

# TEM tomography and single particle reconstruction

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# Motivation

TEM-image represents a projection image of the specimen.

->Features at different depths in the structure are all superimposed.

->Analysis of 2D projections can lead to misinterpretations.

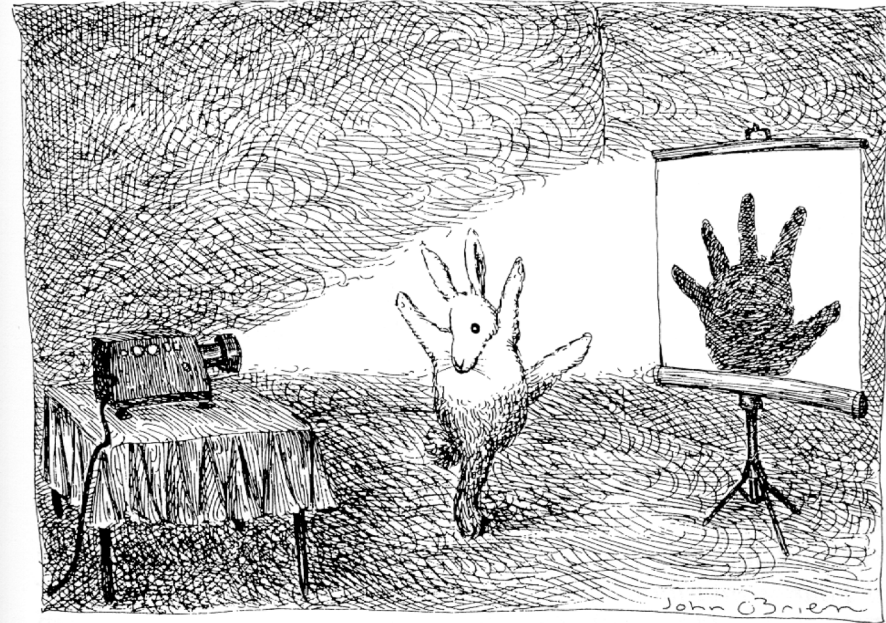


Fig. 5.1. A single projection image is plainly insufficient to infer the structure of an object. Drawing by John O'Brien; © 1991 The New Yorker Magazine.

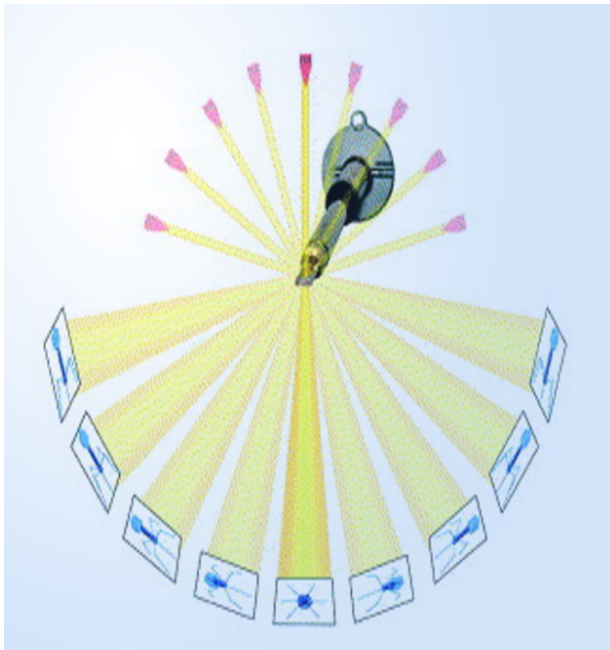
The New Yorker

**Solution: combine projections taken at different angles**

# Tomography as solution

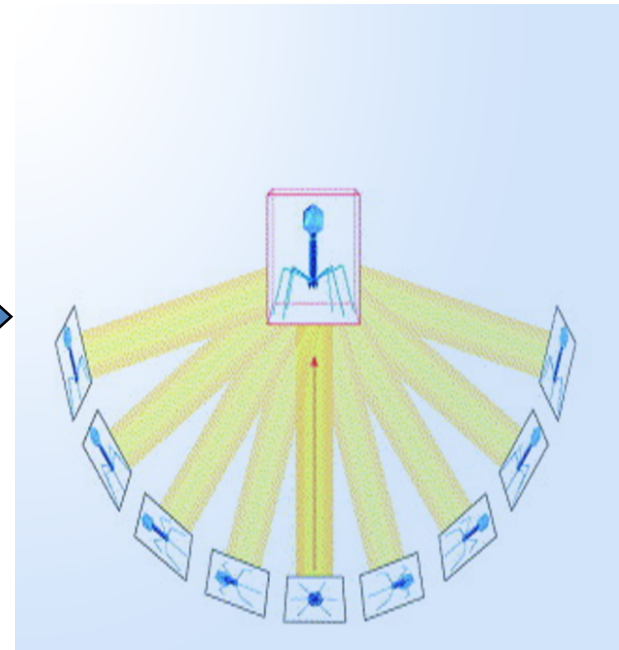
Principle of electron tomography:

DATA COLLECTION



3D object => 2D-  
projections

RECONSTRUCTION

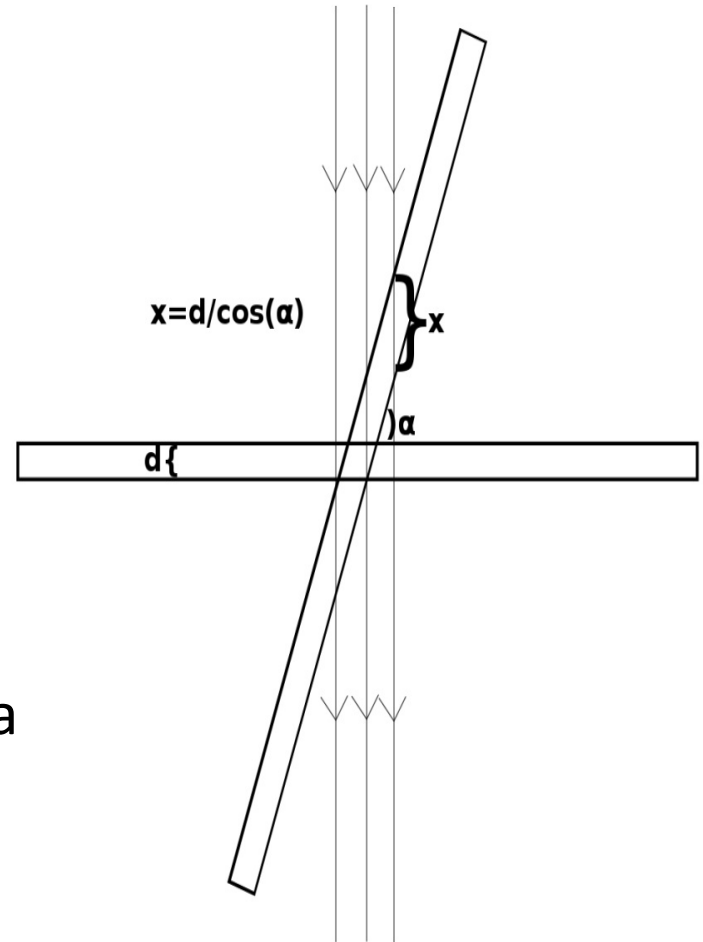


2D-projections => 3D-  
reconstruction



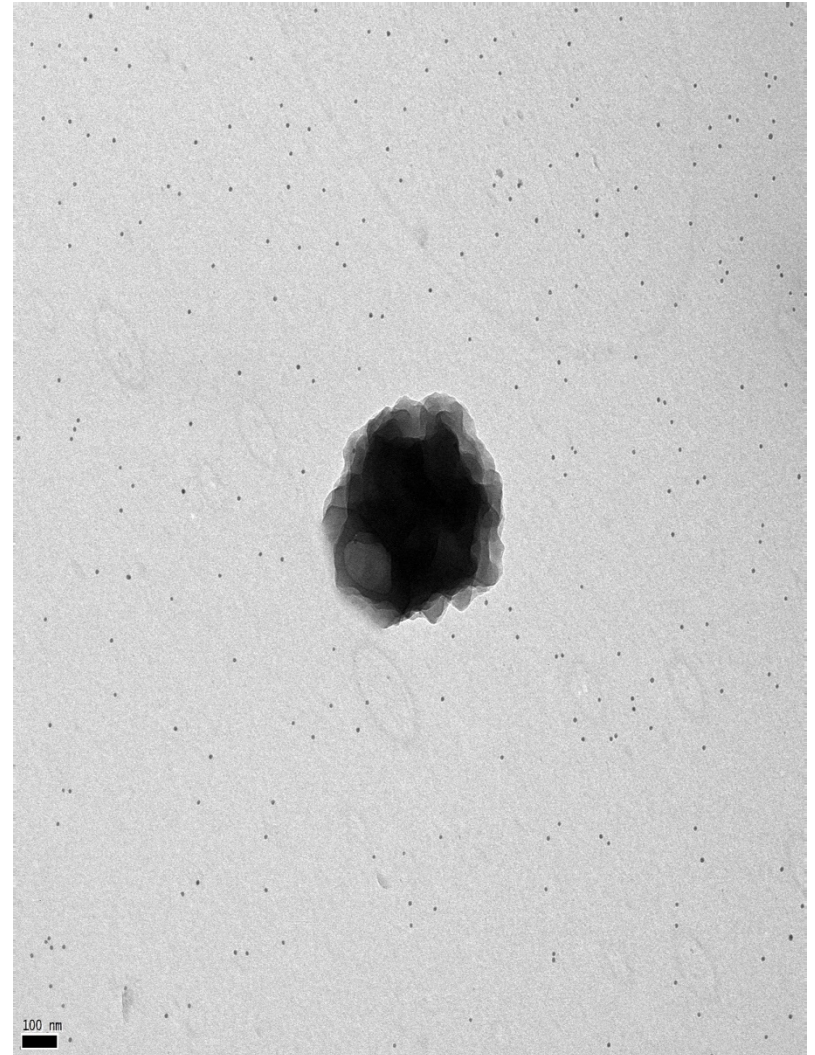
# Perceived sample thickness and tilt angle

- The distance the electron beam must travel through the sample increases as the sample is tilted
  - At  $60^\circ$  tilt the distance is doubled
  - At  $70^\circ$  tilt the distance is nearly tripled
- The effect of perceived thickness can be somewhat compensated for by adjusting imaging conditions as a function of tilt angle
- Thin sample is preferable ( $<200\text{nm}$ )
- Applies to large samples (sections, cryo)

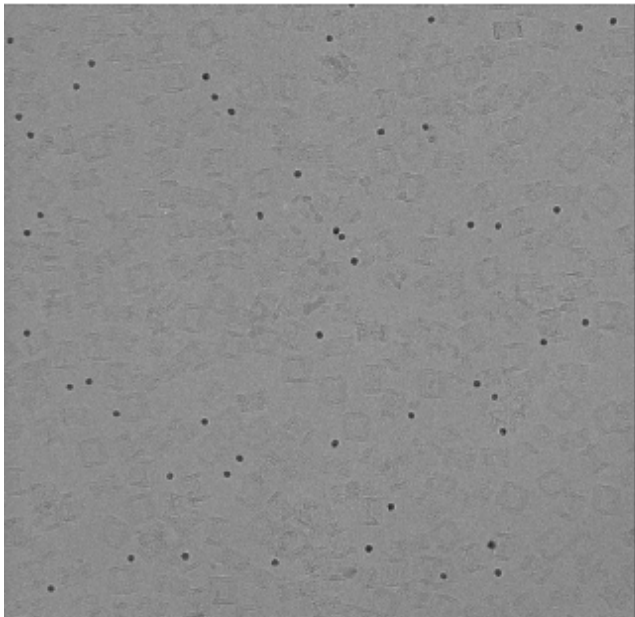


# Tracking with fiducial markers

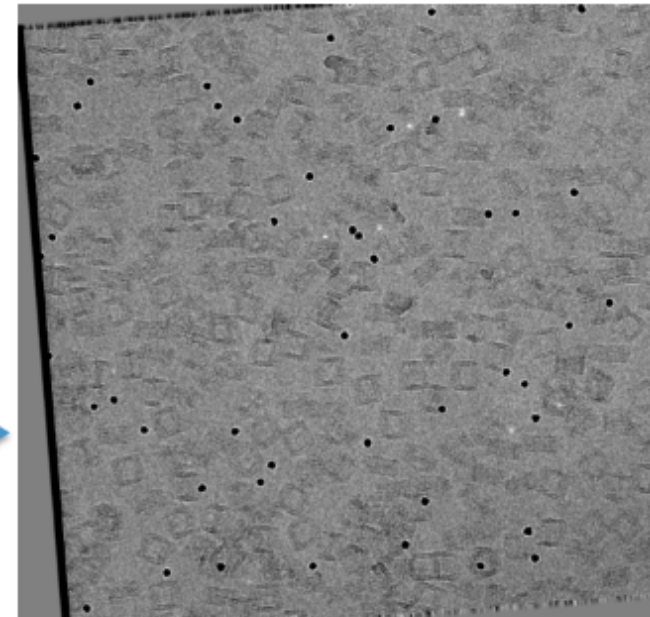
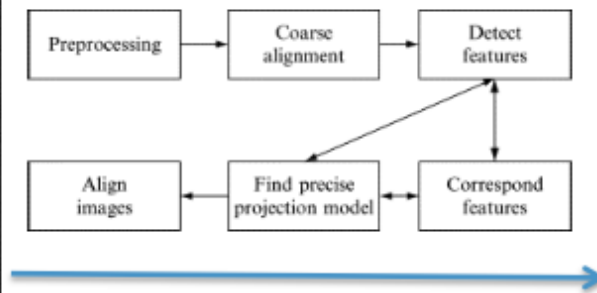
- Fiducial markers are typically gold particles of controlled size
- Size of fiducials should be selected with the intended magnification in mind
- Fiducials are added during sample preparation to aid image alignment later on
- For samples prepared on "solid" support, fiducials can be added prior to sample application



