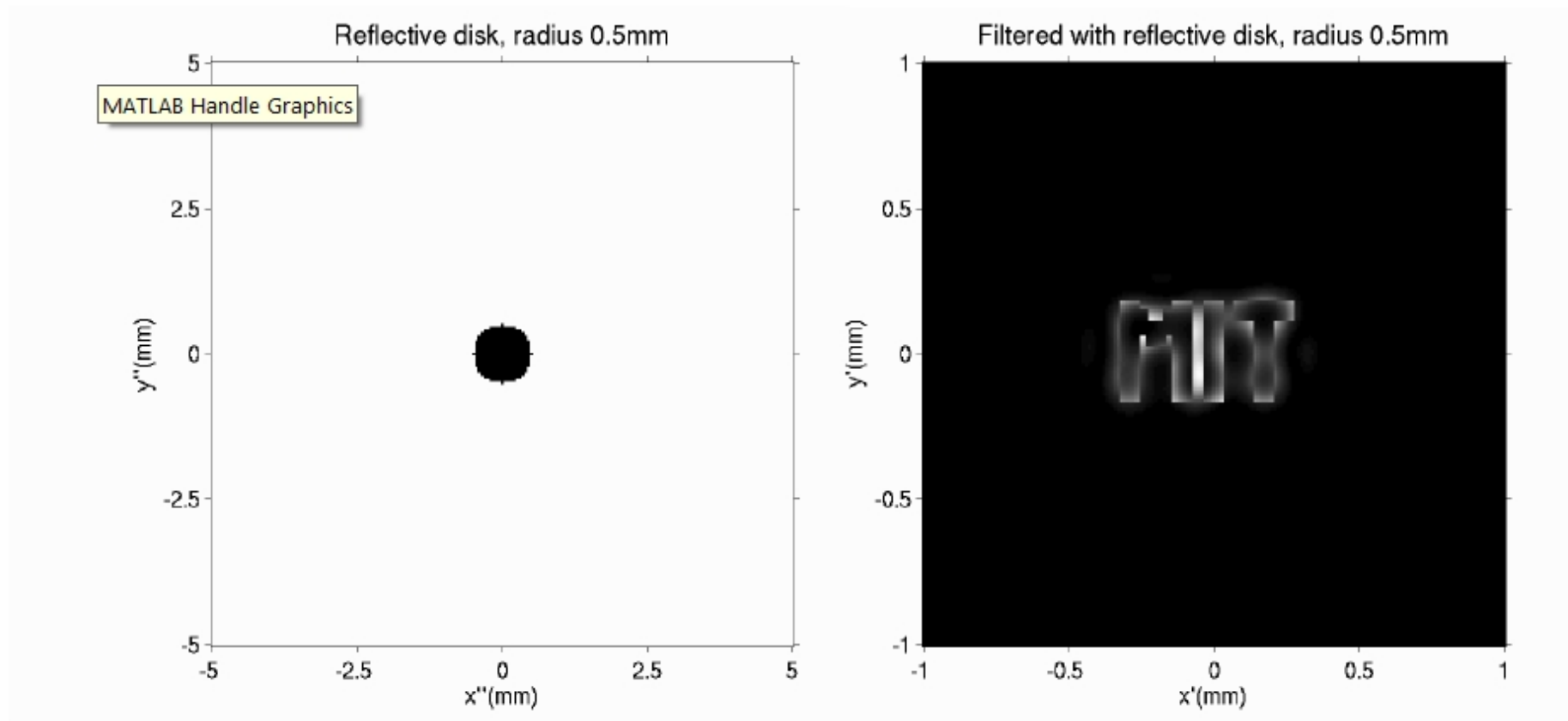


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering

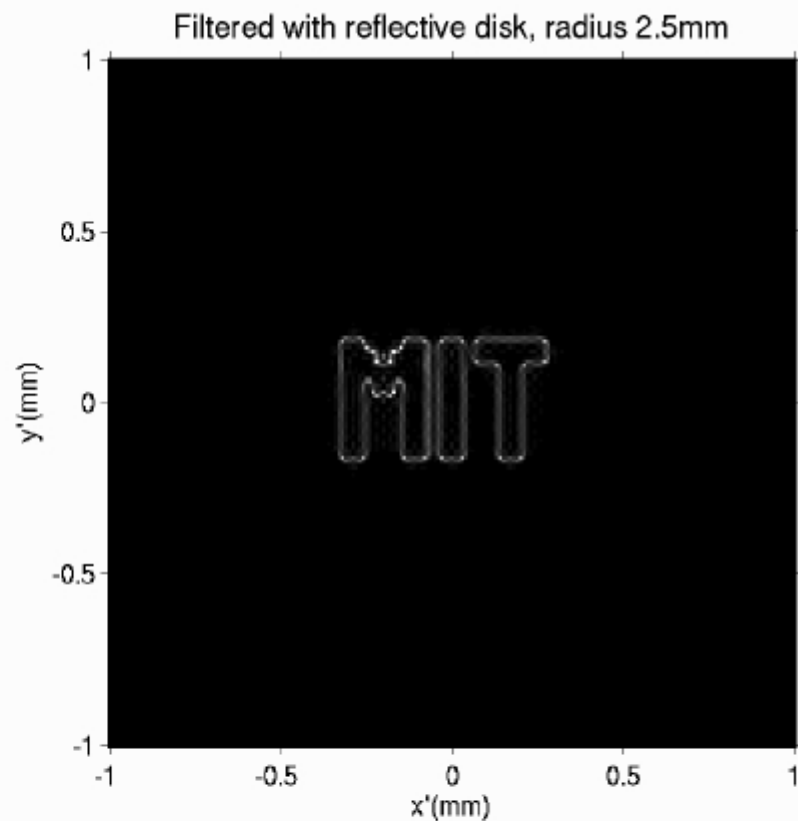
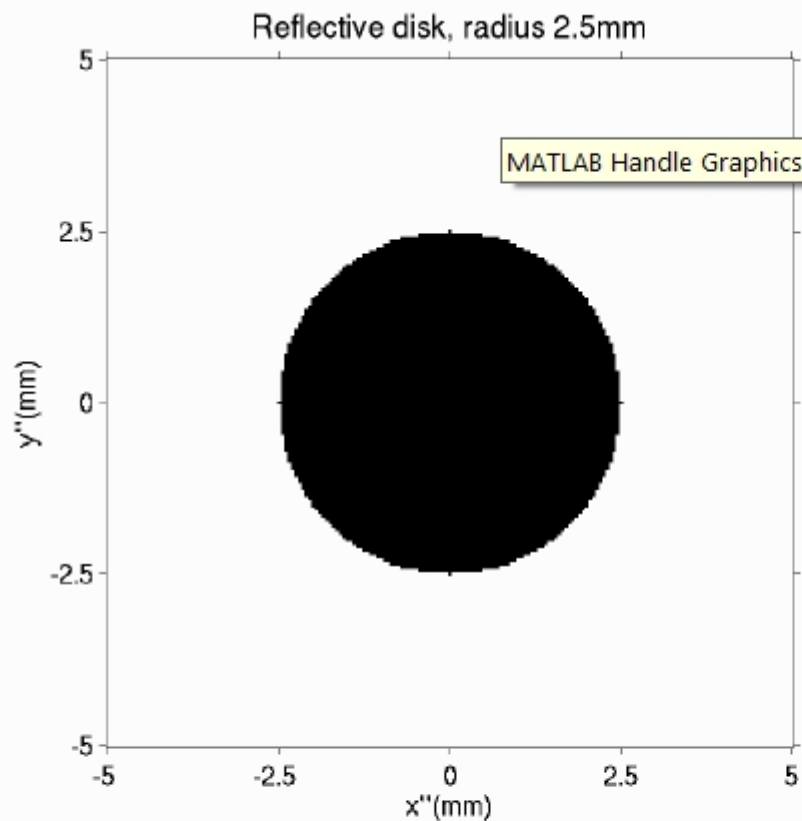


