

Theory and Practice of Wet Spinning of Cellulose Solutions

Doctoral Course, **Part 1 & 2**



Aalto University
School of Chemical
Engineering

Herbert Sixta

March 10 – 11, 2022

Outline

1. **Introduction, history**
2. **Pulp as raw material**
3. **Cellulose solvents**
4. **Aspects of cellulose dissolution**
5. **Rheology of cellulose solutions**
6. **Coagulation and regeneration of cellulose**
7. **Filament breaches during spinning**
8. **Types of MMCFs**
9. **Properties of MMCFs**

Schedule

L1	Introduction, Raw material	March 10	9:00 – 9:45
L2	Raw materials. Cellulose solvent	March 10	10:00-10:45
L3	Cellulose solvents	March 10	11:00-11:45
L4	Cellulose solvents	March 10	12:00-12:45
	Break		
L5	Cellulose dissolution	March 10	14:00-14:45
L6	Rheology	March 10	15:00-15:45
L7	Cellulose dissolution/ Coagulation and Regeneration	March 10	16:00-16:45
L8	Coagulation and Regeneration	March 11	9:00 – 9:45
L9	Coagulation and Regeneration	March 11	10:00-10:45
L10	Filament breaches	March 11	11:00-11:45
L11	Types and properties of MMCFs	March 11	12:00-12:45
L12	Q&A	March 11	13:00 -

1

Introduction, history

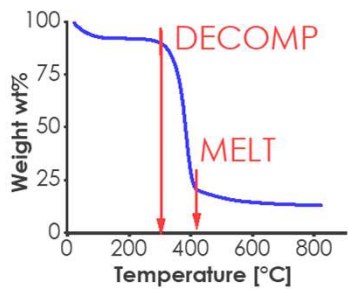
How to make Cellulose Fibers?



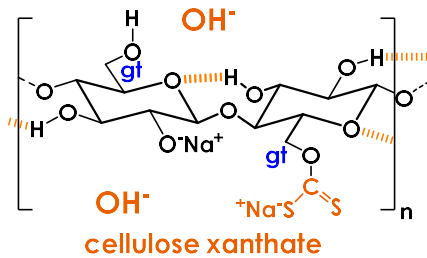
MELT



**DERIVATIVE
SOLUTION**



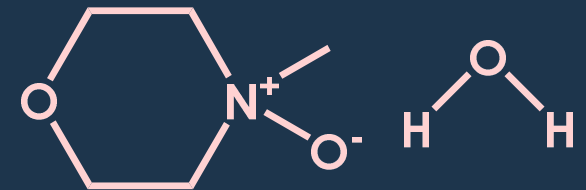
**NOT
MELTABLE**



VISCOSE

**DIRECT DISSOLUTION
LYOCELL**

Lýein = dissolve



N-methylmorpholine-N-oxide

HISTORY

of

MAN-MADE CELLULOSE FIBERS

Cellulose nitrate

Nitration with nitric / sulfuric acid
dissolution in EtOH and ether
Dry-spinning. Denitration in $(\text{NH}_4)_2\text{S}$

Year	event
1845	C-F. Schöbein
1883	J-W Swan, commercial.
1889	Chardonnet World exhibition in Paris
1891	Denitration
1900	Many start-ups
1910	Closures, CV better alternative
1935	Last closure

Cupro

Cuprammonium rayon

Dissolution in $[\text{Cu}(\text{NH}_3)_4(\text{H}_2\text{O})](\text{OH})_2$
Wet spinning in water / sulfuric acid

Year	event
1857	Schweizer
1890	Despaissis technical application
1899	Fremery&Urban first textile fibers
1901	Bemberg (Thiele) stretch spinning
1931	Asahi Kasei operation in Japan
1980	Stop of fiber production in Germany
2015	Asahi Kasei expanded to 17 kt/a
2021	Production ongoing

Viscose (CV, CMD)

Alkalization, xanthation, dissolution
in NaOH, wet spinning in aqueous
Sulfuric acid, Na_2SO_4 , ZnSO_4

Year	event
1892	Cross, Bevan Beadle (GB 8700)
1899	Cross&Stearn, viscose syndicate
1904	Courtauld Conventry production
1916	Oberbruch, Germ. First staple fiber
1931	Lilienfeld fiber 60 cN/tex
1943	Polynosic (Toramomen)
1950	Cox, first modifier, cotton- like, Supercord
1965	Modal fiber
1985	Mill closure (CS_2 , H_2S , wastewater)
1985	Closures in Europe
2004	Polynosic stops
2021	CV+CMD: 6.5 Mt/a

Lyocell (CLY)

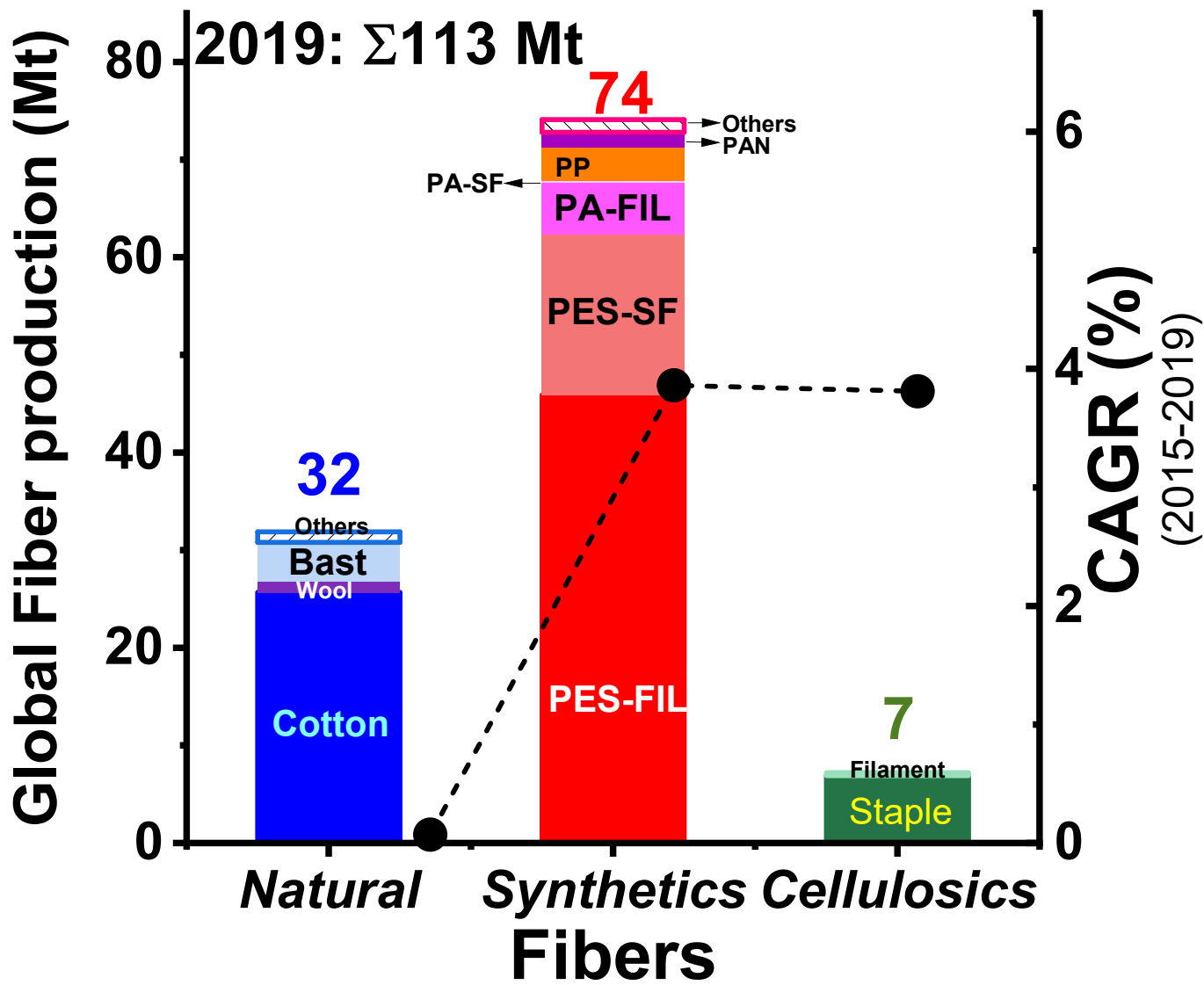
Direct solvent. Dry-jet Wet Spinning

Year	event
1939	Graenacher: tert-amine oxide US 2,179,181
1969	Kodak, Johnson, D.L.: US 3,447,939
1969	American Enka piloting; 1979 stop
1979	Courtauld started
1983	Lenzing started
1989	New Generic name – Lyocell (CLY)
1992	Mobile, USA, Courtauld 1 st plant
1997	Heiligenkreuz, Lenzing 1 st plant
2005	Lenzing acquires Tencel group
2014	4 Lyocell plants by Lenzing
2021	~270 kt/a Lenzing ~100 kt/a China

Global Fiber Market 2019

- 65% Synthetics, CAGR = 3.8%
- Natural fibers stagnating
- Cellulosics, CAGR = 3.8%

Fiber Year 2020



BISFA

Definition of Man-made Cellulose Fibers

Viscose

Modal

Cupro

Lyocell

Cellulose acetate

Cellulose triacetate

CV

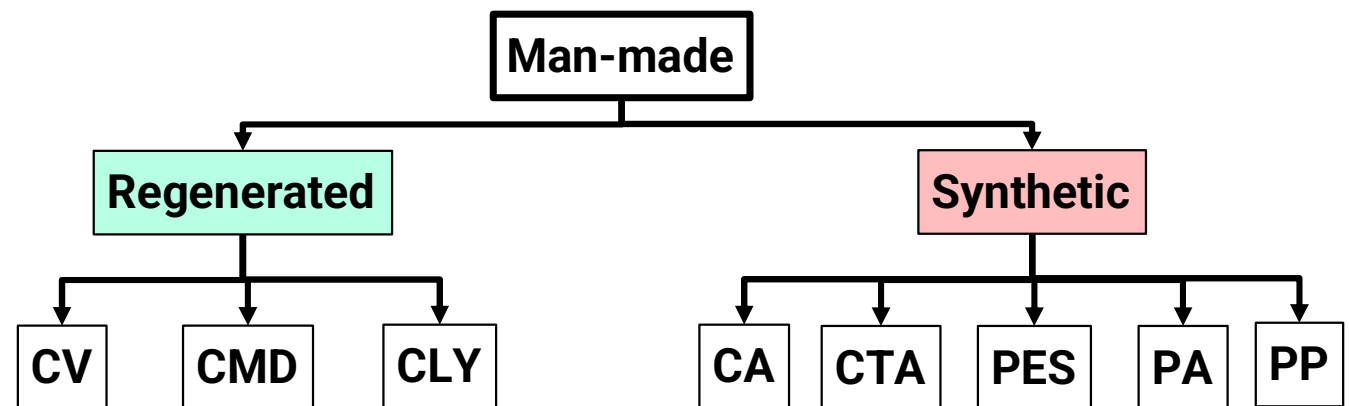
CMD

CUP

CLY

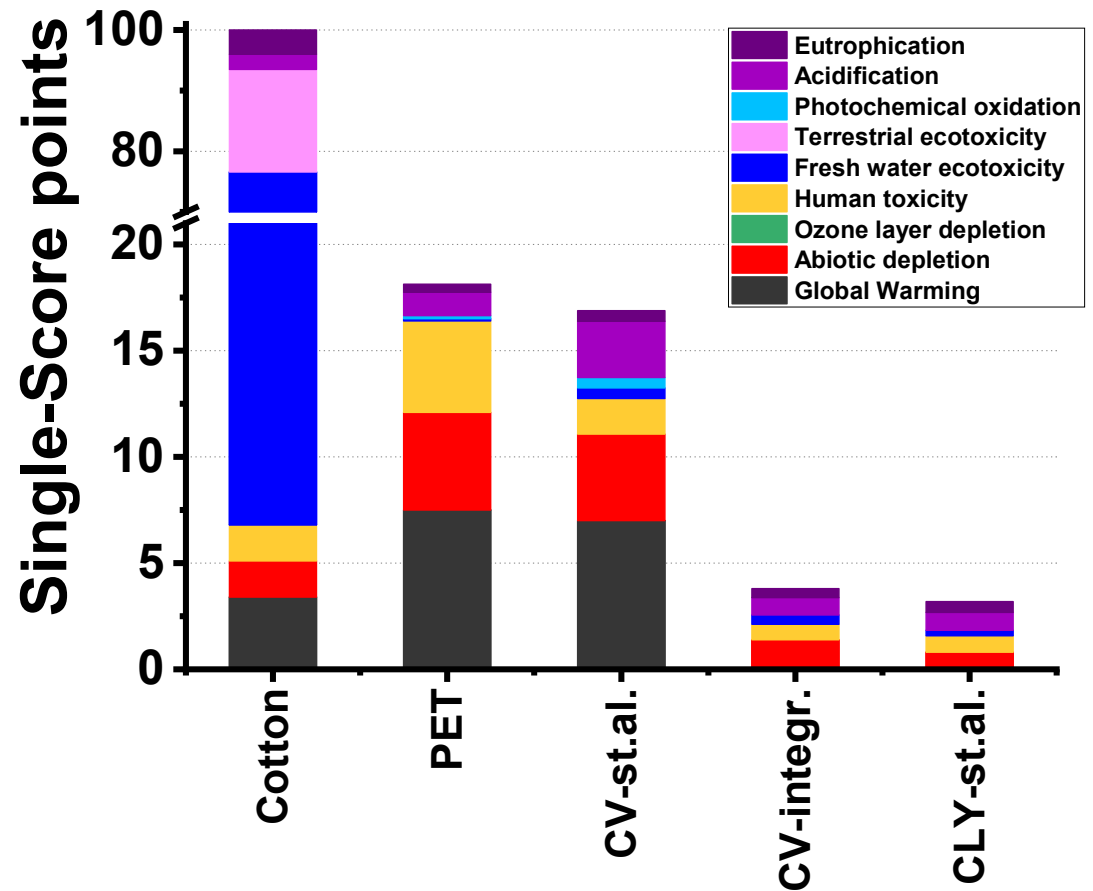
CA

CTA



Life Cycle Assessment of Man-Made Cellulose Fibers

LCA Single Score Analysis cradle-to-factory gate

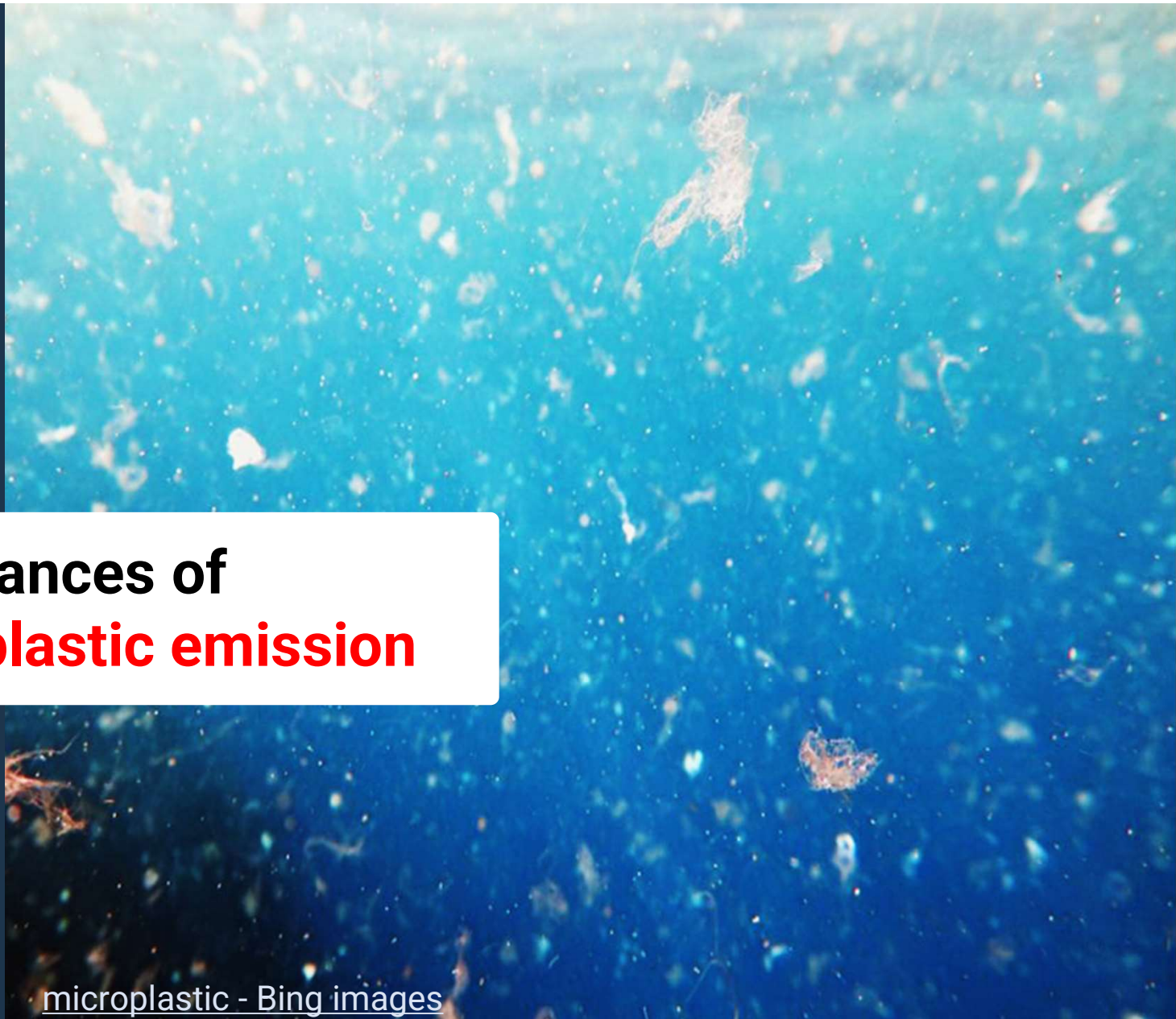


Ambition 1

Elimination of substances of concern, and **microplastic emission**

Ellen MacArthur Foundation's widely acclaimed report (2017)

[microplastic - Bing images](#)



Global Plastic emissions

380 Mt/a Production
58% enter environment

Geyer, R. et al. Sci. Adv. 2017, 3 (7), No. e1700782

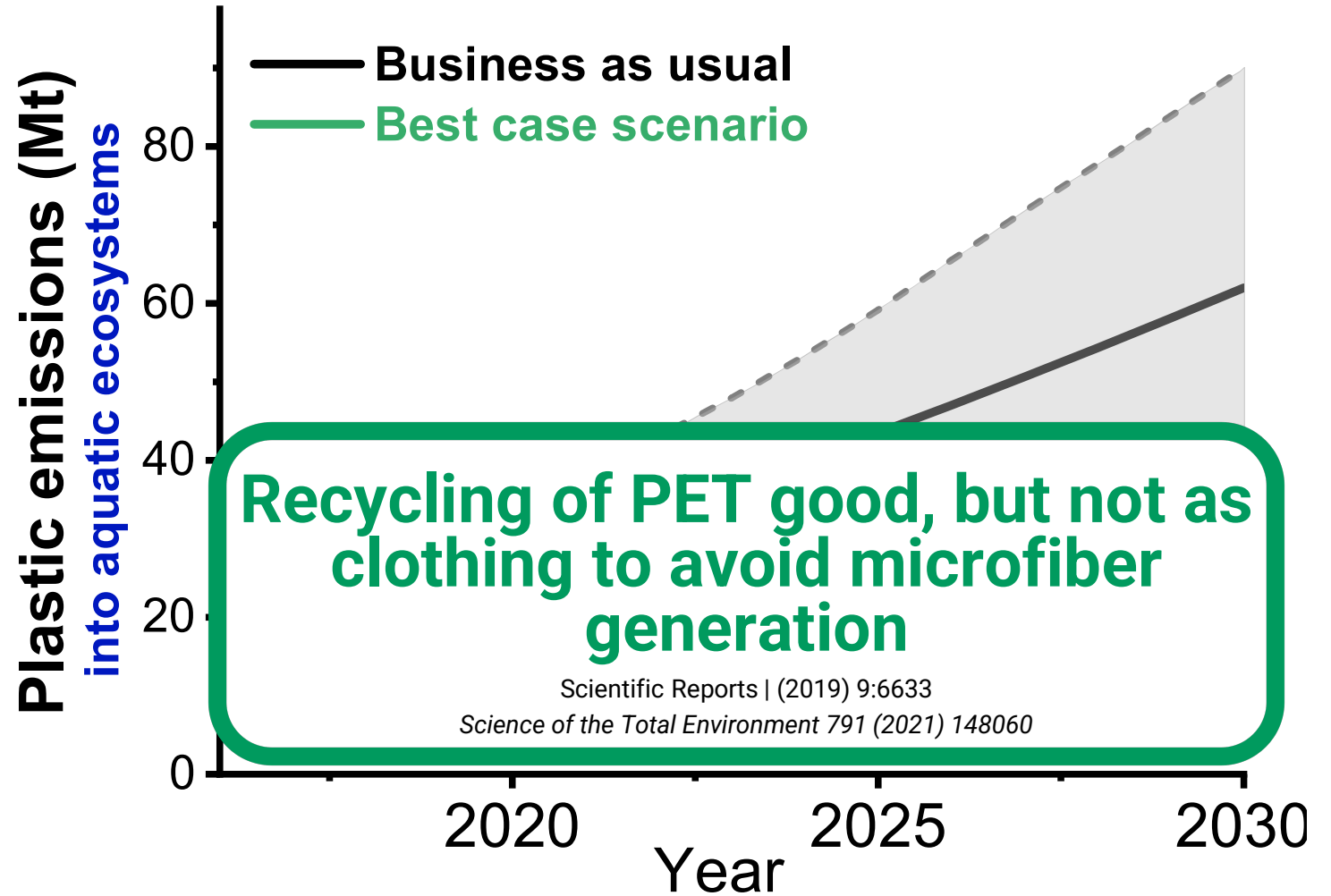
19–23 Mt of plastic waste
entered aquatic ecosystem
in 2016 (6% of Σ)

