# Theory and Practice of Wet Spinning of Cellulose Solutions

Doctoral Course, Part 6



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March 10 – 11, 2022

# Outline

- 1. Introduction, history
- 2. Pulps as raw materials
- 3. Cellulose solvents
- 4. Certain aspects of cellulose dissolution
- 5. Rheology of cellulose solutions
- 6. Coagulation and regeneration of cellulose
- 7. Filament breaches during spinning
- 8. Types and properties of MMCFs

# Schedule

L1	Introduction, Raw material	March 10	9:00 – 9:45
L2	Raw materials. Cellulose solvent	March 10	10:00-10:45
L3	Cellulose solvents	March 10	11:00-11:45
L4	Cellulose solvents	March 10	12:00-12:45
	Break		
L5	Cellulose dissolution	March 10	14:00-14:45
L6	Rheology	March 10	15:00-15:45
L7	Cellulose dissolution/ Coagulation and Regeneration	March 10	16:00-16:45
L8	Coagulation and Regeneration	March 11	9:00 - 9:45
L9	Coagulation and Regeneration	March 11	10:00-10:45
L10	Filament breaches	March 11	11:00-11:45
L11	Types and properties of MMCFs	March 11	12:00-12:45
L12	Q&A	March 11	13:00 -

### Coagulation and regeneration of cellulose

- Fundamentals of wet spinning
  - Viscose
  - Other aqueous alkaline cellulose solutions

### Annex

6

• Air-gap spinning

Introduction to the concepts of coagulation and other phenomena in aqueous alkaline systems as a precursor to cellulose regeneration

# Coagulation (I)

**VISCOSE AN ANIONIC COLLOID ELECTROLYTE** 

with constitutively bound ionogenic groups (xanthogenate) and non-ionogenic wateraffinity OH groups.

Coagulation is solidification of the colloidal disperse system into larger aggregates: Initially crystallized units are formed, which gradually increase in size

Coagulation is predominantly carried out by desolvating agents: Salt solution→ SALTING OUT EFFECT



- a) Bundled single molecules
- b) Mutual interaction
- c) Capture of another molecules
- d) Formed fringe micelle
- e) Captured fringe micelle

Götze (1967)

# Coagulation (II)

Water-binding forces of the added electrolyte ions overcome the water-binding forces of the disperse phase: Solvate water withdrawn, initiating solidification

Coagulation-promoting effect follows the Hofmeister's series:

 $OAc > Cl^- > NO_3^- > ClO_3^- > J^- > SCN^ Li^+ > Na^+ > NH_4^+$ Increasing ion radius, the coagulation effect weakens

Coagulation does not mean phase separation! The coagulum remains largely solvated **IONOTROPIC GELATION:** Exchange of Na(I) by Zn(II) forming  $Zn(S(CS)OCell)_2$  leads to a gradual solidification of the viscose sol.

#### Tendency to coagulation increases:

- 1. Higher gamma value
- 2. Higher temperature
- 3. Higher DP
- 4. Increasing PDI

Götze (1967)

### The Gelation

When viscose is stored, the sol gradually solidifies, it gels. Gelation is a precursor to coagulation

- 1. Formation of adhesion points between neighboring molecules due to Brownian motion.
- 2. Progressive dexanthogenation leading to increased formation of H-bonds between neighbouring molecules.

Gelation can be delayed:

- Higher gamma value
- NaOH conc between 8 and 10 vol%



Manegold (1941); Ostwald, (1928, 1937)

### The Syneresis

Viscose gel shows the phenomenon of syneresis after a longer period of

time

Water is split off from the solvated cellulose xanthate.

The shrinkage increases the gel strength. Emerging liquid contains considerable amounts of salts.

