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Multilayers and interfaces

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Why multilayers ?

More functionality and performance

Single layer ARC vs. $\lambda/4$ filter

Grain size and orientation are affected by underlayer

More reliability

If aluminum broken because of electromigration, but TiN conducts still

Adhesion layers & barriers

SiO_x/SiN_x passivation: films complement each other

More process robustness

Etch stop layer, no timed etching needed

TiN on Al reduces reflectivity and eases lithography

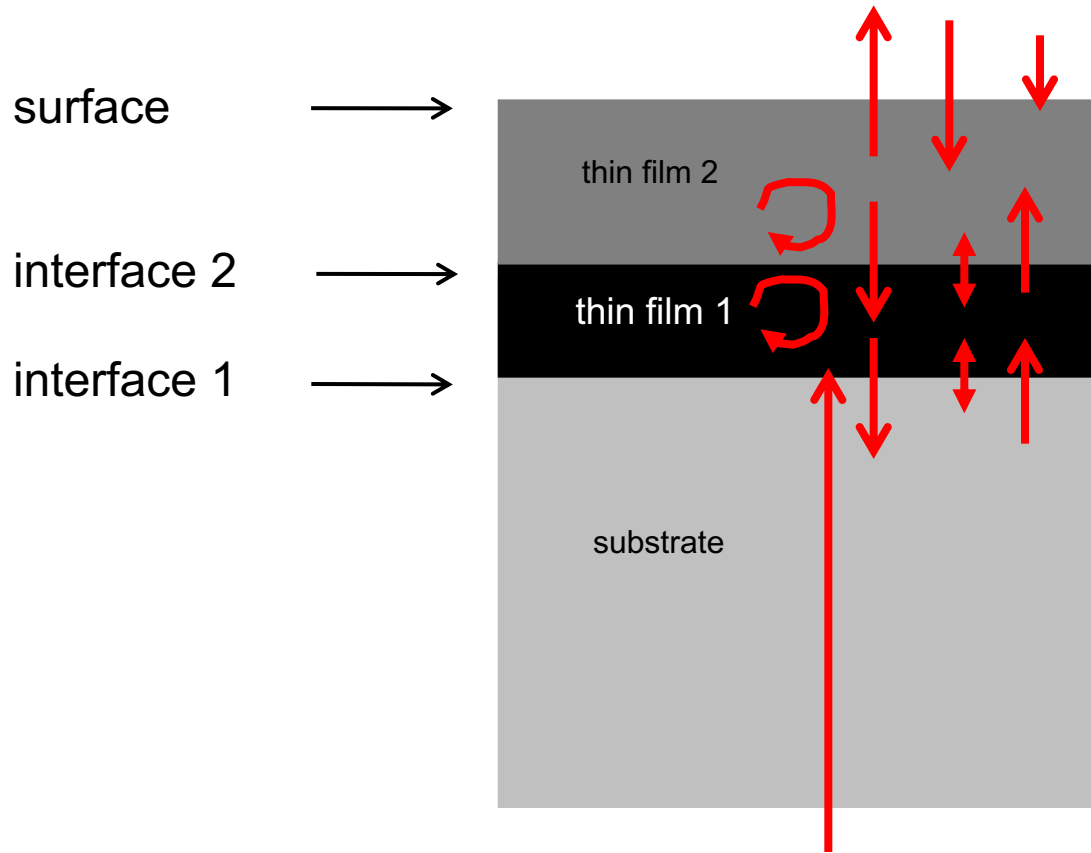
Why not multilayers ?

More complex deposition equipment needed
e.g. Multiple targets, multiple chambers

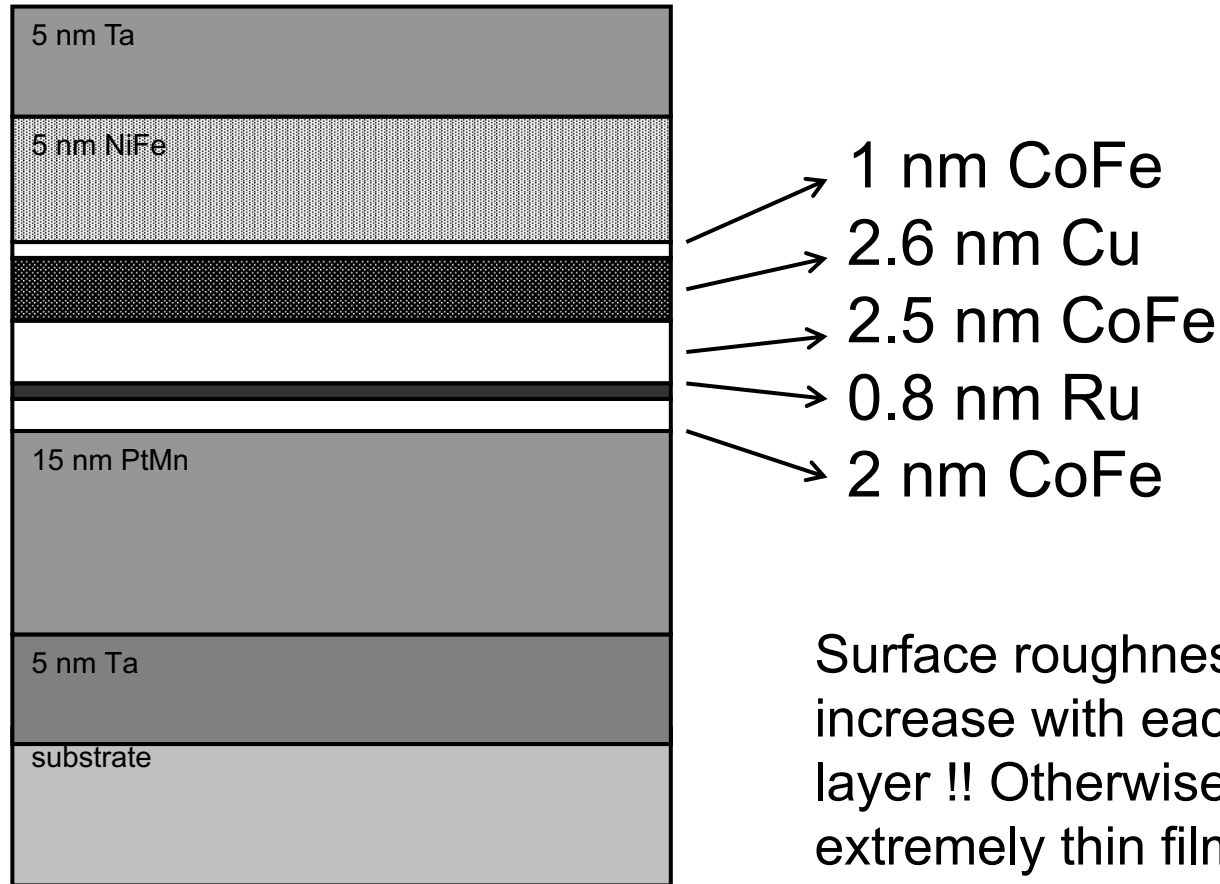
Deposition thruput compromised
esp. if thickness or nature of films very different

Each new interface is a potential problem

This lecture deals with phenomena during processing

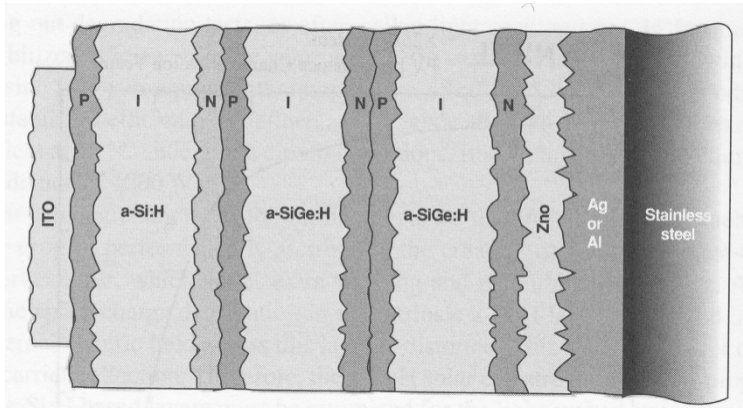


Magnetic multilayers

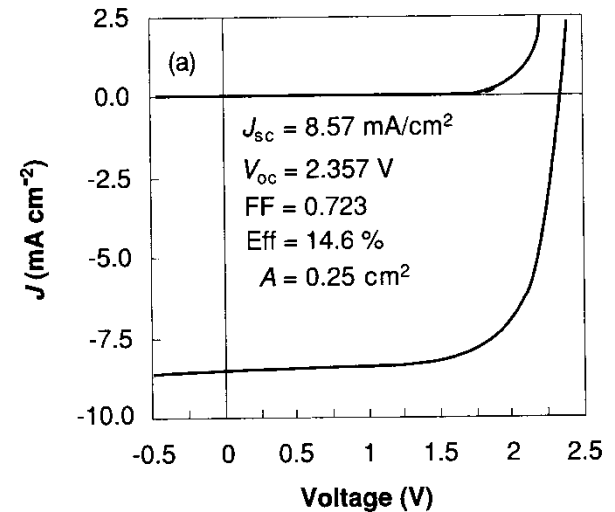
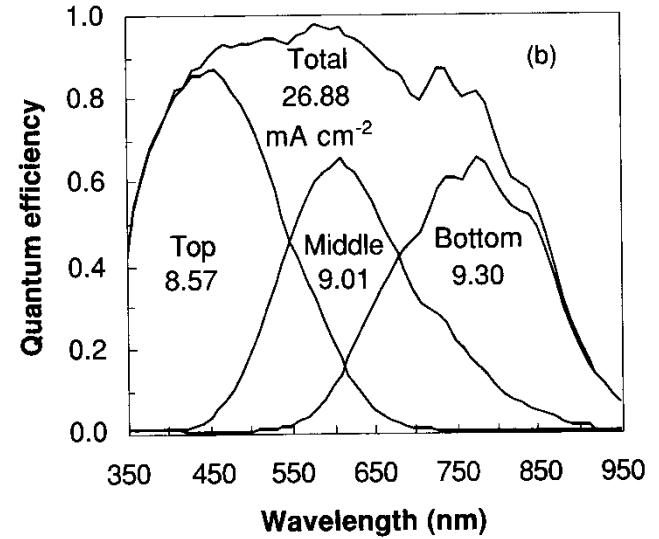
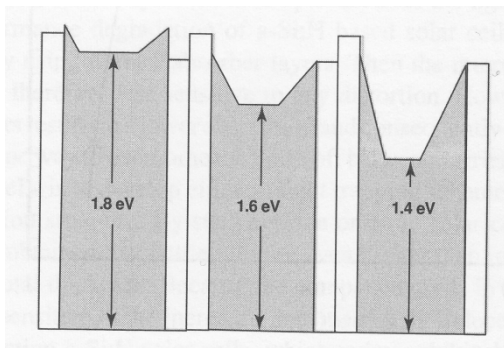


Surface roughness must not increase with each successive layer !! Otherwise deposition of extremely thin films becomes impossible.

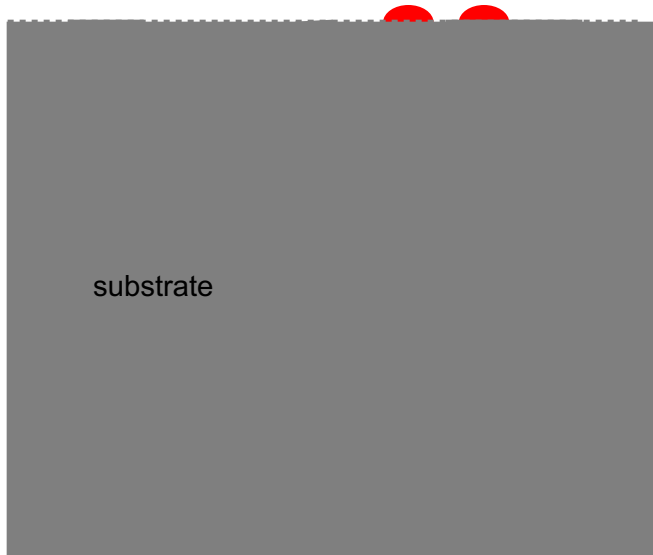
Multiple junction solar cell



Si:Ge ratio modifies bandgap



Substrate surface



Adsorbed water

Carbon & other impurities

Particles

Roughness

Crystal structure

Crystalline defects

Dangling bonds

Surface reactions



Native oxide formation e.g.
Si, Ti, Cu, Cr

But CrO is conductive, others
insulators.

