

E4230 Microwave EO Instrumetation

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Can you explain?













Polarization



Polarization

The polarization of a uniform plane wave describes the locus traced by the tip of the E vector (in the plane orthogonal to the direction of propagation) at a given point in space as a function of time.







$$\mathbf{E}(z) = \mathbf{\hat{x}} E_x(z) + \mathbf{\hat{y}} E_y(z)$$











Polarization ellipse

$$\mathbf{E} = \begin{bmatrix} E_{\rm v} \\ E_{\rm h} \end{bmatrix},\tag{5.3}$$

$$E_{\rm v} = a_{\rm v}, \qquad (5.4a)$$

$$E_{\rm h} = a_{\rm h} e^{j\delta}, \qquad (5.4b)$$

$$\tan 2\psi = (\tan 2\alpha_0)\cos \delta = \frac{2a_v a_h}{a_v^2 - a_h^2}\cos \delta \qquad (5.5a)$$
$$(-\pi/2 \le \psi \le \pi/2),$$
$$\sin 2\chi = (\sin 2\alpha_0)\sin \delta = \frac{2a_h a_v}{a_h^2 + a_v^2}\sin \delta \qquad (5.5b)$$
$$(-\pi/4 \le \chi \le \pi/4),$$

$$\tan \alpha_0 = \frac{a_{\rm h}}{a_{\rm v}} \,. \tag{5.6}$$



Figure 5-2: Polarization ellipse in the v-h plane for a wave traveling in the $\hat{\mathbf{k}}$ direction.







Relation between Eⁱ and E^s

In the case of all E components Receiver $\mathbf{E}^{s} = \mathbf{2}$ \mathbf{E}^{i} Vertically Es polarized antenna \mathbf{E}_{v}^{1} $\mathbf{E}^{i} = \hat{\mathbf{v}}_{i}E_{v}^{i} + \hat{\mathbf{h}}_{i}E_{h}^{i},$ $\mathbf{E}^{\mathrm{s}} = \hat{\mathbf{v}}_{\mathrm{s}} E_{\mathrm{v}}^{\mathrm{s}} + \hat{\mathbf{h}}_{\mathrm{s}} E_{\mathrm{h}}^{\mathrm{s}},$ $S_{\rm vv}$ $\begin{bmatrix} E_{\rm v}^{\rm s} \\ E_{\rm h}^{\rm s} \end{bmatrix} = \left(\frac{e^{-jkR_{\rm r}}}{R_{\rm r}} \right) \begin{bmatrix} \widetilde{S}_{\rm vv} & \widetilde{S}_{\rm vh} \\ \widetilde{S}_{\rm hv} & \widetilde{S}_{\rm hh} \end{bmatrix} \begin{bmatrix} E_{\rm v}^{\rm i} \\ E_{\rm h}^{\rm i} \end{bmatrix}$ *S* is the scattering matrix

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Scattering matrix in BSA

$$\mathbf{S} = \begin{pmatrix} S_{vv} & S_{vh} \\ S_{hv} & S_{hh} \end{pmatrix} \quad \textbf{(BSA)}$$
$$S_{vh} = S_{hv} \quad \textbf{(backscatter)}.$$
Because of **reciprocity theorem**



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Polarization synthesis

When scattering matrix is known, scattered wave can be calculated to ANY incident wave, with arbitrary polarization!

Calculation of response for arbitrary polarization is called polarization synthesis.

Scattering matrix can be transformed to different polarization bases.

Scattering matrix connects any arbitrary polarized incident wave to scattered wave

$$\begin{bmatrix} E_{v}^{s} \\ E_{h}^{s} \end{bmatrix} = \left(\frac{e^{-jkR_{r}}}{R_{r}}\right) \begin{bmatrix} \widetilde{S}_{vv} & \widetilde{S}_{vh} \\ \widetilde{S}_{hv} & \widetilde{S}_{hh} \end{bmatrix} \begin{bmatrix} E_{v}^{i} \\ E_{h}^{i} \end{bmatrix}$$



Connection between σ and S

p,q = v or h

For simple point target the relation is:

$$\sigma_{pq} = 4\pi |\widetilde{S}_{pq}|^2.$$

$$\sigma_{pq} = \lim_{R_{\rm r}\to\infty} \left(4\pi R_{\rm r}^2 \, \frac{{\rm S}_p^{\rm s}}{{\rm S}_q^{\rm i}} \right)$$

