



10.1 The halting problem

Theorem 10.1

The language

 $H = \{c_M w \mid \text{Turing machine } M \text{ halts on input } w\}$

is semi-decidable but not decidable.

Proof

Let us first verify that *H* is semi-decidable. It is easy to modify the universal Turing machine M_U presented in the proof of Theorem 9.8 to a Turing machine that, on input $c_M w$ simulates the computation of machine *M* on input *w* and accepts if and only if the simulated computation halts (in either reject or accept state).



We next show that *H* is not decidable. Suppose that it were and that $H = \mathcal{L}(M_H^T)$ for some total Turing machine M_H^T . Suppose that M_H^T is such that when it halts, it leaves its original input on the tape (possibly extended with blank symbols). Let M_U be the universal Turing machine designed in the proof of Theorem 9.8.

We could now design a *total* Turing machine recognising U by combining the machines M_H^T and M_U is follows:



But according to Theorem 9.9 such a total Turing machine recognising U cannot exist. This contradiction means that our assumption must be wrong and H is not decidable.



Corollary 10.2

The language

 $\tilde{H} = \{c_M w \mid M \text{ does } not \text{ halt on the input } w\}$

is not semi-decidable.

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• We again establish the proof in two parts: semi-decidability and undecidability.

Lemma 10.4

The language NE is semi-decidable.

Proof

- We prove the claim by designing a Turing machine $M_{\rm NF}$ that recognises the language.
- The designed machine $M_{\rm NF}$ is nondeterministic.
- We use the following "sub-machines":
 - M_{OK} tests whether the input is a valid Turing machine code.
 - \blacktriangleright M_G nondeterministically writes an arbitrary binary string w at the end of the current tape contents.

10.2 The non-emptiness problem

• Consider the following non-emptiness problem for Turing machines:

> Given a Turing machine M. Does M accept any string?

• This problem corresponds to the formal language:

 $\mathsf{NE} = \{ c \in \{0, 1\}^* \mid \mathcal{L}(M_c) \neq \emptyset \}.$

Theorem 10.3

The language NE is semi-decidable but not decidable.



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• We design $M_{\rm NF}$ by combining the machines $M_{\rm OK}$, M_G , and the universal Turing machine M_U as follows:



The idea as a nondeterministic "Python" program:



 \Leftrightarrow *c* is a valid TM code and $\exists w \text{ s.t. } w \in \mathcal{L}(M_c)$





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Lemma 10.5

The language NE is not decidable.

Proof

- Suppose that NE were decidable and let M_{NE}^{T} be a total Turing machine recognising it. By using M_{NE}^{T} , we design a total Turing machine M_{U}^{T} recognising the language U and thus obtain a contradiction.
- The design is based on coding input strings as "constant strings" in Turing machines.
- Let *M* be a Turing machine whose behaviour on an input $w = a_1 a_2 \dots a_k$ we wish to study.
- Let M^{w} be the machine that always replaces its own "real" input with the string w and then behaves like M:



• Now let M_{ENCODE} be a Turing machine that, given a string c_{MW} consisting of the code c_M of a Turing machine M and a binary string w, writes the code c_{M^w} of the above described machine M^w on the tape and halts:



As Python:



- If the input is not of form cw for a valid TM code c, the machine M_{ENCODE} halts in the reject state.
- Thus the machine M_{ENCODE} operates on the codes of Turing machines: the code of a machine M is extended with transitions and the numbering of the states is changed so that the result is the code of the machine M^{W} .



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- return MTNE(mw)
- The machine M_{II}^T is total as $M_{\rm NE}^T$ is, and $\mathcal{L}(M_U^T) = U$ because

$$c_{M} w \in \mathcal{L}(M_{U}^{T}) \Leftrightarrow c_{M^{w}} \in \mathcal{L}(M_{\mathsf{NE}}^{T}) = \mathsf{NE} \Leftrightarrow \mathcal{L}(M^{w}) \neq \emptyset \Leftrightarrow w \in \mathcal{L}(M)$$

- But the language U is not decidable, and thus such a total Turing machine M_{U}^{T} recognising U cannot exist.
- From the contradiction we deduce that the language NE cannot be recognised by any total Turing machine $M_{\rm NF}^T$, and is thus not decidable.



Other Undecidability Results

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10.4 Post's correspondence problem

Given a finite set of domino block types (we can have arbitrarily many blocks of each type), can we have a finite sequence of blocks so that the upper and lower rows contain the same string?



10.3 Undecidability in logic and algebra

Theorem 10.6 (Undecidability of FO logic; Church/Turing 1936)

There is no algorithm that, given a formula ϕ in first-order logic, decides whether the formula is valid (i.e. true in all possible interpretations).