Nitridation:

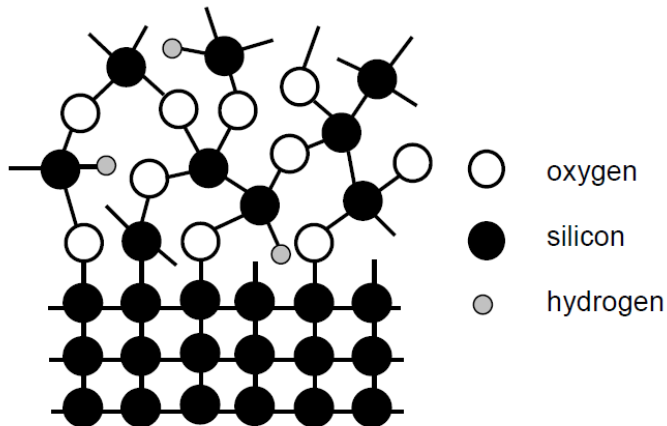
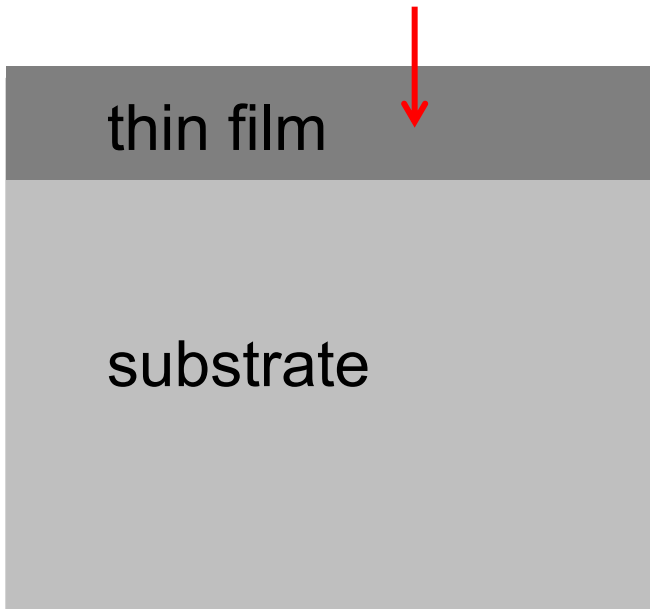
difficult, needs either

- ammonia exposure

- high temperature

TiN formation is an exception

Ambient gases into film



Oxygen:
stoichiometry finetuning: Ta_2O_5

Water:
-into pores
-into PSG (hygroscopic film)

Nitrogen:
Grain boundary stuffing,
 TiW:N , W:N

Hydrogen ($\text{N}_2:\text{H}_2$ in practice)
Dangling bond passivation

PSG

CVD oxides have unfortunately many names:

USG = undoped silica glass (=CVD SiO_x , $x \approx 2$)

PSG = phosphorous doped silica glass (=CVD oxide, which had P_2O_5 flow during deposition → phosphorous incorporated into film)

PSG typical: 5 wt% phosphorous
excellent gettering agent for Na^+ ions
hygroscopic if too much P

Note: in metals and oxides: 1-5% dopant/alloying element
in semiconductors parts per million (10^{-6}) dopant

Interfaces



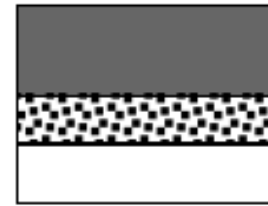
(a)
Abrupt
<Si>/<CoSi₂>



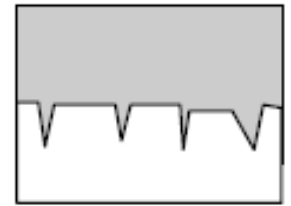
(b)
Interfacial layer
Si/native oxide/Al



(c)
Diffused
SiO₂/Cu



(d)
Reacted
Si/Ti

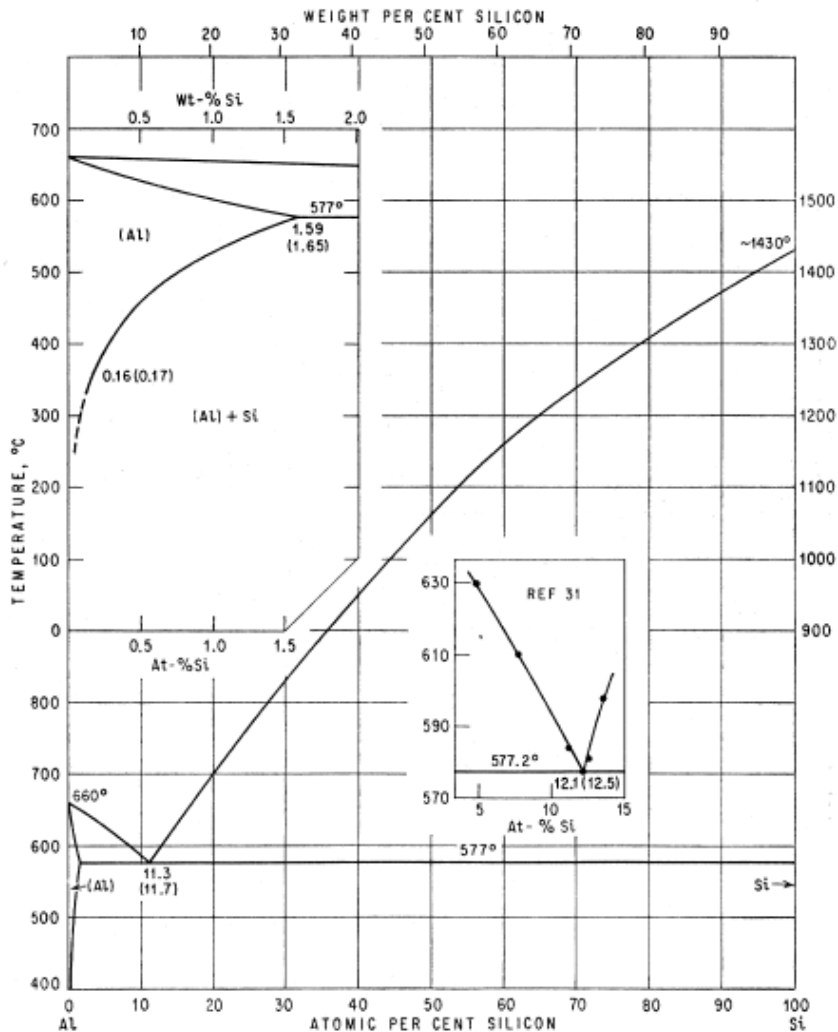


(e)
Pitted
Si/Al

Stability of interface in subsequent processing and during use ?

Barrier layers: extra films to stabilize interfaces

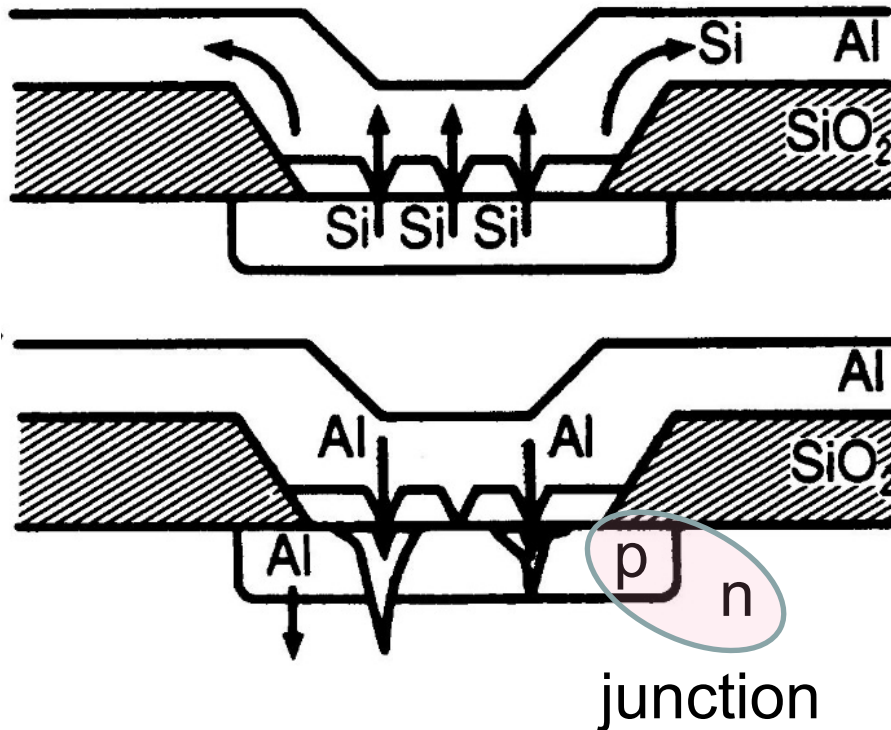
Al-Si phase diagram



A little silicon is soluble in aluminum at 400°C, ca. 0.3 at%

→ Al to Si interface is unstable at 400°C

Junction pitting



Silicon dissolves into aluminum

Aluminum diffuses into silicon via the vacancies left by removed silicon

If aluminum diffusion deep, it will extend to pn-junction

➔ No pn-junction anymore

Interface stability: ΔG

Change in Gibbs free energy is given by:

$$\Delta G = G_{\text{products}} - G_{\text{reactants}}$$

ΔG positive = stable pair; ΔG negative = films react

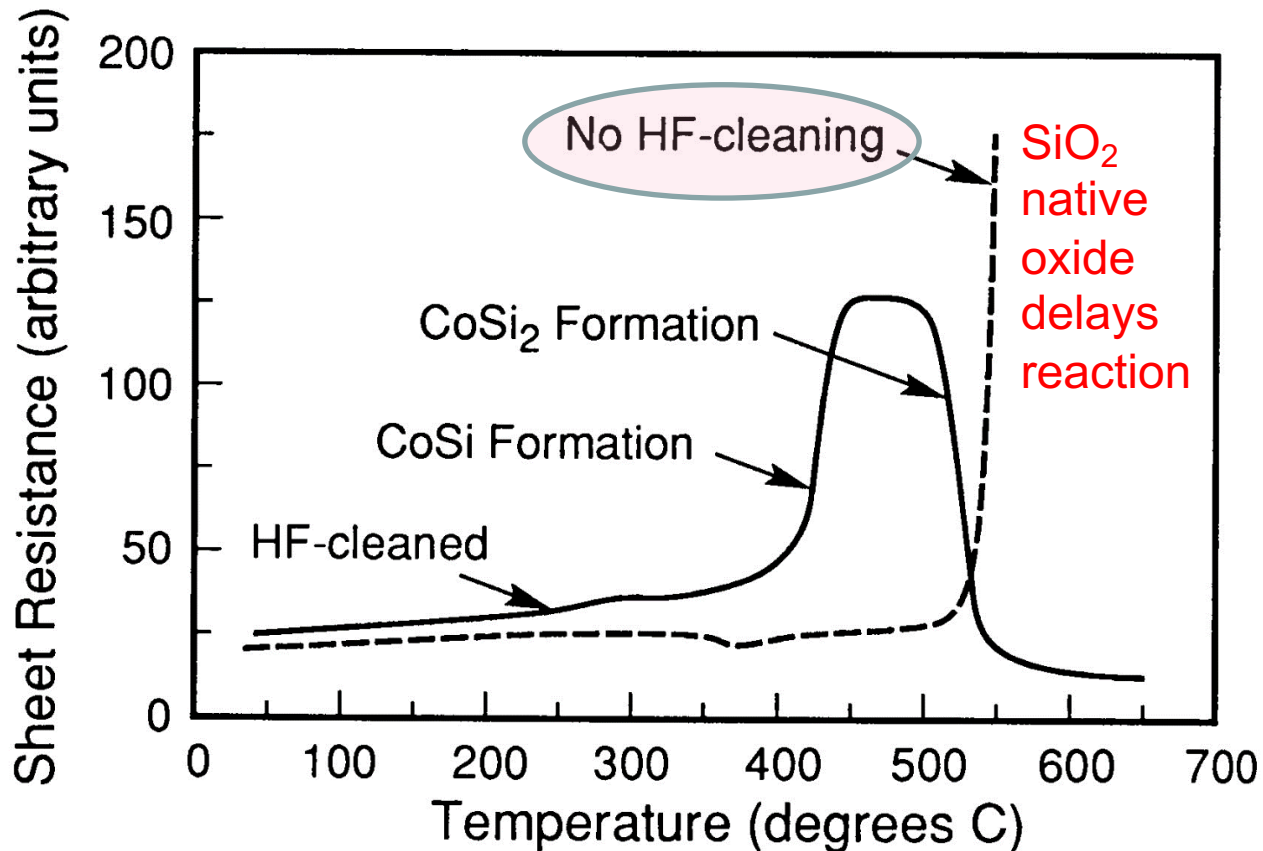
For reaction titanium reaction with silicon dioxide:



$\Delta G = G_{\text{TiO}_2} - G_{\text{SiO}_2} = (160 - 165) \text{ Kcal} = -5 \text{ Kcal}$, indicative that the reaction can take place.