 $\mathbb{S}_p^{\mathrm{s}} = |E_p^{\mathrm{s}}|^2/2\eta_0$ $\mathbb{S}_q^{\mathrm{i}} = |E_q^{\mathrm{s}}|^2/2\eta_0$

$$\widetilde{S}_{pq} = \widetilde{S}_{pq}(\theta_i, \phi_i; \theta_s, \phi_s; \theta_j, \phi_j) = \lim_{R_r \to \infty} \left[R_r e^{-jkR_r} \left(\frac{E_p^s}{E_q^i} \right) \right]$$



Polarization synthesis

Backscattering coefficient depends on antenna polarization!

$$\sigma_{0tr} = K \begin{bmatrix} E_x^r \\ E_y^t \end{bmatrix} \begin{bmatrix} S_{hh} & S_{hv} \\ S_{vh} & S_{vv} \end{bmatrix} \begin{bmatrix} E_x^t \\ E_y^t \end{bmatrix} = K \mathbf{E}^r S \mathbf{E}^t$$

By knowing scattering matrix, backscattering is possible to calculate for ANY antenna polarization combination!



$$K = \frac{e^{-jkR_r}}{R_r}$$

Scattering matrix in different polarimetric basis

Scattering matrix can be presented in many orthogonal polarization basis systems.

- horizontal vertical,
- right-left circular
- -45 +45 linear



Orthogonal basis points are located on the opposite side of Poincare sphere



Why to measure polarization?

 When the wave is reflected the polarization of reflected wave depends on the polarization of incident wave and the structure and dielectric constant of the reflected objects







Basic backscattering mechanisms

- Single reflection
- $\mathbf{S} = \frac{a}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

(metal sphere).

(5.139)



Double reflection

 $\mathbf{S} = rac{k_0 a b}{\pi} egin{pmatrix} -1 & 0 \ 0 & 1 \end{pmatrix}.$

(5.140)



Trihedral reflector

$$\mathbf{S} = \frac{k_0 l^2}{\sqrt{2} \pi} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}.$$

(5.141)



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Scattering matrix of thin cylinder target

Polarization response can be estimated and for many target polarization change is possible to calculate analytically.

Scattering matrix for conducting cylinder

$$\mathbf{S} = \frac{k_0^2 l^3}{3[\ln(4l/a) - 1]} \begin{pmatrix} \sin^2 \mu & -\sin \mu \cos \mu \\ -\sin \mu \cos \mu & \cos^2 \mu \end{pmatrix}.$$
(5.142)







Polarimetric radar





(a) Block diagram



(b) Timing diagram

Figure 5-36: Calibration of polarimetric radar. A polarimetric radar is implemented by alternately transmitting signals out of horizontally and vertically polarized antennas and receiving at both polarizations simultaneously. Two pulses are needed to measure all the elements in the scattering matrix [van Zyl and Kim,

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Polarimetric Measurement

Measuring one polarization



for most media Shv=Svh (reciprocity)





Polarimetric image













 \mathbf{S}_{hv}





Shh

$$\begin{bmatrix} E_x^r \\ E_y^r \end{bmatrix} = K \begin{bmatrix} S_{hh} & S_{hv} \\ S_{vh} & S_{vv} \end{bmatrix} \begin{bmatrix} E_x^t \\ E_y^t \end{bmatrix}$$





Svv

$$\begin{bmatrix} E_x^r \\ E_y^r \end{bmatrix} = K \begin{bmatrix} S_{hh} & S_{hv} \\ S_{vh} & S_{vv} \end{bmatrix} \begin{bmatrix} E_x^t \\ E_y^t \end{bmatrix}$$





$$\begin{bmatrix} E_x^r \\ E_y^r \end{bmatrix} = K \begin{bmatrix} S_{hh} & S_{hv} \\ S_{vh} & S_{vv} \end{bmatrix} \begin{bmatrix} E_x^t \\ E_y^t \end{bmatrix}$$





Phase Shh







Phase Shh-Svv







Span





Rotating antennas







Visualizing Polarimetric image









Polarimetric Indices

Polarization channels allow calculation of various linear combinations of channels with special interpretation:

$RVI = \frac{\frac{1}{8\sigma HV}}{\frac{1}{\sigma HH} + \frac{1}{\sigma VV} + \frac{1}{2\sigma HV}}$

Radar Vegetation Index




Figure 5-48: RVI images of the area shown in Fig. 5-47 at three different frequencies. The RVI is scaled from 0 (black) to 1 (white). Note that the L-band RVI in the forested area is higher than the C-band RVI, while the C-band RVI is higher than the others in the agricultural areas.