Borrelle et al., Science 369, 1515–1518 (2020)

0.12-0.31 kg MF/ MP
released per ton of washed
fabric

Scientific Reports | (2019) 9:6633

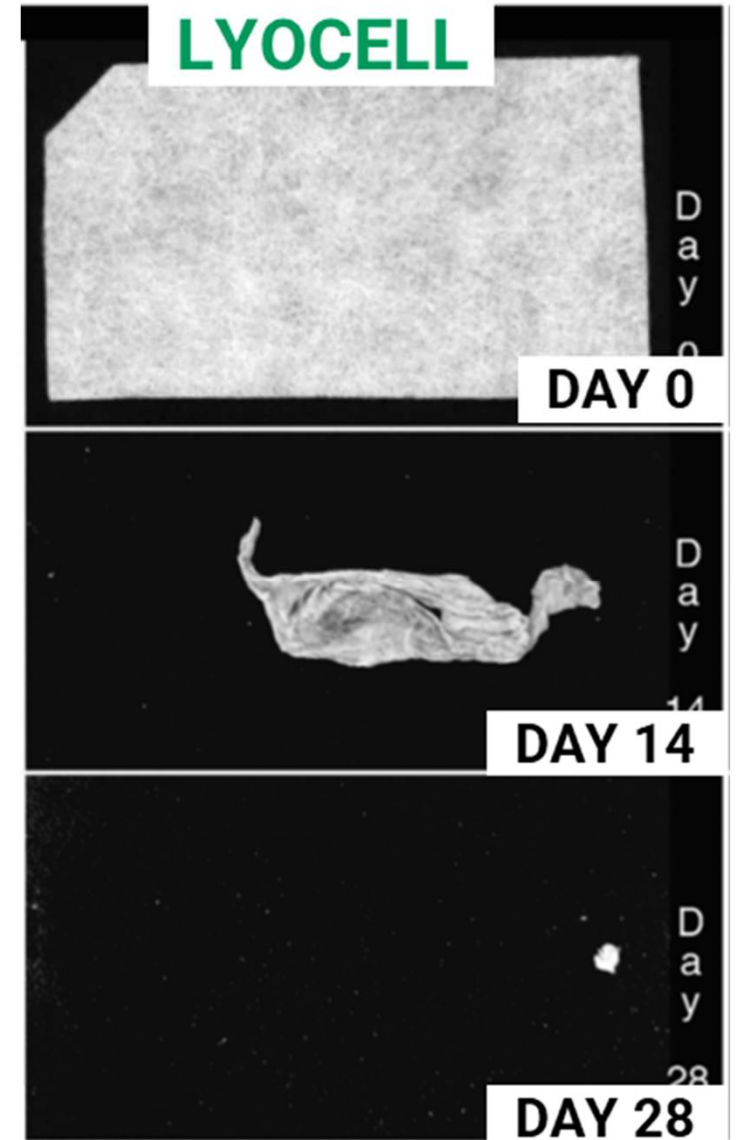
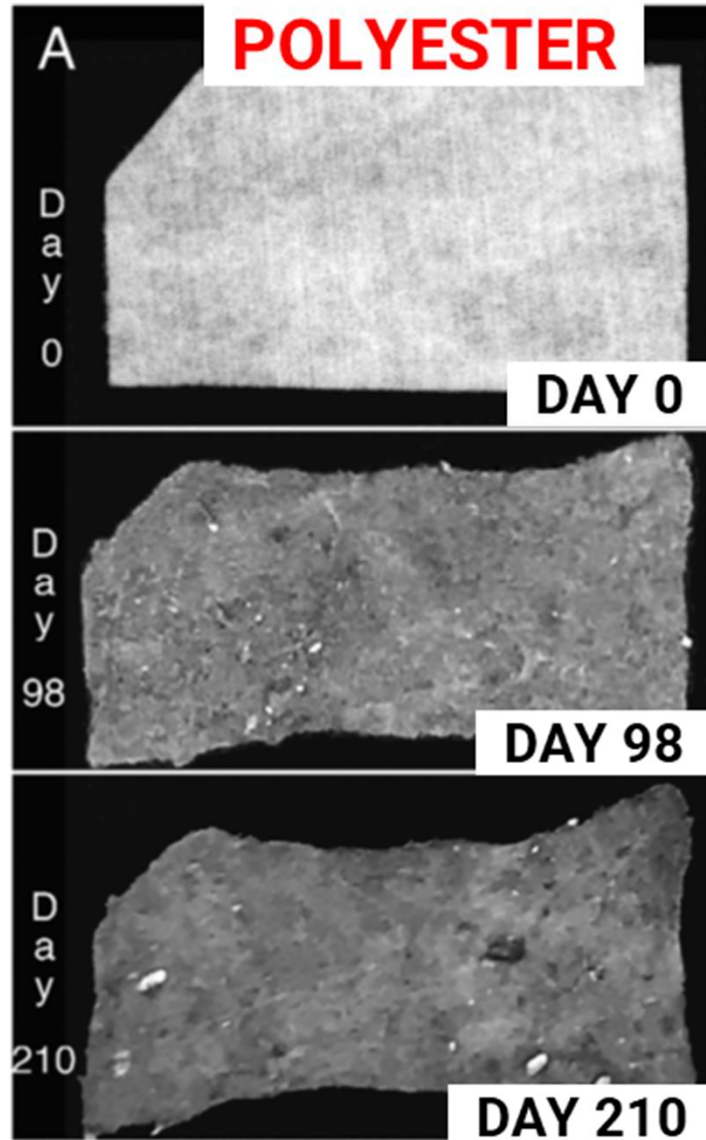
Predicted growth in plastic waste entered aquatic ecosystems



Best case scenario: Reductions related to 2016
(a) Bans on SUP -25 to -40 % (LI vs HI) (b) Proportion waste managed: 66 to 99% (LI vs HI) (c) Proportion recovered: All countries: 40 %

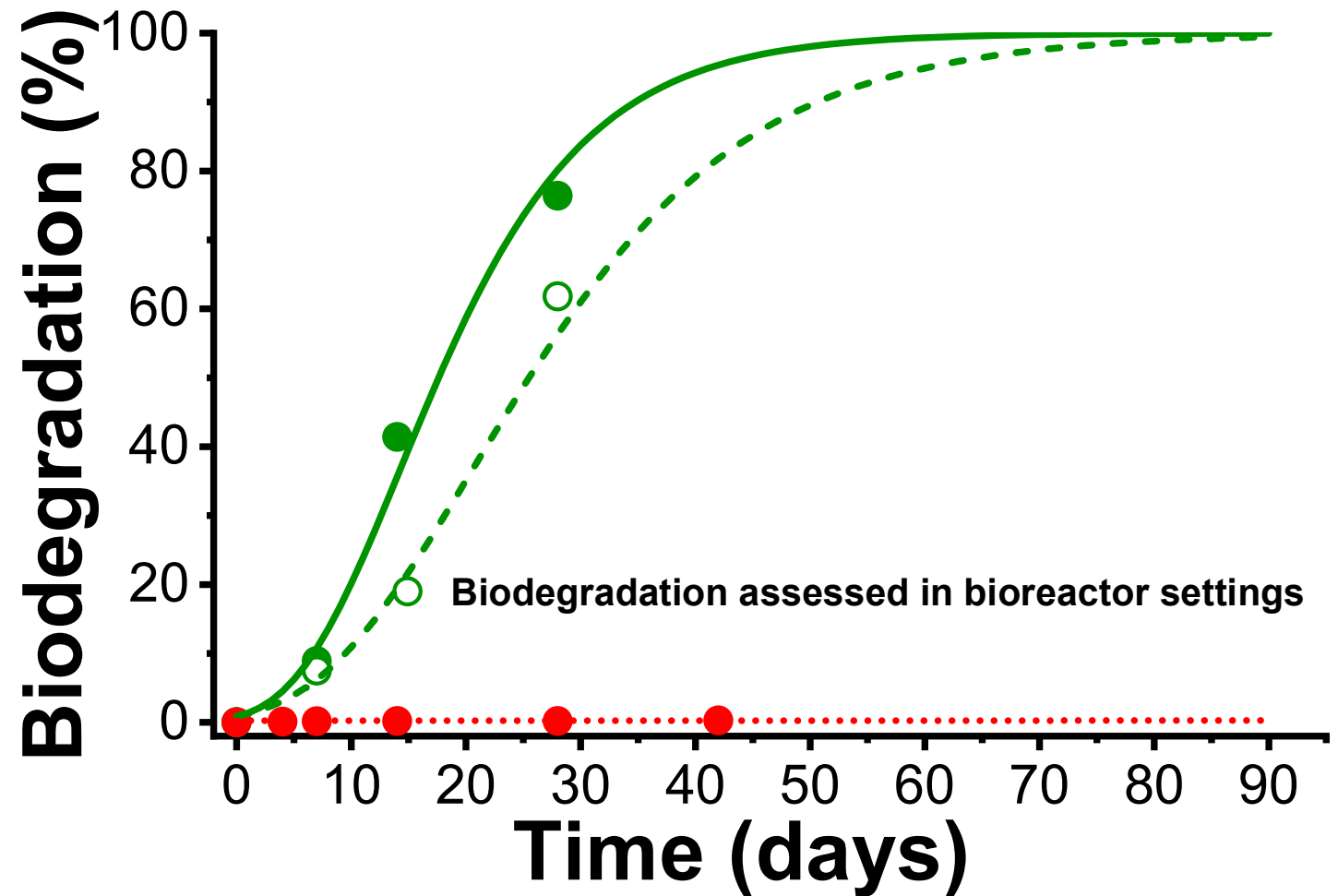
Borrelle et al., Science 369, 1515–1518 (2020)

Deconstruction of material swatches under real sea- surface conditions.



Biodegradation of PET vs CLY in both marine and fresh water

(ASTM D6691, ISO 14851)



- CLY: Marine assay: $y=100*\exp(-4.81*\exp(-0.11*x))$
- CLY: Aquatic assay: $y=100*\exp(-4.69*\exp(-0.075*x))$
- PET: Marine and aquatic assay: $y=100*\exp(-6.04*\exp(-0.00019*x))$

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2

Pulp as raw material

Outline

- 1. Introduction**
- 2. Applications of dissolving pulps**
- 3. Processes to manufacture dissolving pulps**
- 4. Controlled DP degradation**
- 5. Advanced Characterization**

What is a Dissolving Pulp?

- Pulp with high cellulose content (>93%)
- with **very low content** of **non-carbohydrate compounds** such as ash, resins, others
- with **defined average molecular weight** and molecular weight distribution
- **highly reactive pulp** enabling rapid and uniform reactions

Standard Specification

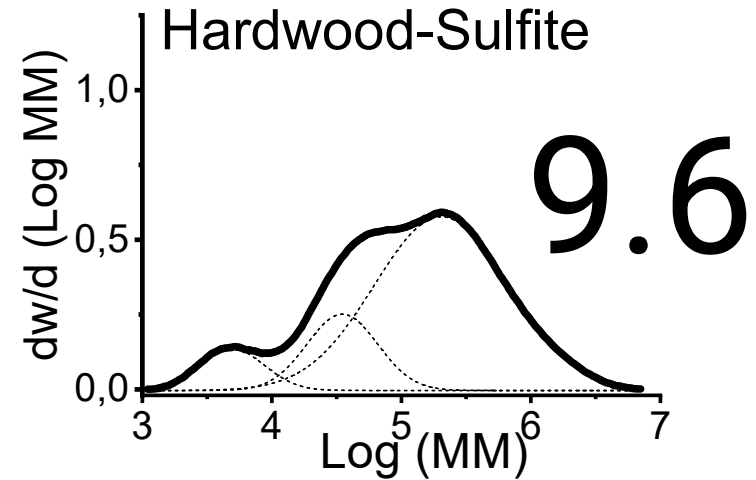
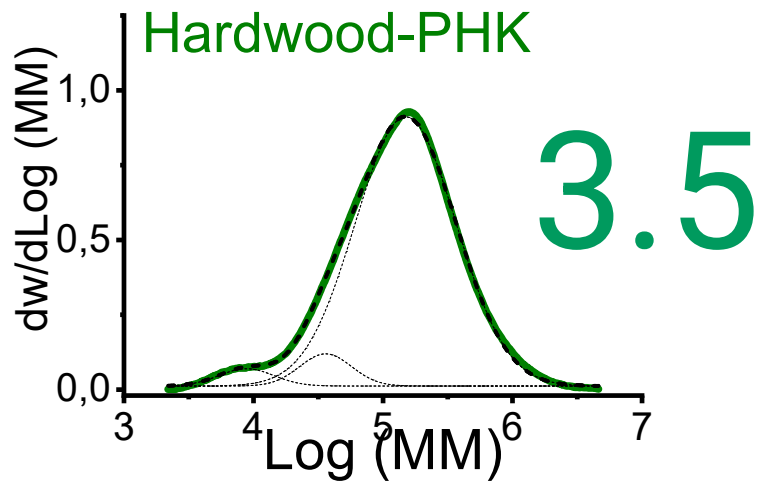
VISCOSE

ACETATE

ETHERS

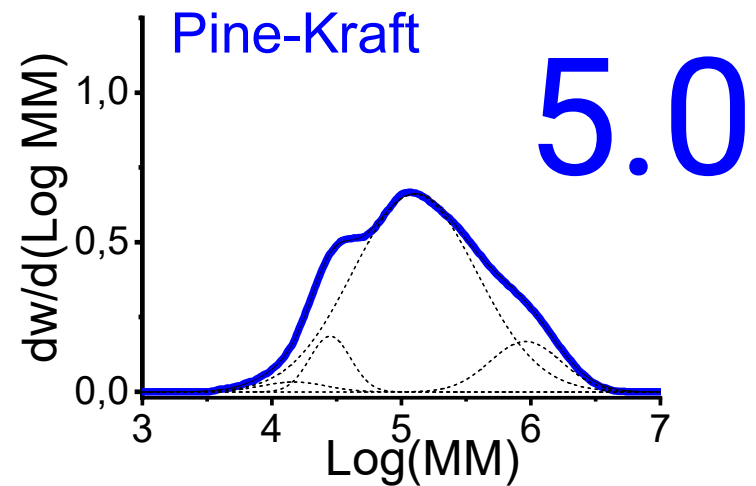
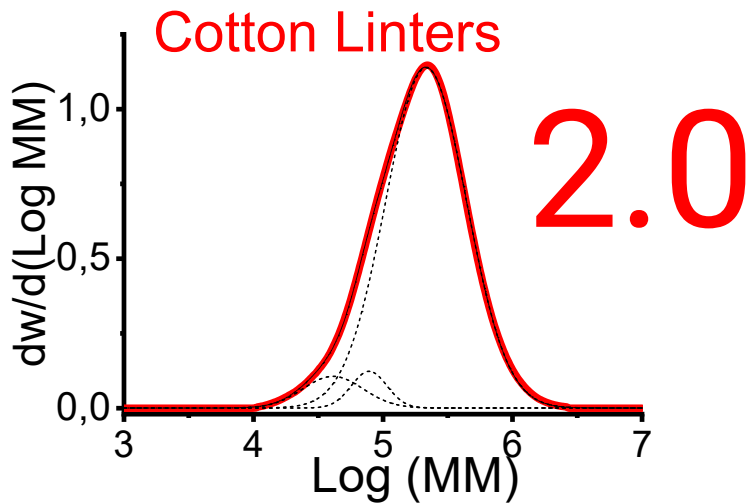
Intrinsic Viscosity (ml/g)	450	600 - 900	600 - 2000
Hemicellulose (%)	3 - 5	1 - 2	4 - 6
Ash (%)	< 0.1	< 0.05	< 0.2
Si (ppm)	< 20	< 10	< 20
Brightness (%ISO)	89 - 92	> 92	> 85

Molar Mass Distribution

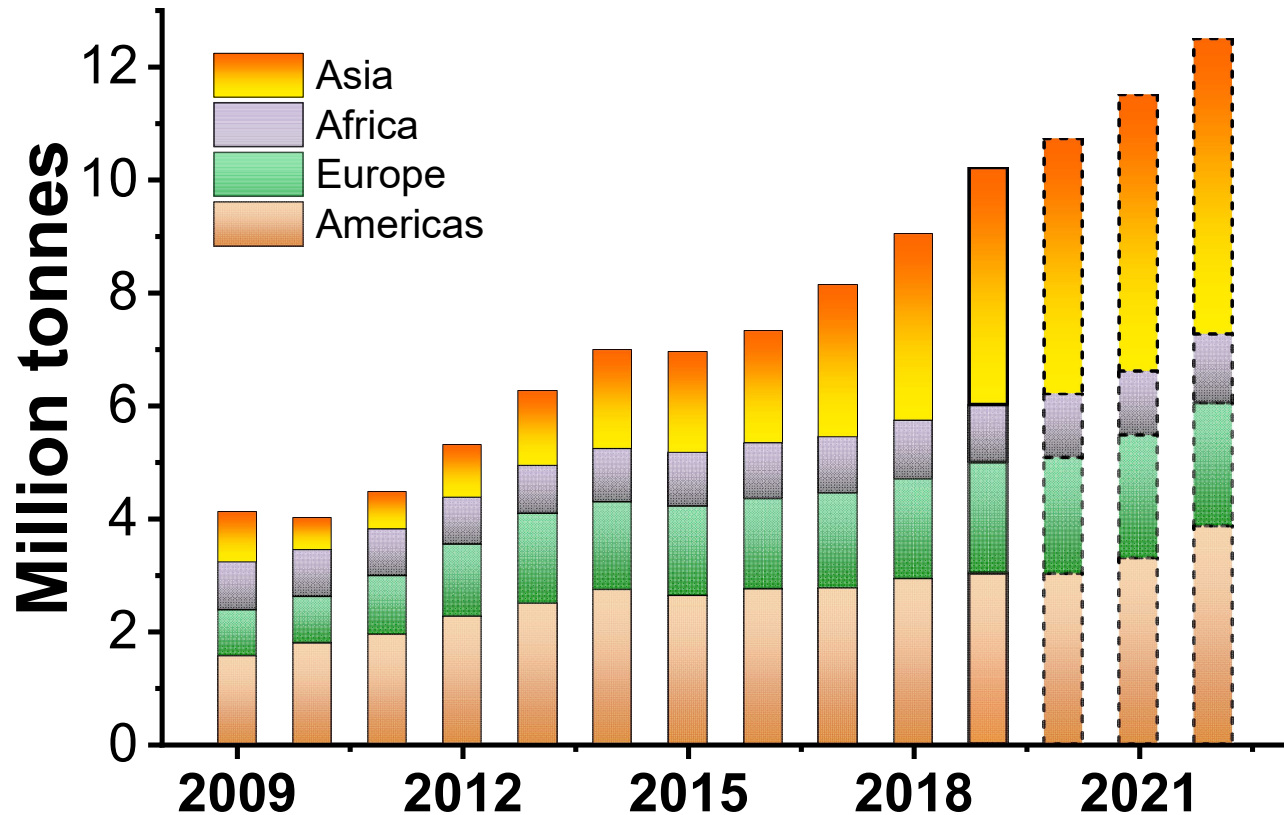


PDI

Poly-Dispersity
Index



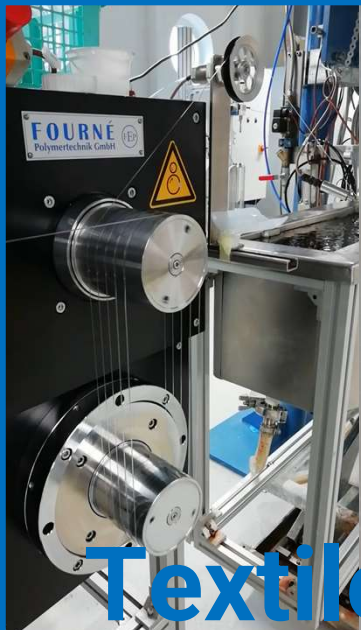
Dissolving Pulp Capacity by Region



- 2019: 10.2 Mt produced
- Average annual growth during the recent 10 years at 8.4%
- Significant investments, mainly in Asia, have been announced for the coming years

The Fiber Year 2020

Applications of a Dissolving Pulp?