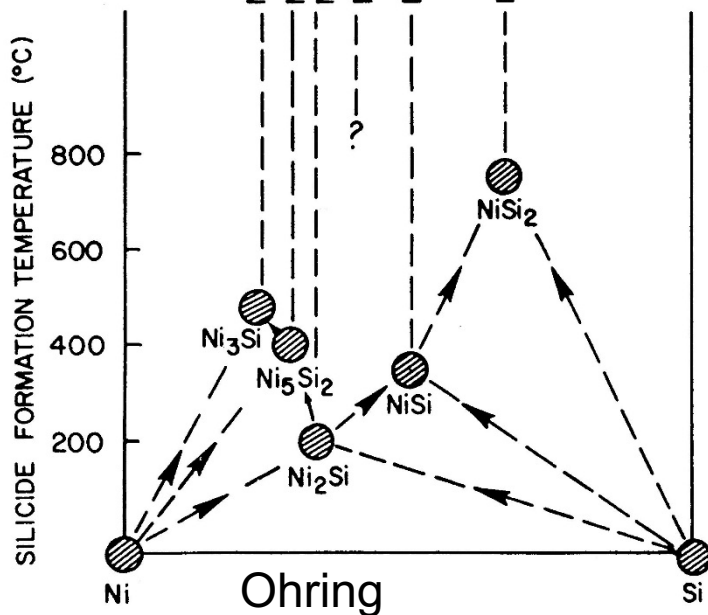
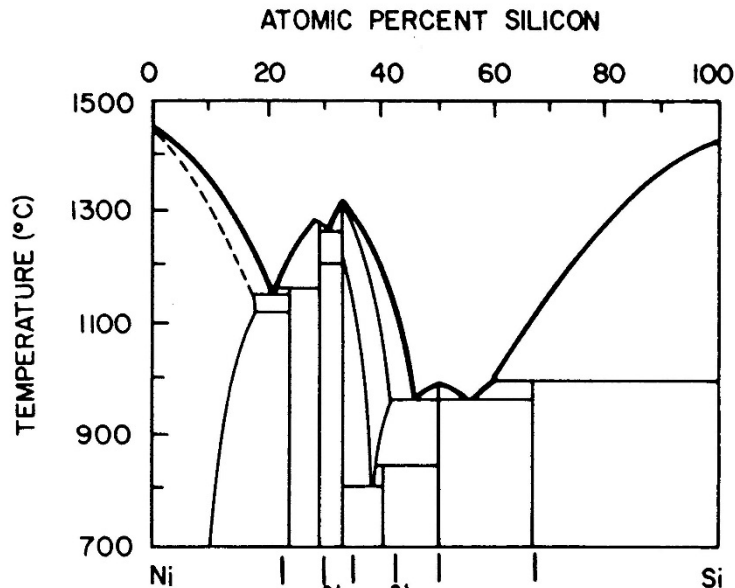
For $\text{Co} + \text{SiO}_2 \rightarrow \text{CoSi}_2 + \text{Si}$ $\Delta G > 0$, no reaction.

Reaction Co+Si



At high enough temperature the native oxide breaks down, and Co+Si reaction can take place.

Nickel silicide formation

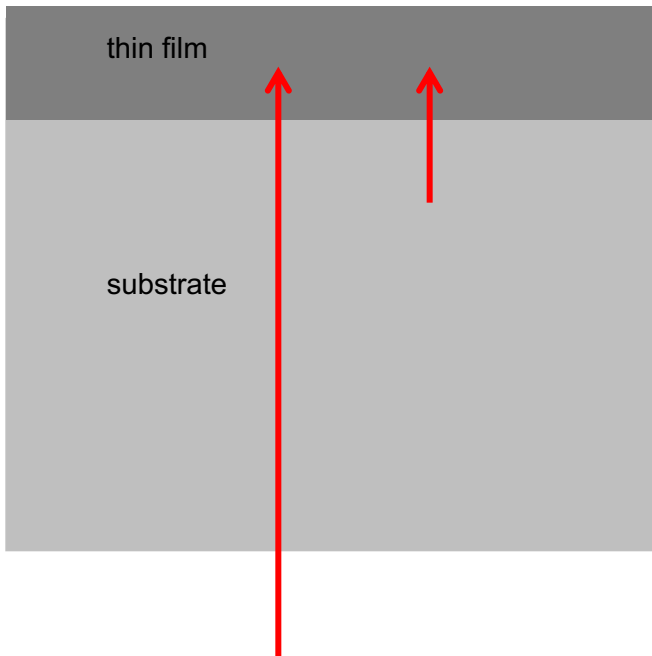


It is not always the case that the silicide that will form is the technologically interesting one !

NiSi is the desired low resistivity material;

NiSi₂ is the stable phase that tends to form.

From substrate into film



Steel substrates are a source of metals

Glass substrates are sources of sodium

Polymer substrates are permeable to water vapor and oxygen

→ Need barrier(s)



Barriers



Barrier can simultaneously
act as smoothing layer.
This is important if e.g.
stainless steel is used.
Spin-on glasses !

Atom barrier: blocks atom
movements.

Ion barrier: blocks ions.

Total barrier: no atoms, no
electrons pass thru.

Examples of barriers

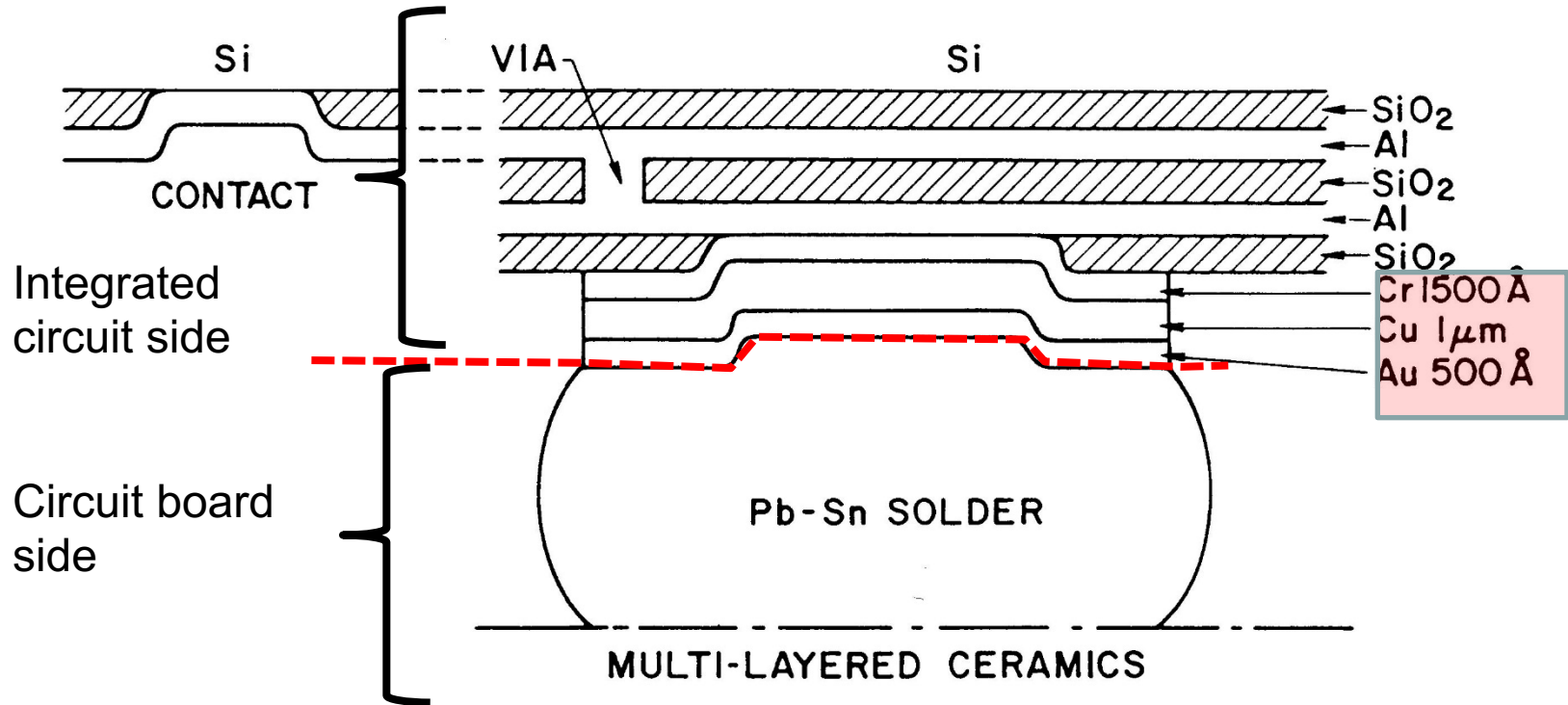
Diffusion barrier: $\langle \text{Si} \rangle / \text{TiW} / \text{Al}$ in IC metallization

Improved diffusion barrier, stuffing: $\langle \text{Si} \rangle / \text{TiW:N} / \text{Al}$

Dielectric barrier: $\text{SiO}_2 / \text{SiN}_x / \text{Cu}$

Ion barrier: glass / Al_2O_3 / ZnS in electroluminescent displays

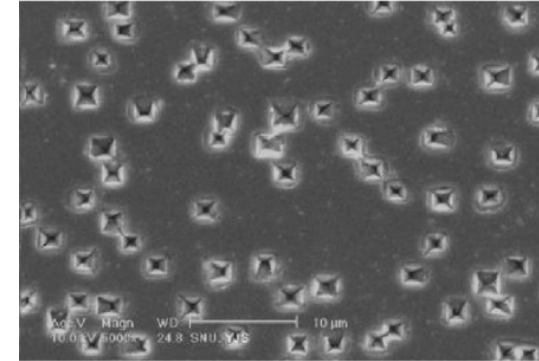
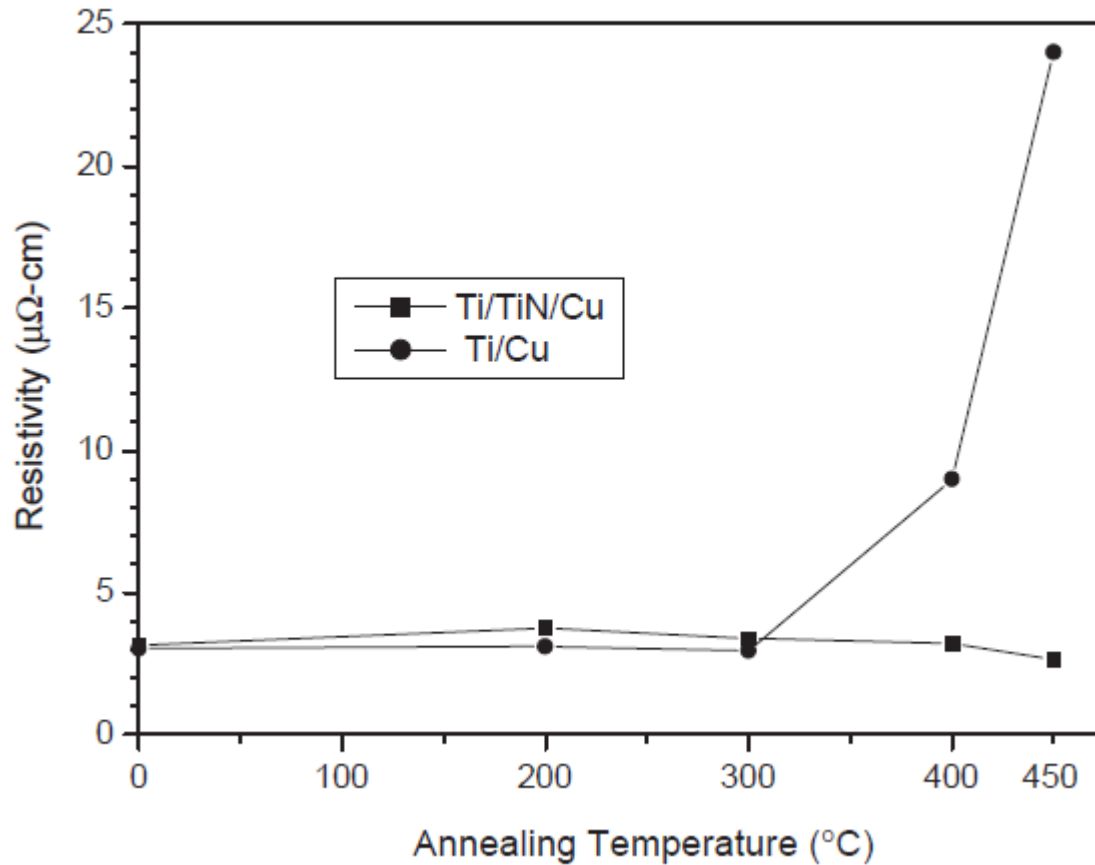
Solder joint



Pb-Sn will react with aluminum, therefore a barrier (Cr/Cu/Au) is needed.