Examples from Food industry (cheese production):

Whey that escapes during the processing of the curd and is produced by the aggregation and contraction of the milk proteins

Cellulose /NMMO.H<sub>2</sub> Solution after 18 a

Götze (1967))

# Fundamentals of Viscose Spinning

### Spinning baths: General



### Spinning baths: Müller baths

Müller Bath I

 $[H_2SO_4] > [Na_2SO_4] \rightarrow$ Rapid saponification, brittle fibers

Müller Bath II $[Na_2SO_4] > [H_2SO_4]$ leads to delayedsaponification

Moore (1935) and Poznanski (1938) Klare and Gröbe (1960, 1961) \* Cumberbirch (1959, 1960, 1961) Gröbe et al (1962)

**Zinc** containing baths since 1935

Conversion into  $Zn(S(CS)OCell)_2$  only in a very thin skin, the cuticle<sup>\*</sup> forming around the gel filament. Cuticle slows down the diffusion process

**Gel skin** around the emerging viscose, enclosing the liquid Sol and preventing Viscose to creep over the spinneret surface



Cuticle = Gel skin consists of

coagulated, undecomposed xanthate

Viscose with high DP, high  $\gamma$  value require less salt.

At lower retention time, higher acid concentration in spin bath is needed

## Multi-bath spinning baths

#### **Bath A**

Option 1: Xanthate largely saponified, subsequent Bath B for hot water stretching

Nowadays standard concept for regular viscose fiber production (CV)

Option 2: Viscose only coagulated, saponification and stretching in Bath B→ High tenacity

Modified viscose processes, especially for supercord fibres, carpet-type fibres, highly crimped fibres and HWM fibres, based on option 2

Bath A: little H<sub>2</sub>SO<sub>4</sub>, Na<sub>2</sub>SO<sub>4</sub>, very high ZnSO<sub>4</sub> of up to 100 g/l

**Bath B:** Diluted H<sub>2</sub>SO<sub>4</sub> bath at >90°C for entire dexanthation, regeneration

Götze (1967)

### General diffusion processes

#### Formation of a gel membrane, Cuticle, via coagulation in the boundary

layer, viscose/spin bath, is a prerequisite for formation of a filament



Boundary line between developing Gel and the Sol $\rightarrow pH\sim13$  (K)

Neutralization boundary line at pH 9 when free [OH<sup>-</sup>] is neutralized (N)

At the acid boundary line at pH 2 free xanthogenic acid is present (S)

With an increase in [Na<sub>2</sub>SO<sub>4</sub>], diffusion slows down due to the densification of the gel by dehydration

### Diffusion in the presence of ZnSO<sub>4</sub>?

Zn<sup>2+</sup> ions can penetrate viscose sol only to a certain depth, explaining a stationary zinc-cellulose-xanthate interface (4<sup>th</sup> BL)

Zn<sup>2+</sup> ions react with the  $Na^+S^-(CS)OCell$ to form a gel, denoted as ionotropic gelation resulting in a layer formation

The inorganic ions react with the Zn<sup>2+</sup> flowing towards them, thus forming insoluble zinc salts which clog capillaries

Diffusing H<sup>+</sup> ions generate S-containing inorganic side products in the sol, which seeks to escape into the spin bath

Gröbe et al (1963) Vermaas, D. (1962); Thiele, H.; et al. (1952)

The characteristic gel structure formed by Zn(II) ions strongly delays H<sup>+</sup> diffusion and thus explains the general delay in xanthate regeneration



Ionotropic gel formation by centrifugal diffusion of Zn(II) into a cellulose xanthate solution-> Radial tangential gel structures

### Skin core effect

Fibres with pronounced skin core differentiation are all fibres spun in salt-containing acid baths

Fibre periphery & interior cannot coagulate simultaneously: Stretching induces chain orientation only in coagulated zone, not in liquid core

#### Skin thickness increases:

- with increasing DP, Cell-conc, DS, Salt-conc, esp., ZnSO<sub>4</sub>
- with decreasing °H & Temperature

Schramek & Zehmisch (1938) Morehead und Sisson (1945)

Thiele, H.; et al. (1952) P.H. Hermans (1951)



Cellulose in skin higher oriented than in the core because of a pre-orientation: When a polyanion approaches the skin zone, counterions diffuse through and form a diffusion potential leading to an orientation of cellulose molecules parallel to the membrane