# Stack alignment

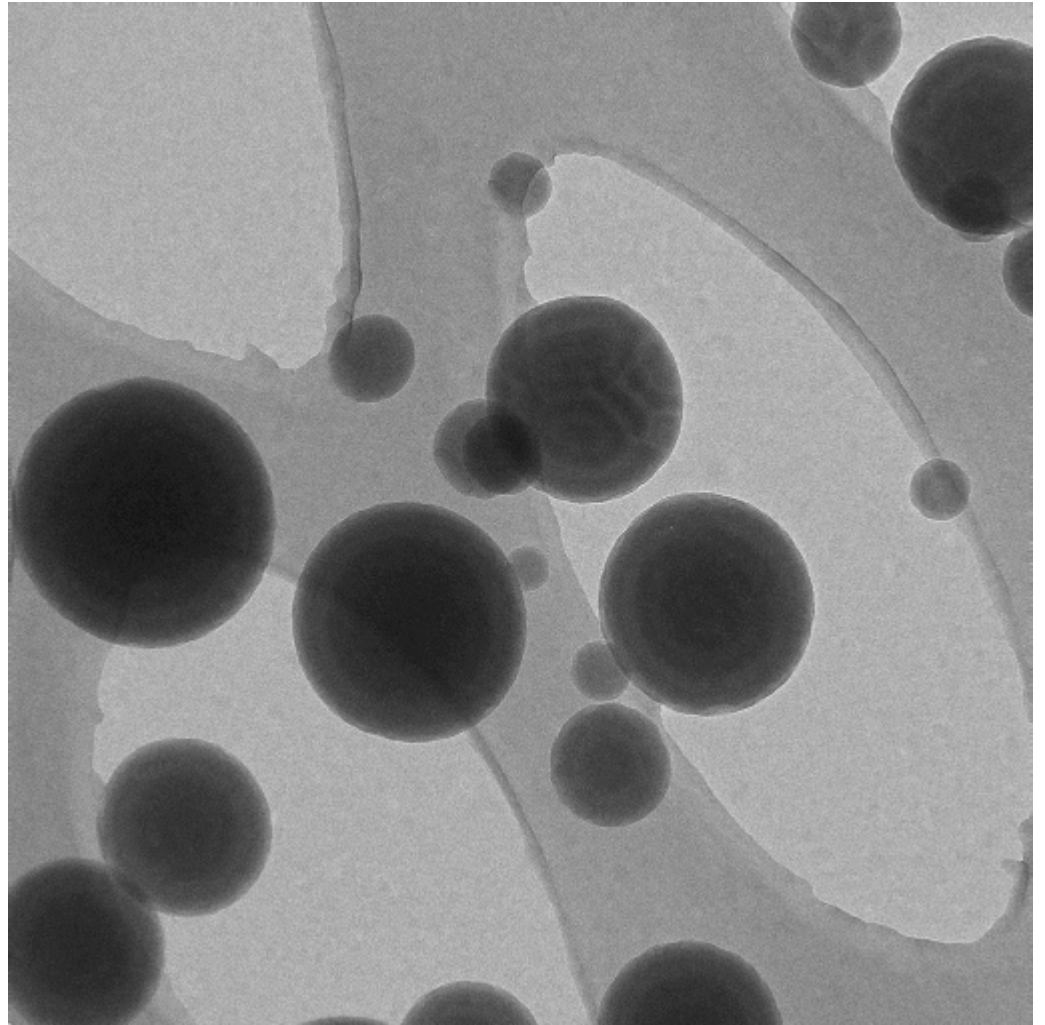


Original tilt series



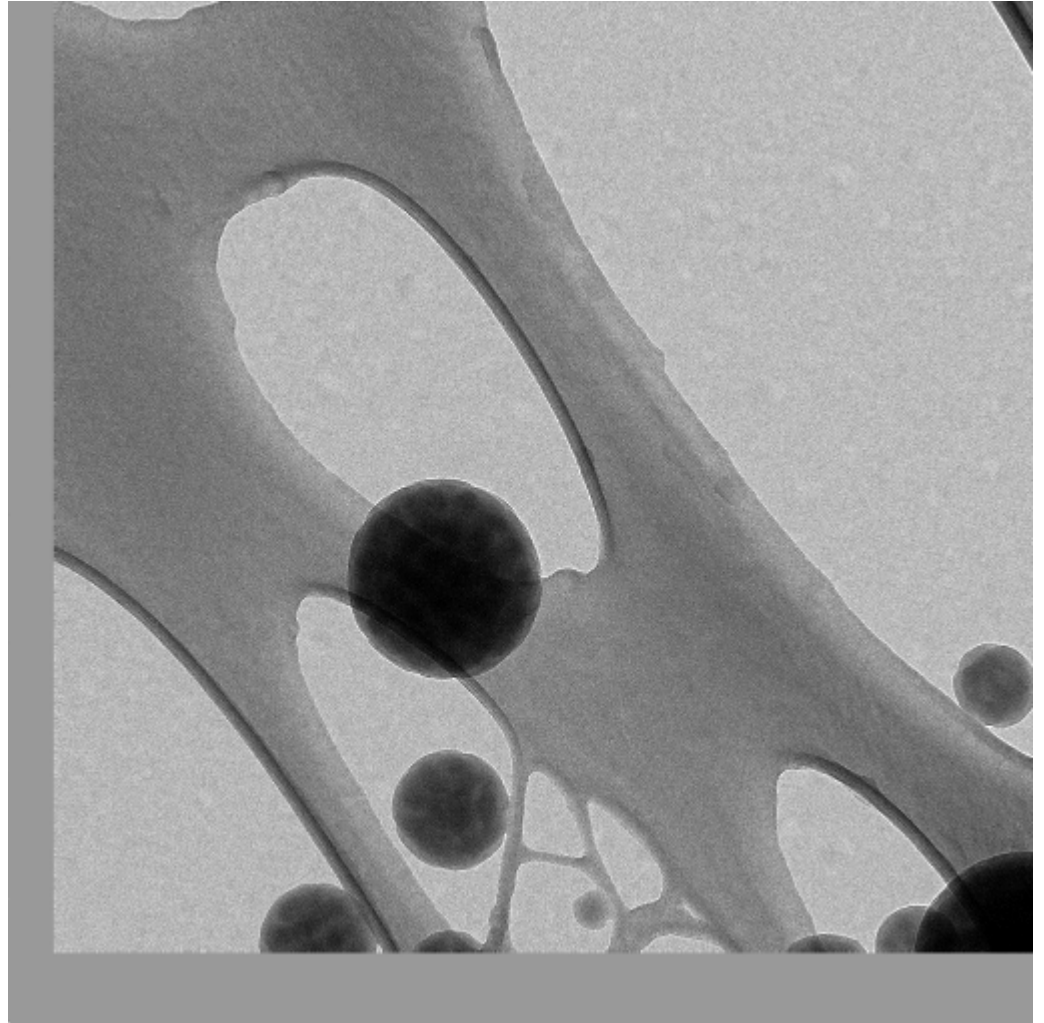
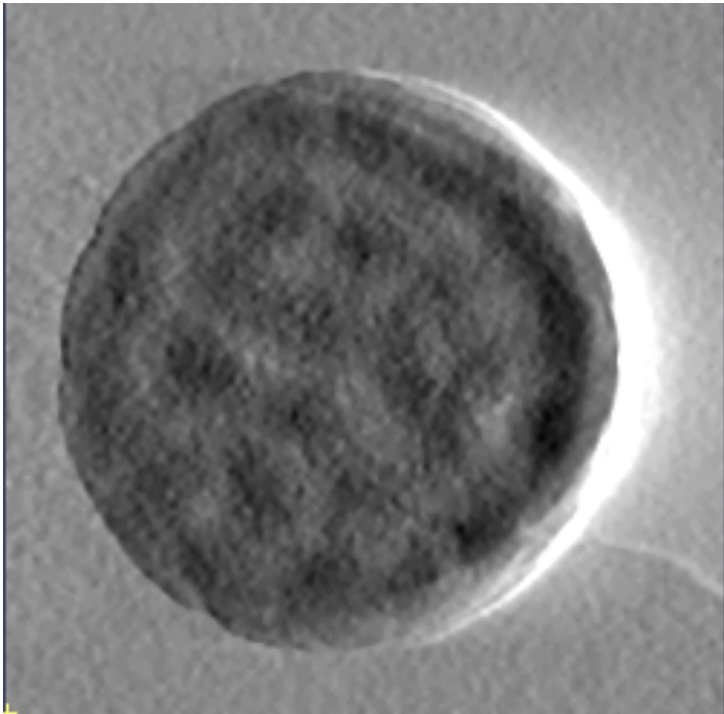
Aligned tilt series

# Unaligned stack

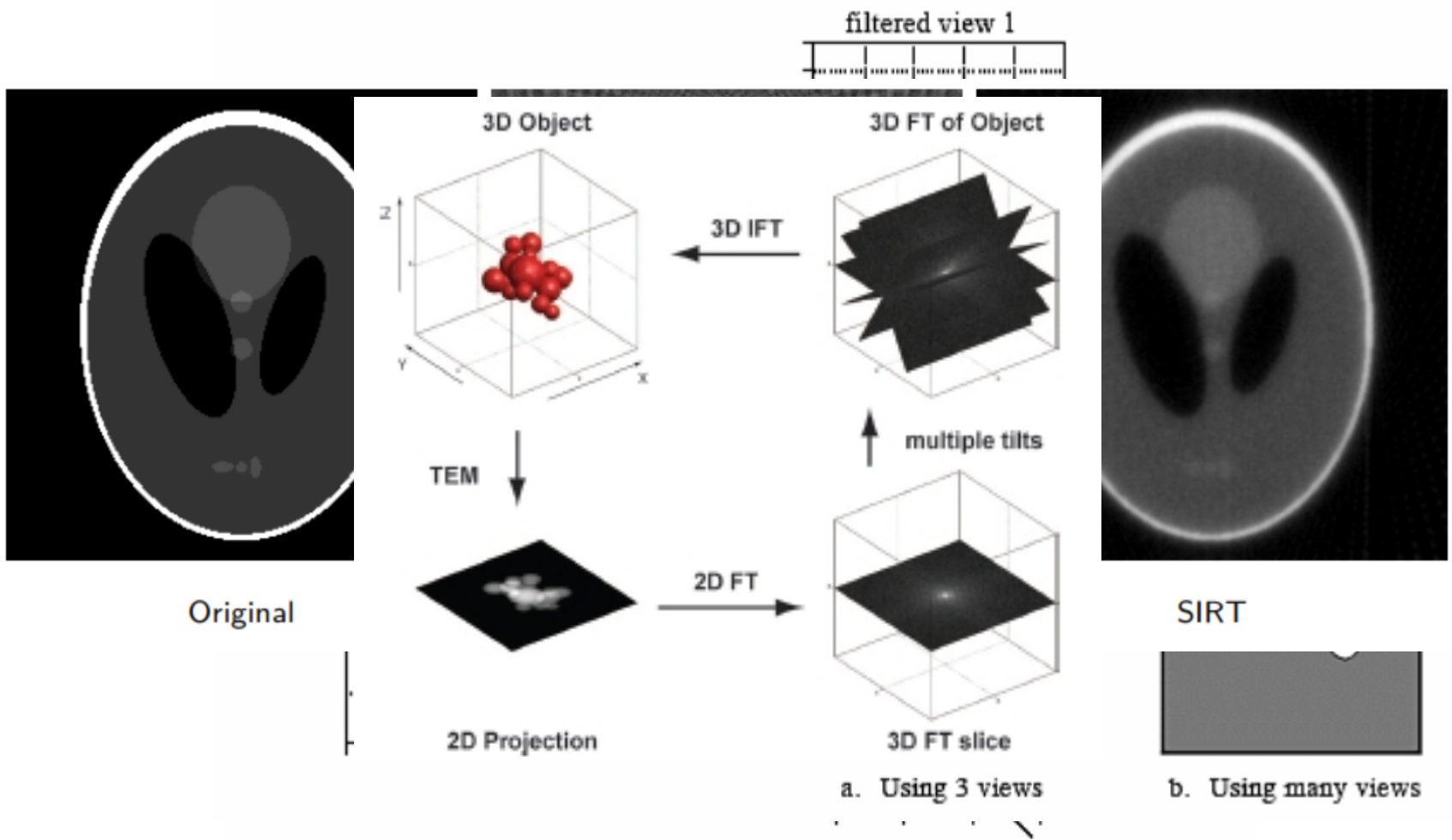




# Aligned stack (coarse only)

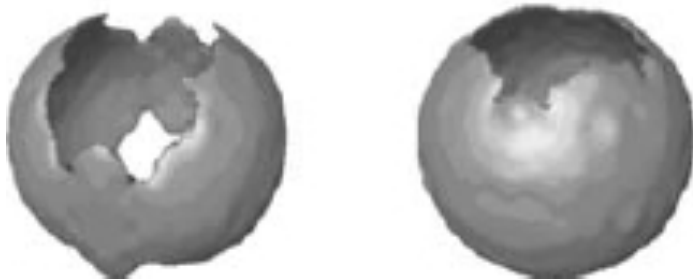
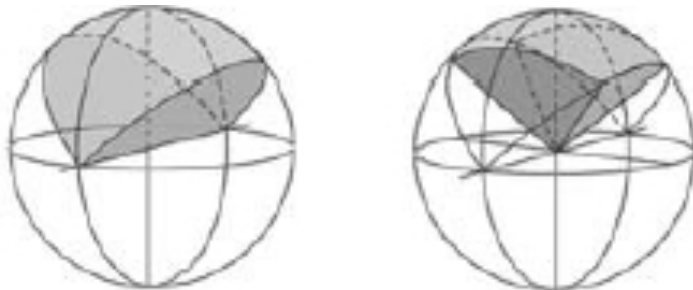


# From stack to volume



# Missing wedge/cone

- Due to limited tilt range all the projections can not be collected
- With a single tilt axis the missing projections form a wedge
- More information can be collected by tilting the sample around two axis



<u>Tilt range</u>	<u>Single</u>	<u>Double</u>
$\pm 70^\circ$	78%	93%
$\pm 60^\circ$	67%	84%
$\pm 45^\circ$	50%	67%