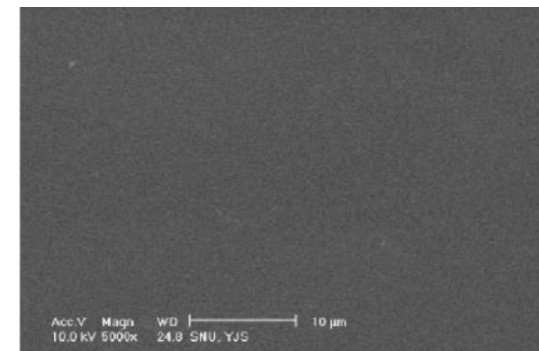
The alternative strategy of lower temperature does not work because solder has to flow.

Stability of metallization



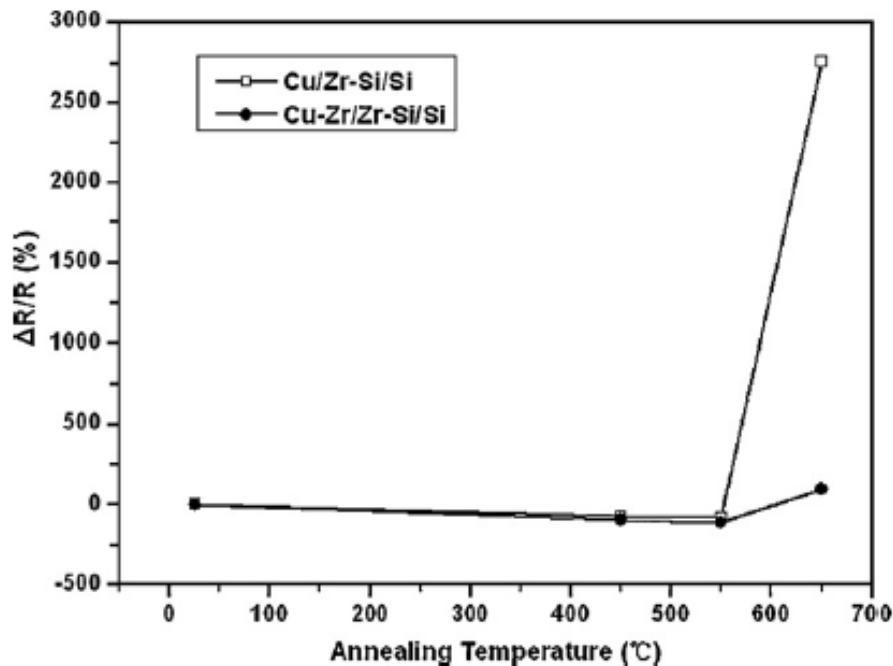
Ti barrier

Ti/TiN barrier

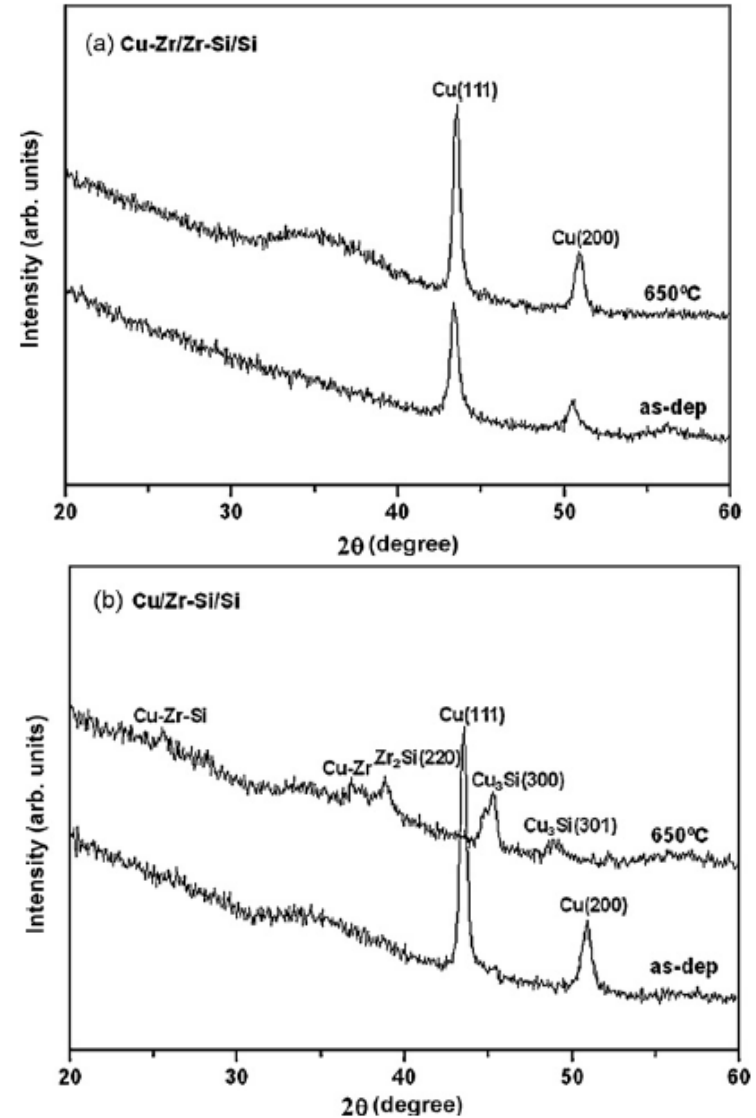


Alloying effect

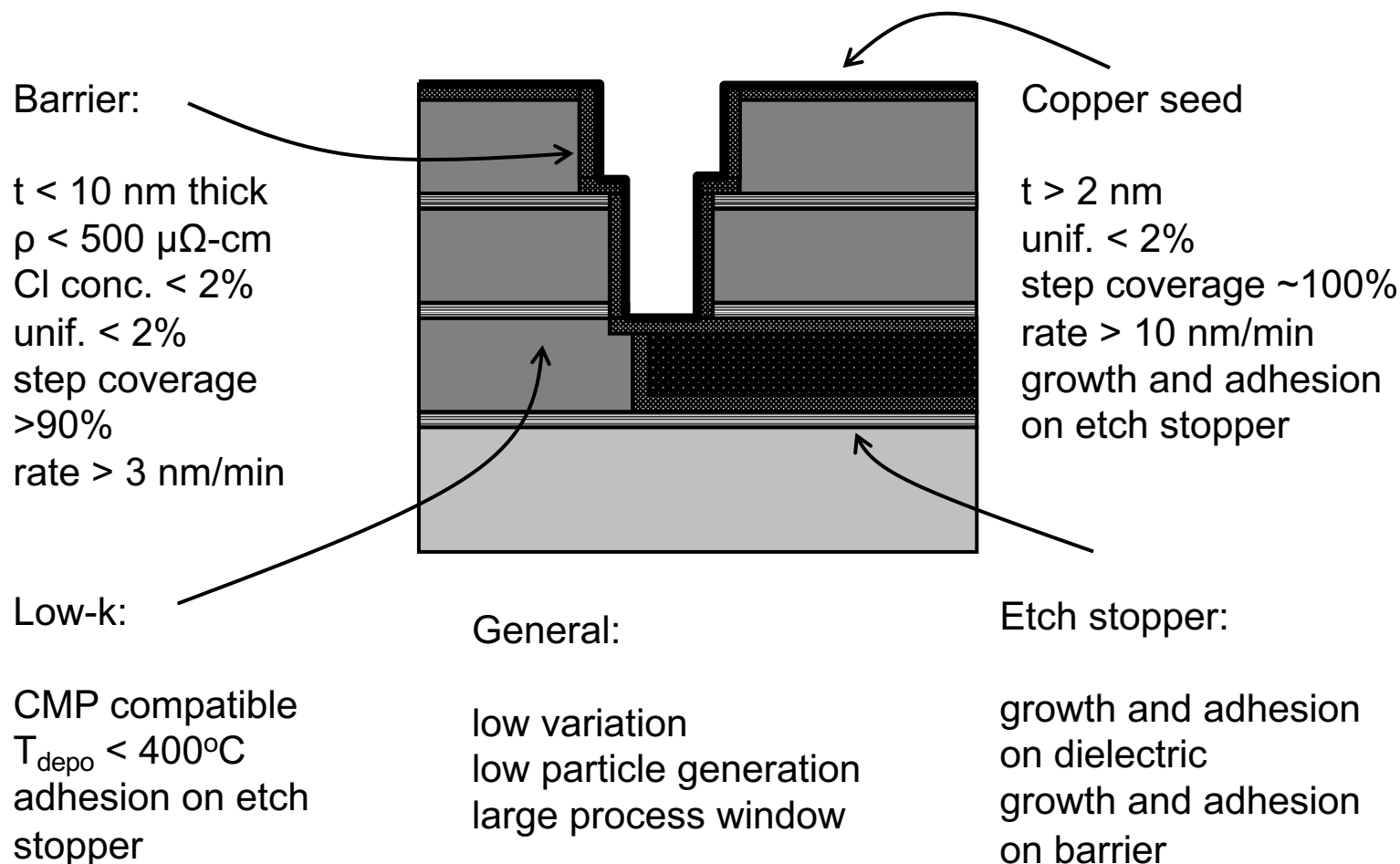
Zirconium at grain boundaries acts as an extra barrier, preventing formation of high resistivity Cu_3Si



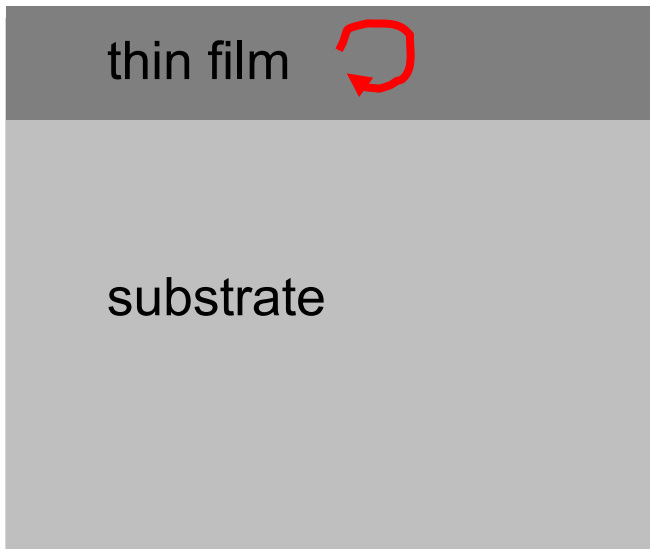
Y. Wang et al. / Journal of Alloys and Compounds 486 (2009) 418–422