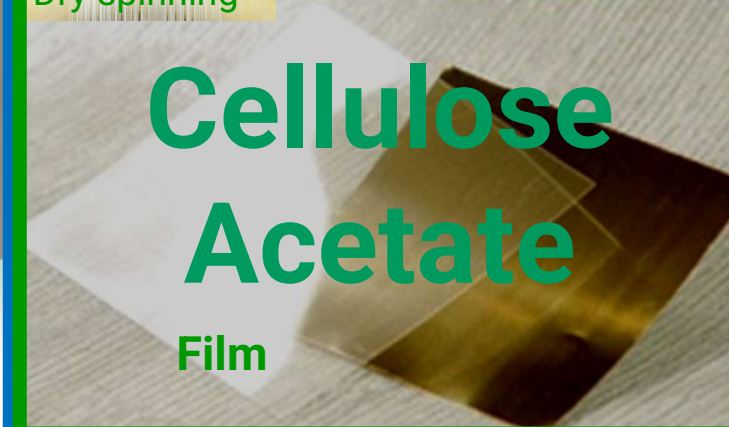
staple



Dry spinning



Filaments



Cellulose Acetate

Film



Cellulose Nitrate



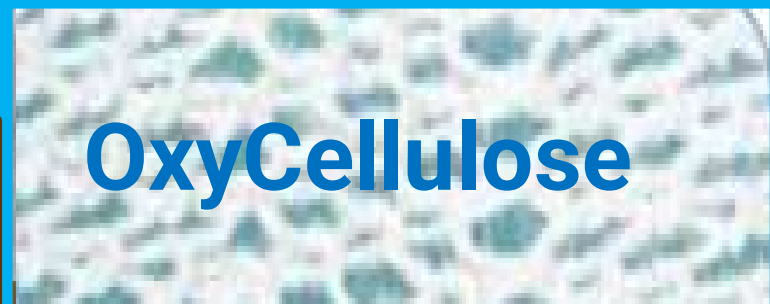
Lacquer



filament



CMC



OxyCellulose



MC



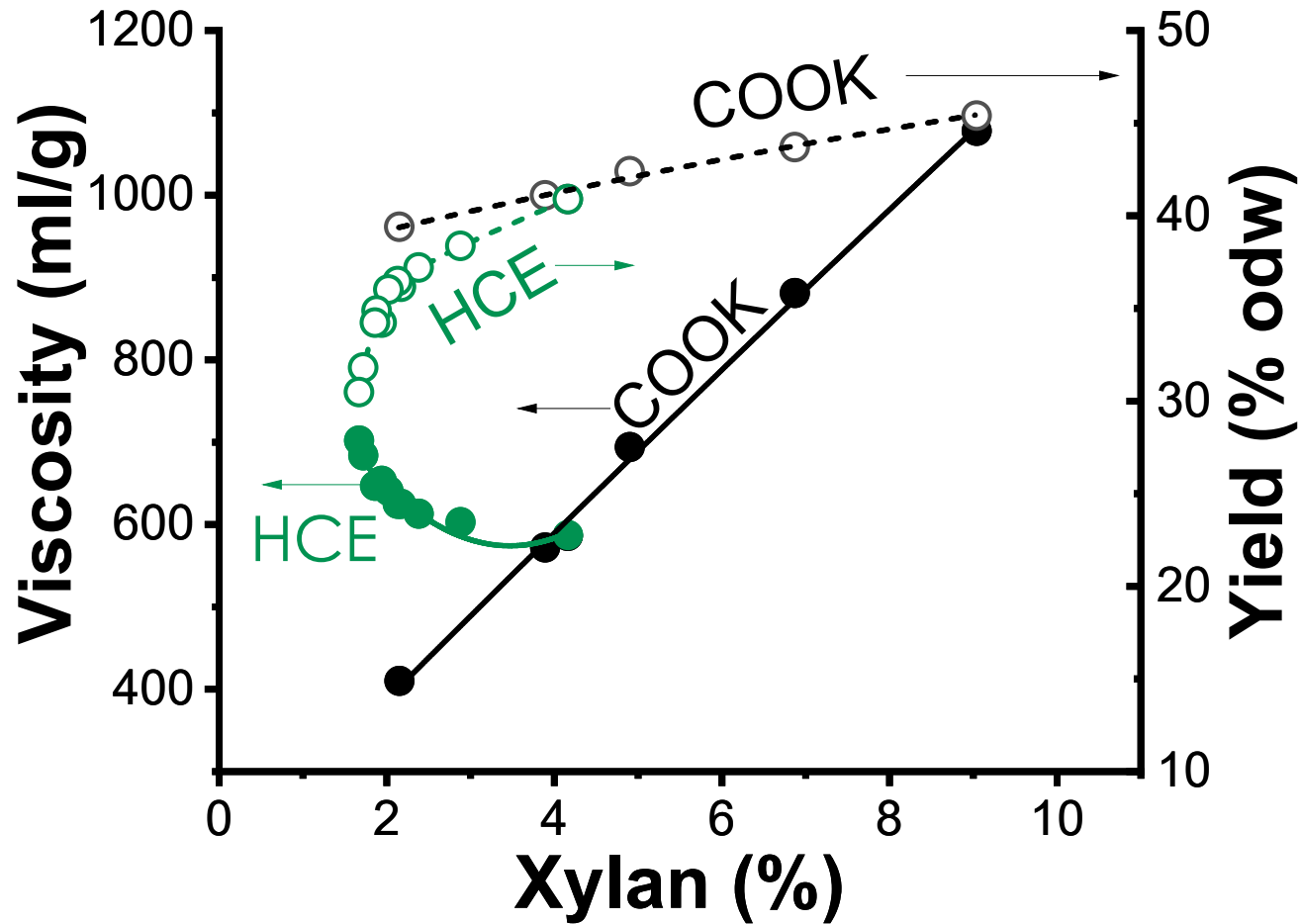
Processes to manufacture dissolving pulps ?

ACID SULFITE

**PRE-
HYDROLYSIS
KRAFT**

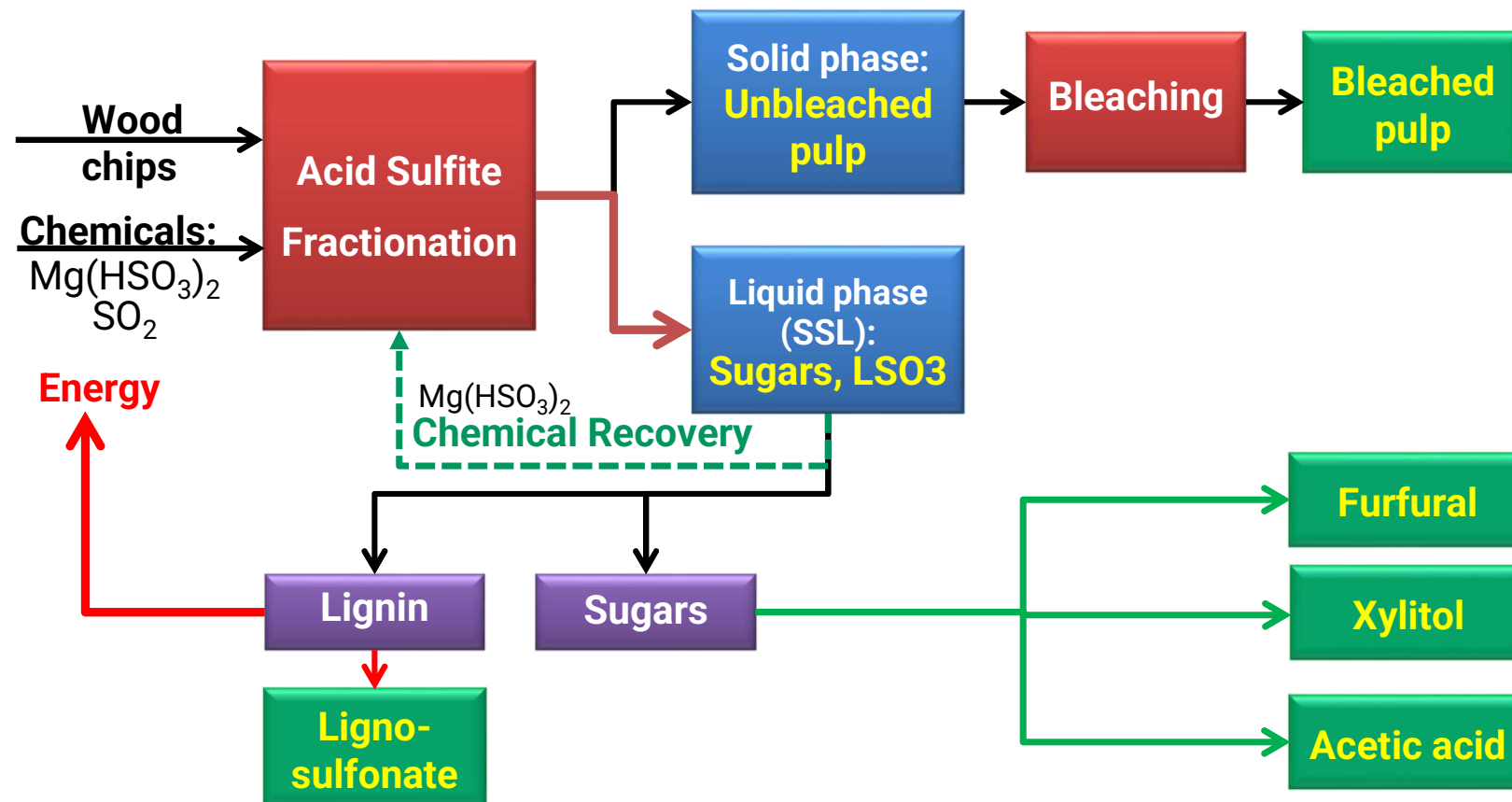
**COOK-
IONCELL-P**

Purification Methods

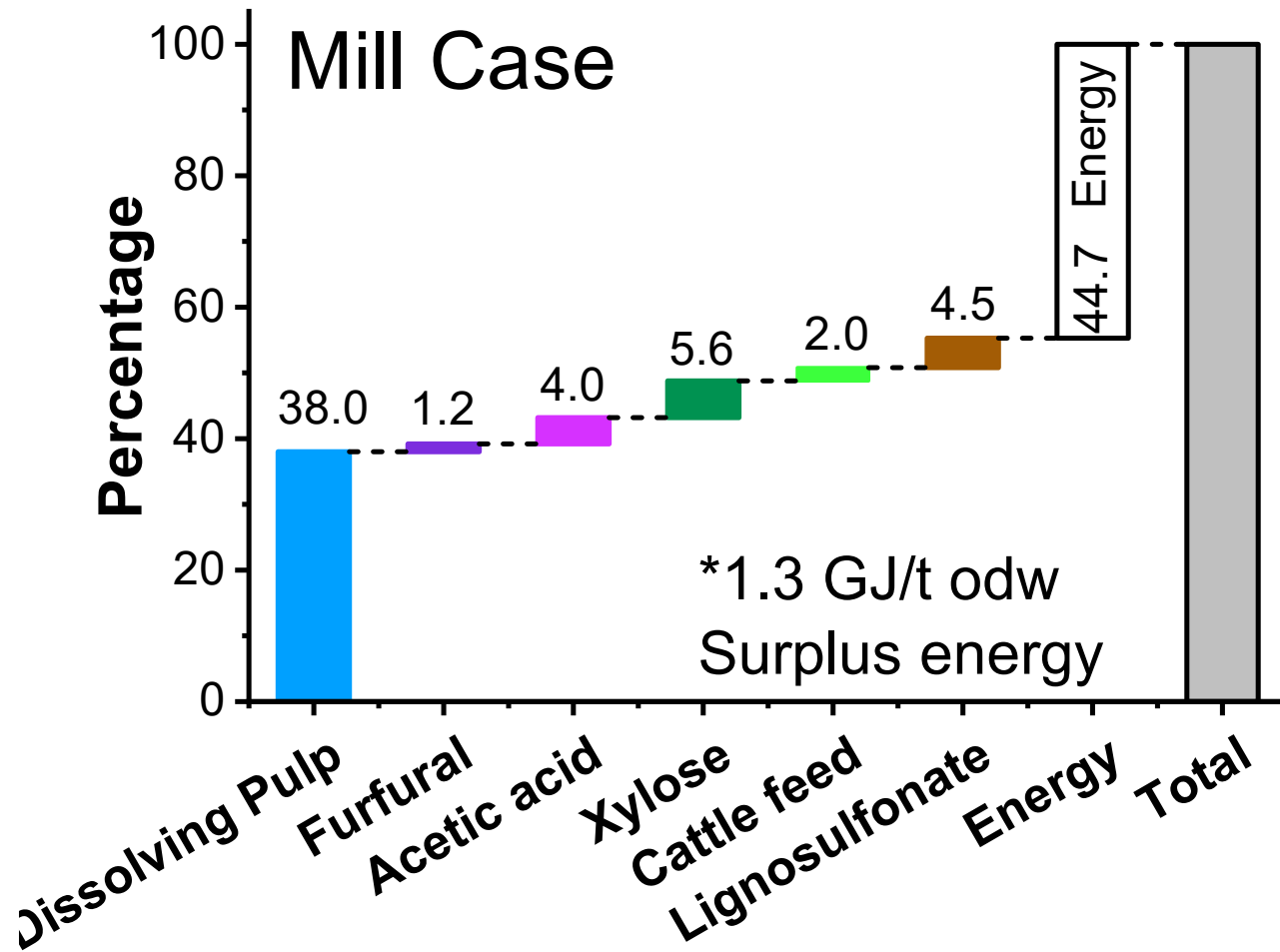


Prolonged Cooking
followed by
Hot Caustic Extraction
(HCE)

Beech Acid Sulfite Pulping



Beech Sulfite Dissolving Pulp Biorefinery



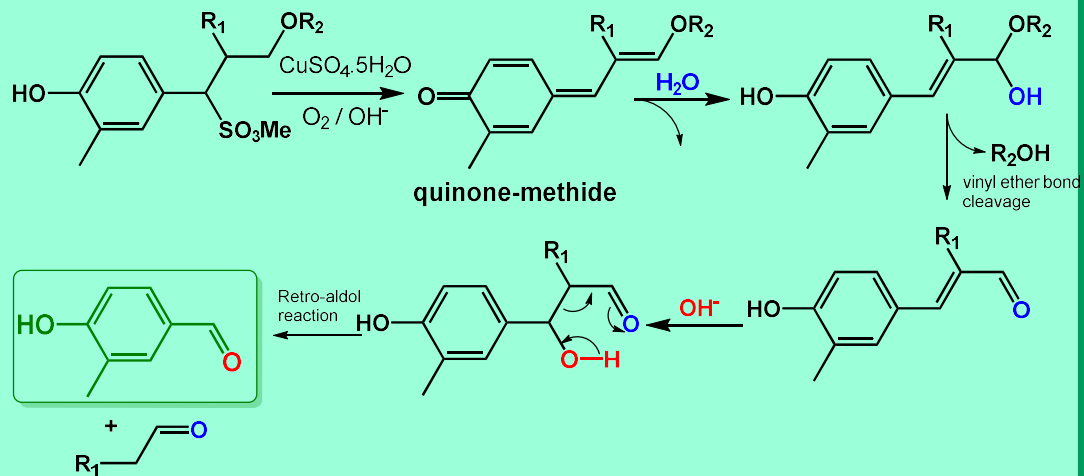
17.3% of the wood utilized as by-product

The future potential of by-product utilisation is estimated to be **20%**.

Spruce Acid Sulfite Biorefinery

Vanillin

from Spent Liquor

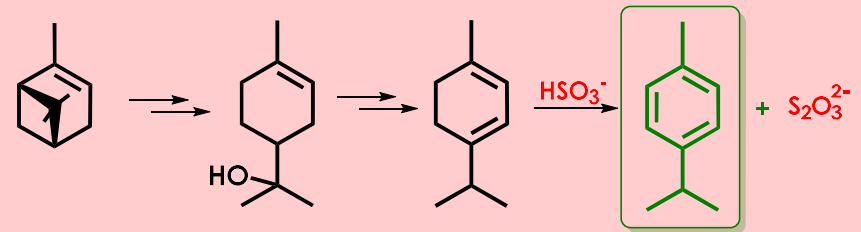


Global production from Lignosulfonate
~ 3000 t/a (15% of global production)

US4,151,207

Cymene

from Digester Relief



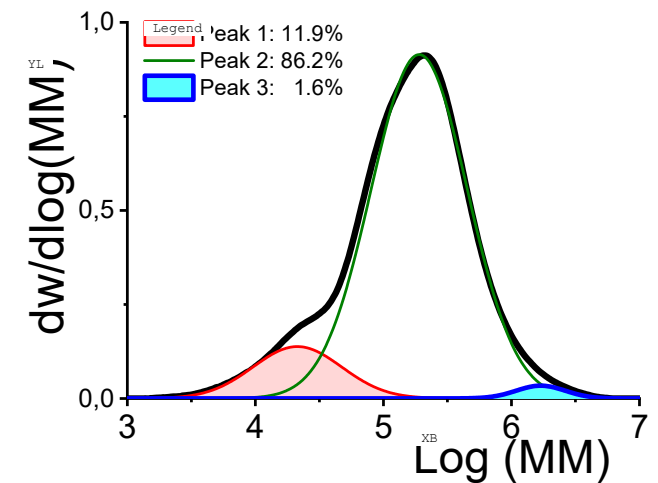
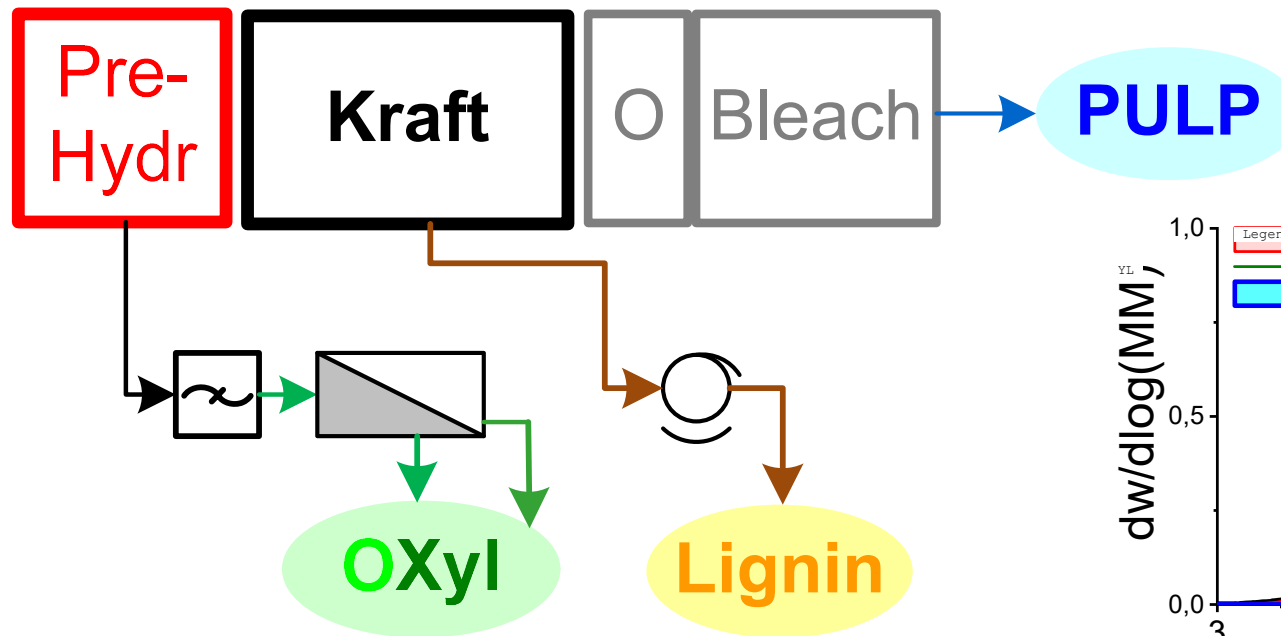
p-Cymene can be added in inks, adhesives, varnishes, pigments, perfumes, pharmaceuticals