# Effect of missing wedge

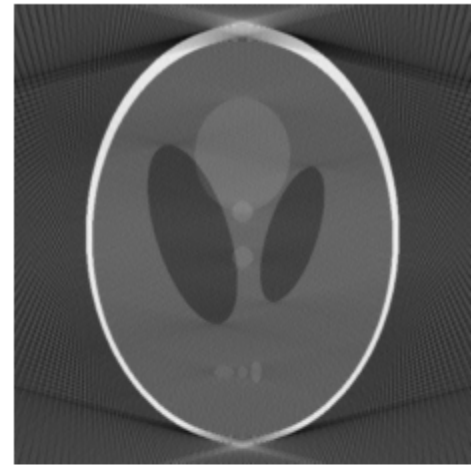
Original



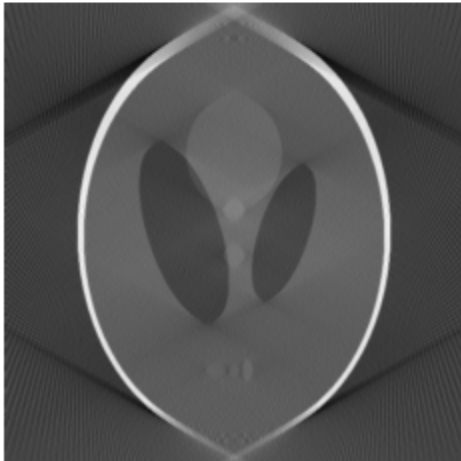
$\phi = -90^\circ \text{ to } 90^\circ$



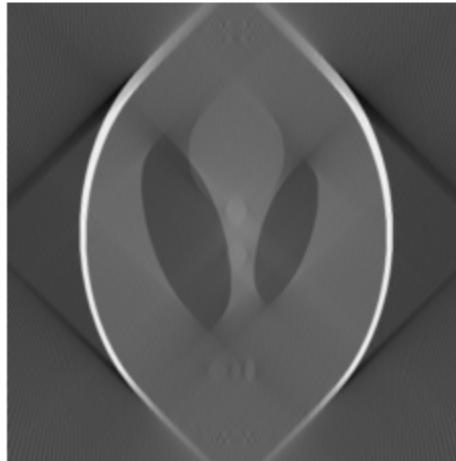
$\phi = -75^\circ \text{ to } 75^\circ$



$\phi = -60^\circ \text{ to } 60^\circ$



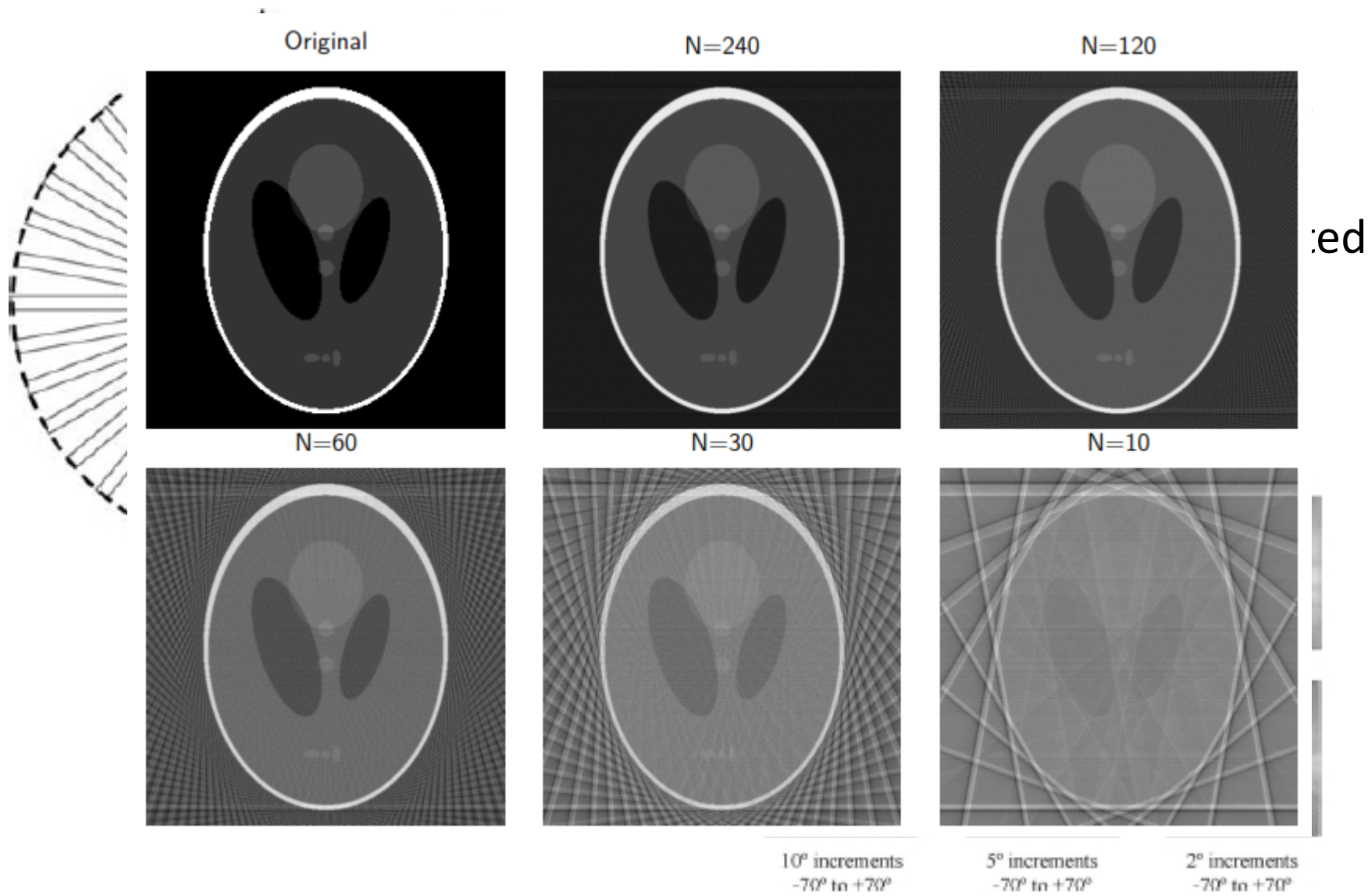
$\phi = -45^\circ \text{ to } 45^\circ$



$\phi = -30^\circ \text{ to } 30^\circ$

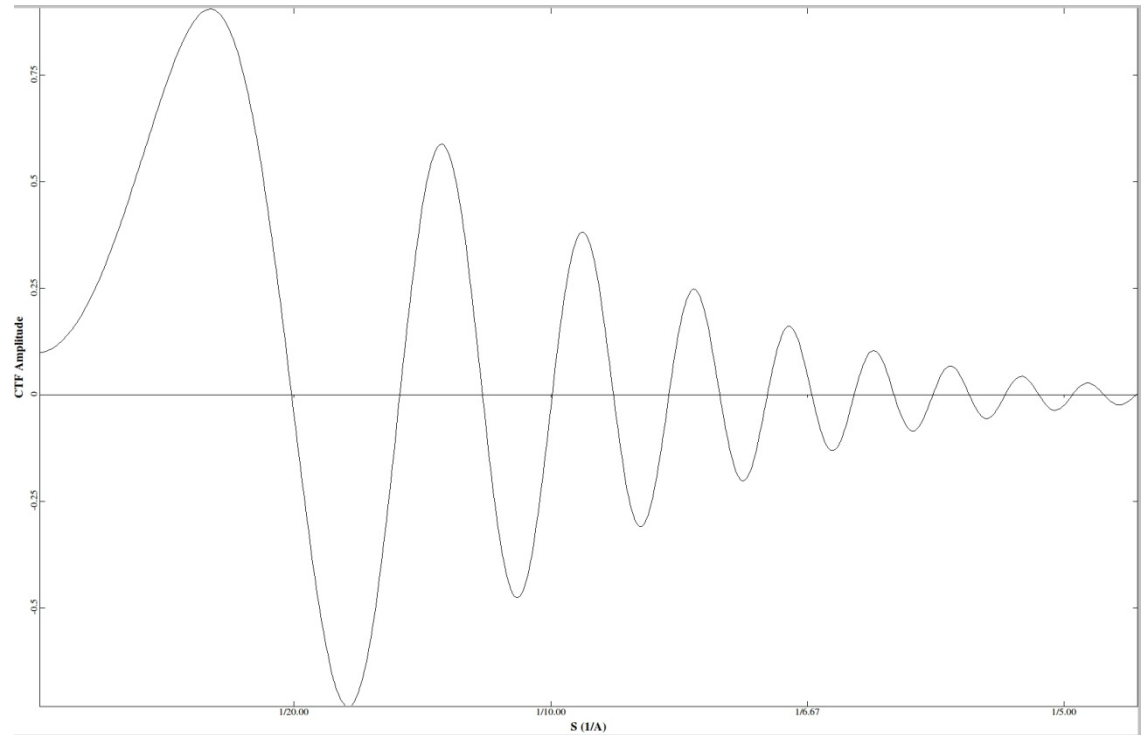


# Sampling & resolution



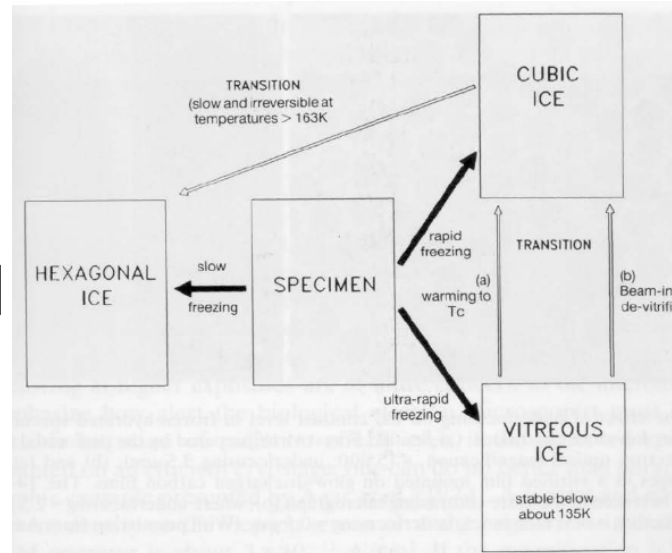
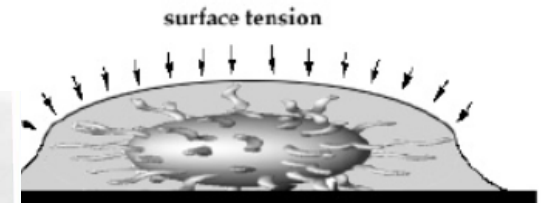
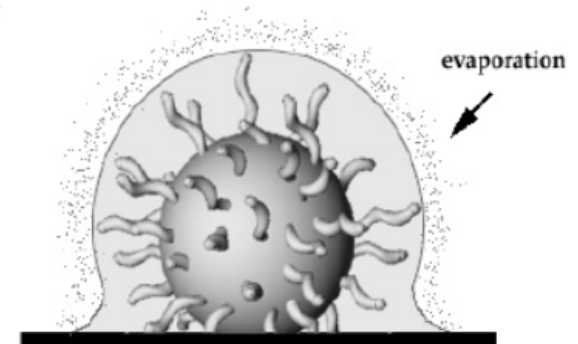
# CTF correction

- The height variation leads to differences in defocus → opposite edges can have a difference of a few micrometers in defocus
- Typical method for correction is to truncate at the first node



# Towards vitrified samples

- Vitrification encloses the sample in a layer of vitrified water
- This allows preservation of native structure
- Water is suspended in a metastable state → careful handling required

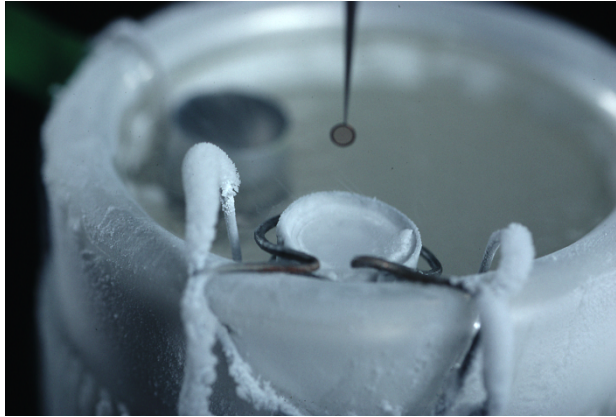
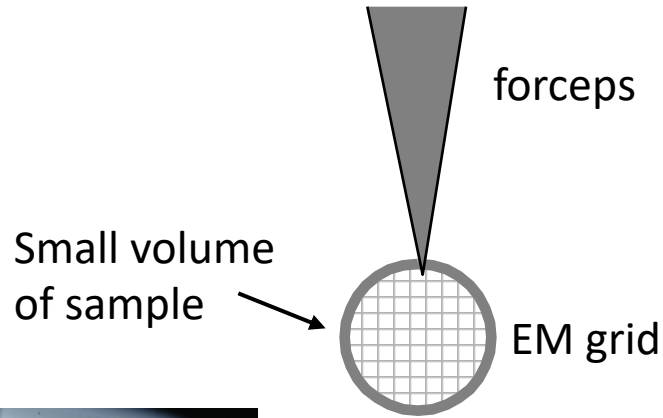


# Preparation of vitrified specimens

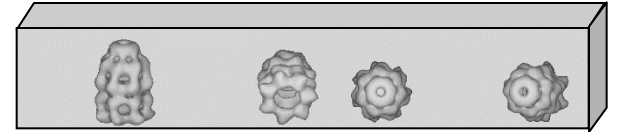
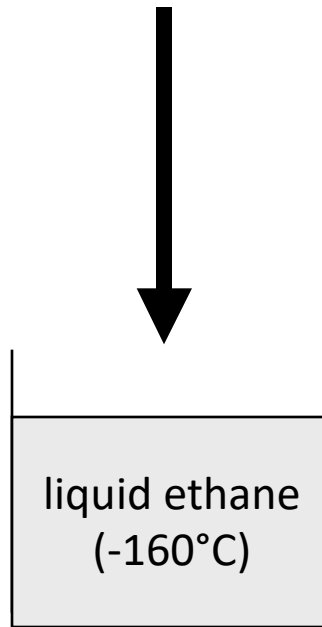
- Samples are embedded in super-cooled liquid, called **vitreous ice** (that's why it's *cryo*-EM...)
- Preparation:
  - Rapid cooling (10 000 K/s; -160 °C) -> vitrified metastable state, no ice crystal formation
  - No staining used
- Imaging:
  - Structureless, hydrated medium preserved despite the vacuum of the microscope



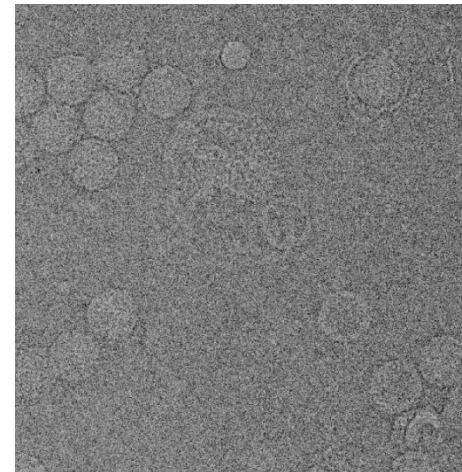
# Sample preparation for cryo-ET



**Sample is imaged  
in native state**



Edge-on view of an unsupported  
part of the water layer

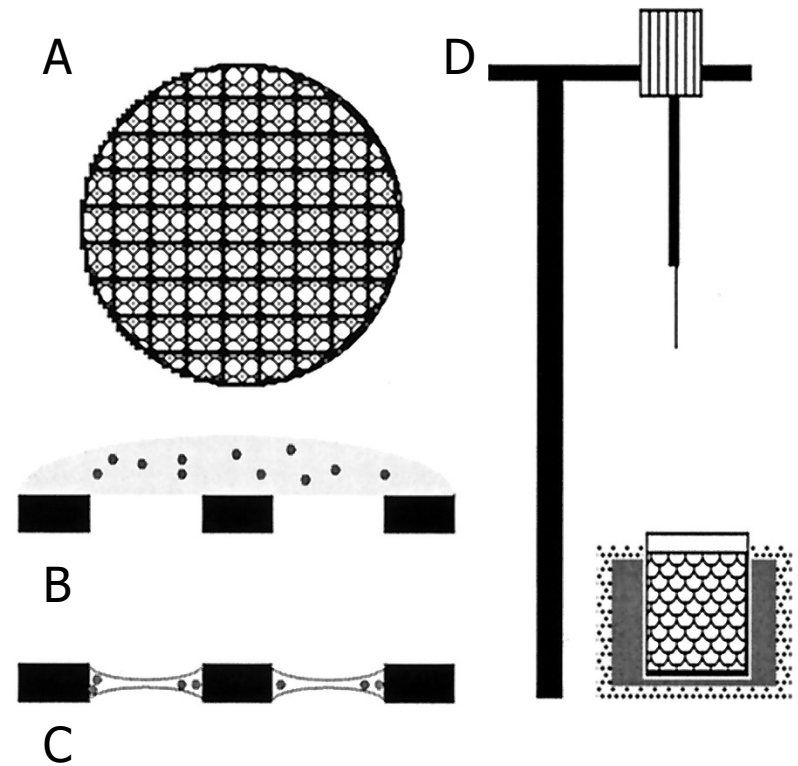


image

# Preparation of vitrified specimens

## Vitrification

- A. EM grid covered with a “holey” layer of carbon
- B. Sample ( $3\mu\text{l}$ ) in solution is pipetted over the grid
- C. The grid is blotted to remove excess of solution
- D. Guillotine holding tweezers is released to plunge the grid into liquid ethane bath cooled by liquid nitrogen



# Preparation of EM specimens

## Negative-stained specimens

- Pros:
  - High image contrast
  - Low sensitivity to electron beam
- Cons:
  - Only surface details visible, not the density of the object
  - Resolution is limited (incomplete stain penetration, stain movement during imaging, variable flattening of the structure)

# Preparation of EM specimens

## Vitrified, unstained specimens

- Pros:
  - Internal details accessible
  - Object is in a native-like state
  - Resolution is not limited by the sample preparation
- Cons:
  - Limited sample thickness
  - Tedious sample preparation and handling
  - Special equipment required

# Various states of water

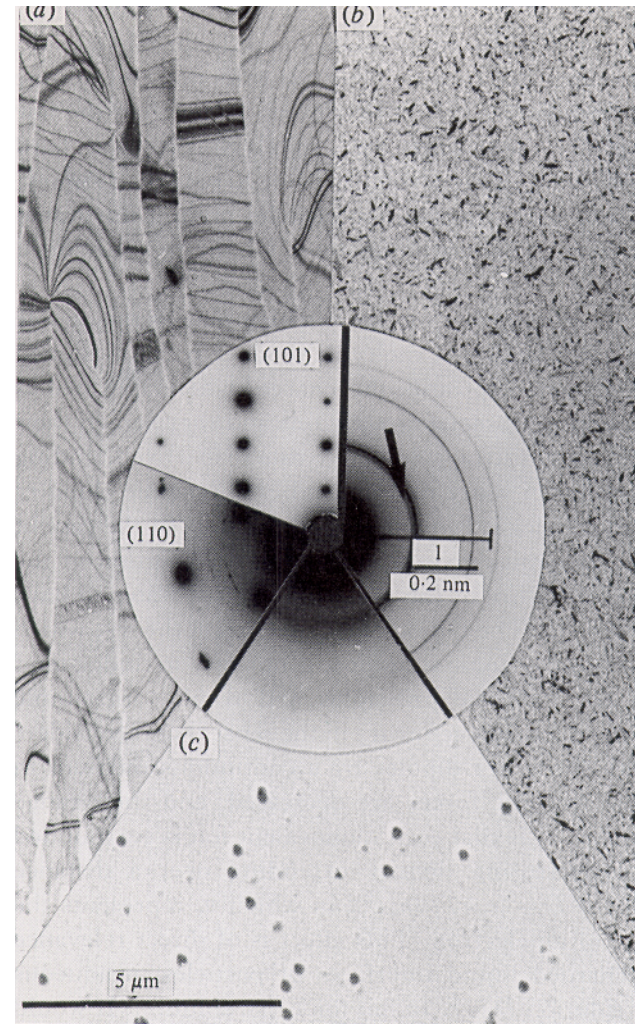
Plastic beads ( $\sim 100$  nm)  
in three forms of solid  
water:

(a) hexagonal ice

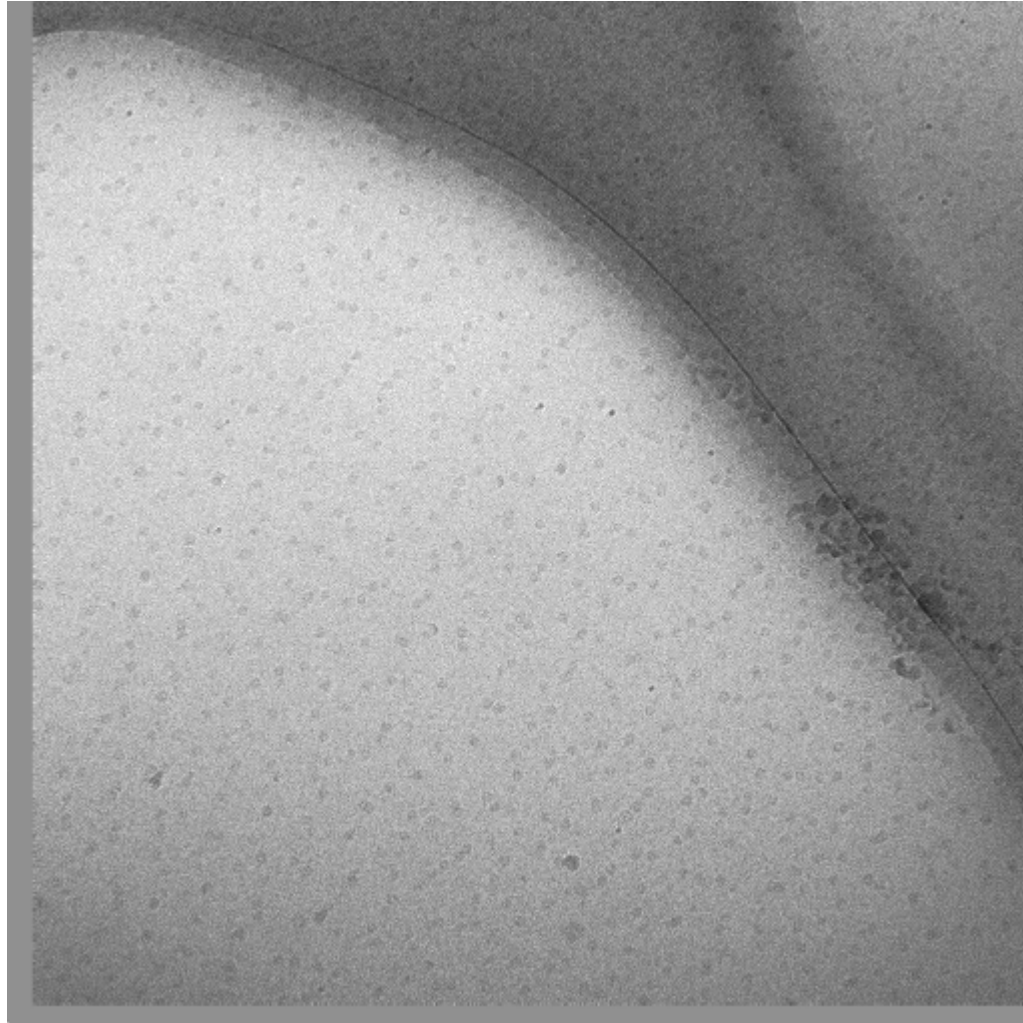
(b) cubic ice

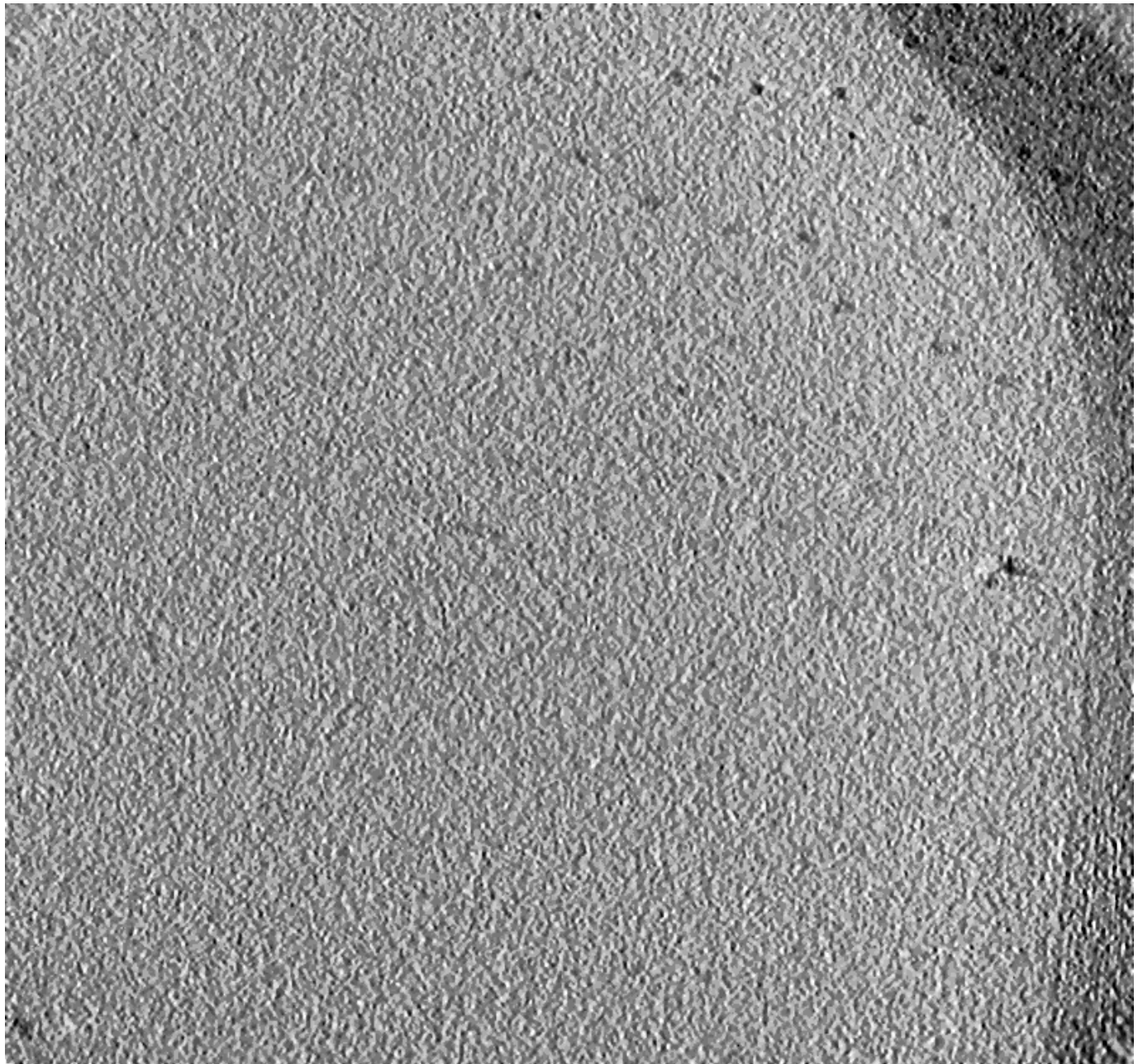
(c) vitreous ice

Characteristic diffraction  
patterns



# Example of cryoET



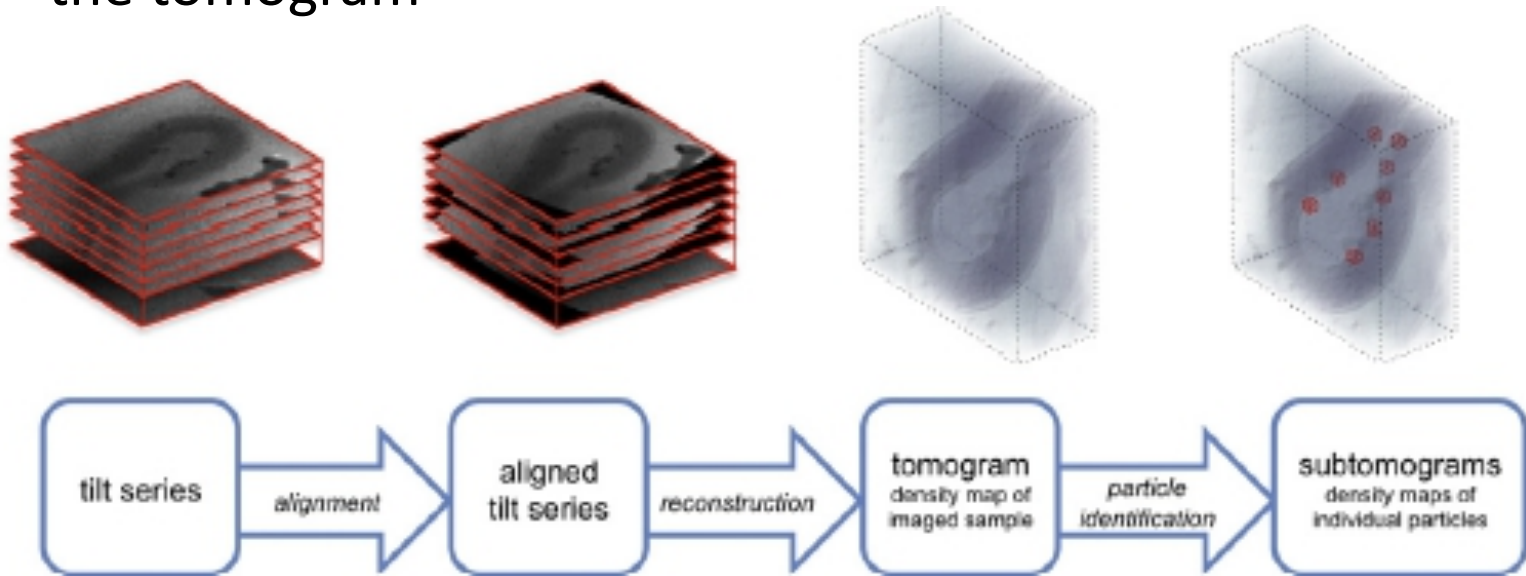






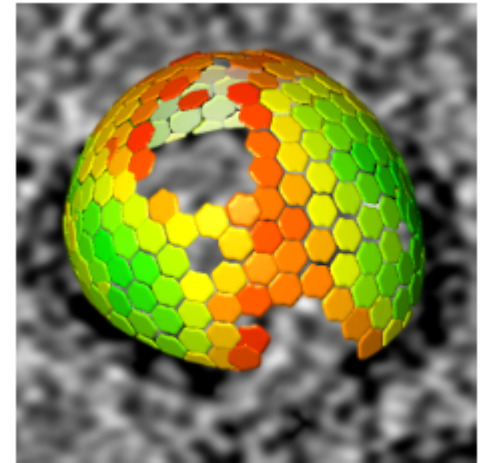
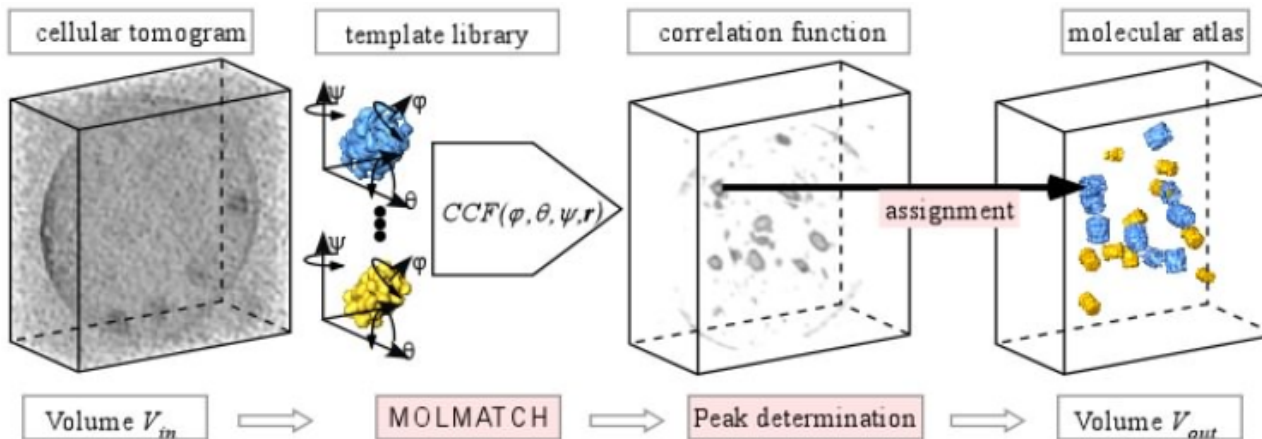
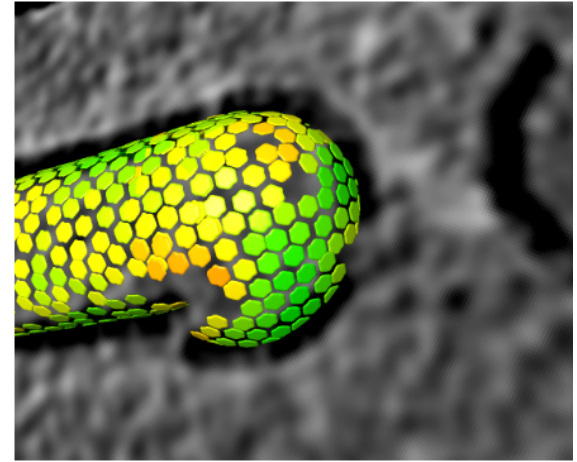
# Subtomogram averaging (1)

- “Raw” data are small volumes extracted from tomograms
- Idea is similar to single particle, but the “raw” data is already in 3D → additional degrees of freedom
- Requires significant computational resources
- Target molecule must be (relatively) easily recognizable in the tomogram

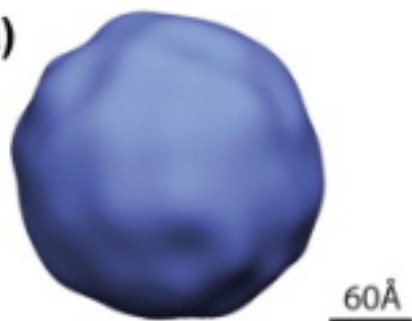


# Subtomogram extraction

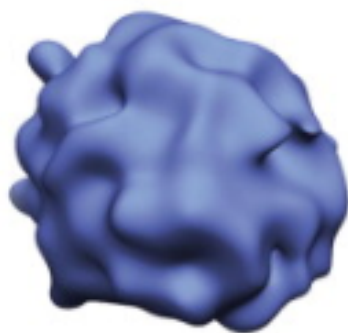
- At least three options for selecting subtomograms:
  - Manual (tedious)
  - Geometric
  - Template matching
- Can reach high resolution → CTF correction becomes important



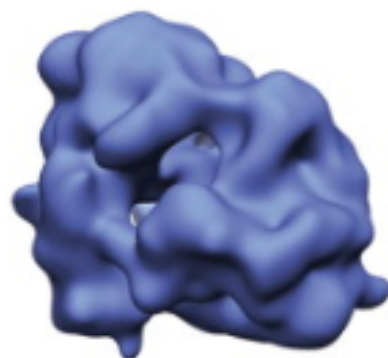
**(a)**



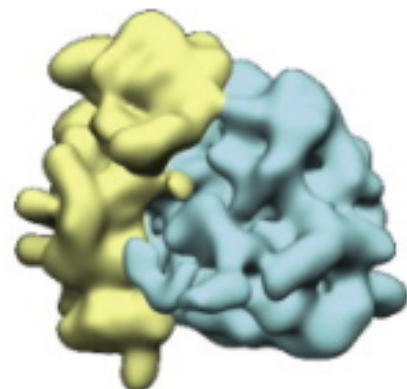
Starting Reference



Iteration 1

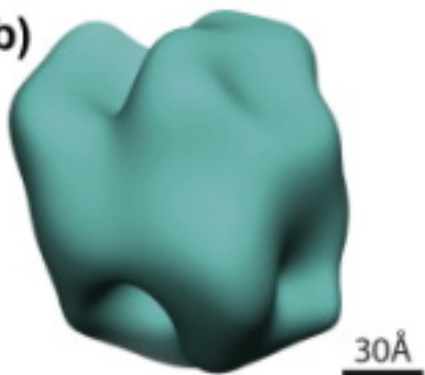


Iteration 4



Iteration 10

**(b)**



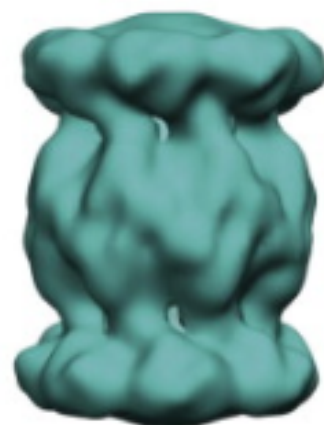
Starting Reference



Iteration 1



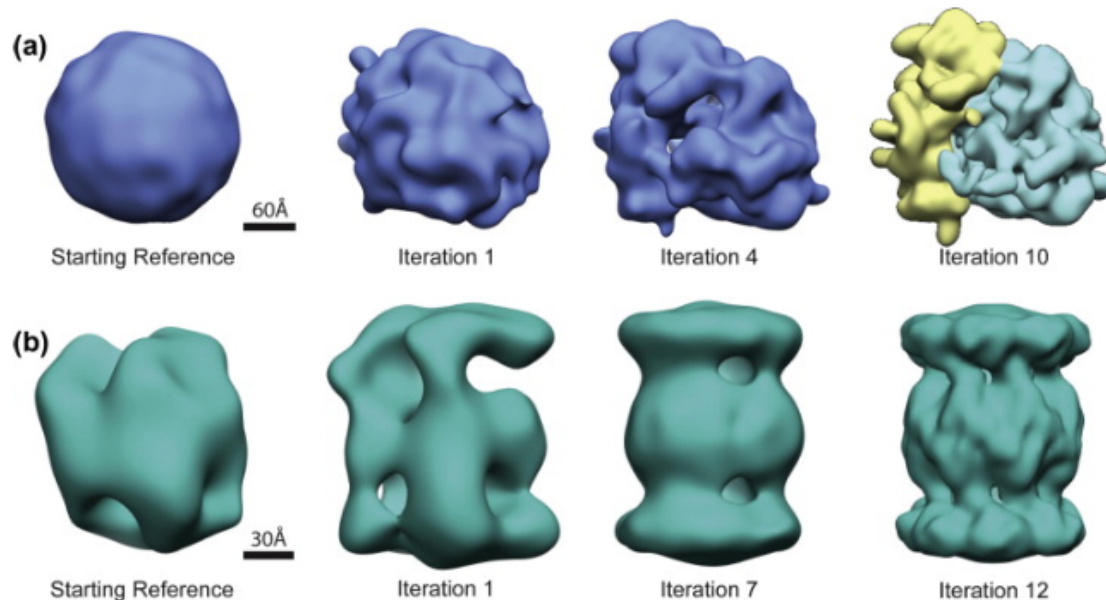
Iteration 7



Iteration 12

# Conclusions for tomography

- For pleomorphic/unique structures tomography is one of the few 3D imaging options
- Identical subvolumes can be cut from the tomogram and averaged similarly to single particle reconstructions
- Tomogram resolutions around 15Å according to databases
- Subtomogram averages around 4Å (DBs)



# Contents for single particle

1. Goals and requirements
2. Sample preparation, imaging & image quality
3. Image processing & reconstruction
4. New detectors & current state of the art

# Goals for successful SPR

- Eliminate background AND alter your sample as little as possible during preparation
- Record as much detail as possible during imaging (minimize damage to fine structure)
- Obtain 3D model and enhance fine structure via averaging

**MOST IMPORTANT STEP?**

*Garbage in -----> garbage out*

"Tim Baker"

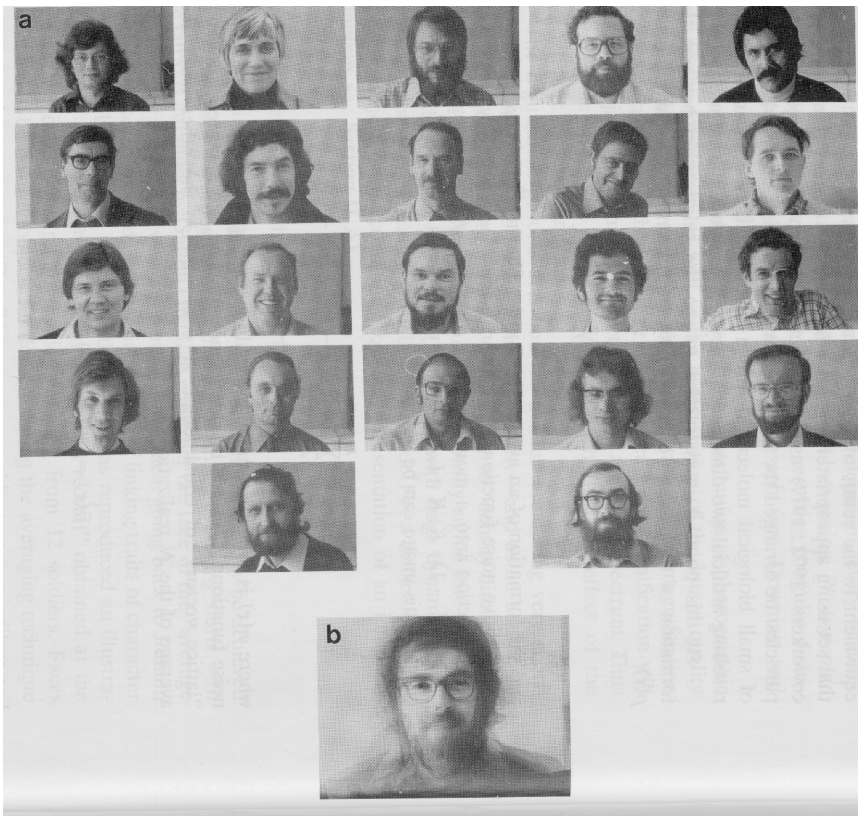
# Requirements on the object of interest