Copper for IC metallization



Inert annealing changes in film



Crystallization

Grain growth

Bond formation: e.g. Si-N
bonds formed in PECVD
nitride

Annealing: bond formation

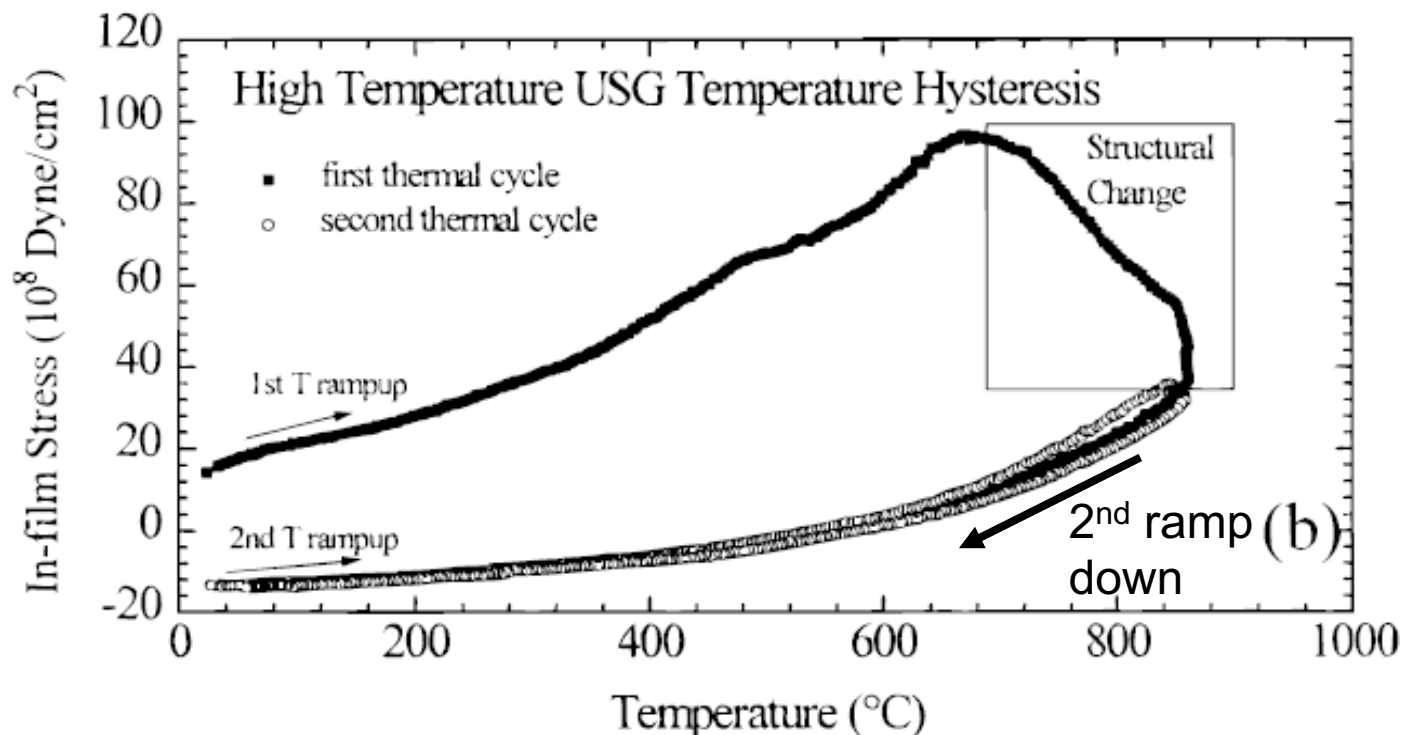
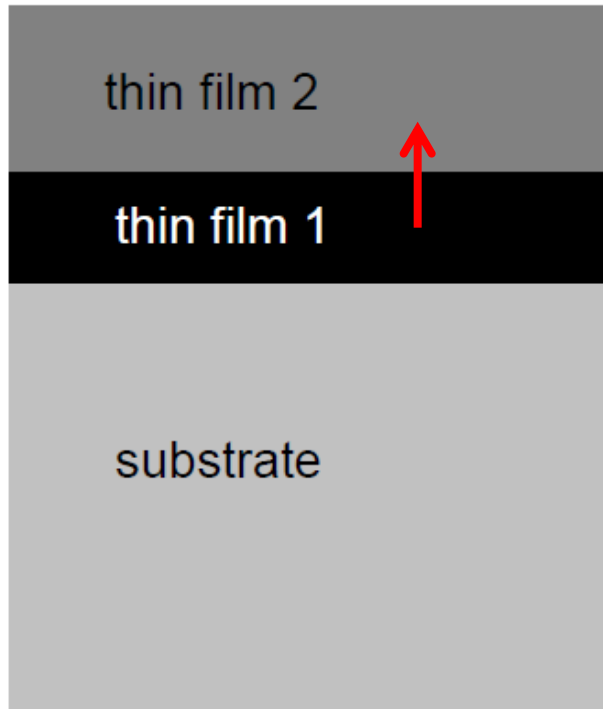


Figure 2. The effect of annealing on USG film properties: (a) film stress temperature variation at two consecutive thermal cycles, showing strong hysteresis for the first cycle only; (b) bonding structure change shown by FTIR, indicating increase in Si–O stretching mode. The deposition temperature is 550°C.

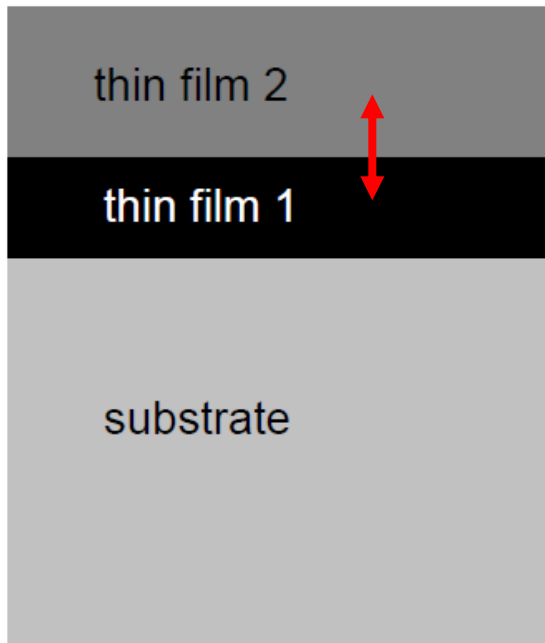
Film poisoning during 2nd deposition



If film 1 is porous or incompletely reacted, it can release atoms and poison film 2.

e.g. film 1 is spin-on-glass, and oxygen or water vapor from it penetrate into aluminum, leading to increased resistivity (and in extreme case, Al_2O_3 formation).

Film-to-film modification

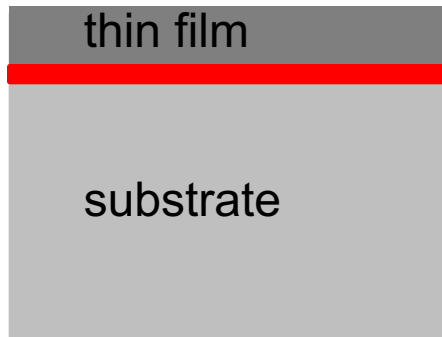


During annealing atoms from one film move to the other.

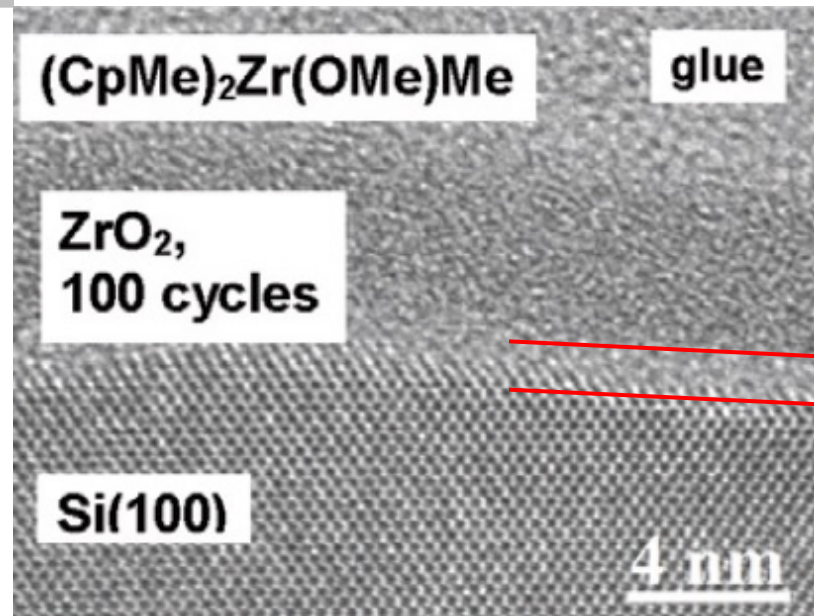
e.g. Film-2 is phosphorous-doped oxide, and film-1 is polysilicon, then polysilicon will be doped n-type by phosphorous.

e.g. Film-2 is PECVD nitride, film-1 is a-Si or poly, then during annealing hydrogen from nitride will passivate dangling bonds in silicon.

Substrate oxidation



If thin film precursor is oxygen or water vapor, silicon is easily oxidized, e.g. ALD ZrO_2 with O_2 pulses.

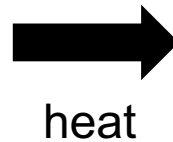
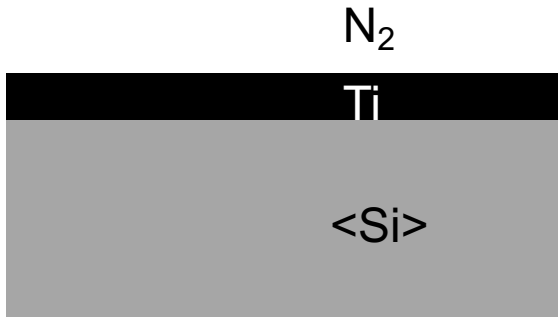
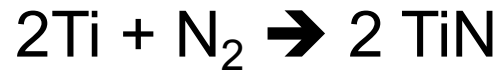


SiO₂ ca. 2 nm

Annealing: chemical reactions

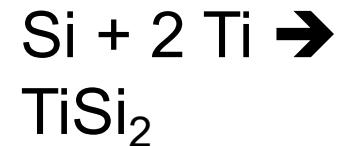
Surface reaction:

Titanium nitride formation



Interface reaction:

Titanium silicide formation

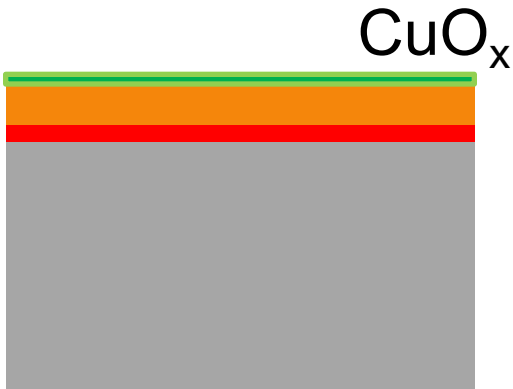


Solution: anneal in argon

Annealing: chemical reactions

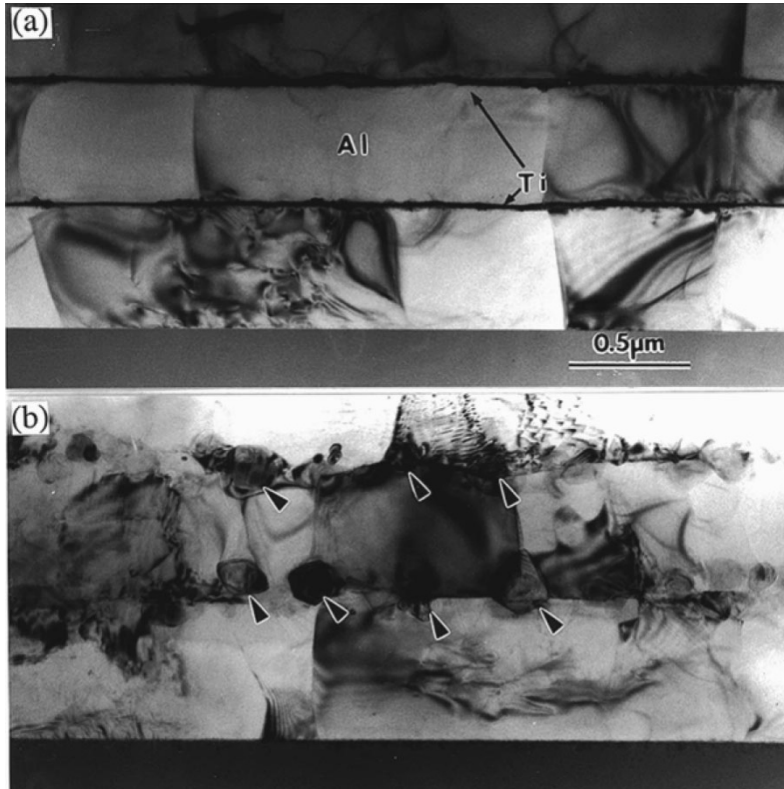


CuSi_x is a high resistivity material



Annealing atmosphere:
Even tiny oxygen contamination will lead to copper surface oxidation.

Precipitates



Pure Al yield strength 95 MPa
Al-1%Ti yield strength 175 MPa

Al_3Ti precipitates formed during 550°C anneal.

Al_3Ti has an elastic modulus of 210 GPa, is much stiffer than pure Al (60 GPa).

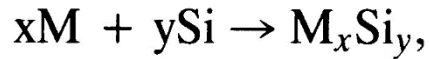
Stiff precipitates block dislocation movement through a soft matrix and thereby increase the yield strength.

One can improve the strength of an Al membrane while only slightly diminishing its conductivity.