ACID SULFITE

**PRE-
HYDROLYSIS
KRAFT**

**COOK
IONCELL-P**

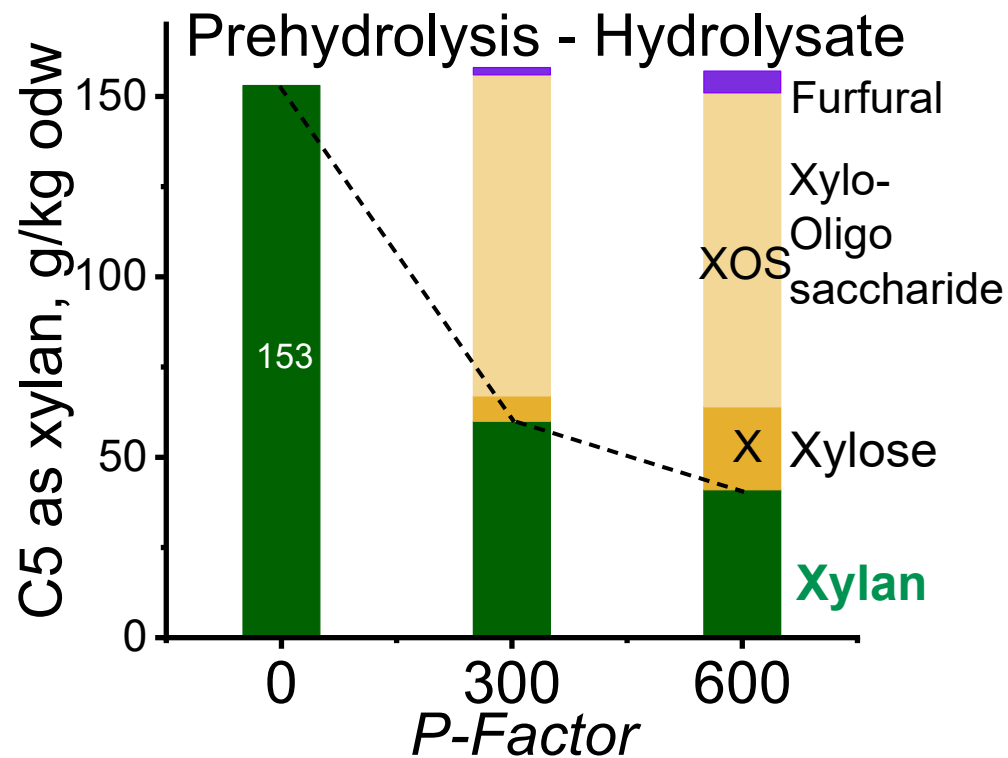
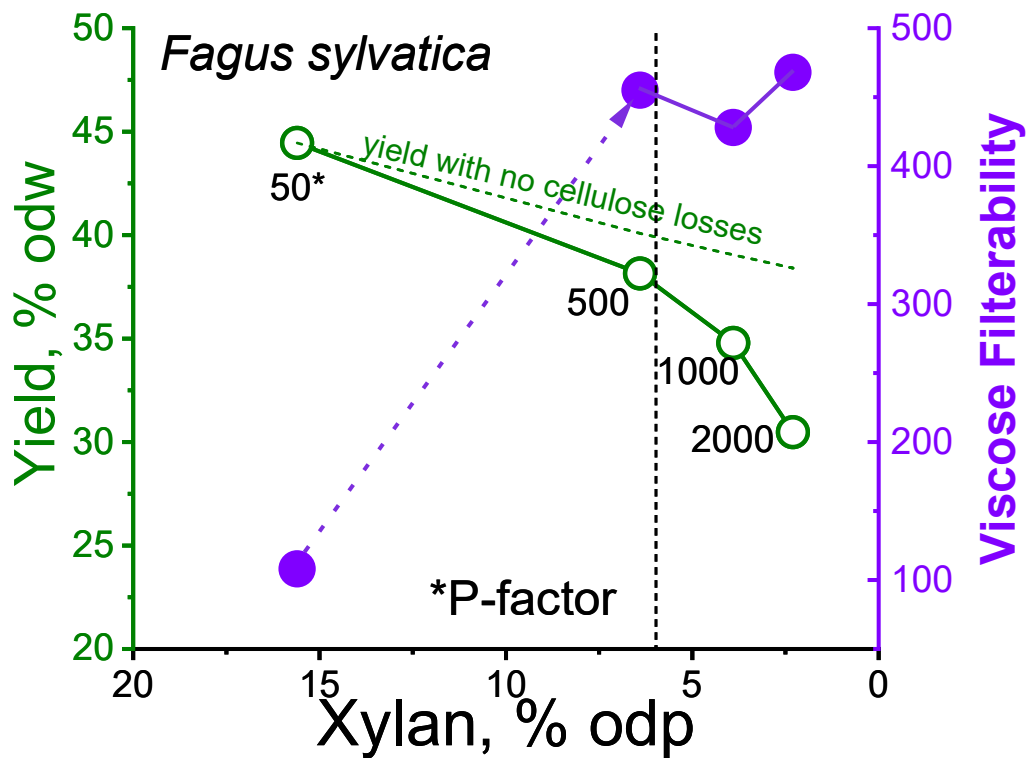
Prehydrolysis Kraft (PHK)



Prehydrolysis Intensity

$$P = \int_{t_0}^t \frac{k_H(T)}{k_{373K}} \cdot dt = \int_{t_0}^t \text{Exp} \left(40.48 - \frac{15106}{T} \right) \cdot dt$$

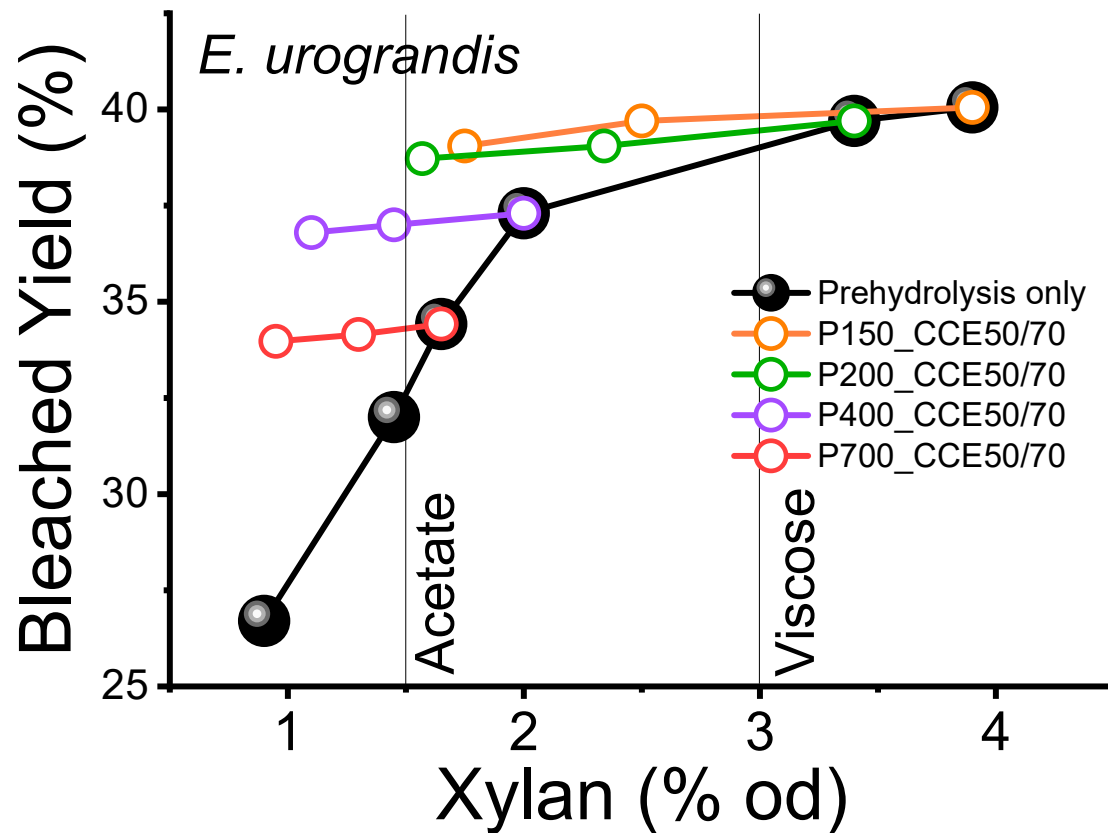
Purification through Prehydrolysis



Reinforced Purification by PH-CCE

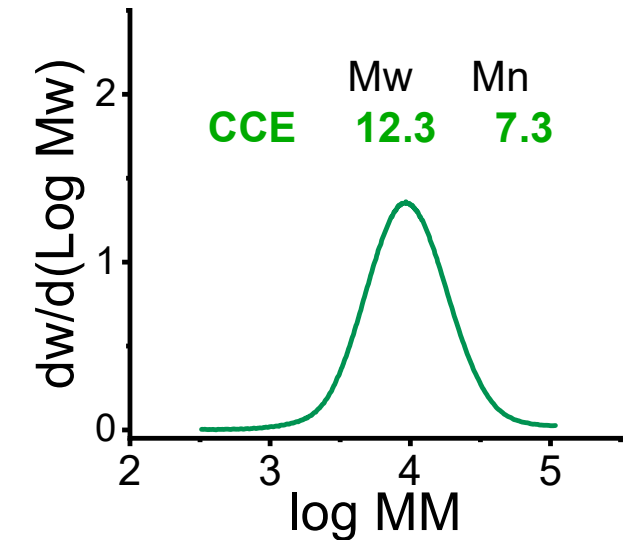
Prehydrolysis and Cold Caustic Extraction

Highest-purity Dissolving Pulp



Xylan

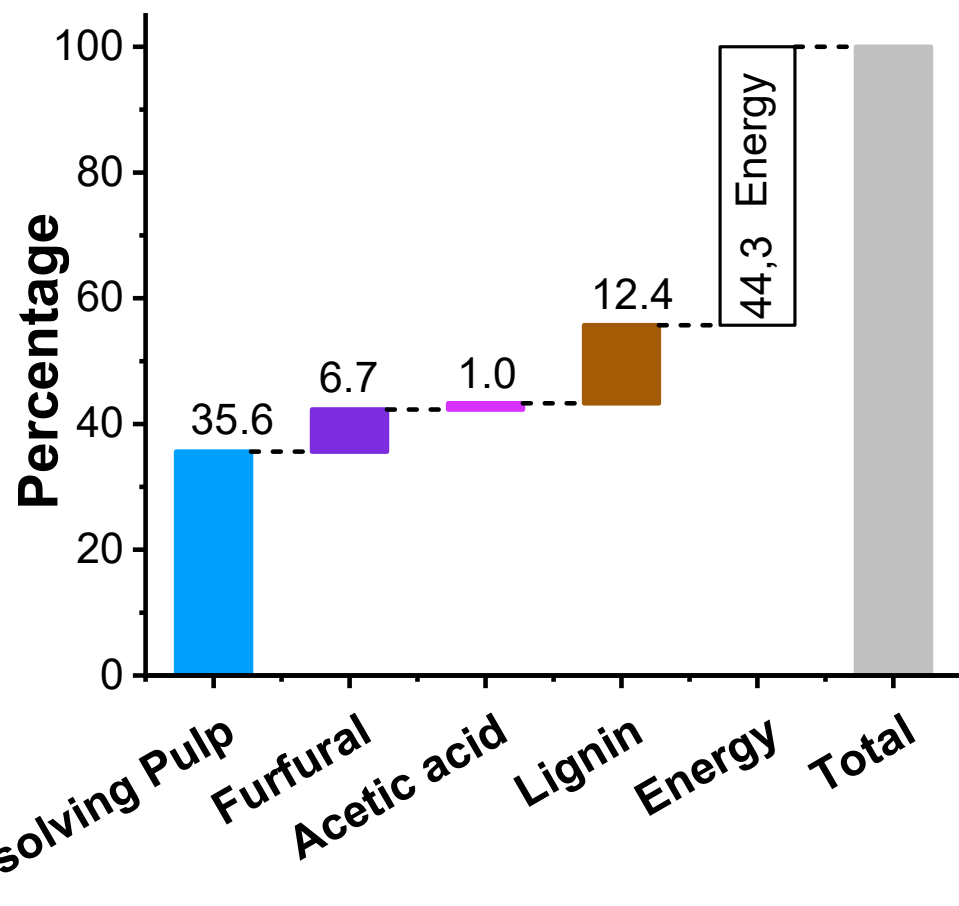
as side products



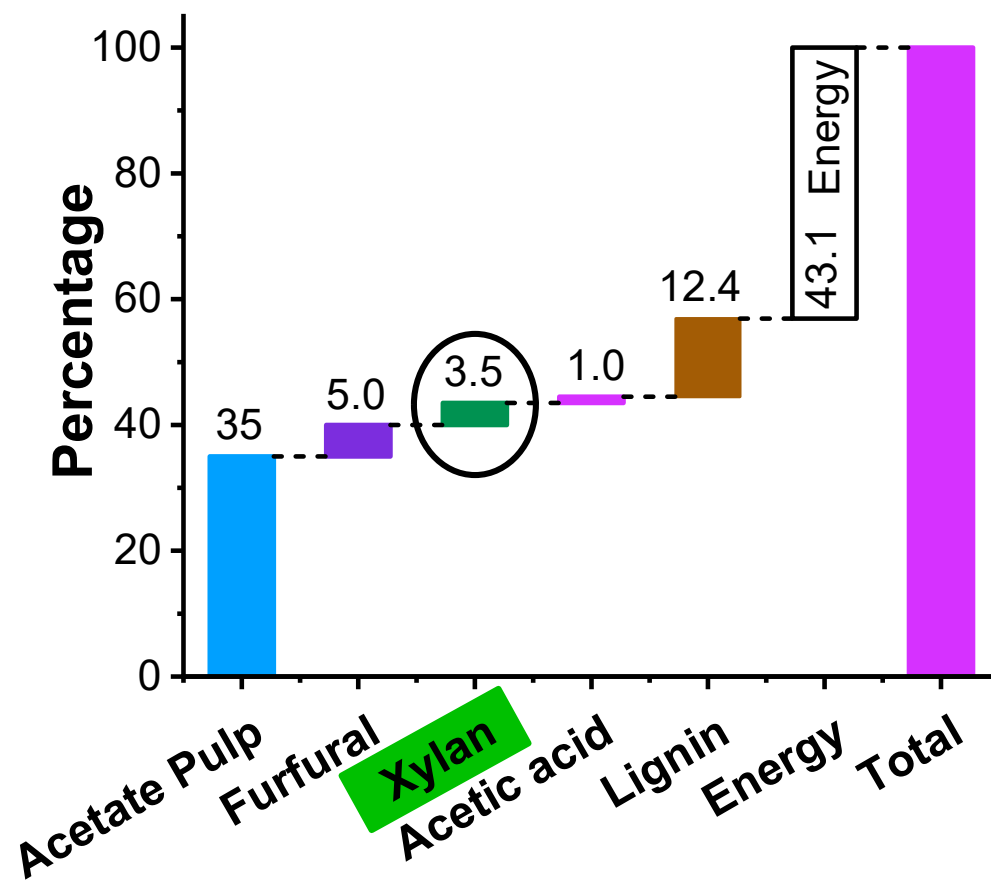
Xylan recovered from CCE filtrate: 3-4% odw