- Size (depending on detector and material) min. 100-200kDA
- Structure between molecules must be **IDENTICAL**

A random sample of complexes may have:

- \*differences in mobile subunits
- \*minorities
- \*cofactors
- \*damaged complexes

<-- 2D average of 22 complexes



# Contents

1. Goals and requirements
2. Sample preparation, imaging & image quality
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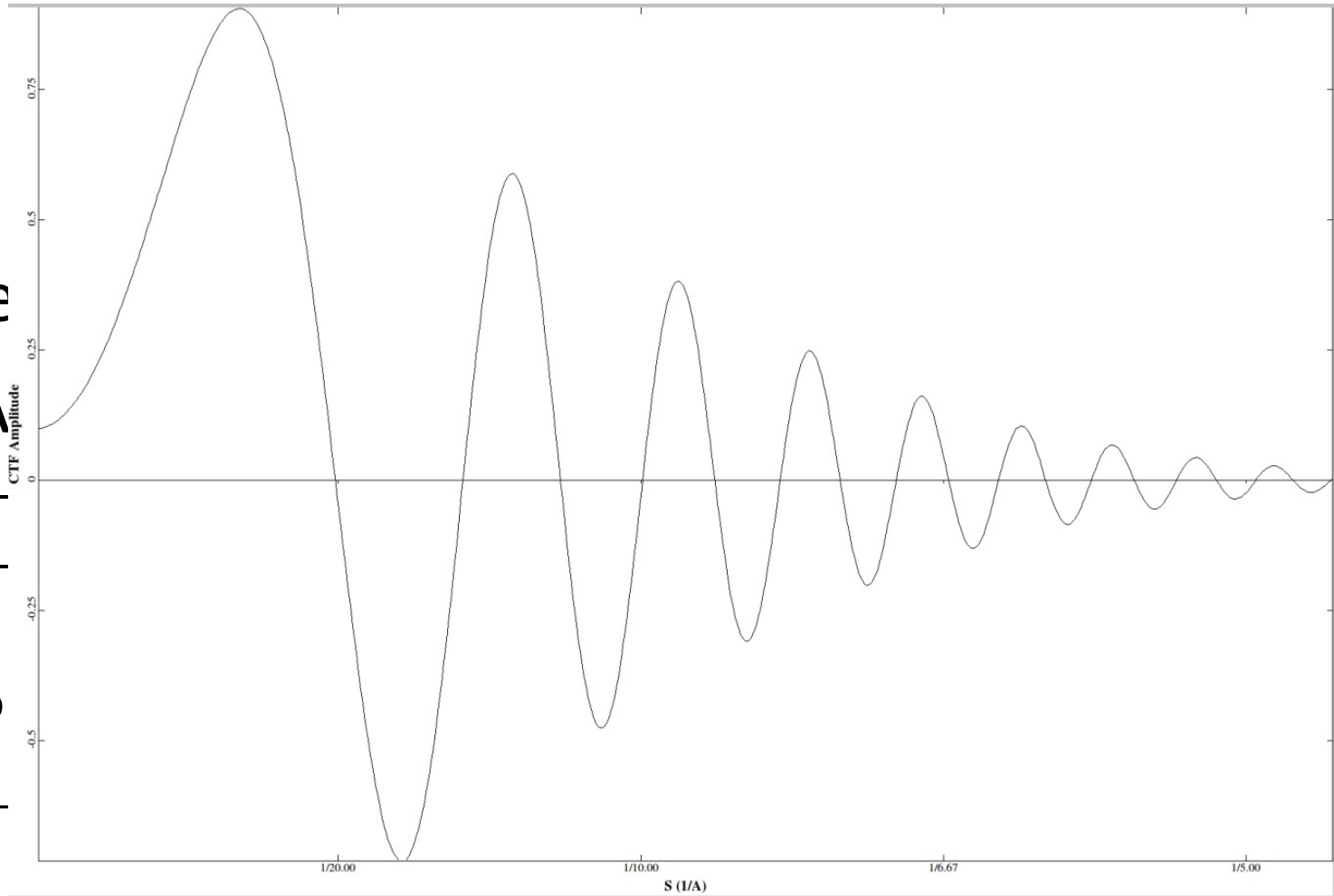
The

• A

CTF Amplitude

• P

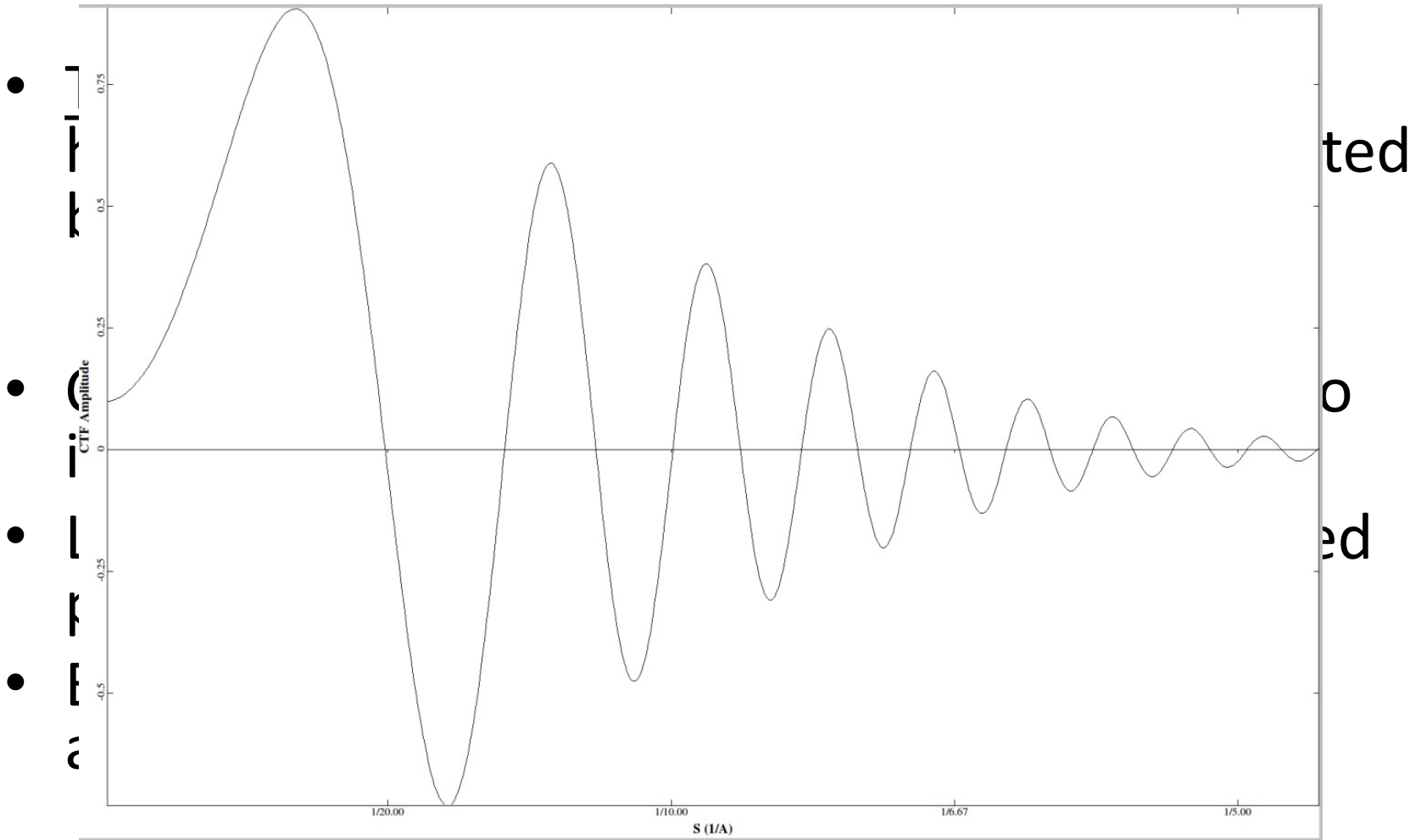
-



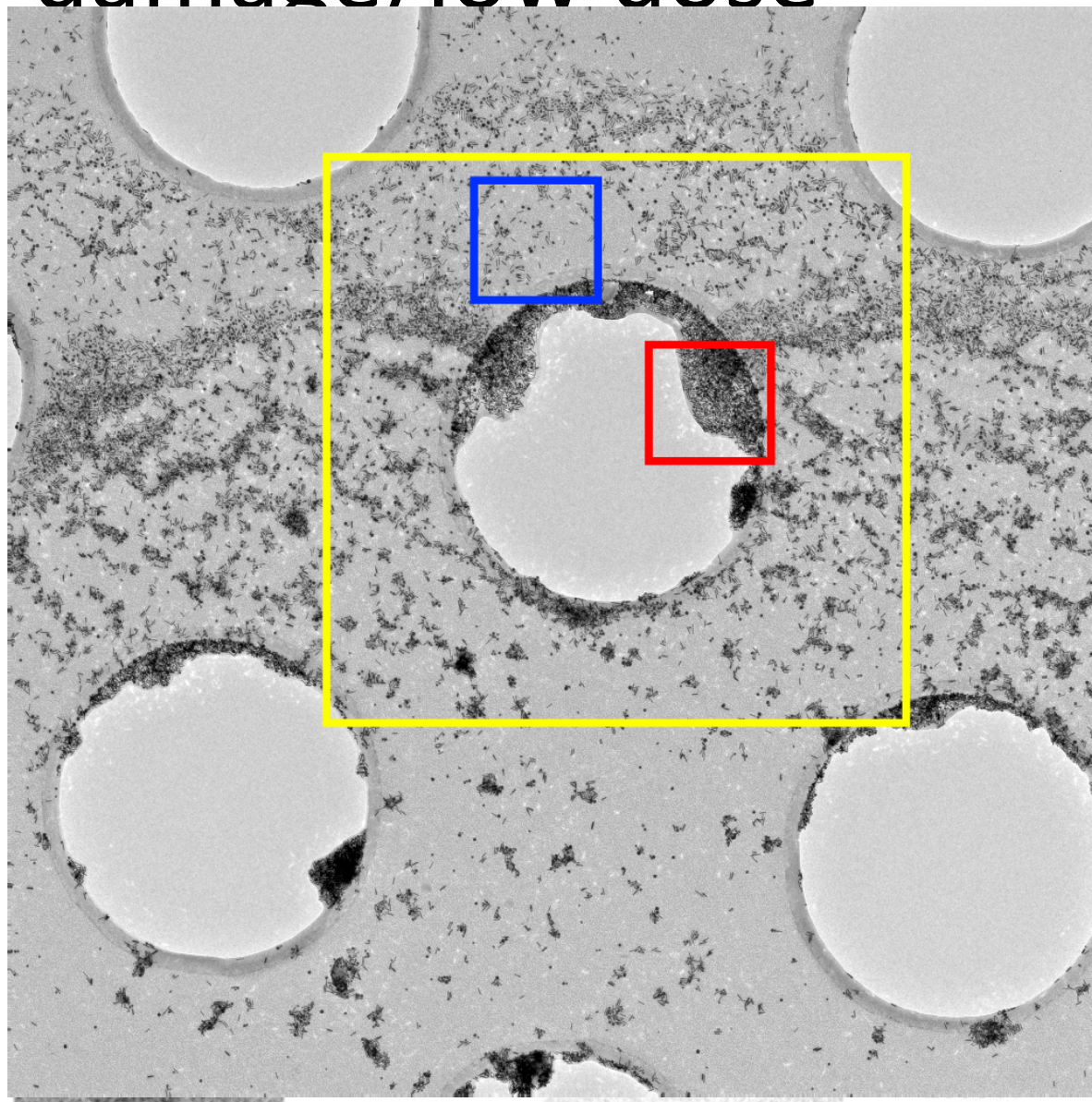
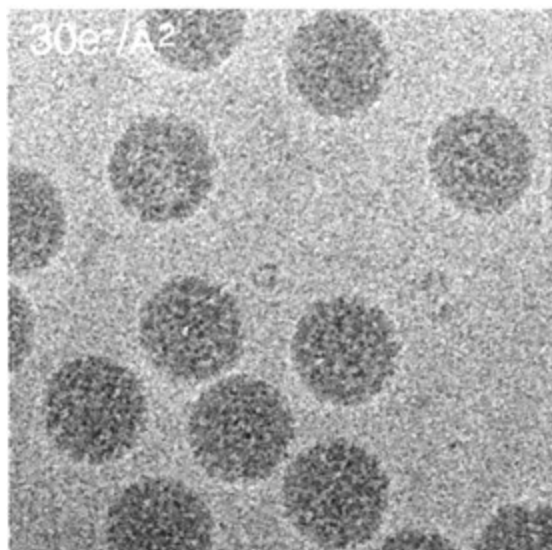
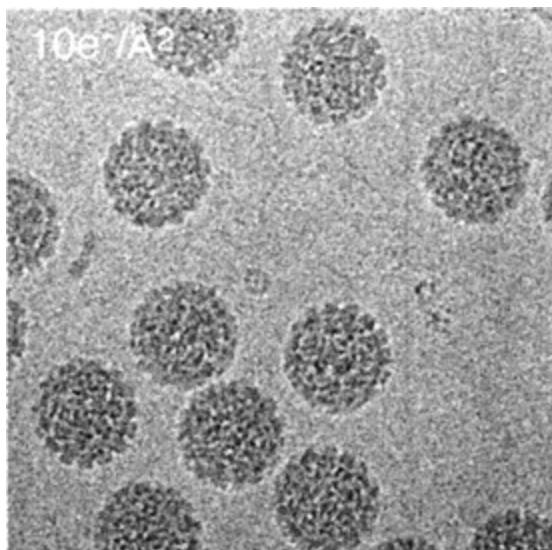
$$\text{CTF}(v) = -\{(1 - F_{\text{amp}}^2)^{1/2} \cdot \sin(\chi(v)) + F_{\text{amp}} \cdot \cos(\chi(v))\} \cdot e^{-(\delta v)^2}$$

$$\text{where: } \chi(v) = \pi \cdot \lambda \cdot v^2(\Delta f - 0.5 \cdot C_s \cdot \lambda^2 \cdot v^2)$$

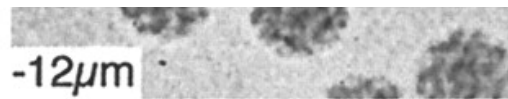
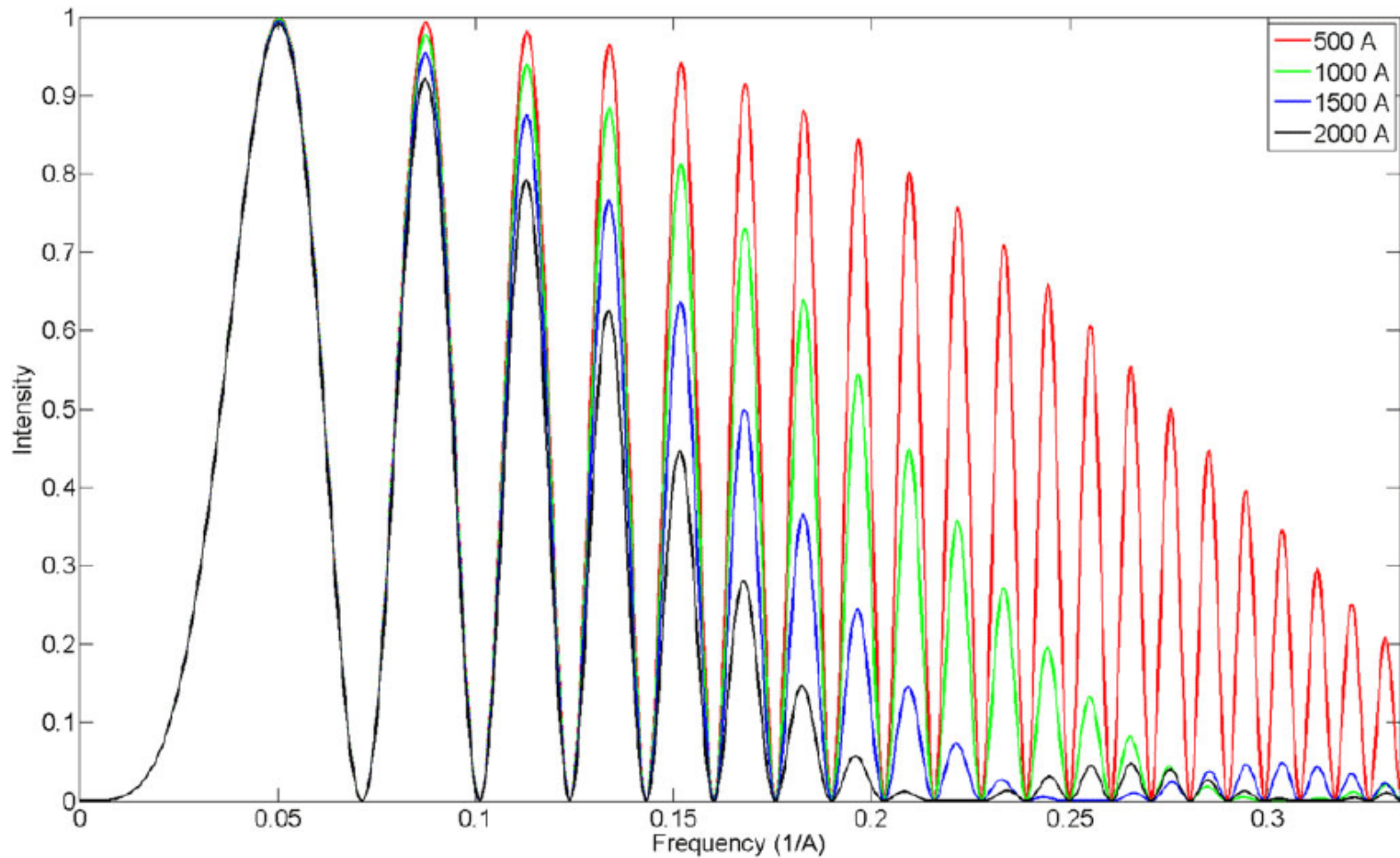
# Imaging of vitrified specimens