10 nm Ti/500 nm Al,
Annealed 550°C, 1 h in N_2

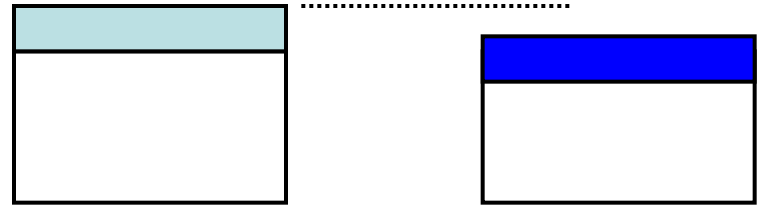
Arrows indicate Al_3Ti precipitates

Volume changes



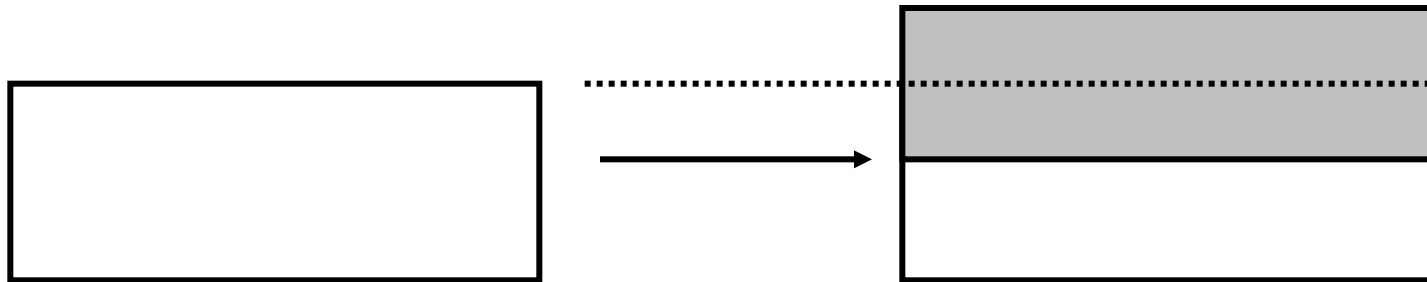
the volume change ΔV (%) is given by

$$\Delta V = \frac{(xV_M + yV_{Si}) - V(M_xSi_y)}{(xV_M + yV_{Si})} \times 100,$$



In silicide formation, negative volume change \rightarrow tensile stress

In thermal oxidation, positive volume change \rightarrow compressive stress



Annealing multilayers

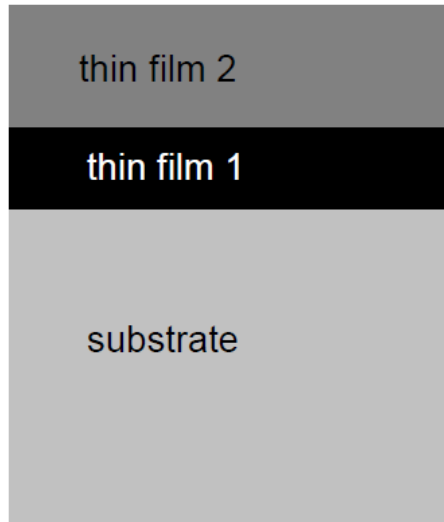


Top surface reaction
-e.g. oxidation

Film-film reaction
-e.g. $\text{Ti} + 3\text{Al} \rightarrow \text{TiAl}_3$

Film-substrate reaction
-e.g. $\text{Ti} + 2\text{Si} \rightarrow \text{TiSi}_2$

Texture inheritance



Film 2 will register the crystal structure of film 1.

Choosing film 1 to be either amorphous (like SiO_2) or polycrystalline (like TiW), will result in different film2 texture and properties.

Molybdenum as film2:

Mo thickness	Film 1	Film 2 resistivity
300 nm	SiO_2	12 $\mu\text{Ohm-cm}$
300 nm	TiW	9 $\mu\text{Ohm-cm}$

Texture inheritance (2)

Protective coating, DLC, 5 nm
CoCrPt:SiO ₂ recording layer 15 nm nmnm
Ta/Ru intermediate layer, 8/60 nm
NiFe soft magnetic underlayer, 80 nm
Ti (or Ta) adhesion layer, 10 nm
Disk substrate (glass or Al-Mg)



Ta/Ru film will induce suitable crystallinity in the magnetic data storage layer
CoCrPt:SiO₂

Terminology:
CoCrPt:SiO₂ means that CoCrPt films contains small SiO₂ crystals.

Symmetric 3-layer



PSG
Poly
PSG

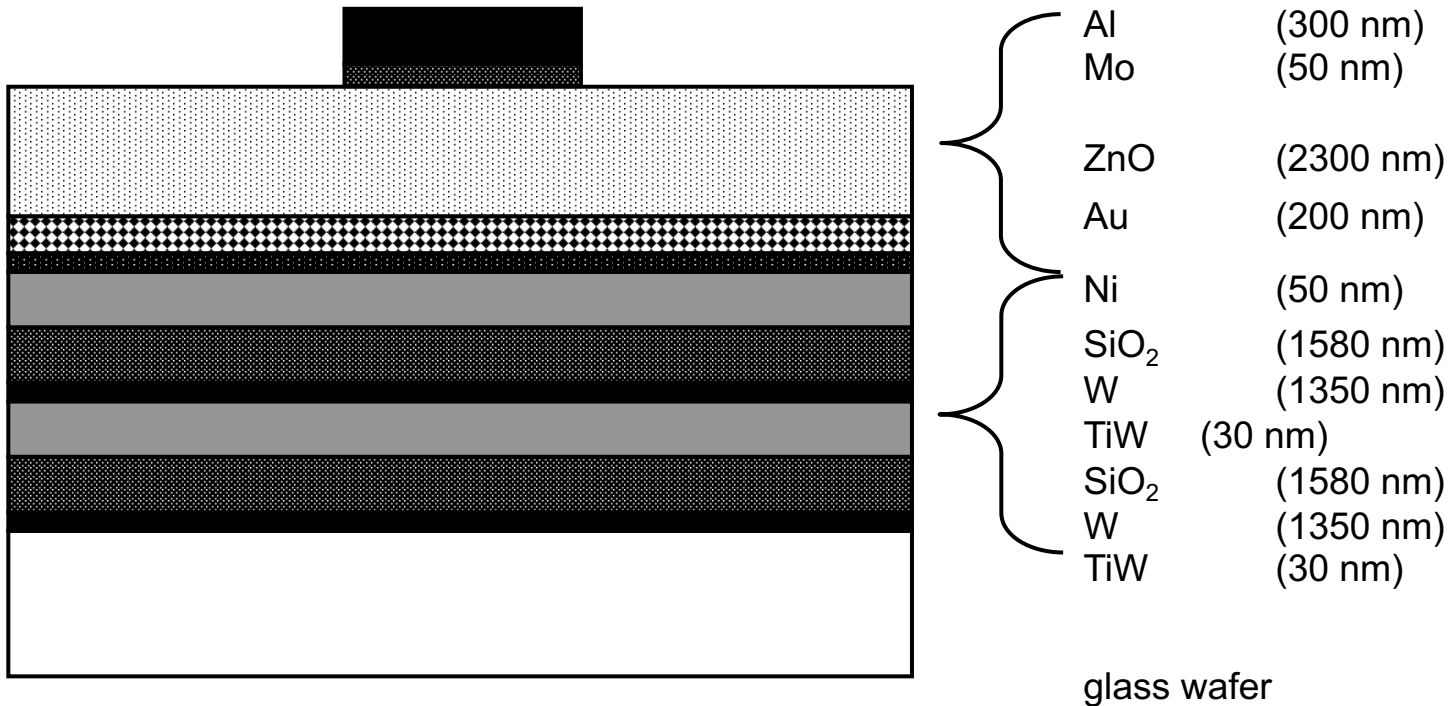
<Si>

Poly will be doped by PSG (phosphorous doped silica glass) symmetrically.

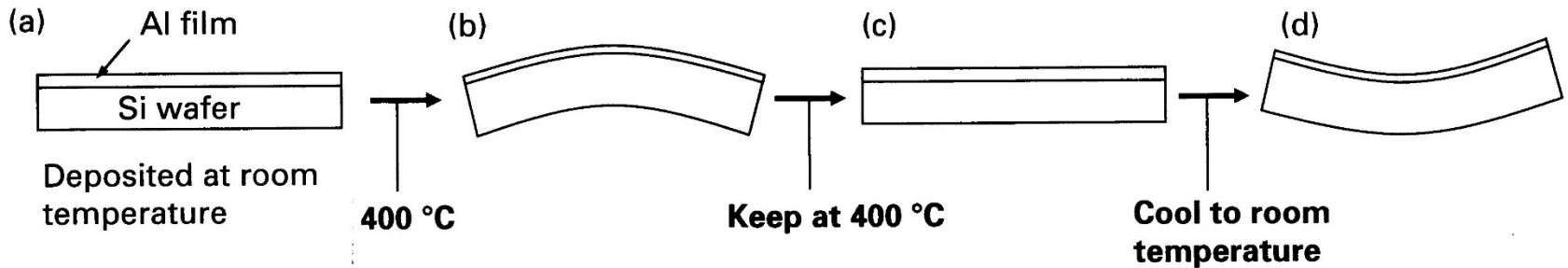
Au/<Si>/Au mirror planarity assured by a symmetric metallization.



Acoustic $\lambda/4$ multilayers



Stress evolution

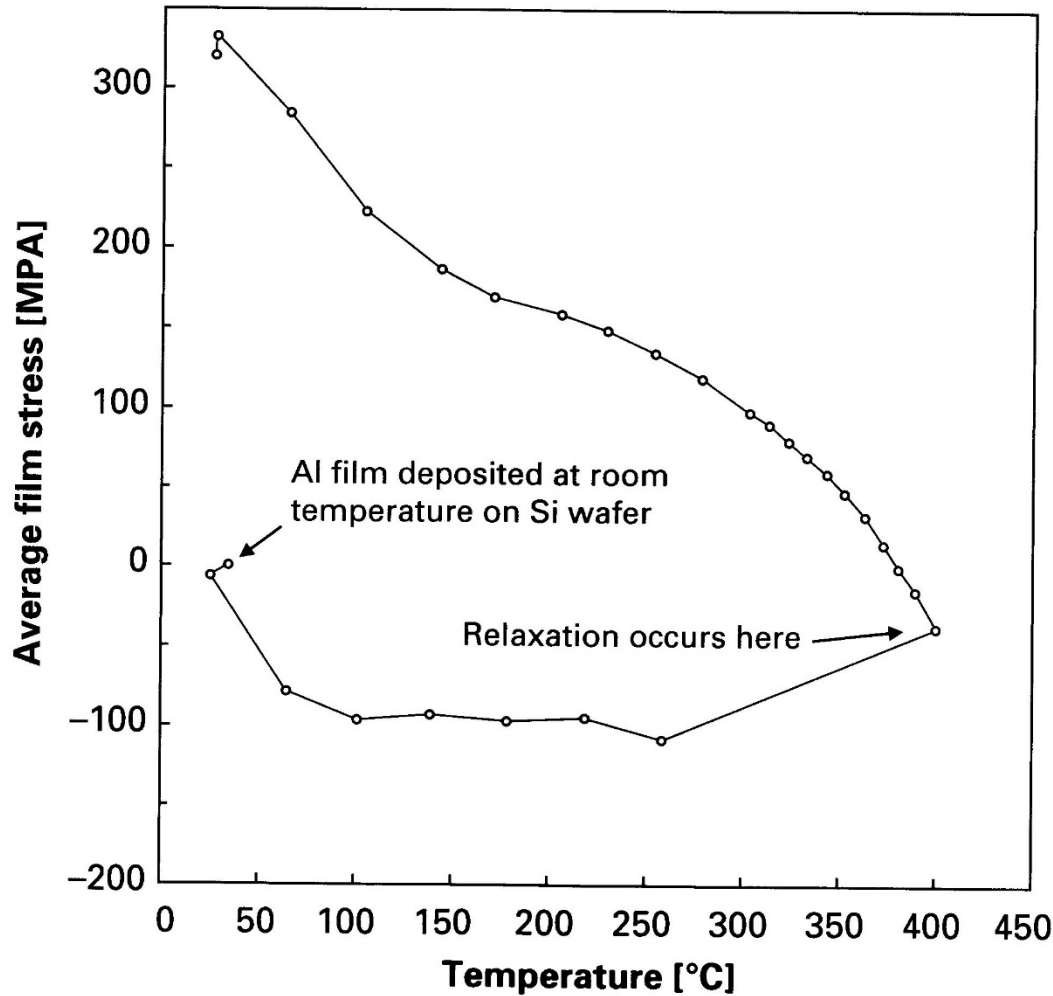


Much more extensive relaxation takes place in the Al thin films than in bulk.