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Decompositions

Various decompositions schemes present measured target as sum of simple known targets





Overview of Decomposition Theorems (by Eric Pottier)



Point target coherent decompositi ons





Pauli Decomposition





Pauli decomposition

Pauli spin matrixes

Pauli matrices are a set of three 2 × 2 complex matrices which are Hermitian and unitary.

Any 2x2 complex matrix can be represented as a sum of unitary matrix and Pauli matrices:

$$egin{aligned} &\sigma_1=\sigma_x=egin{pmatrix} 0&1\ 1&0 \end{pmatrix}\ &\sigma_2=\sigma_y=egin{pmatrix} 0&-i\ i&0 \end{pmatrix}\ &\sigma_3=\sigma_z=egin{pmatrix} 1&0\ 0&-1 \end{pmatrix} \end{aligned}$$



Wolfgang Pauli (1900–1958)

$$\mathbf{S} = \boldsymbol{\alpha} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \boldsymbol{\beta} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \boldsymbol{\gamma} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + \boldsymbol{\delta} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$



Polarimetric Pauli Decomposition

Scattering matrix can be presented as a sum of Pauli matrixes (in Pauli basis).

$$\begin{bmatrix} S \end{bmatrix} = \begin{bmatrix} S_{hh} & S_{hv} \\ S_{hv} & S_{vv} \end{bmatrix} = \alpha \begin{bmatrix} S \end{bmatrix}_a + \beta \begin{bmatrix} S \end{bmatrix}_b + \gamma \begin{bmatrix} S \end{bmatrix}_c$$

where:

$$\alpha = \frac{S_{hh} + S_{vv}}{\sqrt{2}}$$
$$\beta = \frac{S_{hh} - S_{vv}}{\sqrt{2}}$$
$$\gamma = \sqrt{2}S_{hv}$$

$$\begin{bmatrix} S \end{bmatrix}_{a} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$
$$\begin{bmatrix} S \end{bmatrix}_{b} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$
$$\begin{bmatrix} S \end{bmatrix}_{c} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$
$$\begin{bmatrix} S \end{bmatrix}_{d} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$



What color?

•	Single	reflection
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$$\mathbf{S} = \frac{a}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

~

(metal sphere).





Double reflection

$$\mathbf{S} = rac{k_0 a b}{\pi} egin{pmatrix} -1 & 0 \ 0 & 1 \end{pmatrix}.$$

$$\hat{\mathbf{h}}_{t}$$

Trihedral reflector

$$\mathbf{S} = \frac{k_0 l^2}{\sqrt{2} \pi} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}.$$

(5.141)

(5.140)









Pauli decomposition

R=Shh-Svv G=Shv+Svh B=Shh+Svv

Shh-Svv is low for single reflection

Shh+Svv is low for double reflection





Pauli decomposition

Boxcar average

R=Shh-Svv G=Shv B=Shh+Svv





Pauli decomposition visualisation

Benefits:

- Simple interpretation
- Easy to calculate

Drawbacks:

 Color scheme is always different





Distributed targets and polarization



Distributed targets

We are interested in areas.

Areas have statistical properties.





When imaging land, the target is not point target!

$$P_p^{\mathrm{r}}(\theta) = \iint_A \frac{P_q^{\mathrm{t}} G^2(\theta_{\mathrm{a}}, \phi_{\mathrm{a}}) \lambda^2}{(4\pi)^3 R_{\mathrm{a}}^4} \cdot \sigma_{pq}^0 \, dA$$
$$\boldsymbol{\sigma}_{pq}^0 = \boldsymbol{\sigma}_{pq} / A$$

backscattering cross section per unit area backscattering coefficient radar reflectivity are the same parameter



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Distributed target

For a distributed target occupying Nc cells each of area A, its polarization synthesis equation is given by

$$\sigma_{\rm rt}^{0}(\boldsymbol{\psi}_{\rm r},\boldsymbol{\chi}_{\rm r};\boldsymbol{\psi}_{\rm t},\boldsymbol{\chi}_{\rm t}) = \frac{4\pi}{AN_{\rm c}} \sum_{i=1}^{N_{\rm c}} |\mathbf{p}^{\rm r} \cdot \mathbf{S}_{i} \mathbf{p}^{\rm t}|^{2}$$

$$= \frac{4\pi}{A} \left\langle |\mathbf{P}^{\rm r} \cdot \mathbf{S} \mathbf{p}^{\rm t}|^{2} \right\rangle,^{\dagger}$$
(5.143)
(6.143)
(6.143)
(6.143)