Biorefineries

Birch-PHK



Birch-PHK-CCE



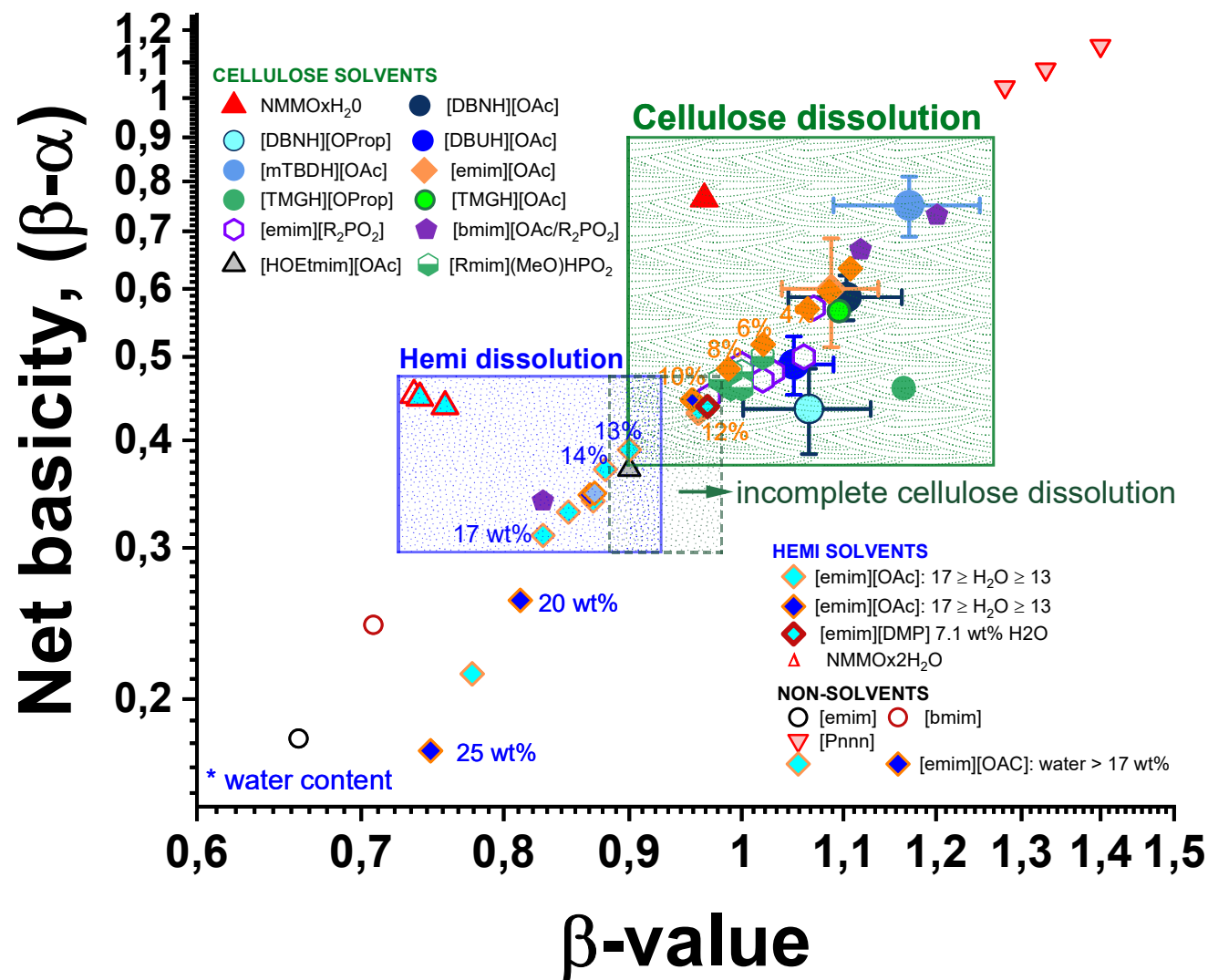
ACID SULFITE

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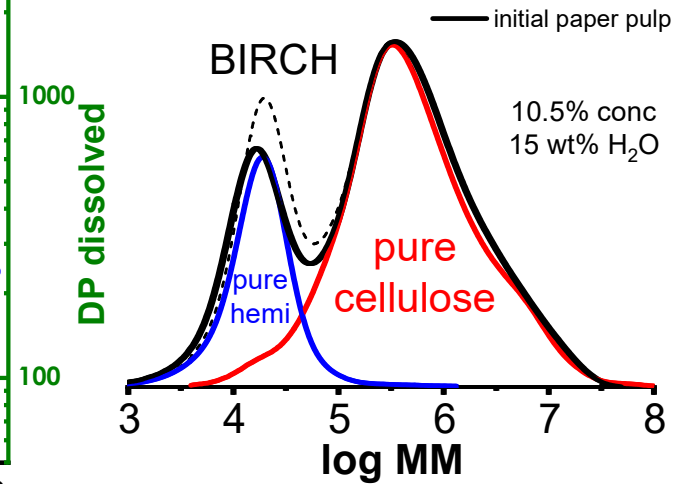
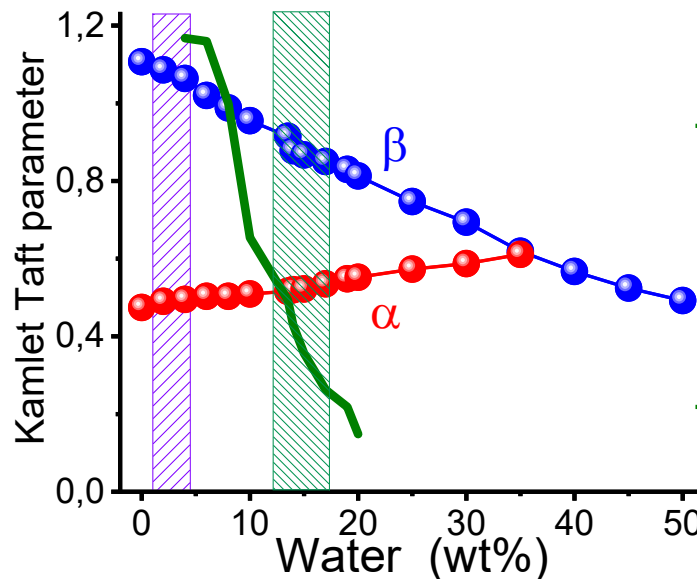
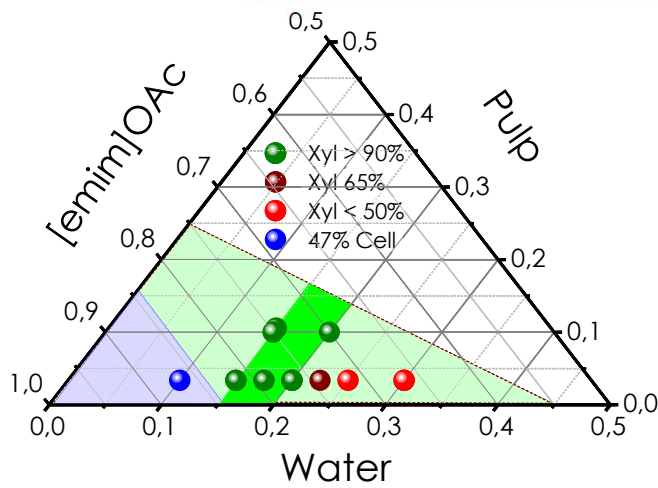
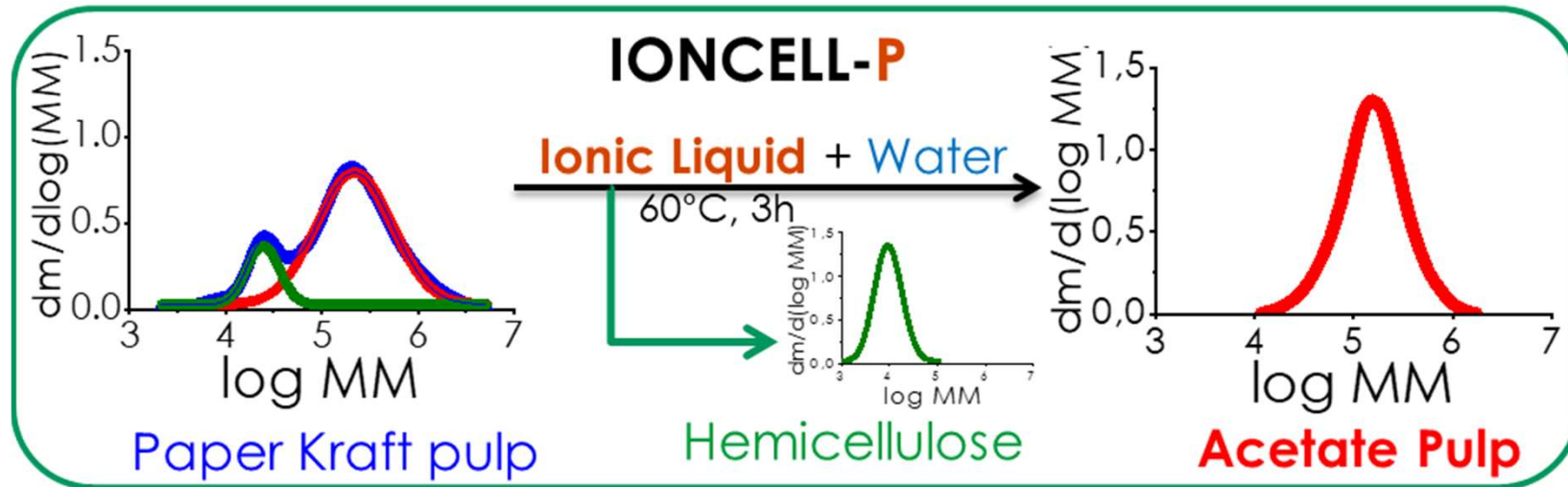
Kamlet-Taft

Empirical solubility parameters to identify solvents for the dissolution of **Cellulose** and **Hemicellulose**

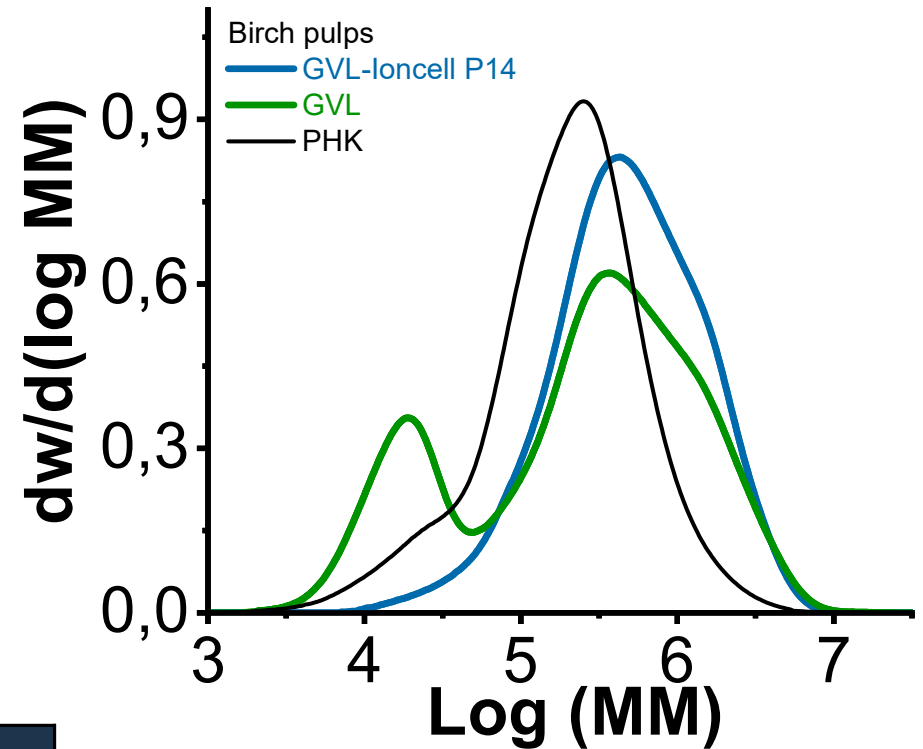
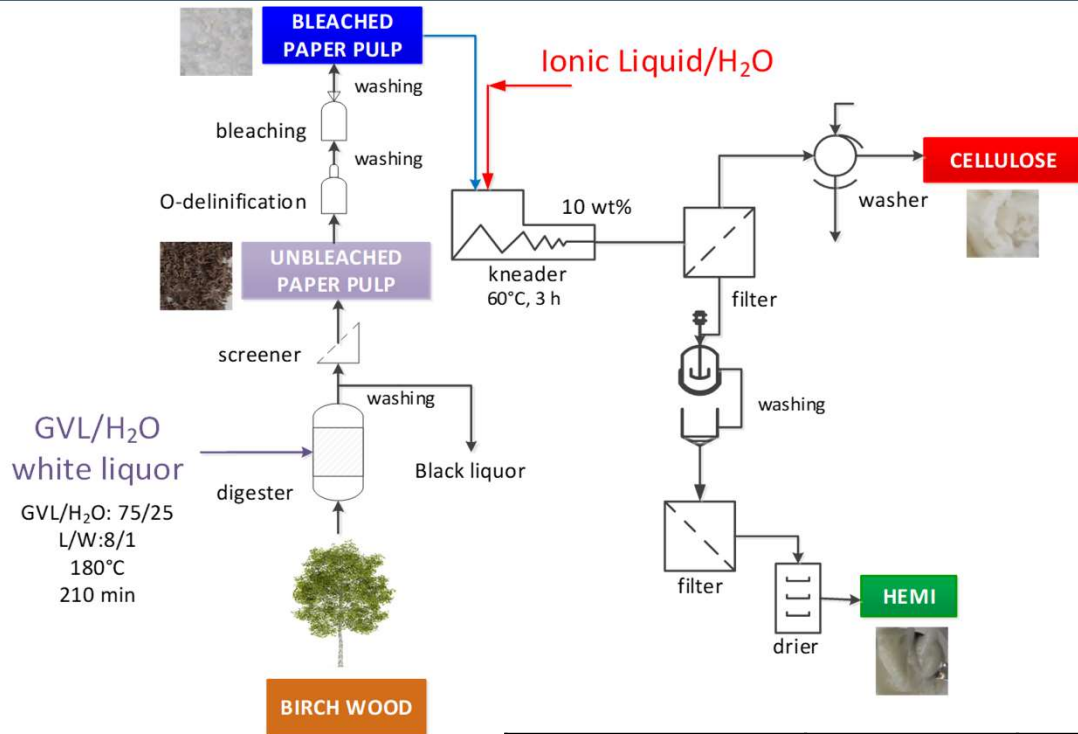


M.J. Kamlet and R.W. Taft: JACS, 98:2, 377-383 (1976)
 R.W. Taft and M.J. Kamlet: JACS, 98:10, 2886-2894 (1976)

Ioncell-P Process



Ioncell-P treated paper GVL pulp



PULPS	Viscosity (mL/g)	Xylan (%)
GVL	1056	18.7
GVL-IP-15%	1266	2.1
GVL-IP-17%	1258	2.8
Reference PHK	468	6.8

Production of a high-viscosity, high-purity pulp in two sequential steps using two green, recyclable solvents as the only chemical input.

Controlled Deploymerization?

Controlled Depolymerization of Dissolving Pulps

1. Acid hydrolytic chain cleavage
- 2. Endoglucanase treatment**
- 3. Ozone induced chain scission**
- 4. Oxidative alkaline degradation (aging)**
- 5. Electron beam irradiation**

Definitions

1. Adjustment of the desired DP
2. Improvement of accessibility
3. Control of the molecular mass fraction (?)

Definition:

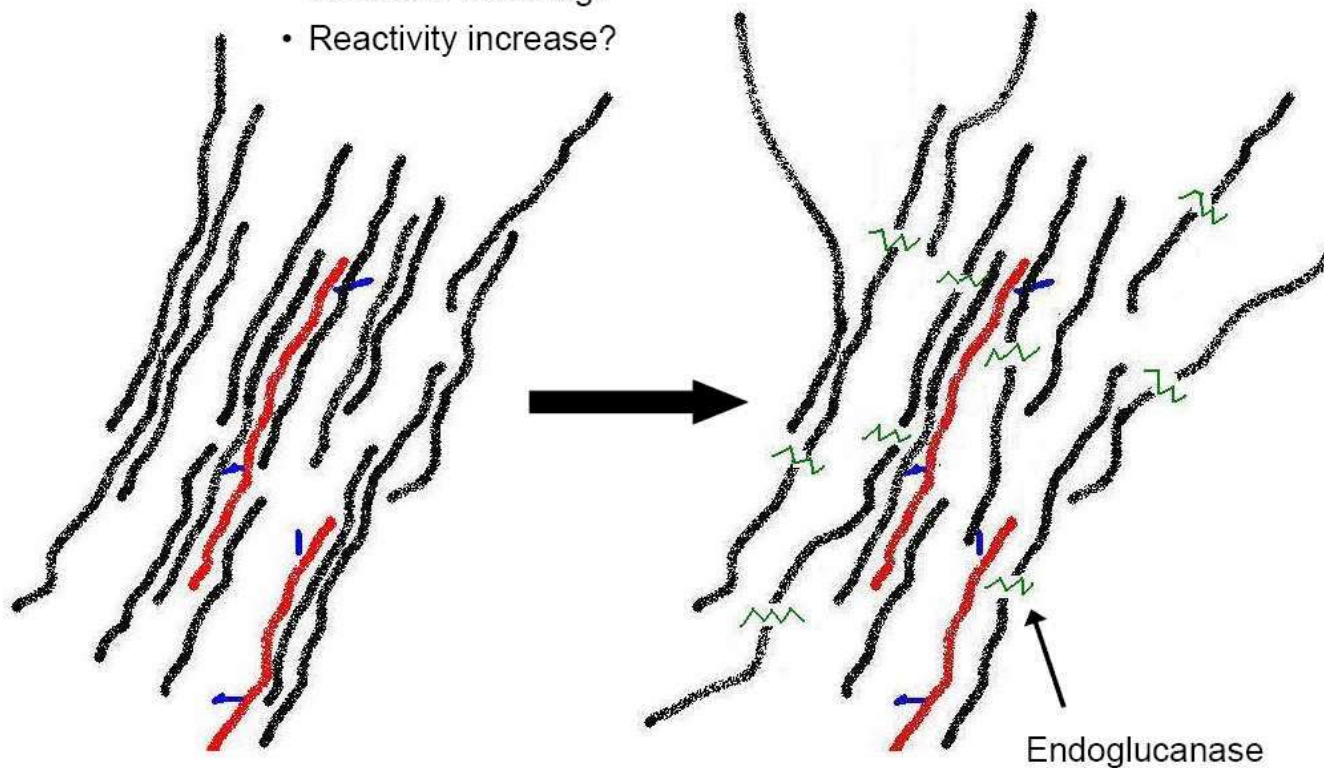
$\frac{DP_0}{DP_j} - 1$: Chain Scission per chain

$\frac{10^4}{P_j} - \frac{10^4}{P_0}$: Chain scission per AHG.

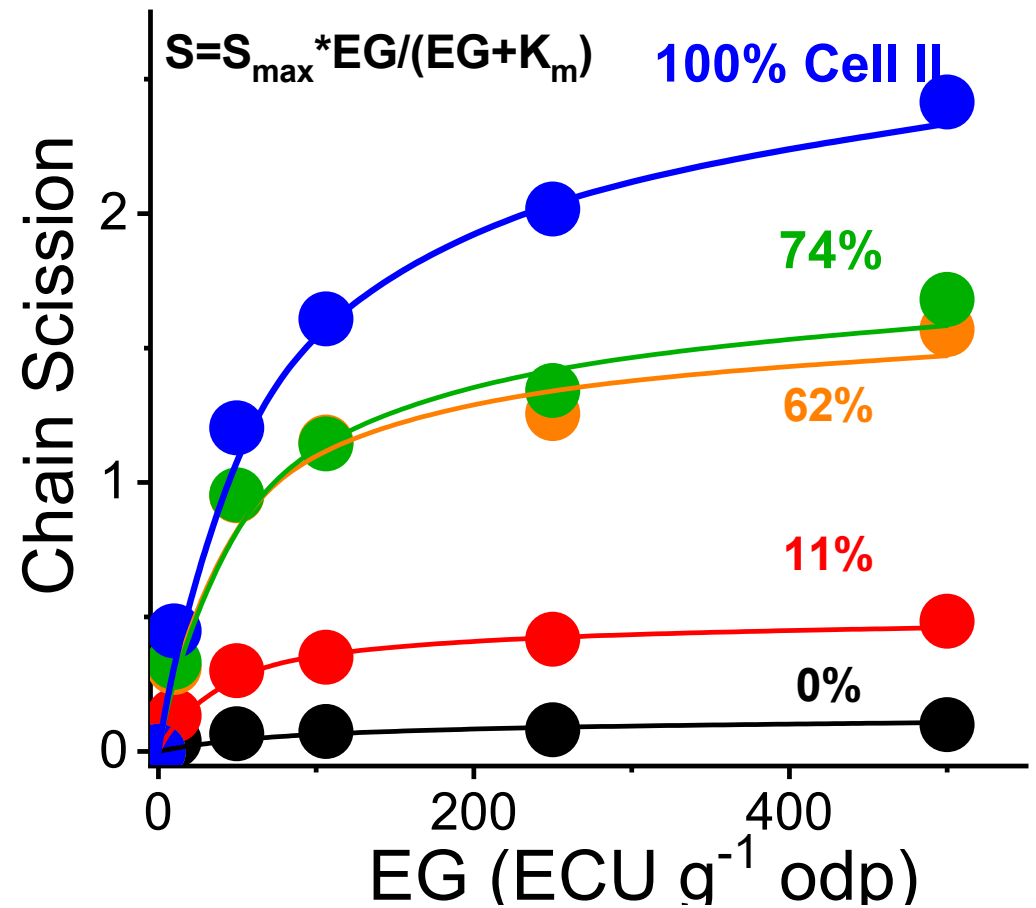
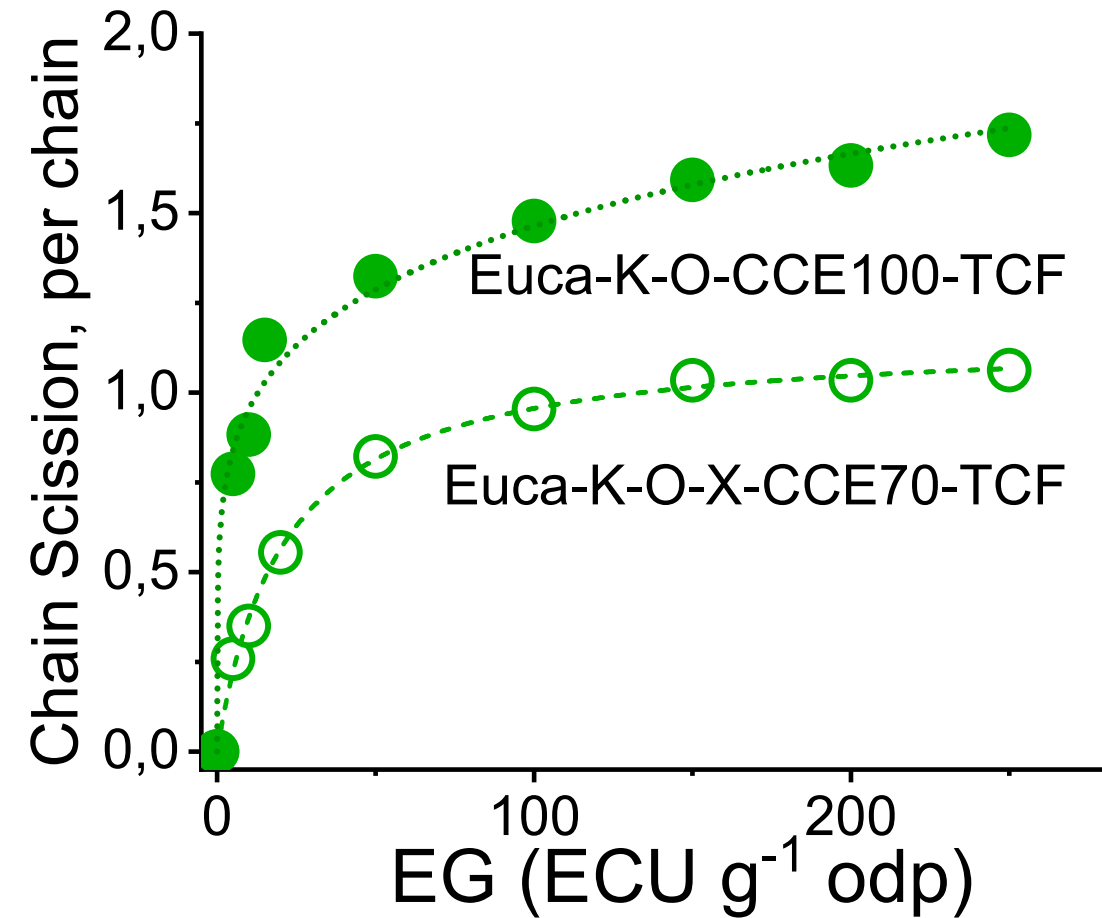
Endoglucanase treatment

Endoglucanase treatment:

- Cellulose chain scission
- Structure widening?
- Reactivity increase?