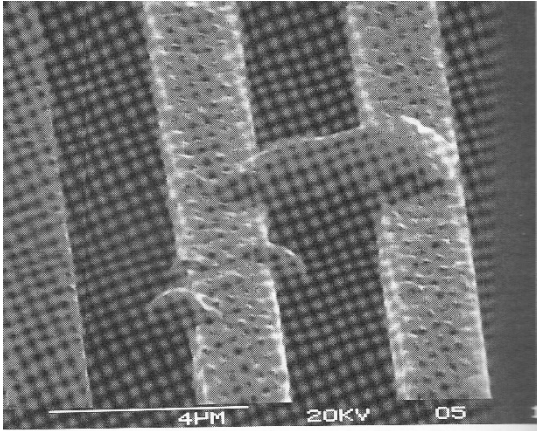
Thin film grain size, typically $\sim 1 \mu\text{m}$ vs. $\sim 100 \mu\text{m}$ for bulk, would enable much more extensive grain boundary sliding and hence greater stress relaxation.

Hoo-Jeong Lee, a) Guido Cornella, and John C. Bravman: Appl. Phys. Lett., Vol. 76, p. 3415

Stress relaxation (1)

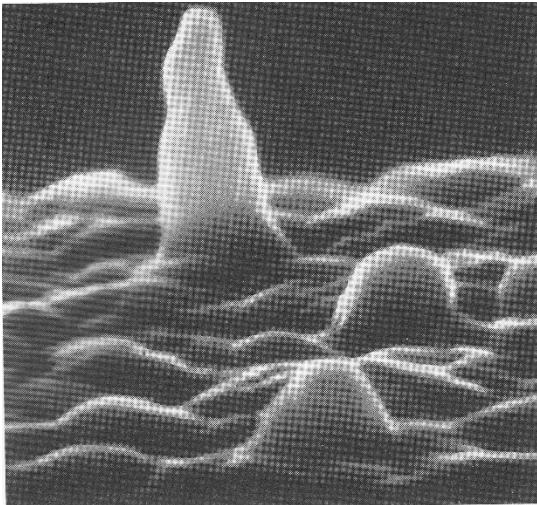


Hillock generation



Compressive stress is relieved by hillock growth.

If surface is free (as in high vacuum), the surface acts as a sink for vacancies and extra atoms are uniformly distributed over the surface.

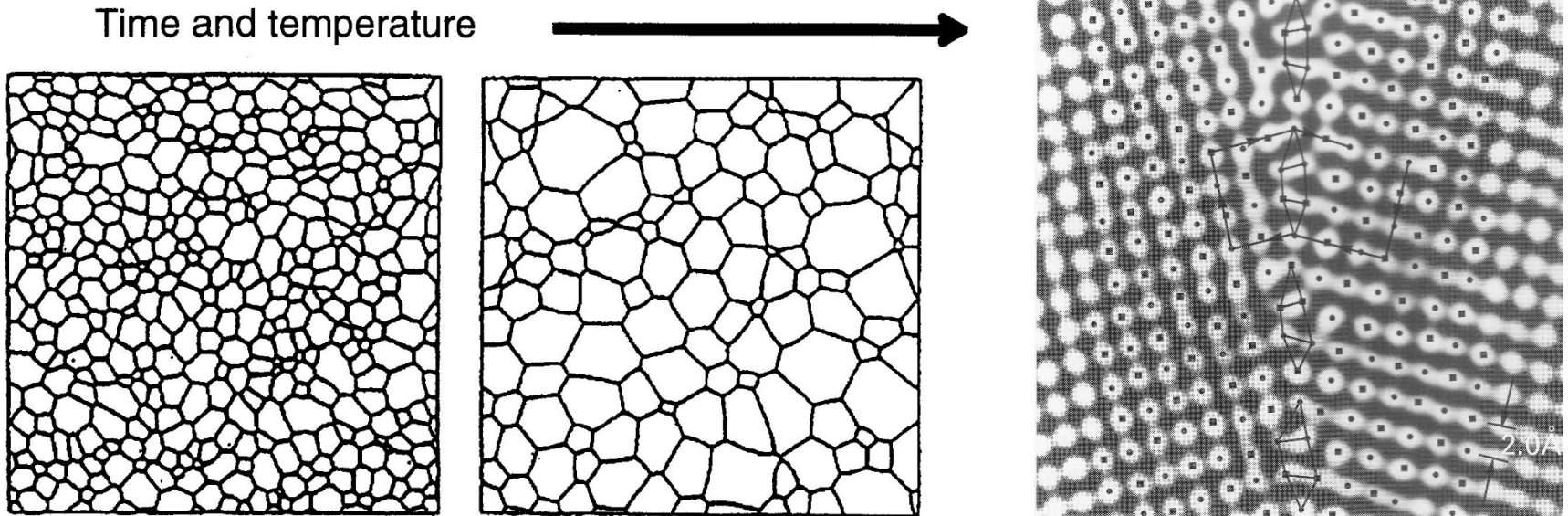


Oxide covered surface breaks randomly and hillocks are formed.

Hillocks are same size as microlithographic structures and film thicknesses.

➔ Hillocks can short two neighboring lines or two films.

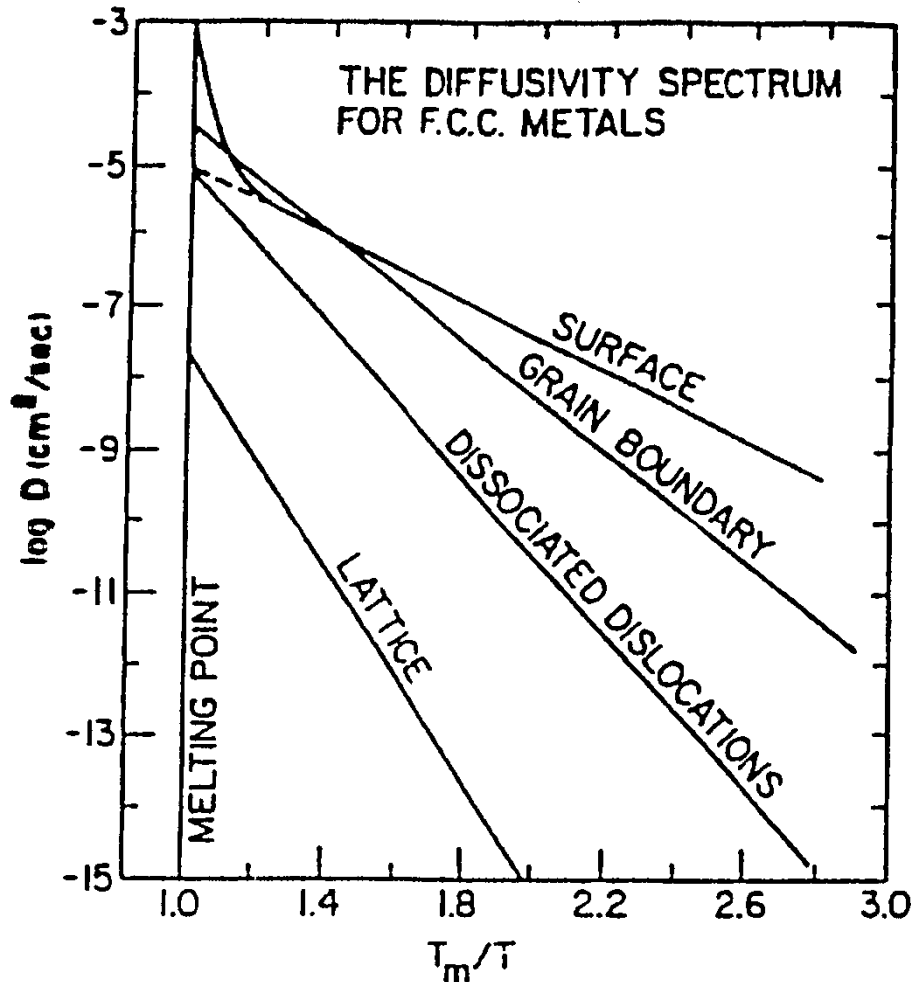
Grain growth



Grain boundaries important because they:

- act as nucleation sites for growth of new phases
- act as sites of enhanced reaction rates
- act as fast diffusion paths
- act as precipitation sites

Grain boundary vs. bulk diffusion

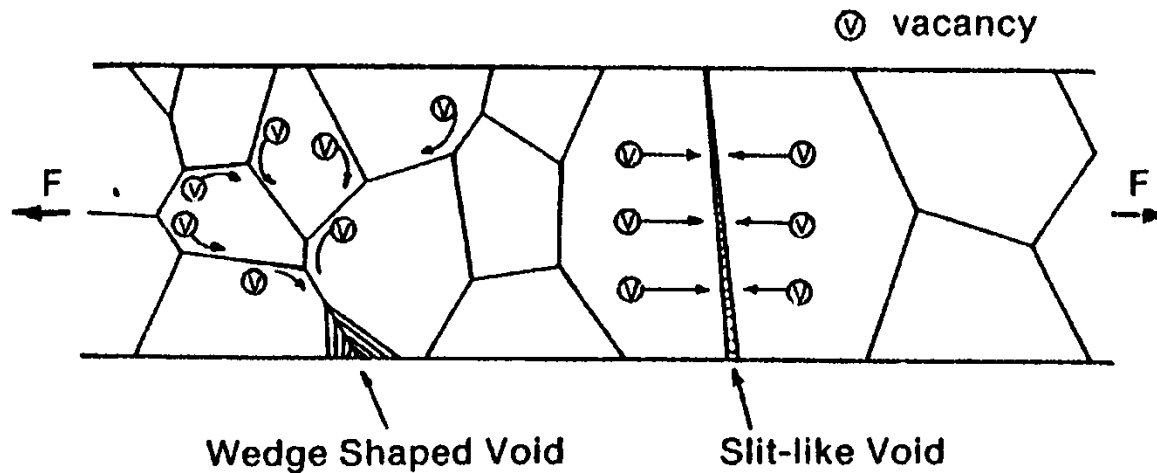


Grain boundaries and dislocations are paths of rapid diffusion (below $0.65 T_m$).

Impurities and dopants are easily trapped and precipitated at defects and grain boundaries → fast diffusion paths blocked → improved resistance against processes that rely on them.

Stress voiding

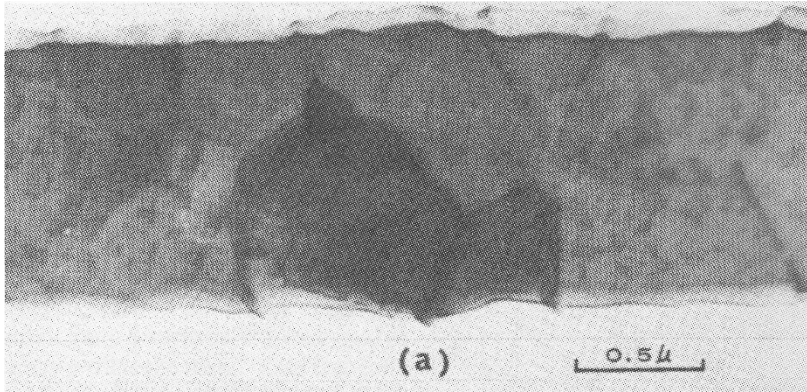
In order to relieve stress, vacancies diffuse.