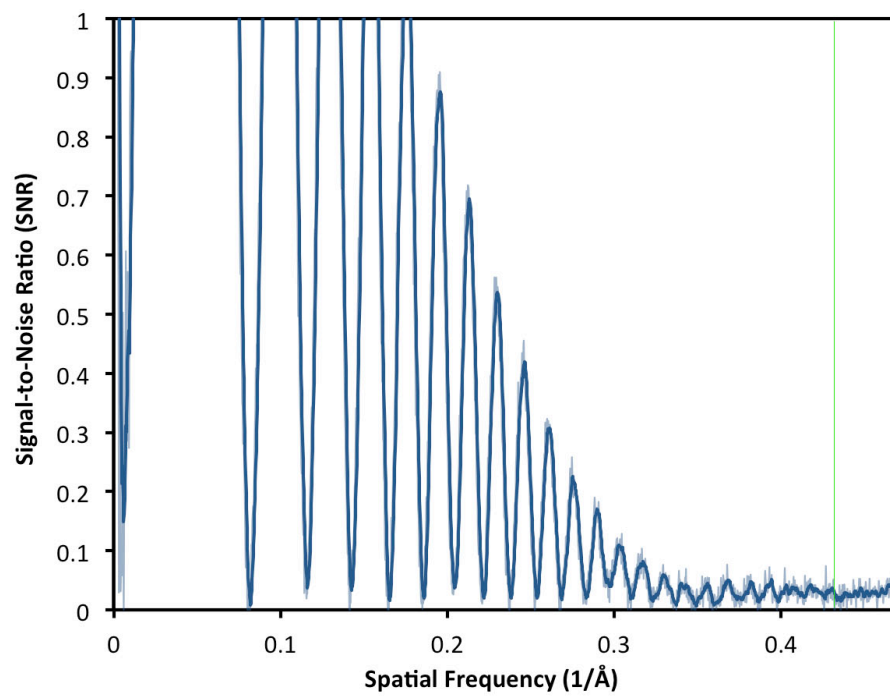
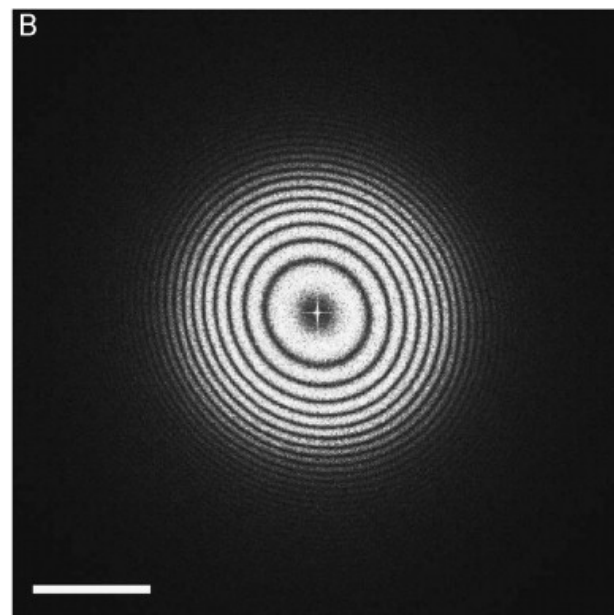
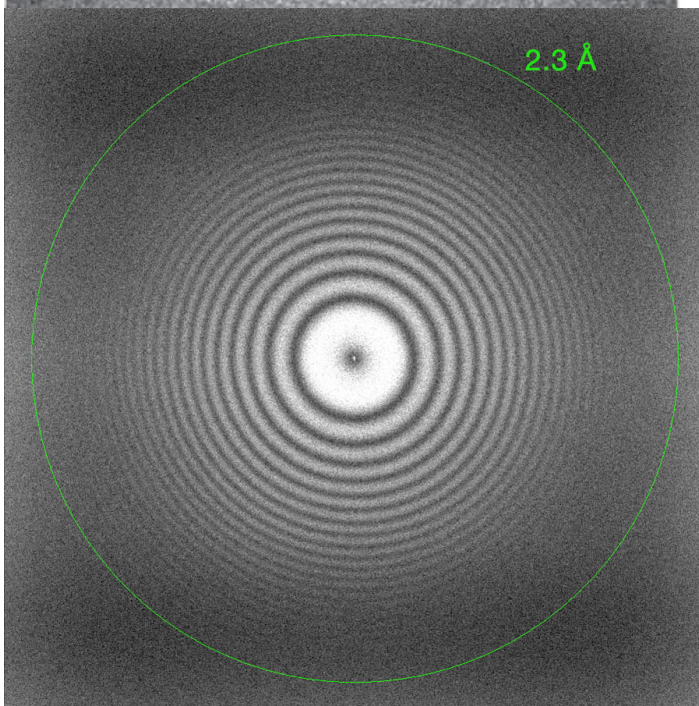
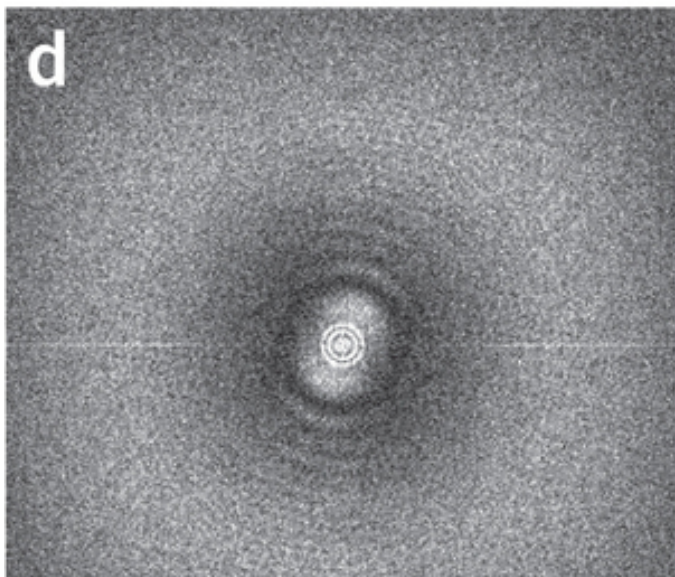


# Beam damage/low dose



# Tungsten filament (80kV)





# Contents

1. Goals and requirements
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4. New detectors & current state of the art

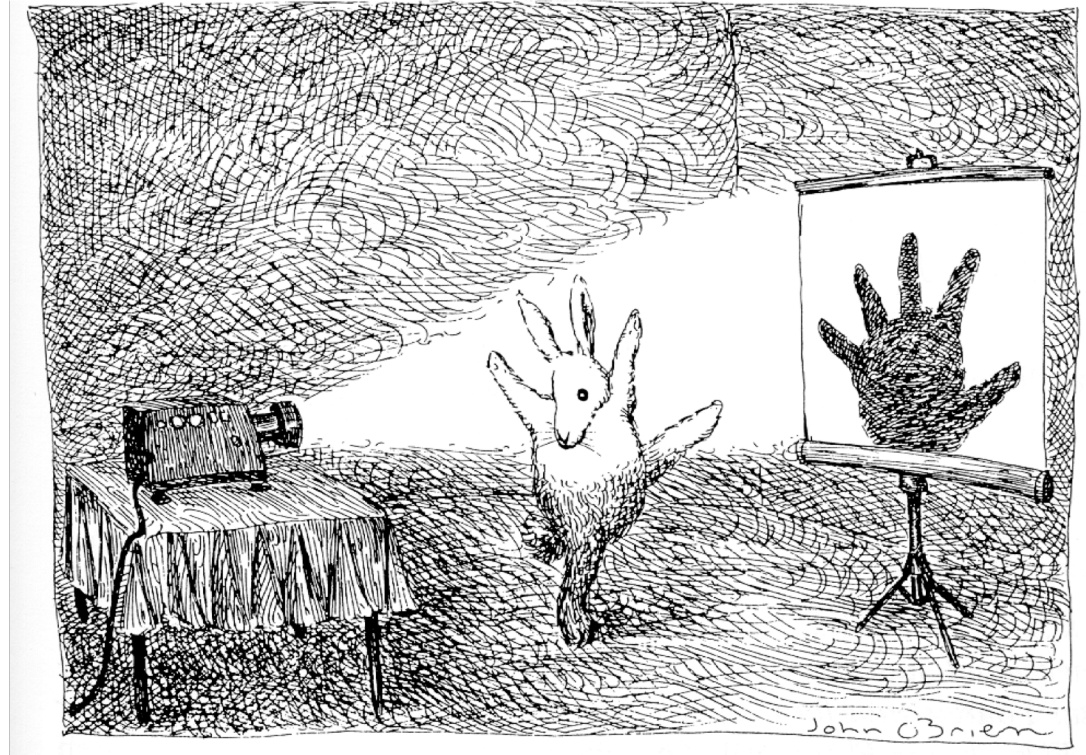
# 3D Image reconstruction

Goal: Reconstruct a 3D model from 2D TEM-images

TEM-image represents a projection image of the specimen.

-> Features at different depths in the structure are all superimposed.

-> Cannot generate a 3D structure from a single 2D projection.



Solution: combine projections taken at different angles

# 3D Image reconstruction

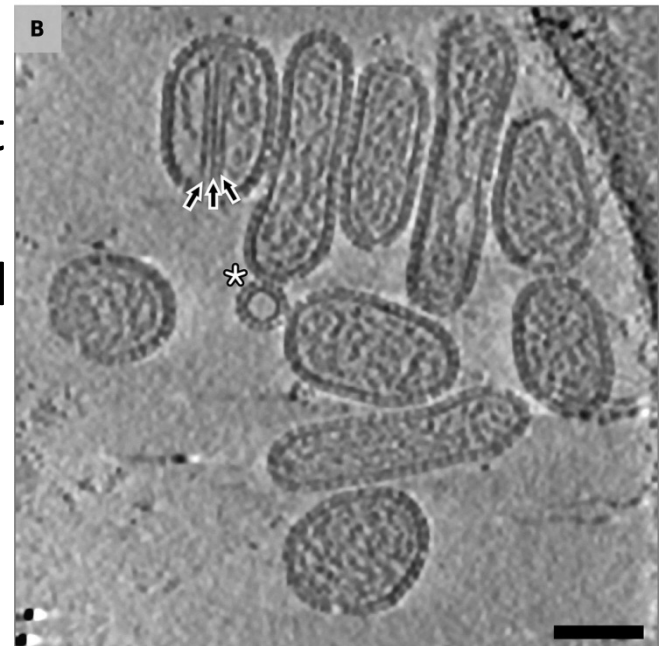
Method choice depending on the sample:

- Single particle analysis
  - identical unordered copies of the object (mass > 200 kDa (or: around 100kDa, DDs), e.g. ribosomes, viruses)
  - Symmetry can be exploited, helical can be a special case
- 2-D crystals
  - identical copies of the object (e.g. a membrane protein) forming an ordered 2D-crystal
- Tomography
  - one unique object (e.g. mitochondrion, cell section)



# Single particle approach

- Requires tons of images of individual, but mutually identical objects, in different (random) orientations
- Works with symmetric and non-symmetric objects
- Most critical is the determination of orientation of objects in respect to each other
- Images at different defoci are used for CTF compensation
- Routinely reaches sub-nanometer resolutions (record is around 2Å)

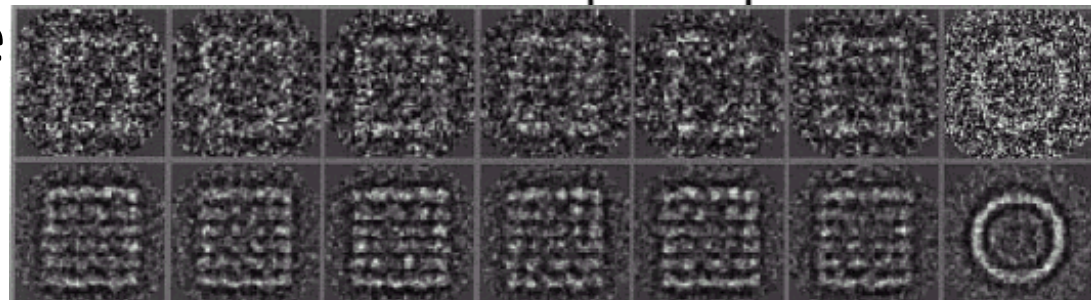
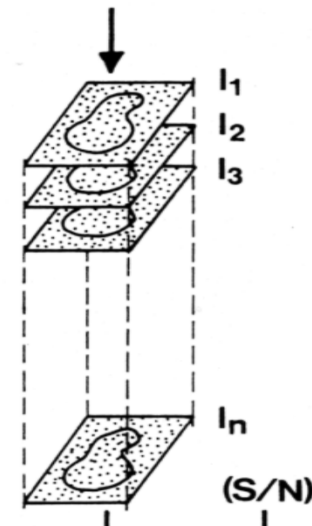
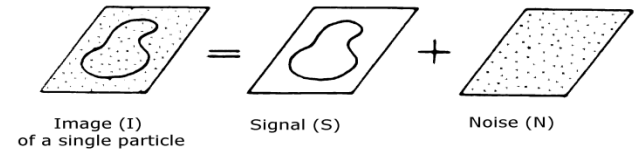


# Single particle analysis

- Images are projections of different (identical) particles in random orientations
- Five parameters must be defined before the 3D reconstruction can be calculated from the 2D projections:
  - Centre of the particle (2 coordinates:  $x$ ,  $y$ )
  - Orientation of the particle (3 eulerian angles  $\theta$ ,  $\varphi$ ,  $\omega$ )

# Single particle analysis

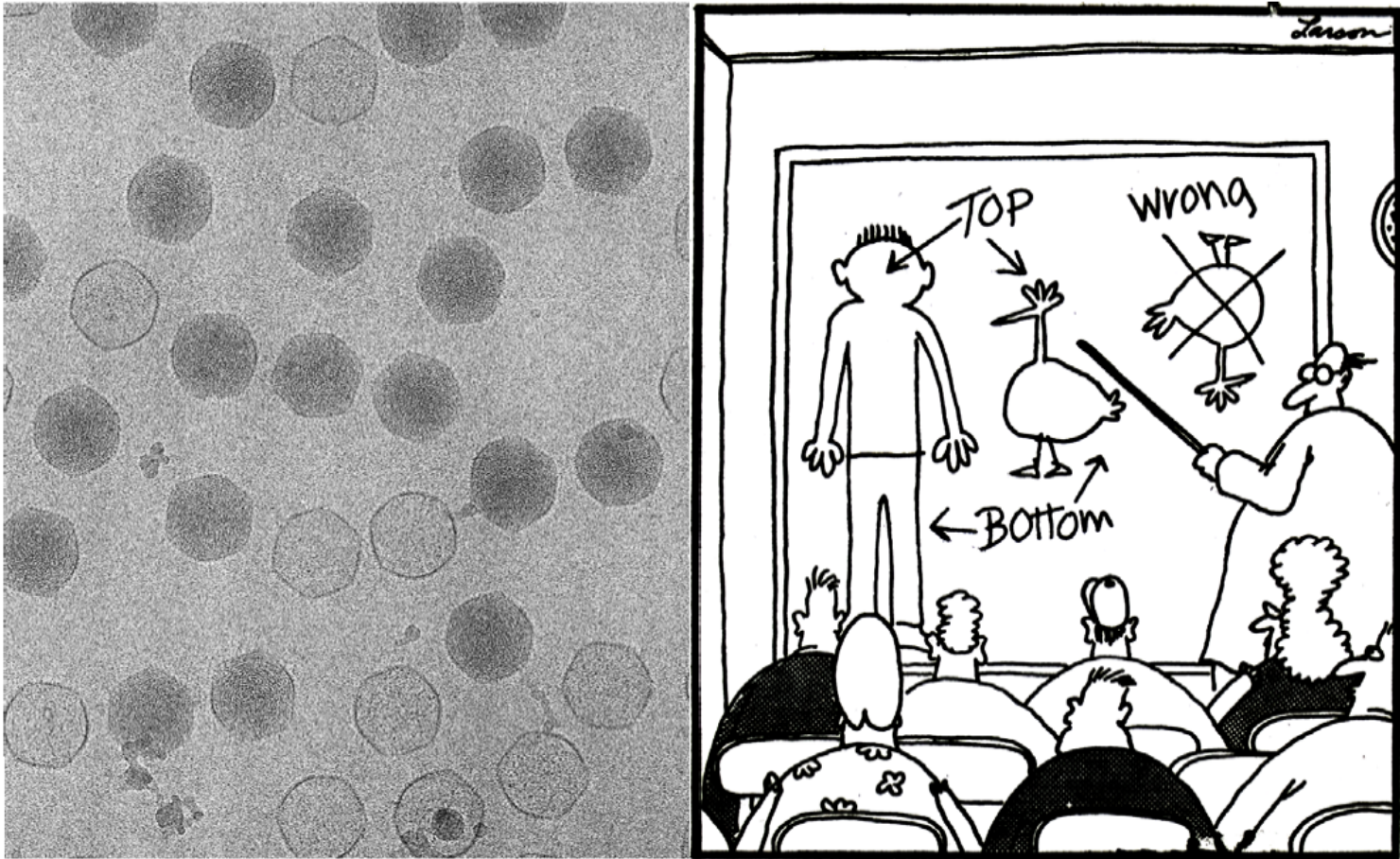
- A large number of images (typically 1 000-10 000) is needed to increase the signal (S) and decrease noise (N)
- Images in the same orientation can be averaged



grid are superimposed in register, thereby improving the signal-to-noise ratio (S/N) of the common structural features by a factor of  $n^{1/2}$ .