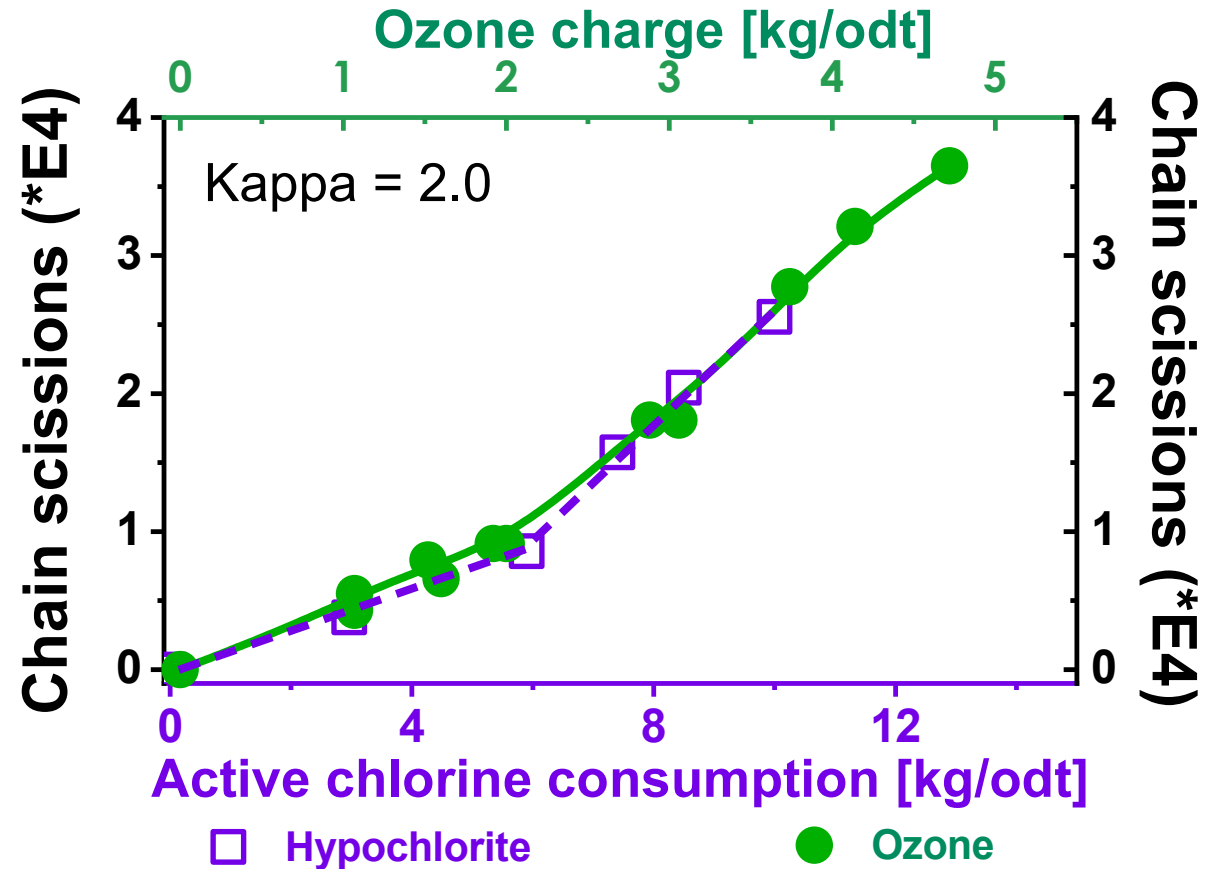
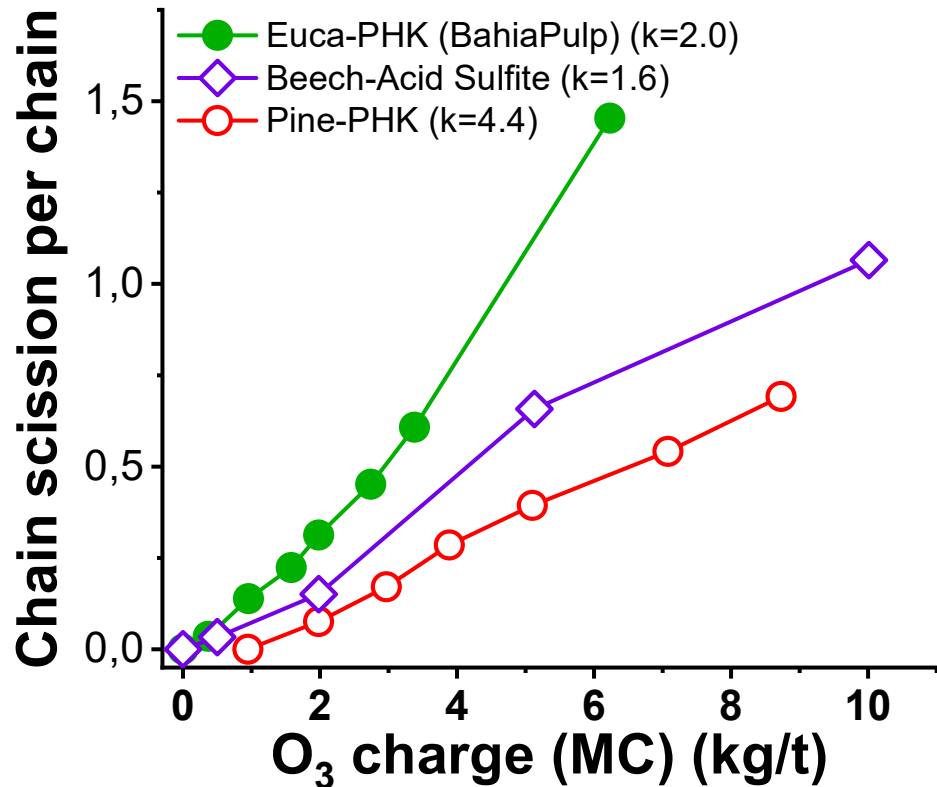
Endoglucanase treatment



Effect of Endoglucanase treatment

- Cellulose II structure more accessible; increased unit cell dimensions, & WRV
- EG accessibility is facilitated in the absence of hemicellulose and in the **absence of lignin**.

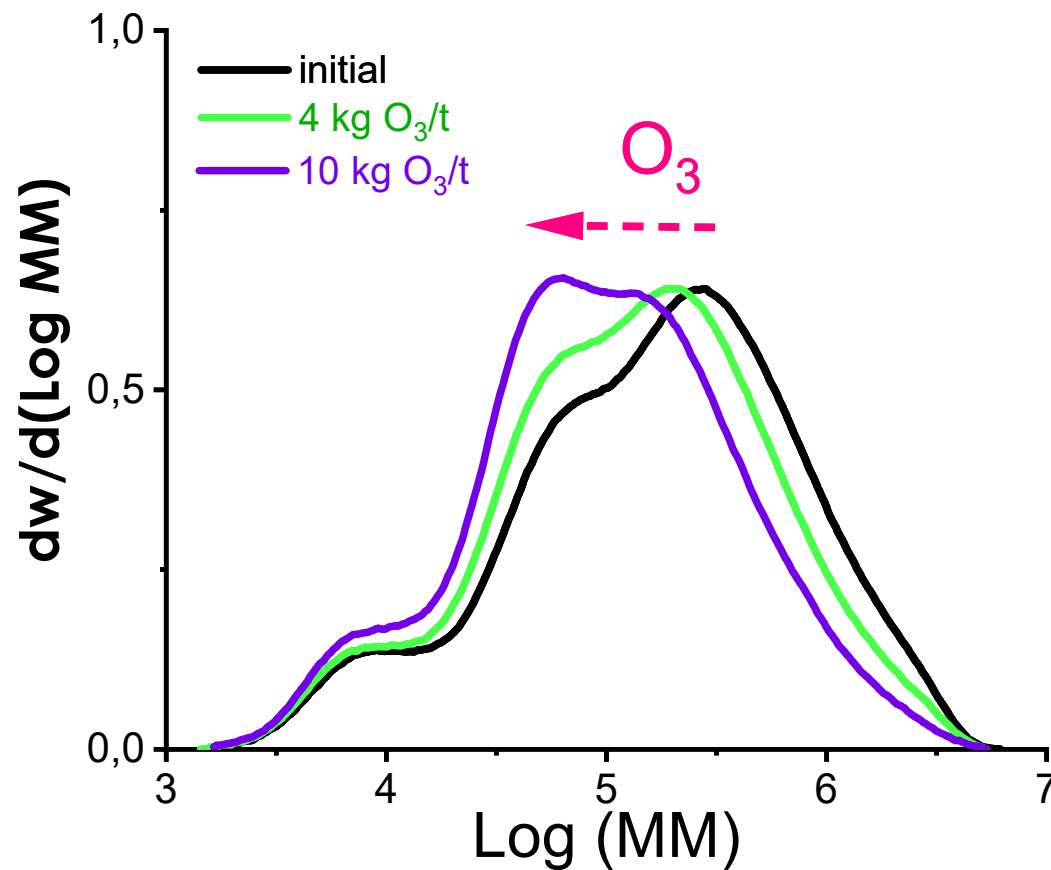
Ozone treatment



Ozone treatment

- Residual lignin competes for ozone: decreased slope.
- Presence of hemicellulose for the same type of pulp protects cellulose against depolymerization.
- Ozone attack: Shrinking core mechanism
- Morphologie: thin primary cell wall facilitates accessibility

Effect of ozone treatment on MMD



Sixta, H (2006), Unpublished results

Electron beam irradiation

To adjust the DP of pulp and improve accessibility.

Free radical formation leads to the formation of CO and COOH groups.

1 Gray (Gy) = 100 rad

1 Gy = $J \cdot kg^{-1} = m^2 s^{-2}$ absorbed dosage

1 Gy = $6.25 \cdot 10^8 eV kg^{-1}$

G-Factors

Effects of irradiation of cellulose:

Involves the measurement of the changes, e.g. molecular weight, molecular weight distribution:

G is defined as the event yield per 100 eV of energy deposited in the material.

G is the irradiation yield: number of chain scissions per 100 eV absorbed radiation energy [mol/J].

$$G = \frac{\frac{CS}{AHG}}{f_1 \cdot \text{dosage in kGy} \cdot f_2}$$

$$f_1 = \frac{\text{molecules}}{100 \text{ eV}} = \frac{6.023 \cdot 10^{-23}}{1.602 \cdot 10^{-17}} = 1.0364 \cdot 10^{-7} \text{ mol/J}$$

$$f_2 = \frac{10^3 \cdot 162g}{\text{mol} \cdot 1000g}$$

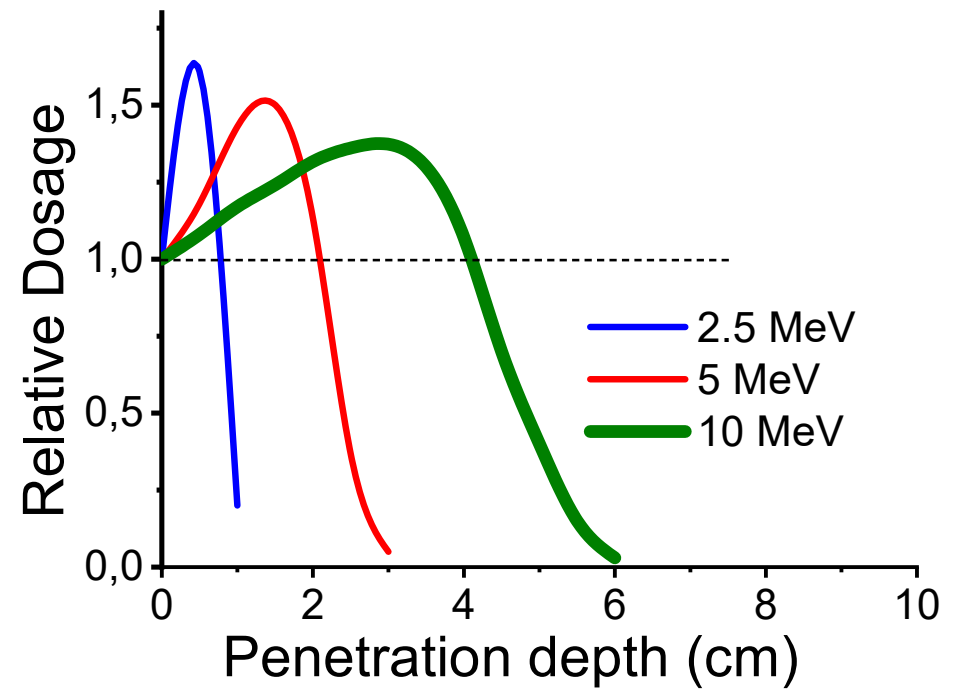
$$G = \frac{\frac{CS}{AHG} \cdot 10^4}{0.1679 \cdot \text{dosage in kGy}}$$

Fischer, 1985; Goldberg, 1989

Electron beam irradiation

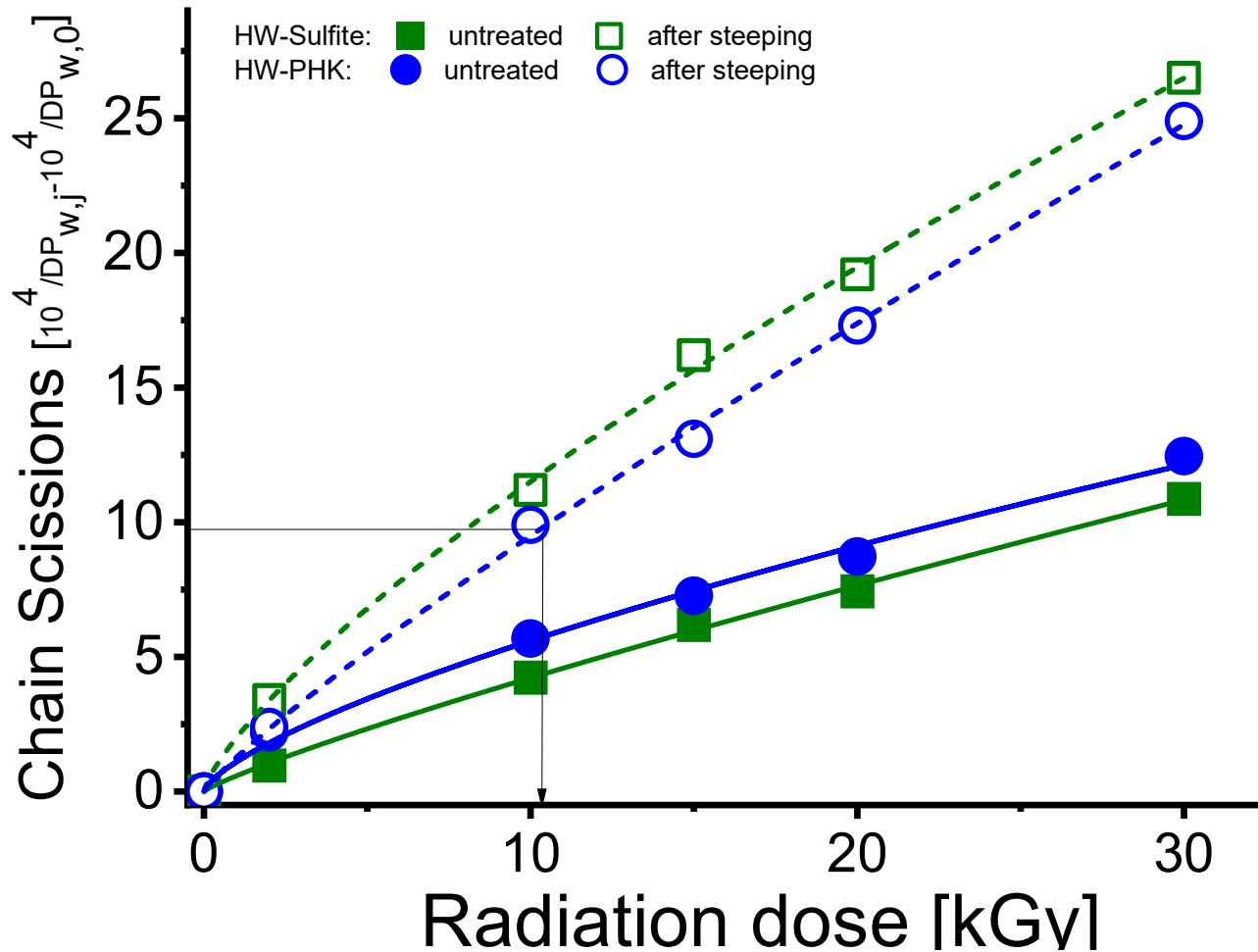


Accelerator: 10 MeV (bis 200 kW, 20 mA)



Distribution of the dosage as a function of the penetration depth

Electron beam irradiation



Euca-PHK

DP_{initial} = 1050 (450 mL/g)

DP_{final} = 525 (220 mL/g)

CS = 9.54*10⁻⁴

Dosage = 10.3 kGy

G = 5.5

$$CS = \left[\frac{10^4}{P_{v,t}} - \frac{10^4}{P_{v,0}} \right] = k_D \cdot D^n$$

Equation from Sakurada

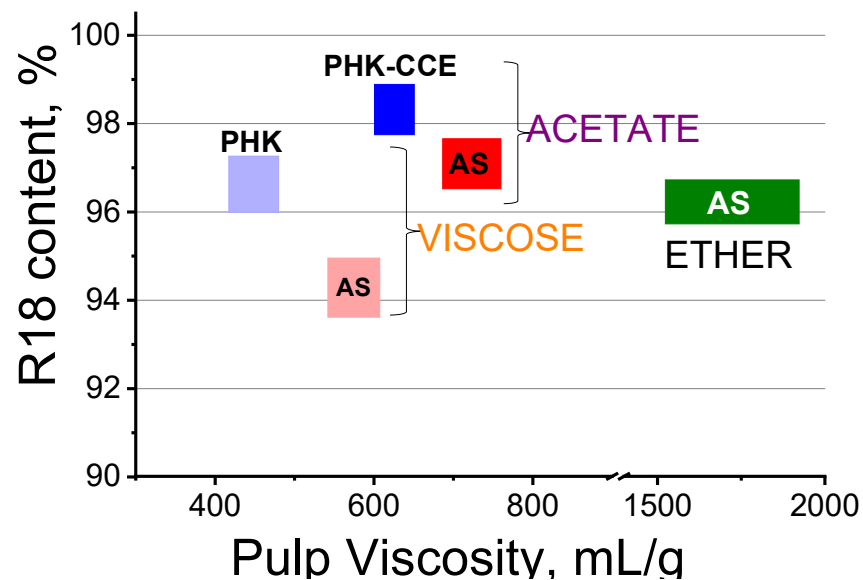
Advanced Characterization

Quality profile of dissolving pulps

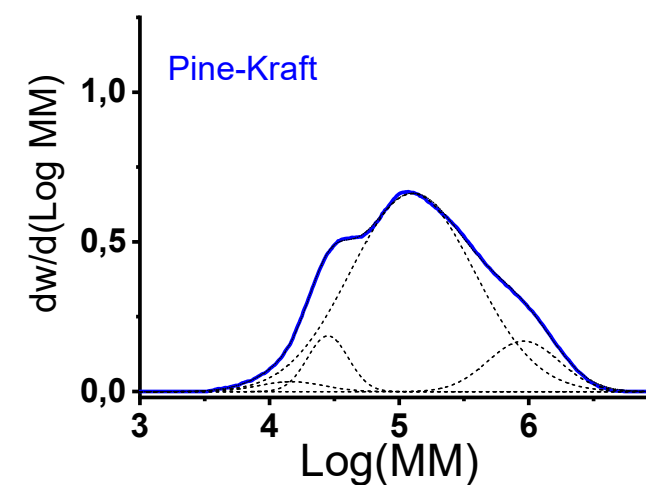
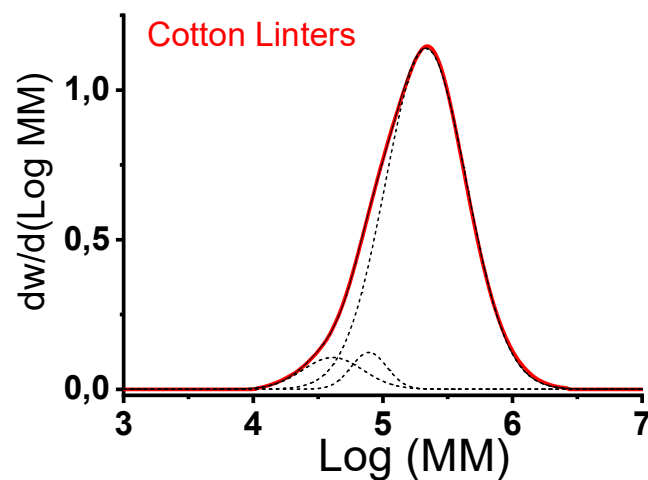
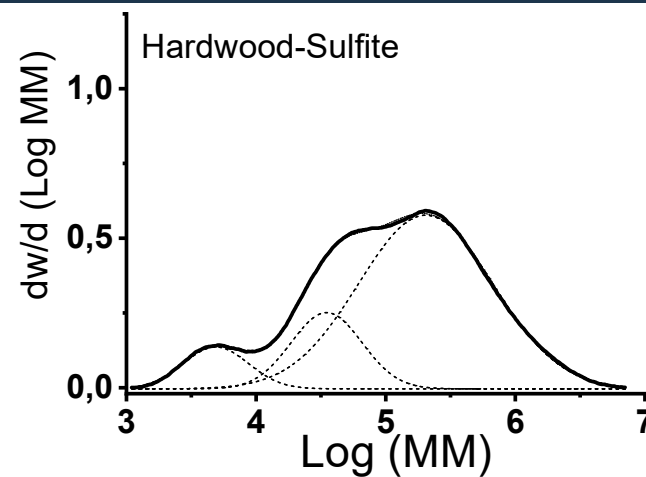
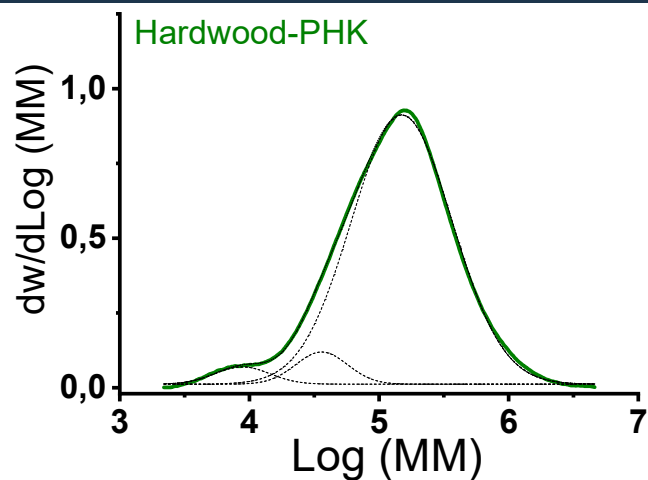
- **Presence of Non-Cellulosic Compounds**
- **Macromolecular Properties**
- **Functional Groups**
- **Cell Wall Morphology**
- **Supramolecular Structure**
- **Pore Structure, Accessibility**
- **Reactivity**