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering

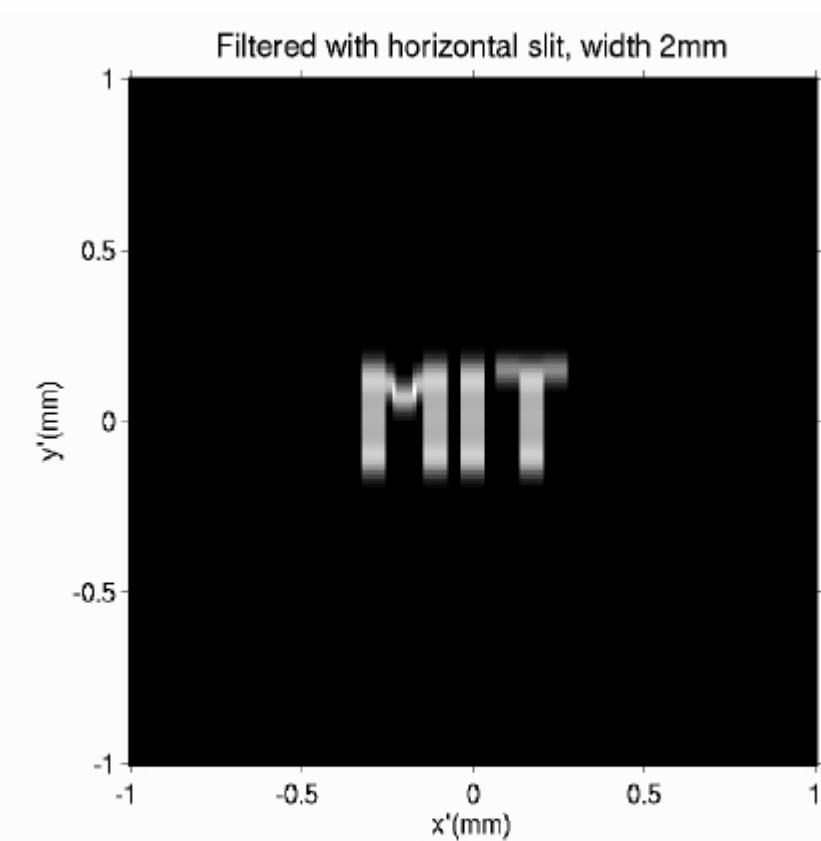
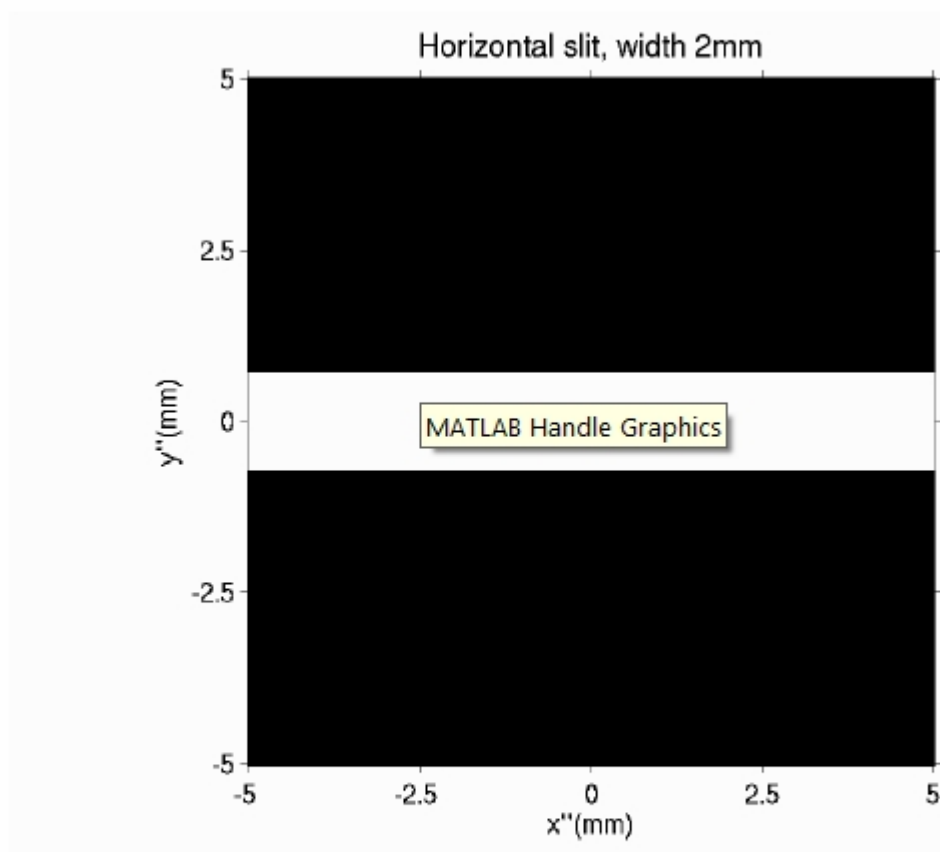