Theorem 10.7 ("Hilbert's tenth problem"; Matijasevitsh/Davis/Robinson/Putnam 1953–70)

There is no algorithm that, given a multivariate polynomial $P(x_1,...,x_n)$ with integer coefficients, decides whether the polynomial has integer-valued zero points (i.e. tuples $(m_1,...,m_n) \in \mathbb{Z}^n$ for which $P(m_1,...,m_n) = 0$). The problem is undecidable already when n = 15 or deg(P) = 4.

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10.5 The Chomsky hierarchy



A classification of grammars, languages generated by grammars and recogniser automata classes:^a **Type-0:** unrestricted grammars / semi-decidable languages / Turing machines

Type-1: context-sensitive grammars / context-sensitive languages / linear bounded automata

Type-2: context-free grammars / context-free languages / pushdown automata

Type-3: right and left linear grammars / regular languages / finite automata

^aType 0 and Type 1 grammars at Lecture 11.





- In this Section we discuss:
- How to use Turing machines to compute more complicated functions than just yes/no answers.
- How to use Turing-computable reductions between languages (~ decision problems) to establish undecidability.



A partial function $f: \Sigma^* \to A$ is:

total Turing machine.

computed by a Turing machine $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej})$ as:

 $f_{M}(x) = \begin{cases} u, \text{ if } (q_{0}, \underline{x}) \vdash_{M}^{*} (q, u\underline{a}v) \text{ where } q \in \{q_{\mathsf{acc}}, q_{\mathsf{rej}}\}, av \in \Gamma^{*}; \\ \text{undefined, if } M \text{ does not halt on input } x. \end{cases}$

• partially computable (historically: partially recursive) if it can be

• computable (historically: recursive) if it can be computed by some

 Note: We could equivalently define that a partially computable function *f* is computable if its value *f*(*x*) is defined for all *x*.

computed by some Turing machine, and

Example:

A Turing machine that computes the successor (modulo 2^n) of an *n*-bit binary number (in the most significant bit first presentation)

• By the Church-Turing thesis, all total functions that can be computed by computer programs are also Turing-machine computable.

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 Reductions can be used to translate solution methods (~recognising/deciding automata) from one problem to another.

Lemma 10.8

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If $A \leq_m B$ and B is a decidable language, then A is decidable as well.

Proof

Let M_B be a total Turing machine recognising language B, and M_f a total Turing machine that computes the reduction f from language A to language B.

We can combine machines M_f and M_B into a total Turing machine M_A recognising A as follows: On input string w,

- first compute the value f(w) using M_f , and
- then run machine M_B on input string f(w).

The combined machine M_A is clearly total and accepts input string w if and only if $f(w) \in B$, i.e. $w \in A$.



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10.7 Reductions between languages

• A language $A \subseteq \Sigma^*$ can be *(computably) reduced* to a language $B \subseteq \Gamma^*$, denoted as $A \leq_m B$,

 $A \leq_m D$,

if there is a computable function $f: \Sigma^{\star} \to \Gamma^{\star}$ such that

 $x \in A \quad \Leftrightarrow \quad f(x) \in B, \quad \text{ for all } x \in \Sigma^{\star}.$

Such a function is called a *(computable many-one) reduction* from *A* to *B*.



- Graphically, the total Turing machine *M*_A recognising language *A* can be illustrated as below, where:
 - M_f is the total Turing machine computing reduction f, and
 - M_B is the total Turing machine recognising *B*.







Now if B were decidable, then (by Lemma 10.8) also A should be decidable, which would be a contradiction.

- Showing that a language *B* is undecidable:
 - Choose a previously-known undecidable language *A*.
 - Design a reduction from language A to language B.
 - ► Conclude by Corollary 10.9 that *B* is undecidable as well.





M accepts input *w* if and only if M' halts on input w'

• \mathbb{R}^{2} If we can solve the problem "Does M' halt on input w'", we can

• \mathbf{R} As the language U is undecidable and we can reduce it to

language H, language H must also be undecidable (Cor 10.9)

also solve the problem "Does M accept input w".