General Pulp Specification

Pulps	Cell %	Xyl %	GM %	CO mmol/kg	COOH mmol/kg	R18 %	Bright % ISO	Ca ppm	Fe ppm	Si ppm	WRV %
Acid Sulfite (AS)	96.5	3.5	0.7	14	30	94.0	92.5	15	3	20	73
PH-Kraft (PHK)	97.0	2.6	0.0	6	28	97.0	89.0	15	5	20	71
Kraft (K)	84.8	8.0	7.1	15	37	86.7	88.5	15	5	20	84
Cotton Linters (CL)	99.5	0.0	0.0	3	10	99.2	85.0	60	10	10	54



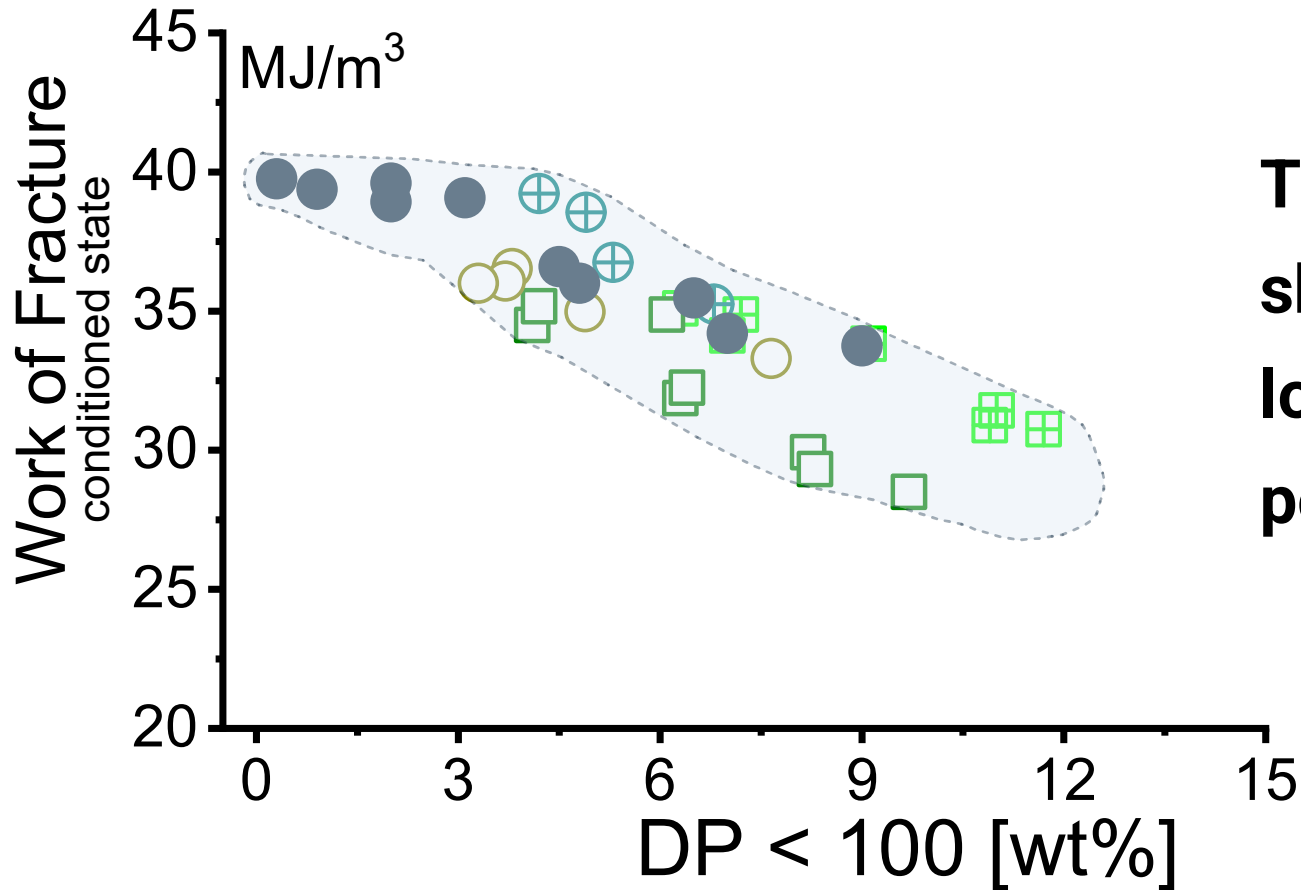
Molar Mass Distribution



H. Sixta et al., *GPC measurements of commercial DPs*

Pitänen, L.; H. Sixta et al., *Cellulose (2020)*, 27, 16, 9217-9225

Effect of MMD on Fiber Work Fracture

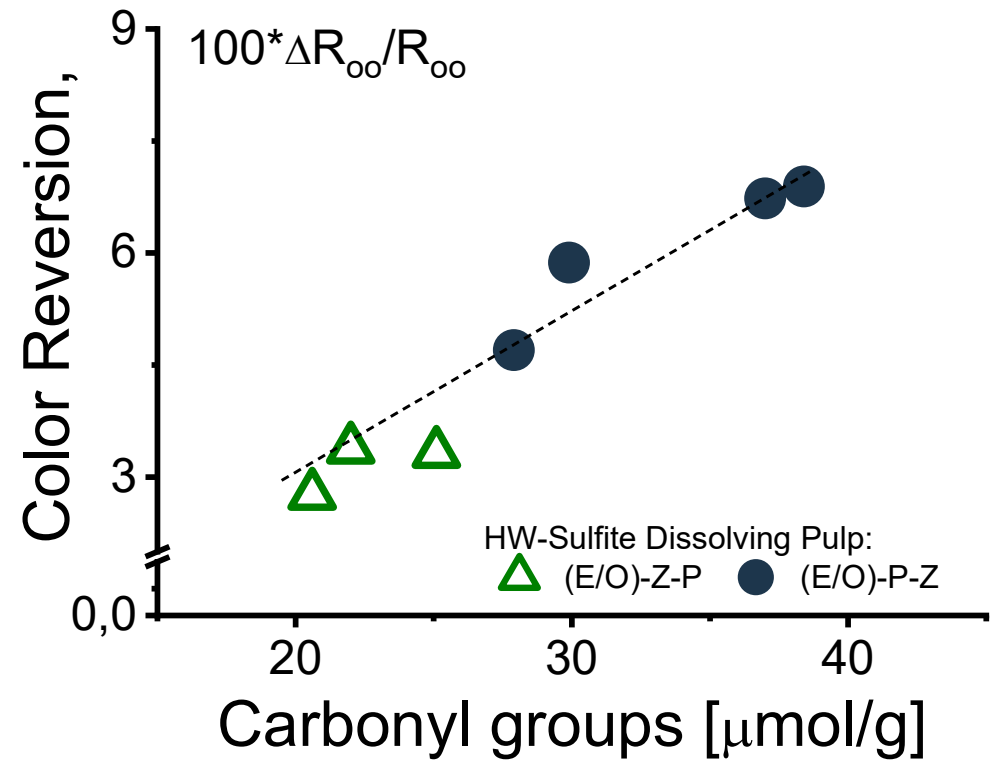
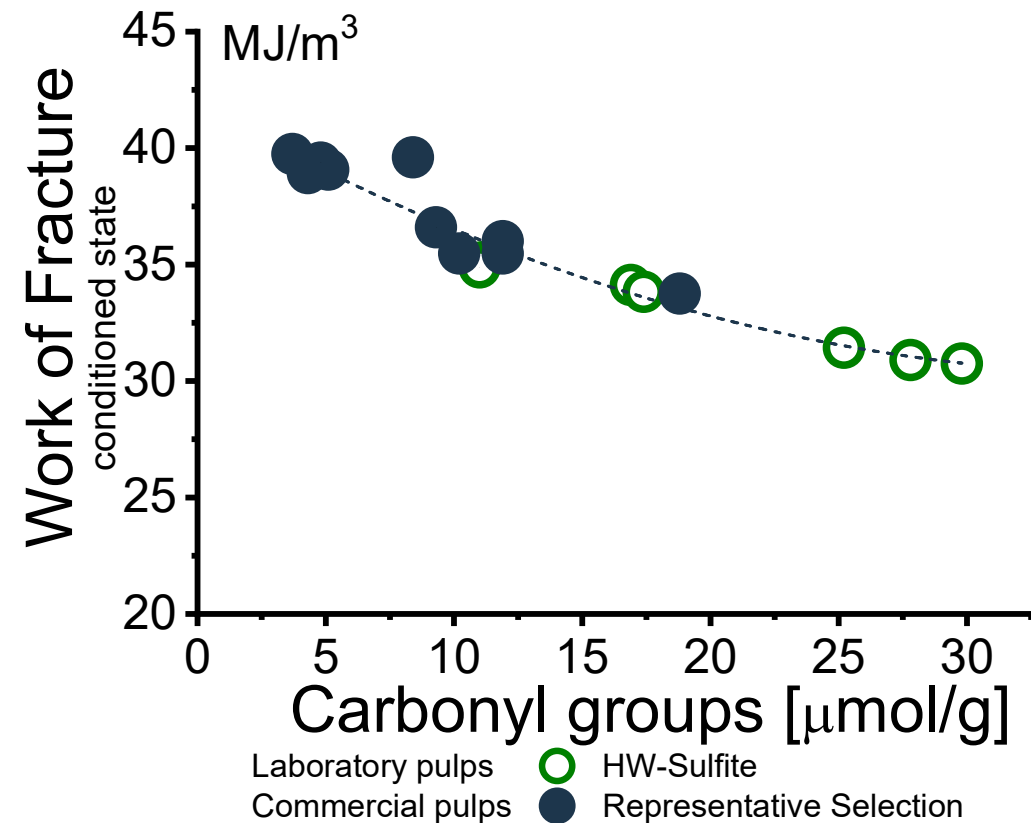


The higher the amount of short chains, DP < 100, the lower is the strength potential of viscose fibers.

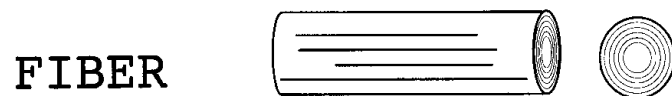
Laboratory Pulps HW-Sulfite SW-Sulfite HW-PHK SW-PHK
Commercial pulps ● Representative Selection

Functional groups

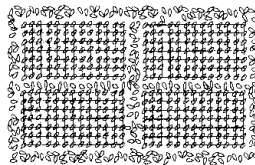
Carbonyl groups occur as reducing endgroups, $\propto \frac{1}{DP_n}$, and along the chain: detrimental in subsequent alkaline treatment and color reversion



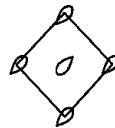
Hierarchical Cellulose Structure



MICROFIBRIL



UNIT CELL



CELLULOSE CHAIN



Morphological level

Cell wall architecture, fiber structure

Supramolecular level:

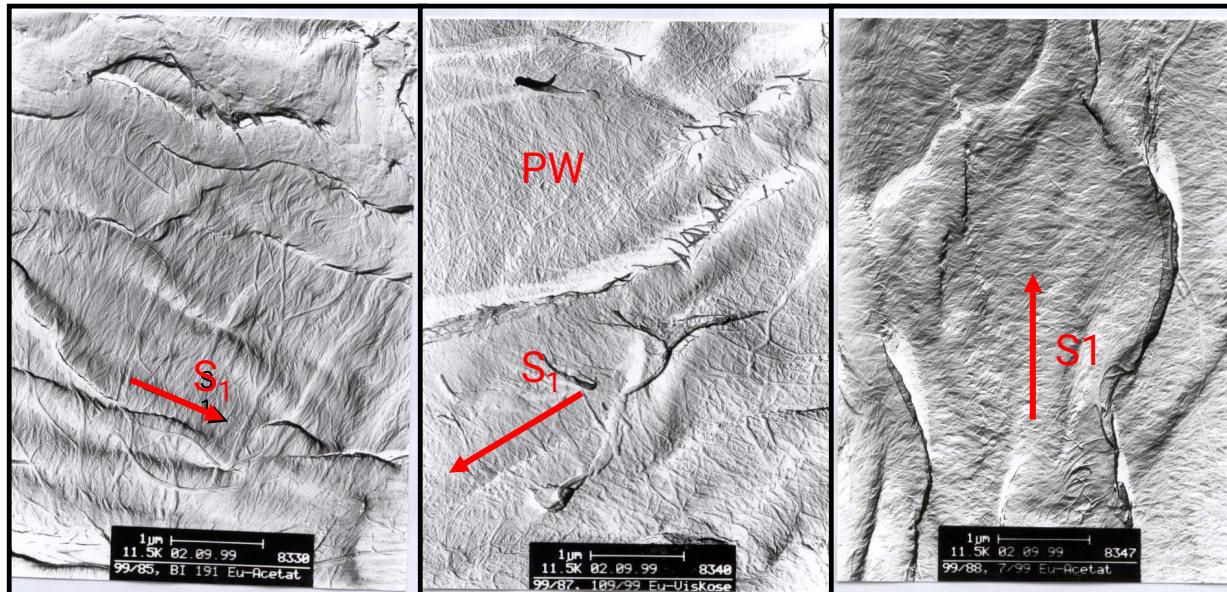
Alignment of cellulose chains

Molecular level:

Individual cellulose chains

Cell Wall Morphology

Microfibrillar Structure



Euca-Sulfite
Dominated by
S1-layer

Euca-PHK:
Residues of PW;
mild pre-hydrolysis
less detrimental to
cellulose microfibrils

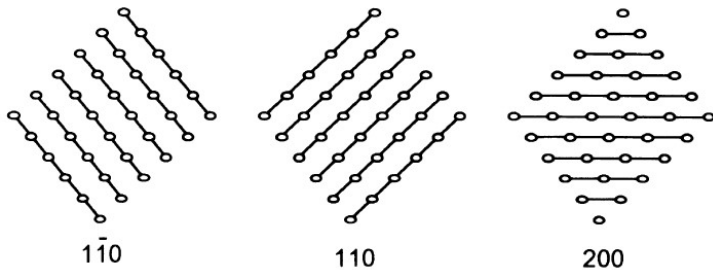
High-Purity-Euca-PHK:
Intensified pre-hydrolysis
further removes PW
layers

Crystallite Dimensions

$$L = \frac{K\lambda}{\beta \cos\theta}$$

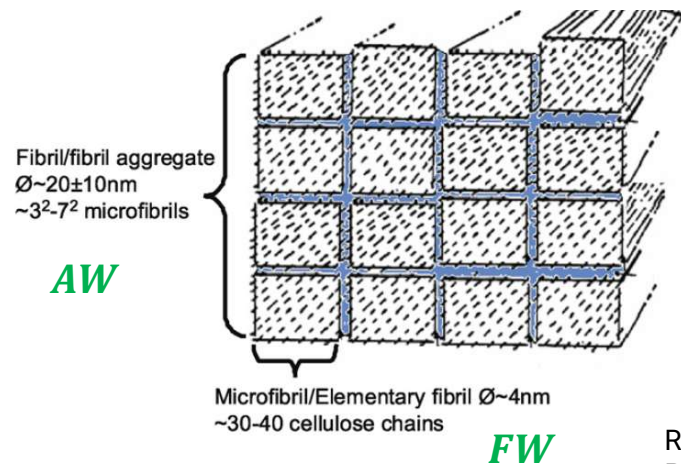
Scherrer equation

L = lateral dimension of a crystallite



$$X_{fibril} = \frac{I(AS) + I(AS)}{\text{total } C - 4 \text{ area (NMR)}}$$

$$X_{aggregate} = \frac{I(AS)}{\text{total } C - 4 \text{ area (NMR)}}$$



Fraction of fibril surface:

$$X = \frac{4 \cdot (n - 1)}{n^2}$$

$$n = \frac{2(1 + \sqrt{1 - X})}{X}$$

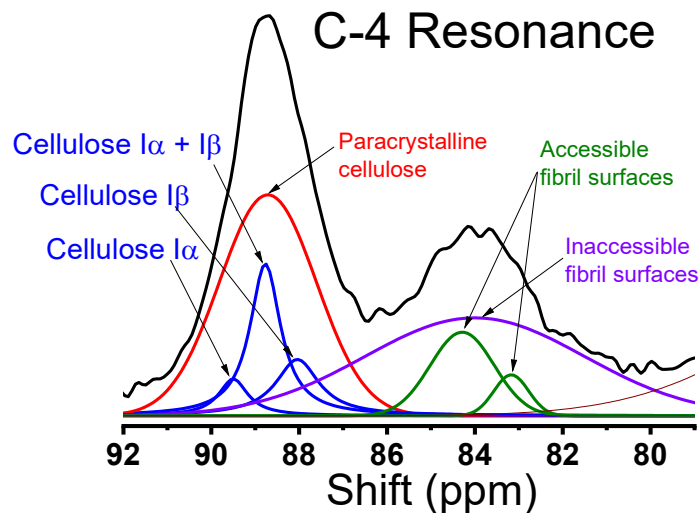
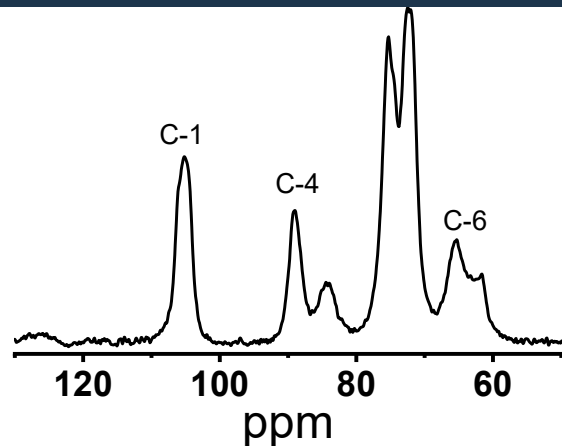
$$L = 0.57n$$

Sometimes instead of X_{fibril} , q_{fibril}

R.H. Newman, Solid State Nuclear Magnetic Resonance 15(1999), 21-29

P.T. Larsson, K. Wickholm, T. Iversen. Carbohydrate Research 302 (1997), 19-25

Crystallite Dimensions with ^{13}C CPMAS NMR



Assignment	Shift ppm	FWHH Hz	^{13}C T1 s
I α	89,42	44	
I(β +b)	88,74	46	
Para-crystalline	88,45	168	138
I β	87,87	81	
Accessible fibril surface	84,22	70	18,5
Inaccessible fibril surface	83,38	388	38,8
Accessible fibril surface	83,18	53	11,1
Xylan	81,72	121	