Covariance matrix preserves statistical relationships between scattering matrix elements!

$$\vec{k}_{B} = \left[S_{HH}, S_{HV}, S_{VH}, S_{VV}\right]^{T}$$

$$\begin{bmatrix} C \end{bmatrix}_{4\times4} = \left\langle \vec{k}_{B} \vec{k}_{B}^{*} \right\rangle = \begin{bmatrix} \left\langle \left| S_{HH} \right|^{2} \right\rangle & \left\langle S_{HH} S_{HV}^{*} \right\rangle & \left\langle S_{HH} S_{VH}^{*} \right\rangle & \left\langle S_{HH} S_{VV}^{*} \right\rangle \\ \left\langle S_{HV} S_{HH}^{*} \right\rangle & \left\langle \left| S_{HV} \right|^{2} \right\rangle & \left\langle S_{HV} S_{VH}^{*} \right\rangle & \left\langle S_{HV} S_{VV}^{*} \right\rangle \\ \left\langle S_{VH} S_{HH}^{*} \right\rangle & \left\langle S_{VH} S_{HV}^{*} \right\rangle & \left\langle \left| S_{VH} \right|^{2} \right\rangle & \left\langle S_{VH} S_{VV}^{*} \right\rangle \\ \left\langle S_{VV} S_{HH}^{*} \right\rangle & \left\langle S_{VV} S_{HV}^{*} \right\rangle & \left\langle S_{VV} S_{VH}^{*} \right\rangle & \left\langle \left| S_{VV} \right|^{2} \right\rangle \end{bmatrix}$$



Concept of covariance matrix

$$\Sigma = \begin{bmatrix} \operatorname{Var}(X) & \operatorname{Cov}(X,Y) & \operatorname{Cov}(X,Z) \\ \operatorname{Cov}(X,Y) & \operatorname{Var}(Y) & \operatorname{Cov}(Y,Z) \\ \operatorname{Cov}(X,Z) & \operatorname{Cov}(Y,Z) & \operatorname{Var}(Z) \end{bmatrix}$$



Polarimetic measurement; statistical point of view

- Target is described by set of scattering matrices, each are random measurement from certain distribution function, specific for the target.
- Signal is complex zero-mean Gaussian if number of scatterers is large in resolution cell (applies for many SAR measurements, does not apply always for longer wavelength and high resolution)
- All the information about Gaussian-distributed target carried by covariance matrix.
- Statistical model describes the actual information content of the data

- Measurement vector
- $S = \begin{pmatrix} S_{hh} \\ S_{hv} \\ S_{vv} \end{pmatrix} \equiv \begin{pmatrix} S_1 \\ S_2 \\ S_3 \end{pmatrix}$
- Covariance matrix

 $C_{kl} = \left\langle S_k S_l^* \right\rangle$

$$C = \begin{pmatrix} \operatorname{var}(S_1) & \operatorname{cov}(S_1S_2) & \operatorname{cov}(S_1S_3) \\ \operatorname{cov}(S_1S_2) & \operatorname{var}(S_2) & \operatorname{cov}(S_2S_3) \\ \operatorname{cov}(S_3S_1) & \operatorname{cov}(S_3S_2) & \operatorname{var}(S_3) \end{pmatrix}$$



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Working with polarimetric data



Polarimetric image

- Polarimetric SAR measures multidimensional information (five dimensions for single scatterer and nine dimensions for distributed scatterer)
- It is difficult to visualize all parameters of scatterer on one image





Polarimetric data:

- Polarimetric data is complex
- Polarimetric data has more parameters than can be visualized in RGB
- Polarimetric properties are fully preserved only for SLC slant range data

Usually polarimetric images are presented in Pauli Basis or presented by using Decomposition techniques which provide easily interpretable parameters



Different representations in polarimetry

Allthough, large variety of matrices are used in polarimetry, there are only two fundamental matrices:

Scattering matrix S

- Contains information about single pixel, single coherent wave or measurement.
- Can not be averaged (expectation value is zero)
- Pauli matrices
- Sinclair matrices
- etc.