# Icosahedral Virus 3D Reconstruction Scheme

Determine Origin and Orientation ( $\theta, \phi, \omega, x, y$ )



People who don't know which end is up

Slide courtesy of Tim Baker, UCSD, and Larson, The Far Side

How do we determine the  $(\theta, \phi, \omega, x, y)$  parameters?

Two methods:

1. *Ab initio* (e.g. Common lines)

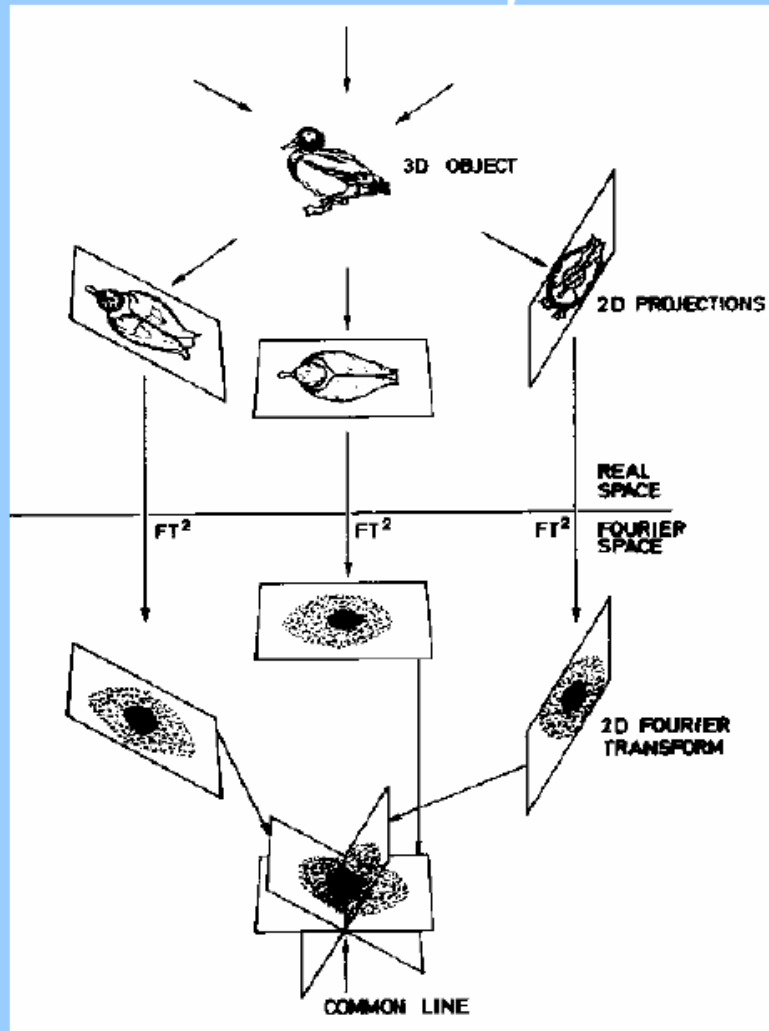
New or unknown structure

2. Model-based (template) matching

General features of structure are known or a crude model can be generated (...or, sometimes, even a lousy model will work)

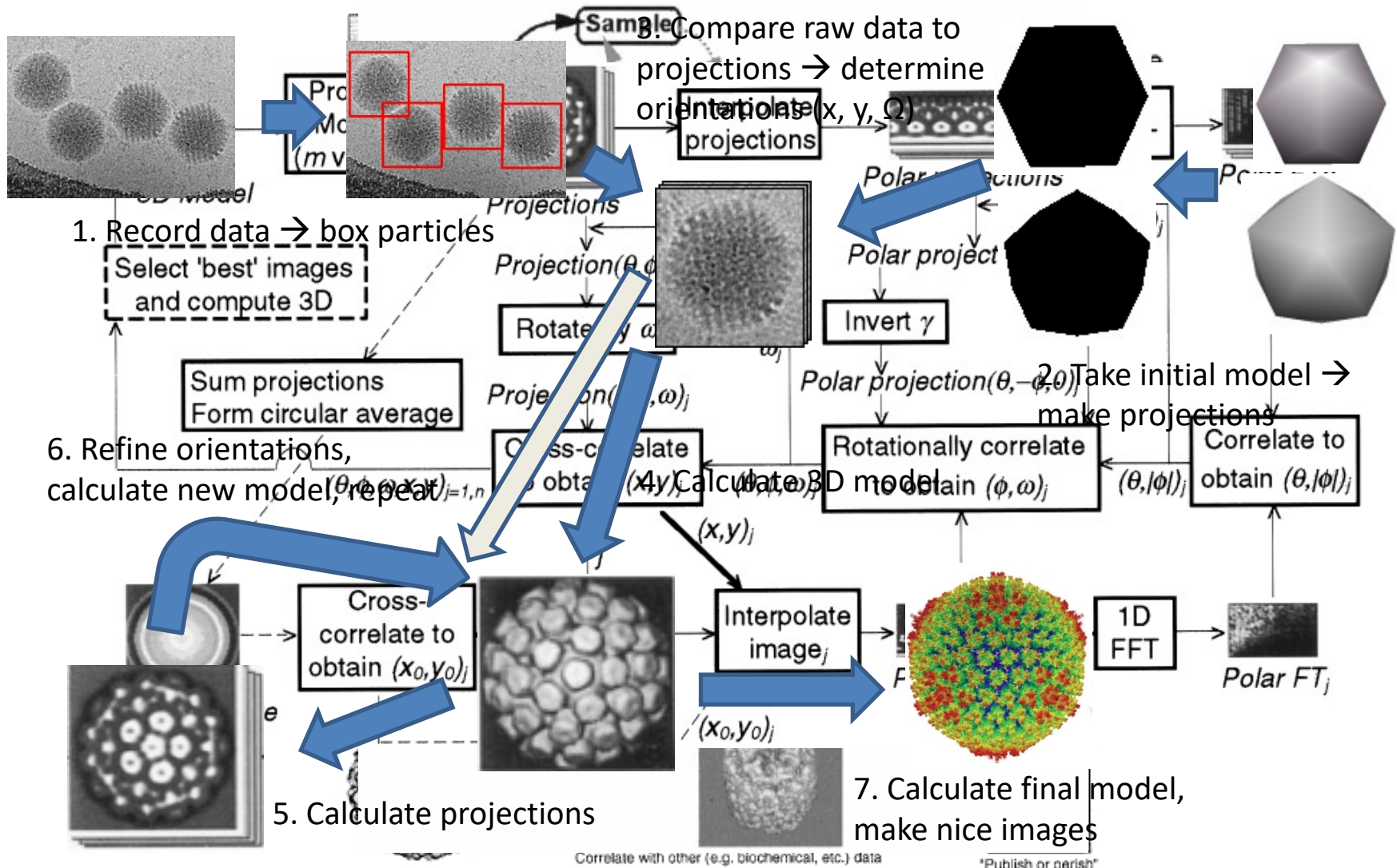
it's the common line!

## Projection Theorem



The two-dimensional Fourier transform of the projection of a three-dimensional density is a central section of three-dimensional Fourier transform of the density perpendicular to the direction of projection.

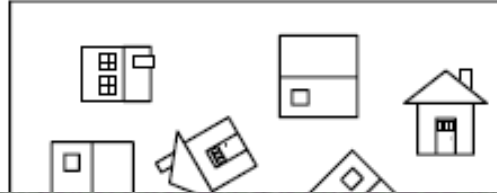
# The model based method



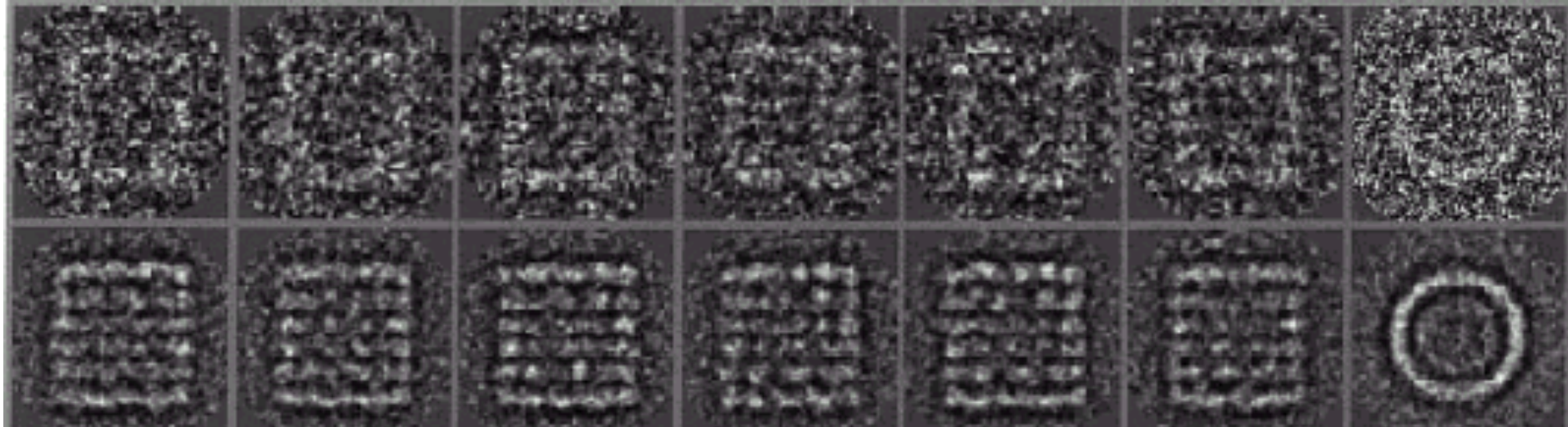
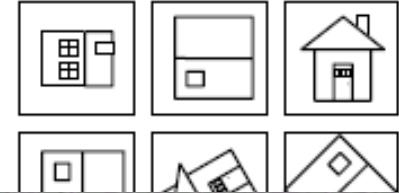
# The classification method

- No need for a starting model →

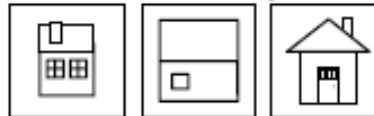
A Micrograph



B Selected particle images



E Oriented class averages



Side

Top

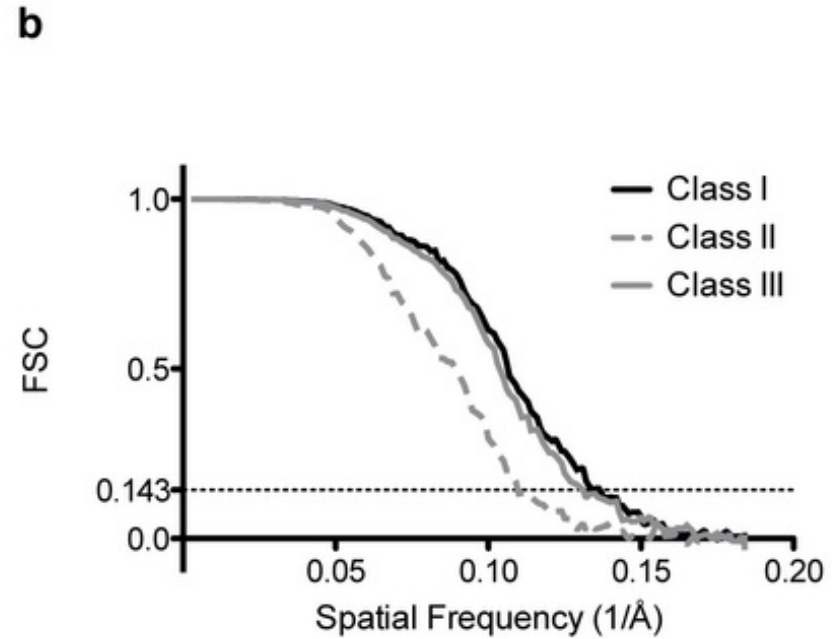
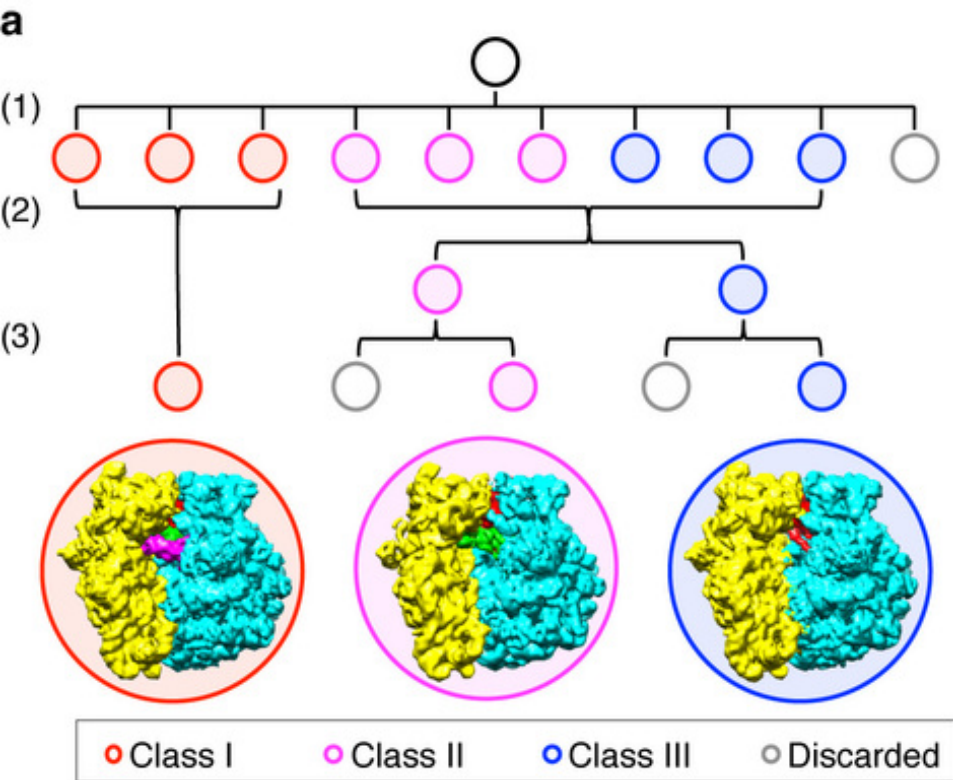
Front