FWWH: full width at half height

$$CrI = 0.54 \quad X_{fibril} = 0.46 \quad X_{aggregate} = 0.11$$

$$FW = 4.3 \text{ nm} \quad (n = 7.54 \rightarrow L = 7.54 \cdot 0.57 = 4.3)$$

$$AW = 20.2 \text{ nm} \quad (n = 35.3 \rightarrow L = 35.3 \cdot 0.57 = 20.2)$$

R.H. Newman, Solid State Nuclear Magnetic Resonance 15(1999), 21-29
 P.T. Larsson, K. Wickholm, T. Iversen. Carbohydrate Research 302 (1997), 19-25

Viscose Reactivity vs Supramolecular Structure

Pulp	Viscose		Cri (NMR) %	FW nm	AW nm	Accessible Surface %
	FV (g)	Part (ppm)				
Euca K	57	41	52	4,1	22	9,7
Euca K CCE100	49	71	53	4,2	26	8,4
Euca K CCE100 EG	108	23	53	4,2	28	7,9
EK nitren	57	38	57	4,7	20	10,8
PHK	429	18	58	4,8	20	10,8
Acid Sulfite	455	4	53	4,1	19	11,7

No clear relationship between FW and AW and viscose filterability

Quality profile of dissolving pulps

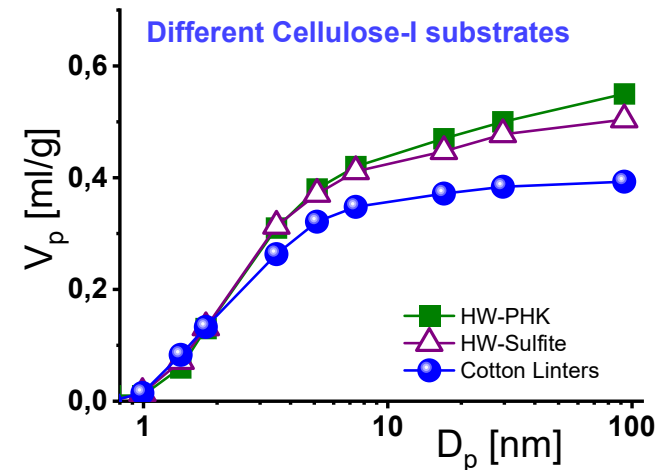
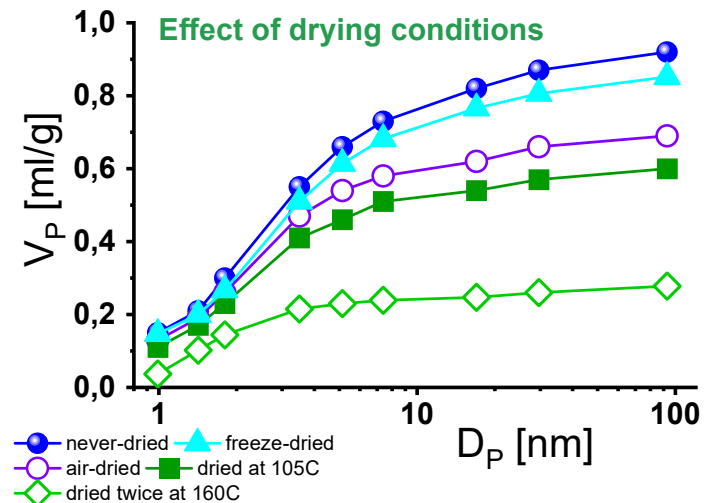
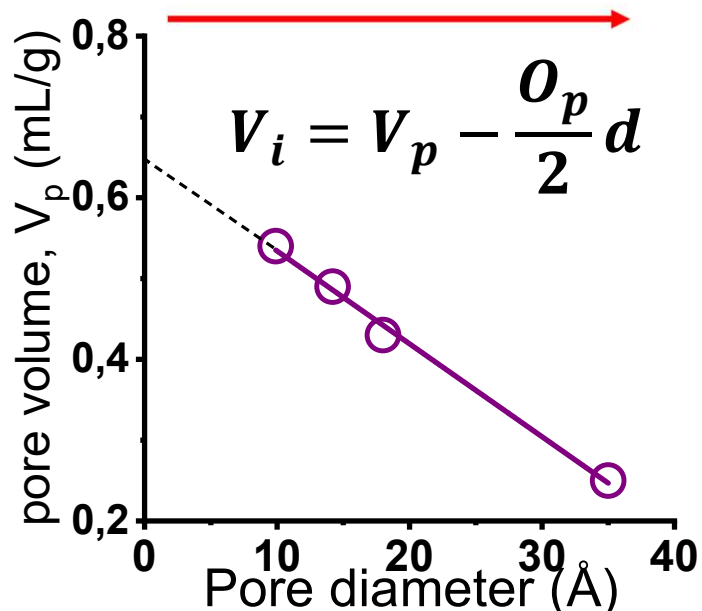
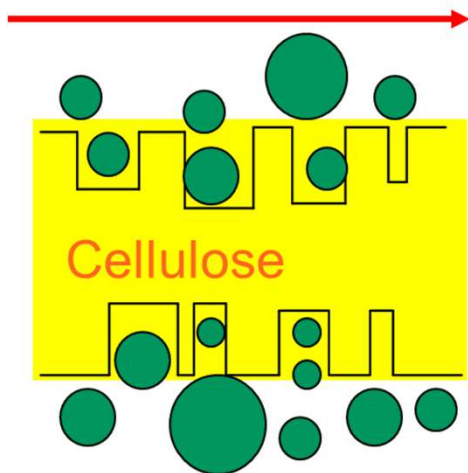
- Presence of Non-Cellulosic Compounds
- Macromolecular Properties
- Functional Groups
- Cell Wall Morphology
- Supramolecular Structure
- **Pore Structure, Accessibility**
- **Reactivity**

Pore Structure and Inner Surface

- **Solute exclusion**
- **NMR spectroscopy**
- **Water sorption isotherms, water retention value (WRV)**
- **Dynamic Vapor Sorption (DVS)**
- **SAXS**
- Thermoporosity (Gibbs-Thompson)
- Cryoporometry (NMR)
- BET: nitrogen absorption
- Mercury-intrusion porosimetry (Powder Technol (2005)160:61)
- Others

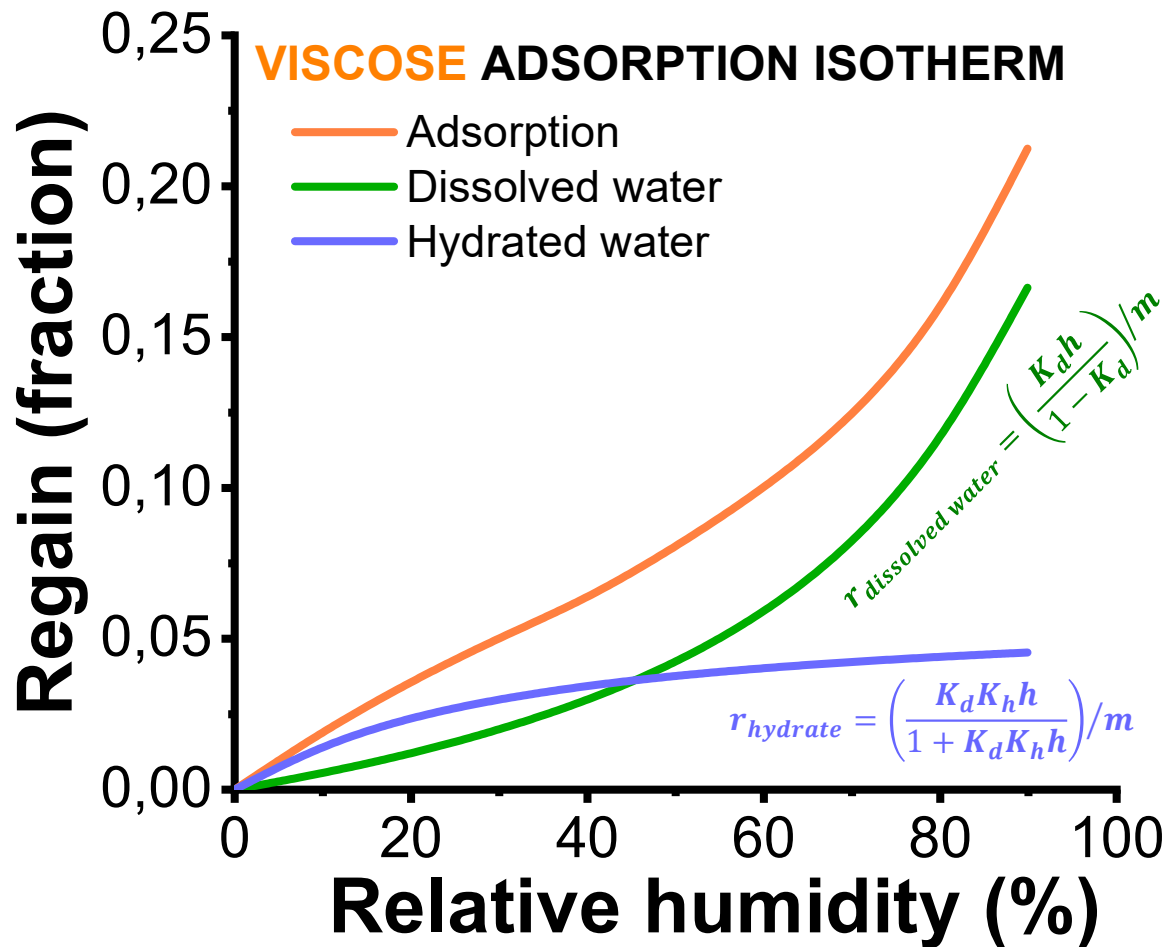
Pore volume by ISEC

Inverse Size Exclusion



Pulp	V_p	FSP	WRV	D_p	O_p
	mL/g	mL/g	%	nm	m ² /g
HW-S	0.60	0.50	73	5.1	235
HW-PHK	0.65	0.55	71	5.5	240
CL	0.45	0.39	54	4.8	190

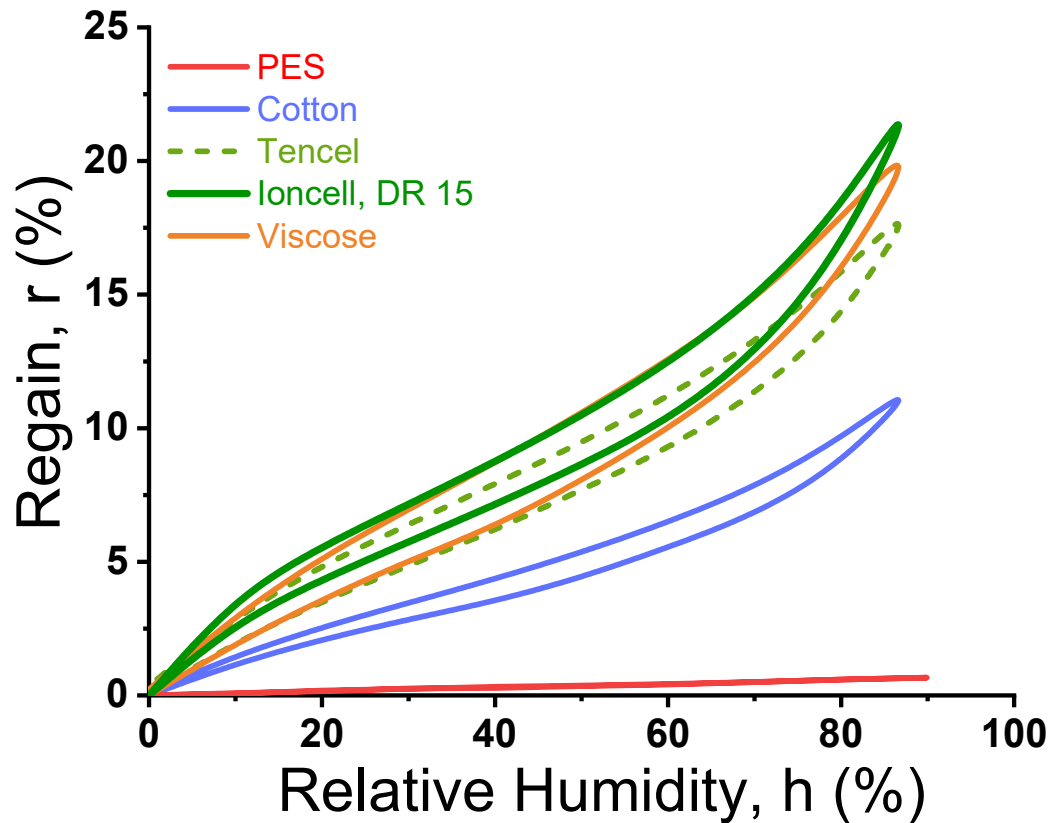
Dynamic Water Vapor Sorption



Hailwood-Horrobin Model

- h rel humidity ($0 \leq h \leq 1$)
- r regain (adsorbed water)
- A, B, C constants derived from fitting
- m_0 monolayer (ML) coverage
- K_d equilibrium constant of the ML dissolution
- K_h equilibrium constant of the ML hydration
- M molar mass of water: 18 g/mol
- $\Delta G_{h,d}$ free energy changes referenced to liquid water in J/g

Sorption & Desorption Isotherms (DVS)



Fits by the **Hailwood-Horrobin** model

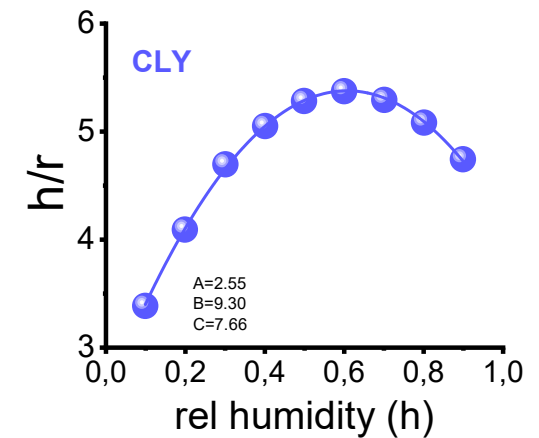
$$\frac{h}{r} = A + B \cdot h - C \cdot h^2$$

$$A = 1/[m_0 K_d (K_d + 1)]$$

$$B = (K_h - 1)/[m_0 (K_h + 1)]$$

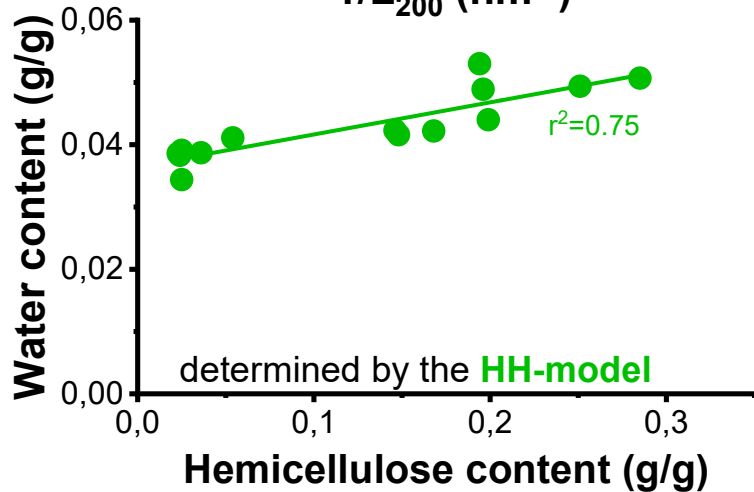
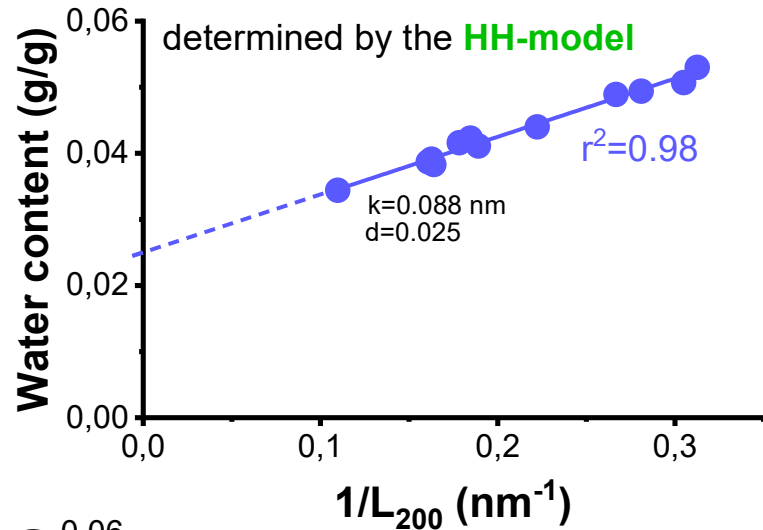
$$C = K_h K_d / [m_0 (K_h + 1)]$$

$$\Delta G_{h,d} = - \left(\frac{RT}{M} \right) \ln(K_{h,d})$$



Sample	Sorption Desorption Hysteresis*			Sorption Desorption		Sorption		Desorption	
	Monolayer water (g/g)	(g/g)	%	Surface area m ² /g		ΔG _h	ΔG _d	ΔG _h	ΔG _d
Cotton fibers	0,0325	0,0431	33	98	129	-213	27	-213	40
PES fibers	0,0041	0,0039	0	12	12	-162	80	-203	78
Tencel, 1.3 dtex	0,0605	0,0777	29	181	233	-199	35	-251	50
Viscose	0,0646	0,0916	42	194	275	-172	31	-219	52
Ioncell, DR 15	0,0578	0,0741	28	173	222	-275	24	-299	35

Hydration vs Crystallite Width



Linear relation between monolayer hydration and reciprocal crystallite width theoretically explained by geometric model

ϵ , void from imperfect packing:

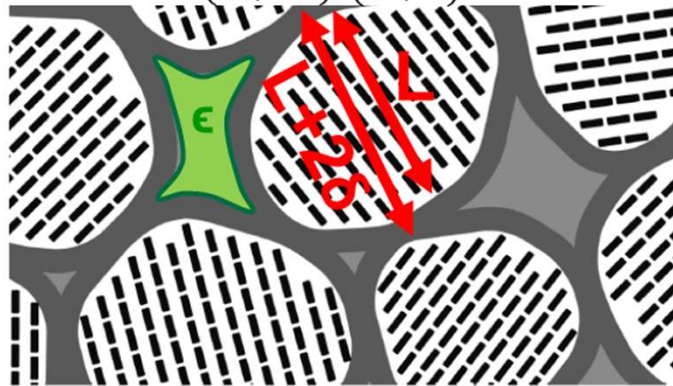
$$\epsilon \propto (L + 2\delta)$$

v_h ... volume fraction occupied by hydrated polysaccharides.

cross - section area $\propto L^2$

$$v_h = \frac{[(L + 2\delta)^2 - L^2] + \epsilon(L + 2\delta)^2}{(L + 2\delta)^2(1 + \epsilon)}$$

$$v_h \approx \frac{\epsilon}{\epsilon + 1} + \frac{4\delta}{(1 + \epsilon)} \left(\frac{1}{L} \right)$$



Monolayer water sorption is **structural** (crystallite width) not compositional (hemi)

Void structure determined by SAXS

Two-phase system¹:

- cellulose/air, the void fraction, w_v , and
- the specific surface O_{sp}

PULPS	W_v %	O_{sp} m^2/cm^3
Euca PH-Kraft Viskose	2,7	1,9
Euca PH-Kraft Acetat	3,8	1,2
Beech Sulfit	2,9	1,7
Euca-Sulfit	2,8	1,3
Euca-PH-Kraft CCE	3,1	1,9

Fiber wall pore sizes in water swollen state

Based on solid-state NMR for determining the lateral fibril aggregate dimensions **L**:

$$X = (4n - 4)/n^2;$$

$$L = n * 0.57$$

$$\sigma_{sat} = \frac{4}{L \cdot \rho_s}$$

σ_{sat} specific surface area in water-swollen state
assuming cylindrical pores, *i.e.*, pore width
corresponding to $4V/A$

Fiber wall pore sizes in water swollen state

and FSP (Dextran 2000, $R_H=101$ nm) measurement

$$FSP = \sigma_{sat} Q_L t$$

t... thickness; $2*t$ = average pore size

$$2t = \frac{2FSP}{\sigma_{sat} Q_L}$$

water-swollen state				
Samples	FSP	a(LFAD)	σ_{sat}	Av pore size
	g/g	NMR (nm)	NMR (m ² /g)	2*t (nm)
Cotton Linters	0,21	32,2	83	5,1
SW-Sulfite DP	0,94	16,9	158	11,9
HW-Sulfite DP	1,05	17,5	152	13,8