Covariance matrix C

- Contains statistical information about ensemble of S matrices or multiple measurements
- Can be averaged
- Stokes matrix
- Muller matrix
- Coherency matrix
- Etc



Covariance matrix is matrix which contains information about S matrix distribution and its moments.

From S matrix is possible to calculate always C matrix, but not always in other way round.

Different matrices in the same matrix class are just the same information in different basis.

Polarimetric SAR image pixel is a complex matrix describing target's properties



Radar target decompositions

• The Idea of target decomposition is to present target scattering properties as a sum of simple scatterers.



- Reasonable decomposition should be unique, invariant under reasonable transformations and stable under small perturbations.
- Different presentations of polarimetric data leads to different decomposition techniques, but all of them are based on matrix algebra.



Typical Polarimetric SAR image processing



Freeman-Durden decomposiution

Model based decomposition







Figure 6 Intensities corresponding to the Freeman decomposition P_v , P_d and P_s and the combination of them in an RGB image. Images are shown in a dB scale.





Figure 5-49: Scattering mechanisms for a forest canopy.

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(a) C-band



(b) L-band



(c) P-band

From: Microwave Radar and Figure 5-50: Results of the Freeman-Durden decomposition for the Black Forest image at (a) C-band, (b) L-band, and (c) P-band. Surface, dihedral-corner, and volume scattering components are displayed in blue, red, and green colors, respectively.



Figure 5-46: Radar images of San Francisco showing the three measures of scattering randomness: (a) entropy scaled from 0 (black) to 1 (white); (b) pedestal height scaled from 0 (black) to 0.5 (white); and (c) the radar vegetation index scaled from 0 (black) to 1 (white).

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Alpha-entropy or Cloude-Pottier decomposition (eigenvalue decomposition)



SAR Data Analysis example using decomposition



Cloude-Pottier eigenvalue decomposition

Target scattering vector in Pauli basis

Coherency Matrix:

$$\bar{k} = [a, b, c]^{T} = \frac{1}{\sqrt{2}} [S_{hh} + S_{vv}, S_{hh} - S_{vv}, S_{hv} + S_{vh}]^{T}$$

$$\begin{aligned} \langle \mathbf{T} \rangle &= \langle \vec{k} \vec{k}^{\dagger} \rangle \\ \langle \mathbf{T} \rangle &= \lambda_1 \vec{k}_1 \vec{k}_1^{\dagger} + \lambda_2 \vec{k}_2 \vec{k}_2^{\dagger} + \lambda_3 \vec{k}_3 \vec{k}_3^{\dagger} \\ \mathbf{T} &= \mathbf{U}_3 \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix} \mathbf{U}_3^{\dagger} \end{aligned}$$

$$\mathbf{U_3} = \begin{bmatrix} \vec{k}_1 & \vec{k}_2 & \vec{k}_3 \end{bmatrix}$$

Classification parameters:

$$Entropy$$
$$H = -\sum_{i=1}^{4} p_i \log p_i$$

Average Alpha (scattering mechanism)

$$\langle \alpha \rangle = \sum_{i=1}^{4} p_i \alpha_i$$

 p_i eigenvalue parameter α_i eigenvector orientation

$$p_i = \frac{\lambda_i}{\sum_{i=1}^3 \lambda_i}.$$



Entropy





Alpha





Entropy-alpha classification space

Classes:

Zone 9: Low Entropy Surface Scatter,
Zone 8: Low Entropy Dipole Scattering,
Zone 7: Low Entropy Multiple Scattering
Zone 6: Medium Entropy Surface Scatter,
Zone 5: Medium Entropy Vegetation Scattering
Zone 4: Medium Entropy Multiple Scattering
Zone 3: High Entropy Surface Scatter
Zone 2: High Entropy Vegetation Scattering,
Zone 1: High Entropy Multiple Scattering.




Entropy Alpha classification





HSI

H=alpha S=1-Entropy I=Span



Combined hi-res HSI

H=alpha S=1-Entropy I=Span







Polarimetric eigenvalue decomposition



Traditional entropy-alpha classification



Synoptic visualization with traditional alpha and entropy

Proposed multiresolution synoptic visualization with new parameters

Full-res alpha Entropy Full-res span



- Ulaby
- Long
- Blackwell
- Elachi
- Fung
- Ruf
- Sarabandi
- Zebker
- Van Zyl

Microwave Radar and Radiometric Remote Sensing



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