F Three-dimensional structure

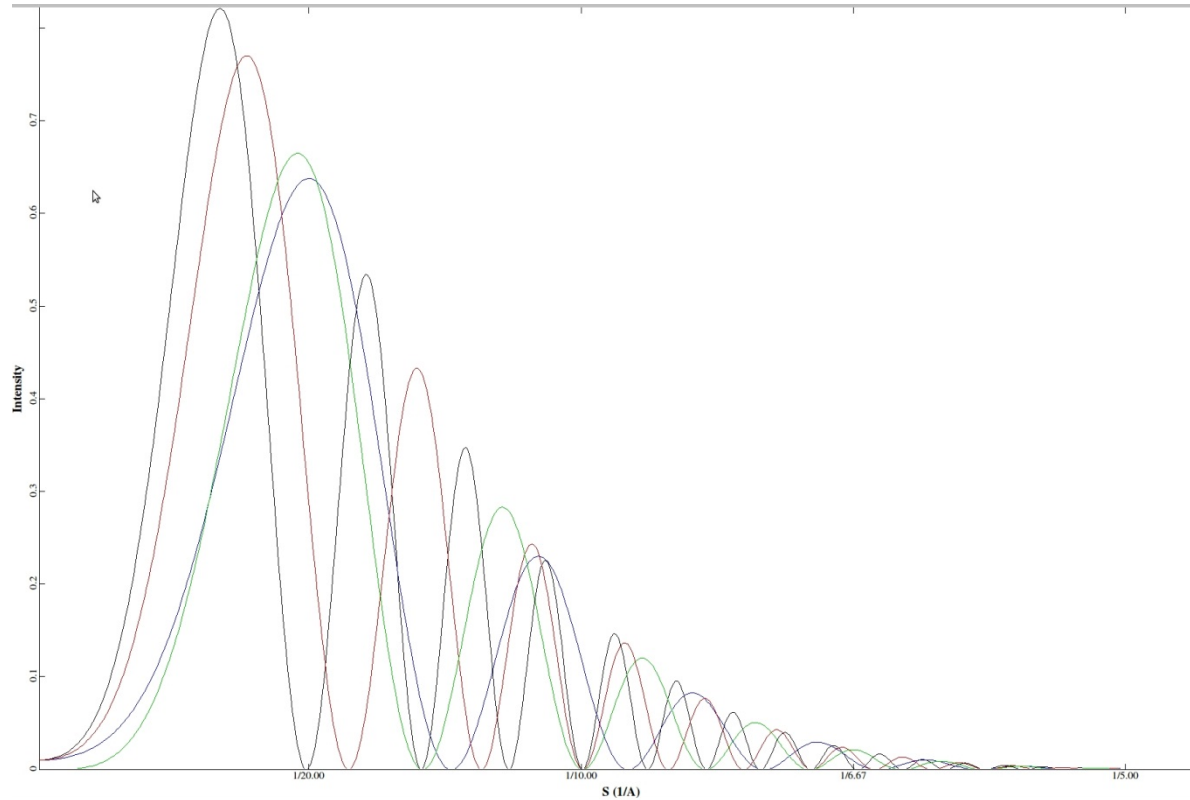




# 3D classification



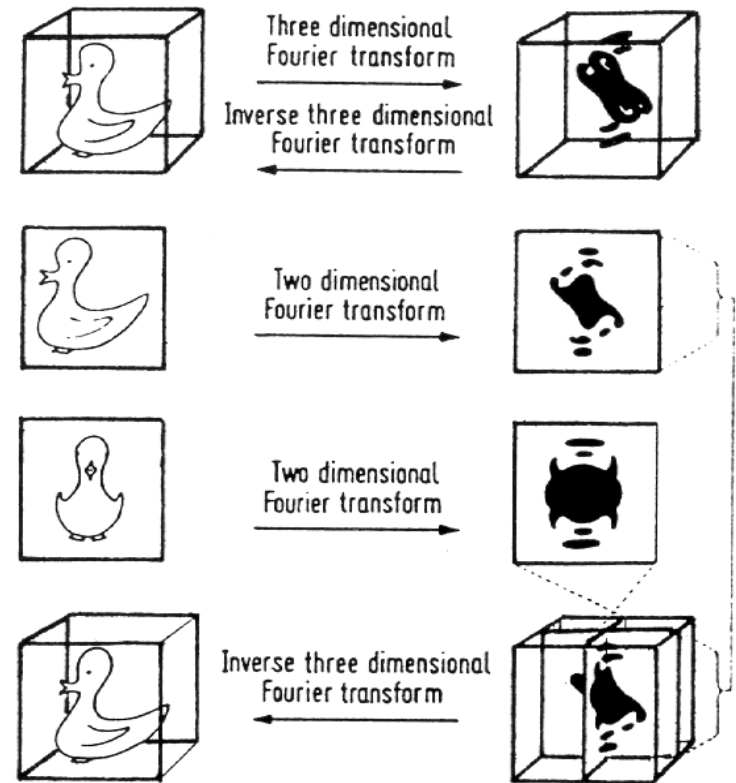
# CTF correction



Compensation: 1) phase flips  
2) decay at high res  
3) zeros

# Single particle analysis

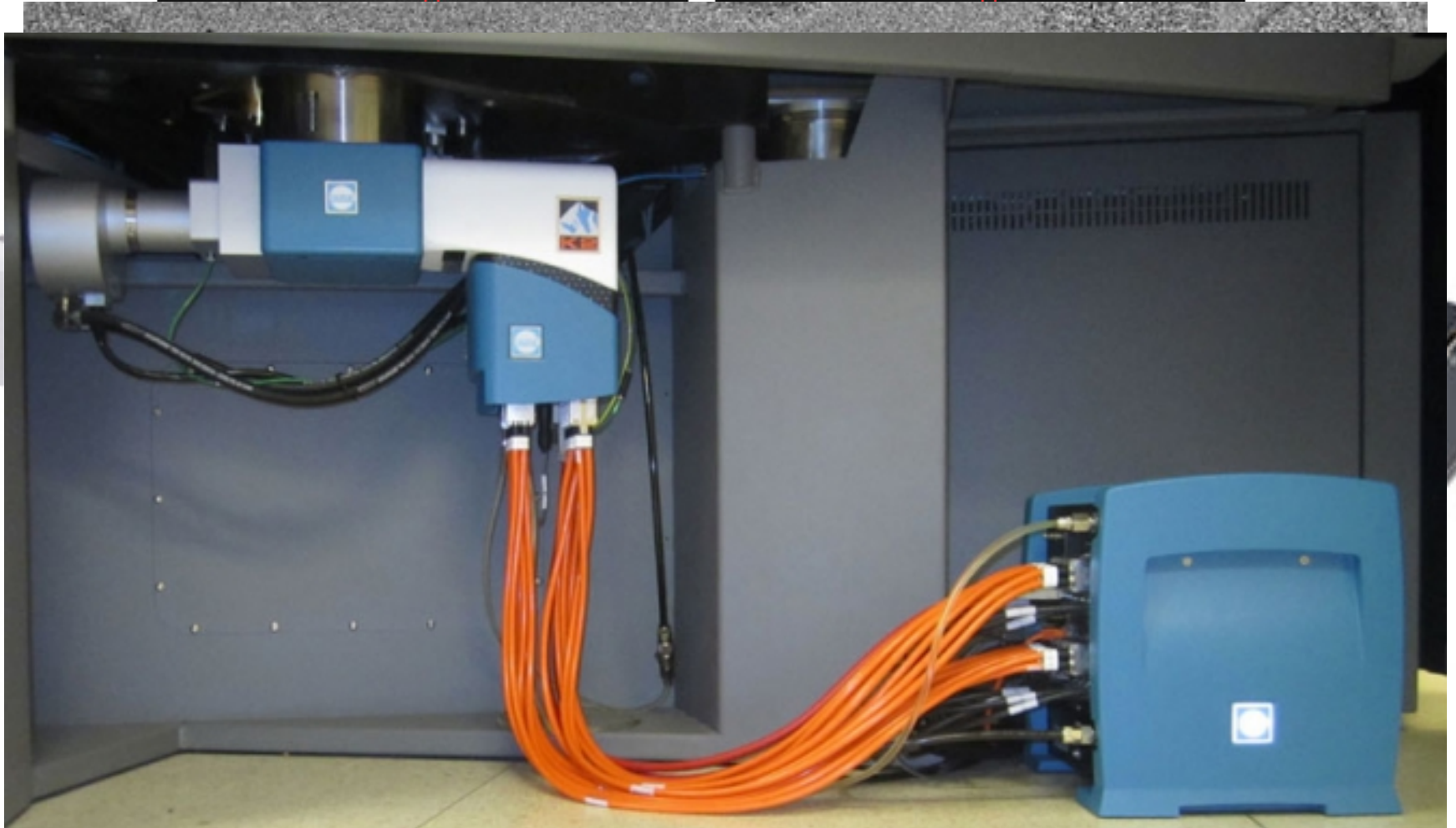
- 2D Fourier transform (FT) is calculated for every projection
- These correspond to central sections in 3D FT of the object
- Reconstruction can be done by “filling” the 3D Fourier space with these sections



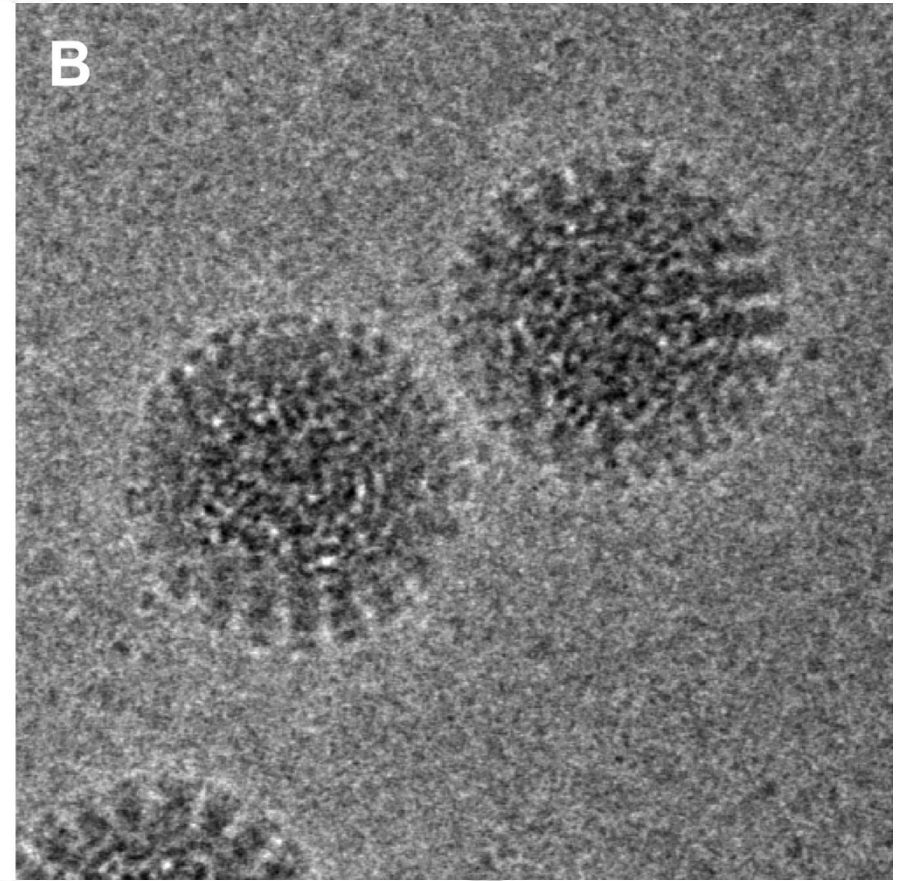
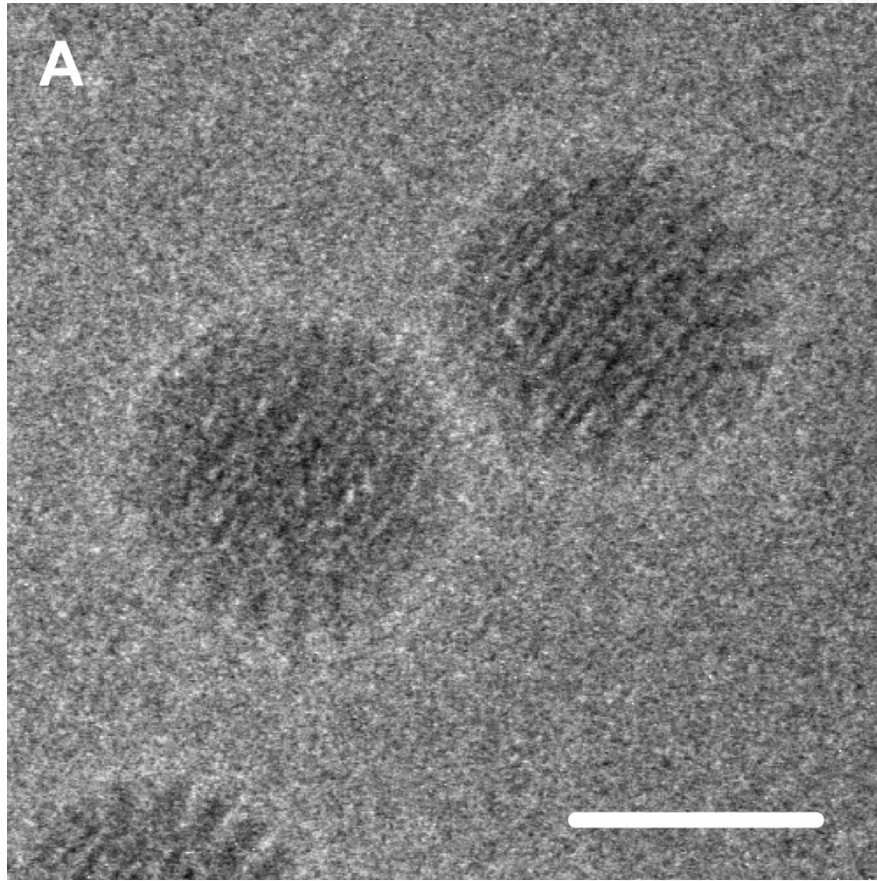
# Contents

1. Goals and requirements
2. Sample preparation, imaging & image quality
3. Image processing & reconstruction
4. New detectors & current state of the art

# Direct electron detectors



# Beyond sensitivity



K2 Summit™ Counting

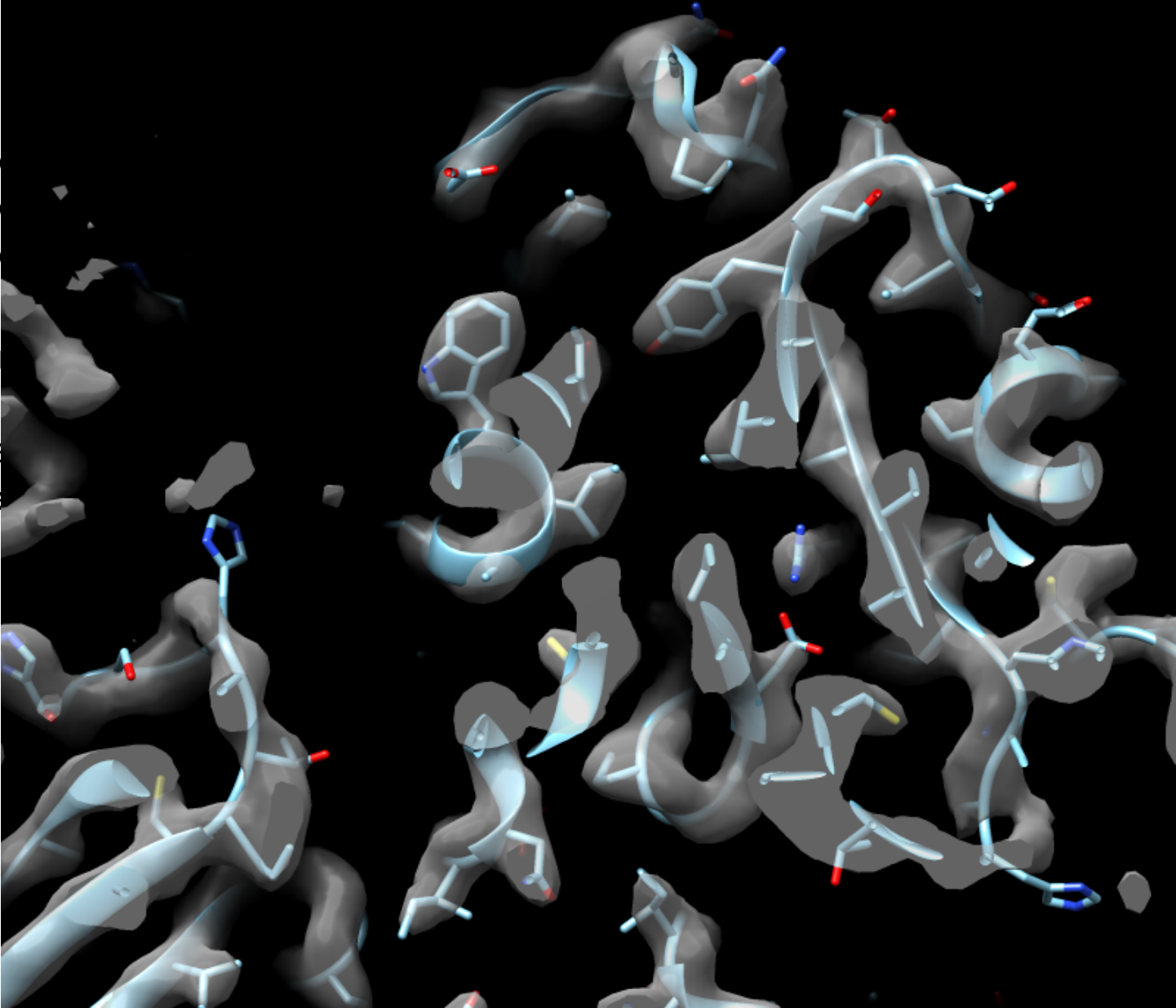
H

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1. EM
2. EM
3. EM

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The re



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building.

# 2017 NOBEL PRIZE IN CHEMISTRY



The Nobel Prize in Chemistry 2017 was awarded to **Jacques Dubochet**, **Joachim Frank**, and **Richard Henderson** for the development of cryo-electron microscopy for determining biomolecule structures.

## X-RAY CRYSTALLOGRAPHY



Structures of proteins that form crystals

## NMR SPECTROSCOPY



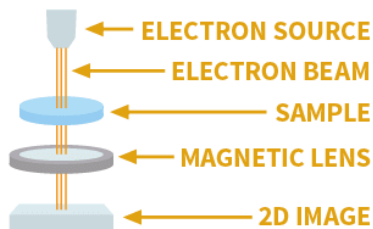
Structures of small proteins in solution

## CRYO-EM

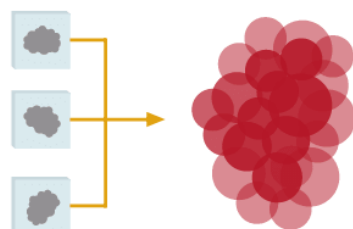


Structures of large, non-crystalline proteins

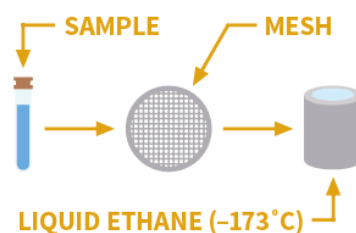
Cryo-electron microscopy (cryo-EM) is a technique that makes it possible to produce 3D images of biomolecules at atomic resolution. It can be used to capture images of biomolecules which could not be visualised with previously existing techniques.



**Henderson** pioneered the use of electron microscopy (EM) to visualise proteins. Using it, he produced the first atomic resolution image of a protein, bacteriorhodopsin, in 1990.



**Frank** developed an image analysis method that allowed computers to assemble a high resolution 3D image from many 2D EM images, improving the quality of biomolecule images.



Biological samples dry out and are damaged when in vacuum during EM. **Dubochet** solved this by rapidly freezing samples in water at  $-173^{\circ}\text{C}$  to form an icy glass instead of crystals.

## WHY DOES THIS RESEARCH MATTER?

Cryo-EM allows scientists to reveal how proteins move and interact with other molecules, freezing and observing them mid-process. It could improve our understanding of drug targets and biological processes.

Nobel Prize in Chemistry Press release: [https://www.nobelprize.org/nobel\\_prizes/chemistry/laureates/2017/press.html](https://www.nobelprize.org/nobel_prizes/chemistry/laureates/2017/press.html)





# Conclusions

- SPR can produce atomic resolution models of macromolecules with relatively easy sample preparation
- Strict requirement for identical particles → however, 2D- & 3D-classification can work with mixed populations (very laborious)
- New detectors (and computational methods) have changed the process significantly