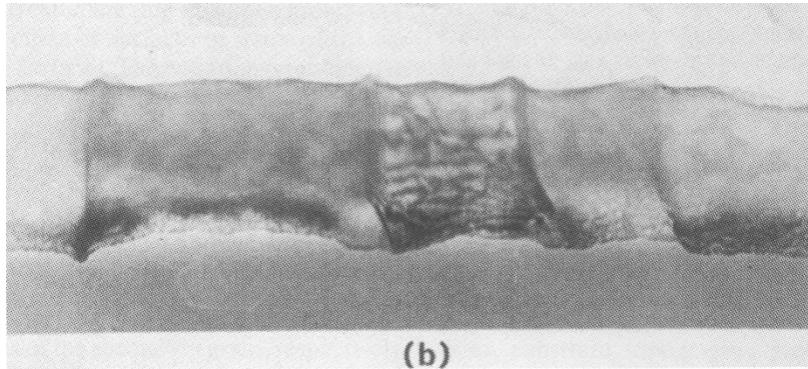
Grain boundary diffusion

vs. bulk diffusion

Bamboo structure



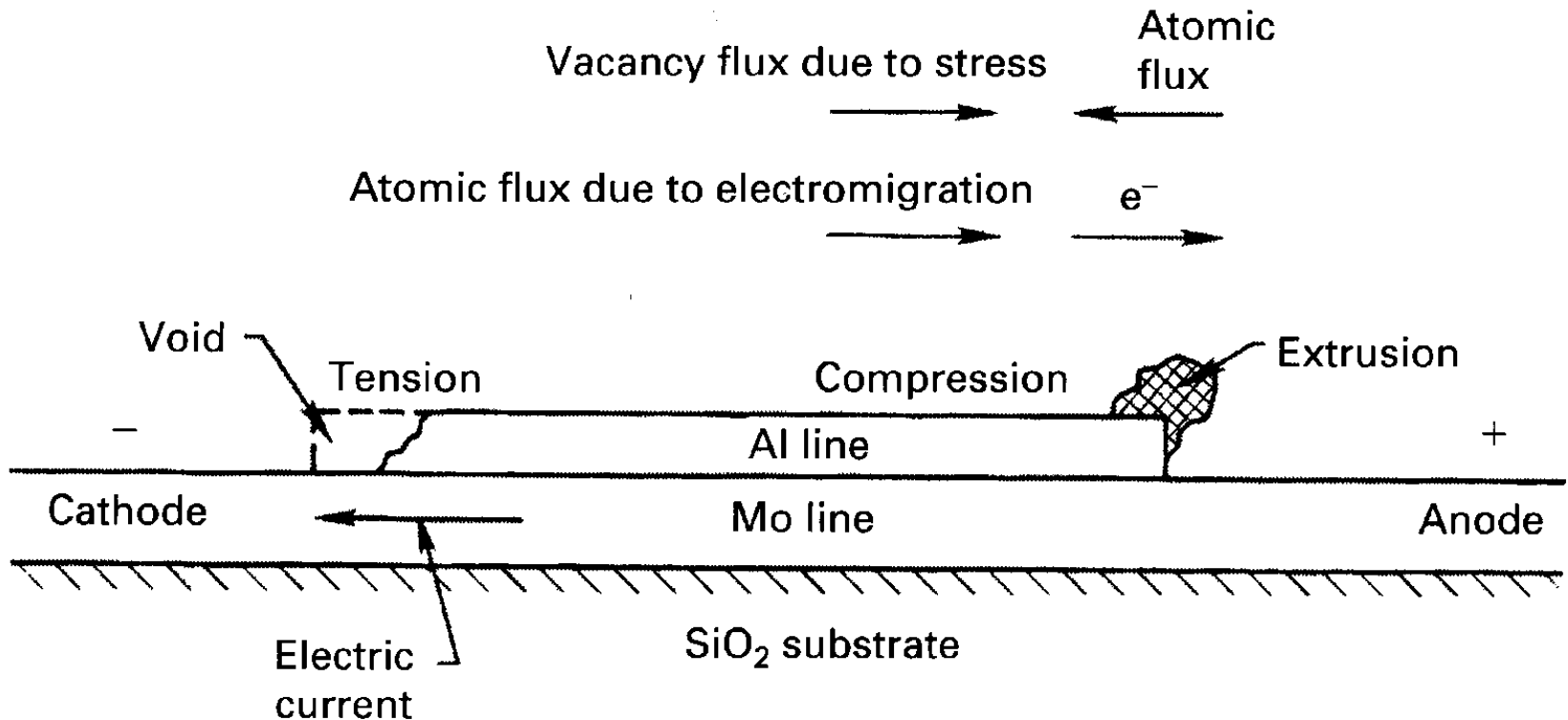
3-grain boundaries,
active processes
during anneal



No 3-grain
boundaries → fewer
processes at GBs

Electromigration

Electron collisions move atoms. Voids formed.
Happens when current density is high.

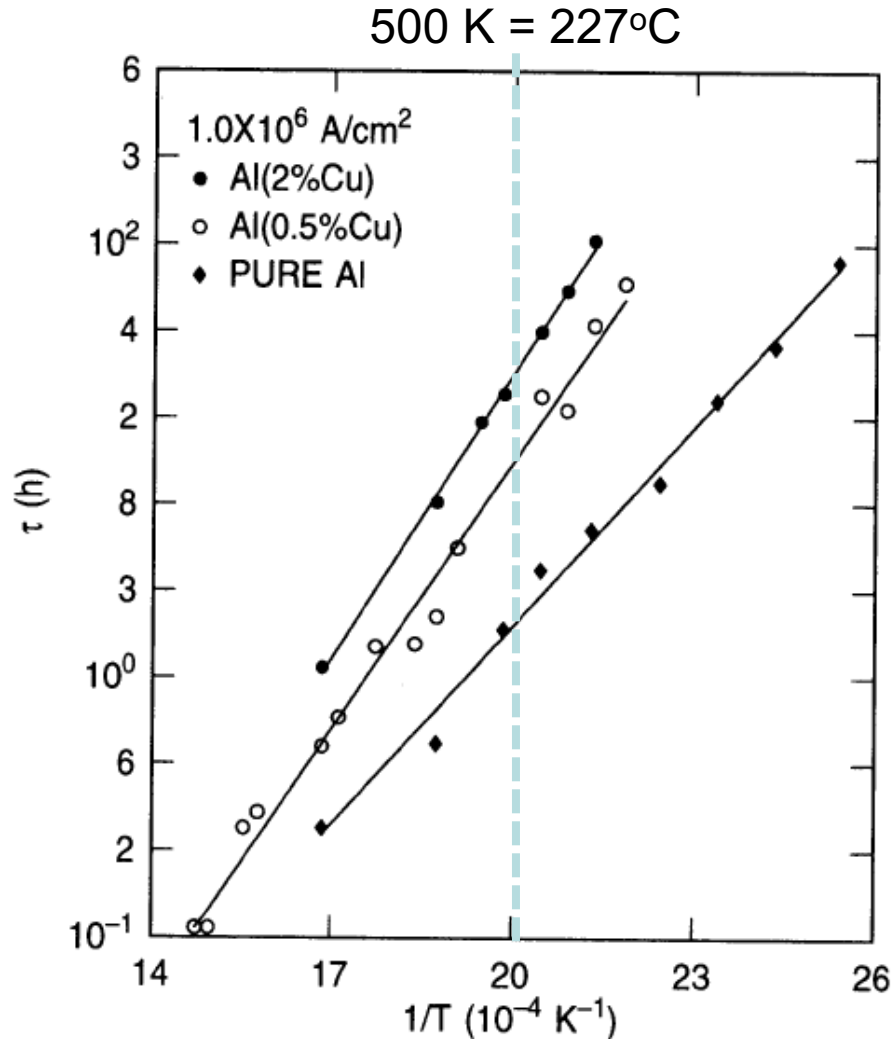


Mean time to failure (MTF) due to electromigration

$$MTF = AJ^{-n} e^{\frac{E_a}{kT}}$$

where A is a constant dependent on wire geometry and metal microstructure, J is the current density and E_a the activation energy. The factor n is not known very accurately, but $n=1.7$ is used for aluminum. For aluminum thin films E_a is of the order of 0.5-0.8 eV, whereas for bulk aluminum it is 1.4-1.5 eV. As a general trend the higher the activation energy, the better the electromigration resistance

Alloying to prevent EM



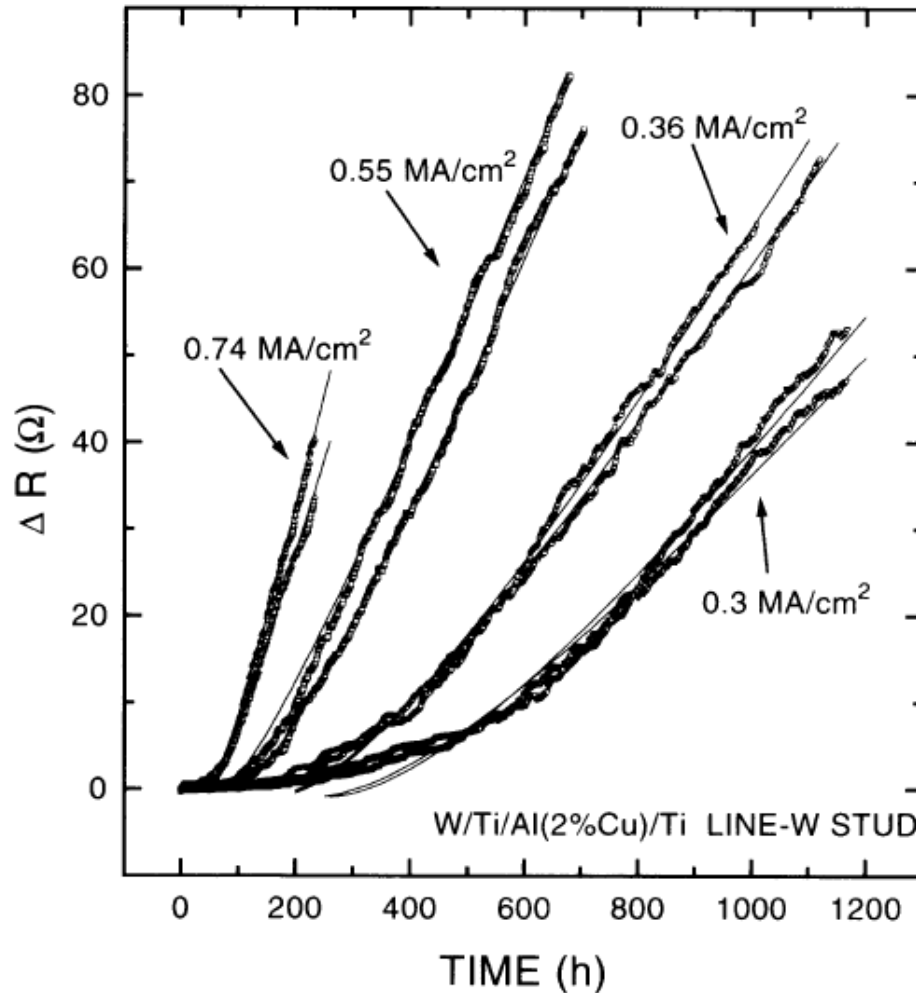
Mean time to failure of 2.5 μm wide lines

- pure Al,
- Al (0.5 wt % Cu)
- Al (2 wt% Cu)

at different temperatures with 1 MA/cm² current density

Hu, C.-K. et al: Electromigration of Al(Cu) two-level structures: effect of Cu kinetics of damage formation, J.Appl.Phys. 74 (1993), p. 969

Incubation time



Incubation time
before
resistance
increase sets in
(measured at
255°C)

Hu, C.-K. et al: Electromigration
and stress-induced voiding in fine
Al- and Al-alloy thin- film lines, IBM
J.Res.Dev. 39 (1995), p. 465

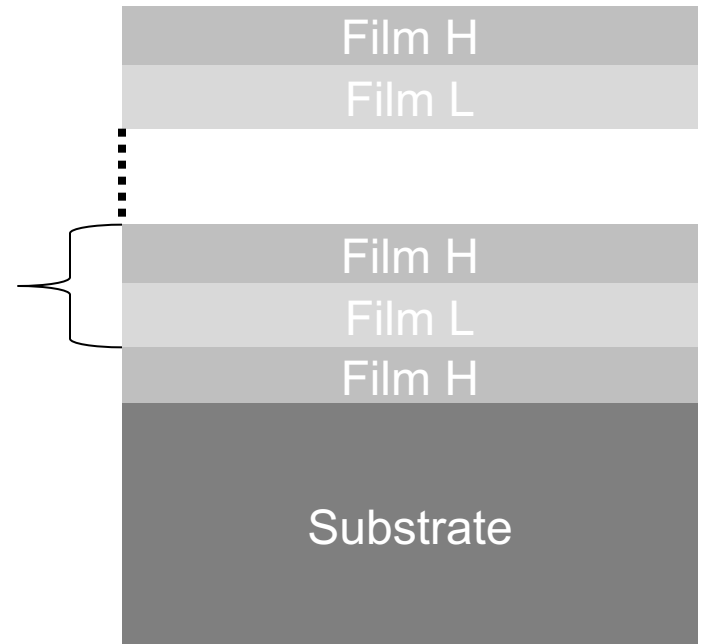
Dielectric mirrors, enhanced metal mirrors

SH(LH)ⁿ =

substrate, high refractive index film,
low+high film stack of n-layer pairs

$n_H t_H = \lambda/4$ condition for thicknesses

$$R_o = \left\{ \frac{1 - \left(\frac{n_H}{n_L} \right)^{2n} \left(\frac{n_H^2}{n_s} \right)}{1 + \left(\frac{n_H}{n_L} \right)^{2n} \left(\frac{n_H^2}{n_s} \right)} \right\}^2$$



Enhanced aluminum mirror

SM(LH)² design

Glass/Aluminum/SiO₂/Ta₂O₅/SiO₂/Ta₂O₅

510 nm centre wavelength