10.8 Post's correspondence problem • An undecidable problem with a simple definition • A <i>domino</i> block is a pair (t,b) of strings, graphically $\begin{bmatrix} t \\ b \end{bmatrix}$ Here <i>t</i> is the top row and <i>b</i> the bottom row of the domino • Given a finite set <i>P</i> of dominos, a <i>match</i> is a finite sequence $D_1D_2D_n$ of dominos in <i>P</i> such that the top and bottom rows of the sequence contain the same string • Note that the a domino may occur many times in a match! Example: For the domino set $\{ \begin{bmatrix} b \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} a \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} a \\ c \end{bmatrix}, \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} a \\ c \end{bmatrix}, \begin{bmatrix} a \\ $	Definition 10.1 (Post's Correspondence Problem) Given a finite set $P = \left\{ \begin{bmatrix} t_1 \\ b_1 \end{bmatrix},, \begin{bmatrix} t_n \\ b_n \end{bmatrix} \right\}$ of dominos. Does <i>P</i> have a match? As a language: PCP = { <i>P</i> <i>P</i> is a domino set that has a match}. Example: The domino set $\left\{ \begin{bmatrix} b \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ ab \end{bmatrix}, \begin{bmatrix} abc \\ c \end{bmatrix} \right\}$ is in the language PCP as it has a match $\begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} ca \\ ca \end{bmatrix}, \begin{bmatrix} a \\ ab \end{bmatrix}, \begin{bmatrix} abc \\ cb \end{bmatrix}, \begin{bmatrix} abc \\ cb \end{bmatrix}$.
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Example: The domino set $\left\{ \begin{array}{c} ab\\ aa \end{array}, \begin{array}{c} bba\\ bb \end{array} \right\}$ is not in the language PCP as it has no matches.	 Theorem 10.8 PCP is undecidable. We prove this in two parts: We reduce the undecidable language U to the "modified Post's correspondence problem" MPCP (defined in a while) MPCP is undecidable We reduce the undecidable language MPCP to the language PCP. PCP is undecidable, too.
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Definition 10.2 MPCP



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- Finally we ensure that the string ends in the "configuration" $\underline{q}_{acc}##$ consisting only of the accept state by the domino
- This is the only domino that can fix the inbalance in the number of # symbols in the top and bottom rows caused by the start domino

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Example:
A match:
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
$ \begin{bmatrix} \frac{\pi}{4} \\ \frac{\mu}{2} \end{bmatrix} \begin{bmatrix} \frac{1}{2} \frac{1}{2} \\ \frac{1}{2} \end{bmatrix} \begin{bmatrix} \frac{\pi}{4} \\ \frac{\pi}{4} \end{bmatrix} \begin{bmatrix} \frac{\mu}{2} \frac{1}{2} \\ \frac{1}{2} \end{bmatrix} \begin{bmatrix} \frac{\pi}{4} \\ \frac{\pi}{4} \end{bmatrix} \begin{bmatrix} \frac{\mu}{2} \\ \frac{\mu}{2} \\ \frac{\mu}{2} \end{bmatrix} \begin{bmatrix} \frac{\pi}{4} \\ \frac{\pi}{4} \end{bmatrix} \begin{bmatrix} \frac{\pi}{4} \\ \frac{\mu}{4} \end{bmatrix} \begin{bmatrix} \frac{\mu}{2} \\ \frac{\mu}{2} \\ \frac{\mu}{2} \\ \frac{\mu}{2} \end{bmatrix} \begin{bmatrix} \frac{\pi}{4} \\ \frac{\pi}{4} \end{bmatrix} \begin{bmatrix} \frac{\mu}{2} \\ \frac{\mu}{$
$ \begin{array}{c c} \frac{1}{1} & \stackrel{\triangleleft}{\triangleleft} & \\ \frac{\#}{\#} & \stackrel{\triangleright}{\triangleright} & \\ \frac{q_{acc}}{q_{acc}} & \stackrel{\triangleleft}{\downarrow} & \\ \frac{\#}{\#} & \stackrel{\triangleright}{\triangleright} & \\ \frac{q_{acc}}{q_{acc}} & \\ \frac{\#}{\#} & \\ \frac{\varphi_{acc}}{q_{acc}} & \\ \frac{\#}{\#} & \\ \frac{\varphi_{acc}}{\#} & \\ \frac{\varphi_{acc}}$

The string on the top and bottom rows is: $\downarrow = = q_0 10 \leq = 1q_0 0 \leq = 10q_0 \leq = 1q_1 0 \leq = 1q_1 0 \leq = 12 \leq = 12$ $\# > q_{acc} 1 < \# > q_{acc} < \# > q_{acc} \# q_{acc}$

Example:

For the Turing machine above and the input 10 we thus produced the following dominos:





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• We now only have to show how to get rid of the start domino requirement in MPCP, i.e., prove the following:

Lemma 10.12

MPCP \leq_m PCP.

Proof

- Let $u = u_1...u_n$ be a non-empty string (that is, $n \ge 1$).
- Define the following notation:

 $= \star u_1 \star u_2 \dots \star u_n$ $\star u$ = $u_1 \star u_2 ... \star u_n \star$ $u\star$ $\star u \star = \star u_1 \star u_2 \dots \star u_n \star$

where \star is a new, unused symbol