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering

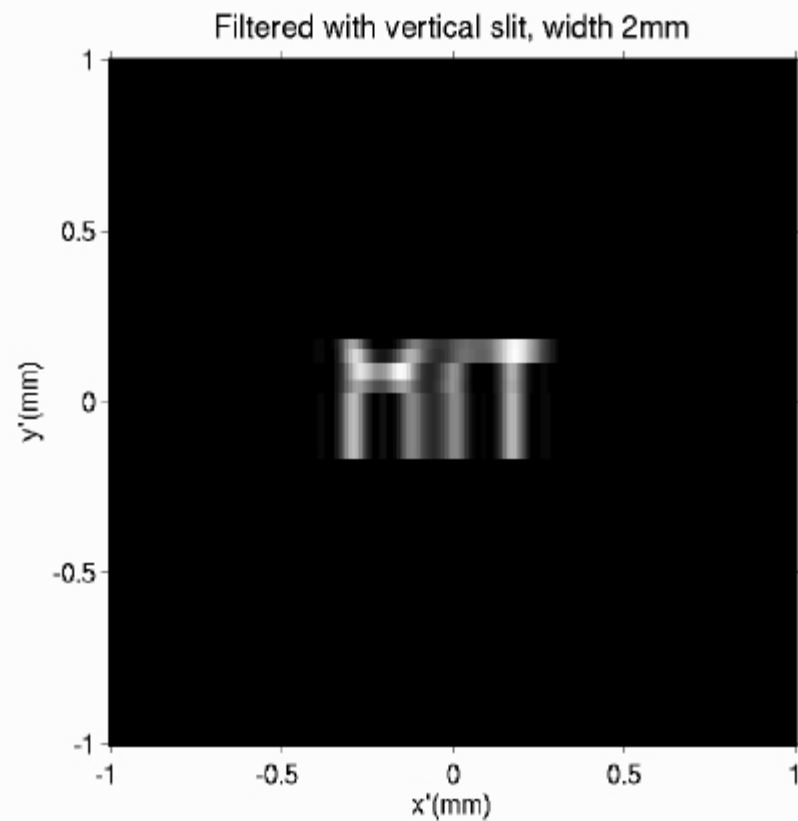
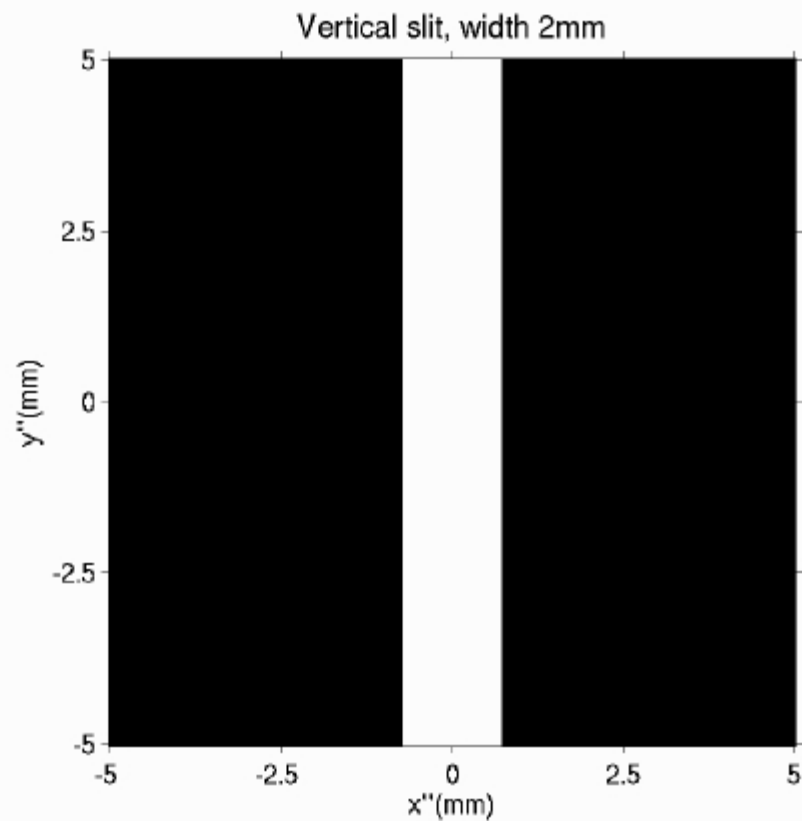


$f_1=20\text{cm}$
 $\lambda=0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Examples of Optical Fourier Filtering



$f_1 = 20\text{cm}$
 $\lambda = 0.5\mu\text{m}$

Fourier filter

Intensity @ image plane

Optics Course Contents

Geometrical optics and ray tracing

- lens calculations
- transfer matrices

Wave optics

- Fresnell reflection and transmission factors for amplitude and intensity
- polarisation states of light
- polarising components and waveplates

Radiometry

- definitions
- blackbody radiation

Interference, coherence and introduction to lasers

- different interferometers and their applications
- coherence length and time
- Fourier optics

Diffraction

- diffraction integral and Fraunhofer&Fresnell approximations
- diffraction grating
- diffraction limit

Optical fibres and optical telecom

- basics of optical fibres
- WDM, EDFA

Optics E-5730 Spring 2021

Thank You!