#### **ALL-SKIN FIBERS:**

Coagulate fibers spun in pure salt baths, High-DP Cellulose in very dilute acid (Polynosic) and very concentrated acid baths (Lilienfeld)

#### **HIGHER STRENGTH SKIN:**

Presence of small and uniform crystallites (10.4 nm) than in core (20.6 nm). Cellulose molecules pass through more crystallites than in case of long crystallites

### Gel swelling

Primary swelling is defined as the swelling of the not yet dried gel filament from the spin bath

It affects the spinning capacity and the fiber properties: the larger, the lower the capacity and the fiber tenacity/toughness

#### **Primary swelling decreases:**

- 1. Increasing Cell conc
- 2. Increasing NaOH conc
- 3. Increasing  $\gamma$  value
- 4. Higher salt conc, esp. ZnSO<sub>4</sub>
- 5. Decreasing acid

### Shrinkage

Shrinkage is a consequence of liquid discharge from the forming filament reducing its volume

Outer skin acts as a membrane (osmotic effect): Dehydration and gradual solidification

Longitudinal and **transverse shrinkage.** The latter dominates since filament is under tension

- 1. Pre-shrinkage in the spin bath
- 2. Post-shrinkage during fiber drying

Shrinkage increases with salt conc., esp. with higher value cations

#### Bath shrinkage (7-8%):

must be taken into account when calculating the **linear density**, for example by enhancing the take-off speed  $(T = \frac{Q \cdot \rho \cdot c \cdot f \cdot h}{v_{tup} \cdot n} = \frac{Q \cdot \rho \cdot c \cdot 1.08 \ 1.11}{v_{tup} \cdot n})$ 

### **Cross-sectional shape**

With increasing amounts of salt, fiber cross-sections deviate from circular shape, forming lobed shapes

All parameters accelerating the coagulation of the viscose lead to rounder cross-sections

Slow coagulation leads to folded cross-sections

Centripetal shrinkage of the resulting gel due to osmotic processes

With the same viscose, increasing temperature and higher °H leads to round cross-sections





**Regular Viscose** 

Polynosic

### Fiber drawing vs Fiber stretching

Fiber drawing =  $\frac{v_{take-up} \ 1^{st} godet \ (m/min)}{v_{extrusion} \ (m/min)}$ 

Fiber draw largely **undefined** since stretching of liquid core does not lead to orientation (X-ray)

Upper limit for the draw for good spinning at  $\sim 2.2-2.5$ .

In the presence of Zn(II), formation of large crystals avoided; limited diffusion allows higher draw(!) Stretch ratio =  $\frac{v_{take-up} 2^{nd} godet (m/min)}{v_{1^{st}godet} (m/min)}$ 

Stretching after a draw of ~ 0.6 optimum. Under these conditions, coagulation may be completed.

Optimal if a **coherent network** of small ordered structures has formed in the filament **before stretching**, but the alignment of the crystallites has not yet been achieved by the stretching process.

### Stretching of plasticised fibers

### **HOT WATER STRETCHING**

Stretching of coagulated fibres up to 50% in water at T > 90°C

Coagulated and partially saponified cellulose xanthate **swells strongly** in hot water and becomes very plastic

Coagulated and crystallites acquire a greater mobility due to the swelling agent water and the high temperature, so that they can slide past each other

#### Example:

Viscose

7% Cellulose , 7% NaOH, 38%  $\rm CS_2$  charge spun into a BATH A: 100 g/I  $\rm H_2SO_4$ , 230 g/I  $\rm Na_2SO_4$  and 8 g/I  $\rm ZnSO_4$ 

**BATH B:** plasticizing bath at 92-95°C with limited carryover from bath A results in a strength increase from

 $\sigma$  27  $\rightarrow$  38 cN/tex

$$\epsilon$$
 20  $\rightarrow$  17%

 $U_T 40 \rightarrow 48 \text{ MJ/m}^3$ 

Rose, Entwistle and Preston (1945)

# Viscose spinning process

### VISCOSE Wet Spinning





Spinneret: Au, Pt, Rh, Ir L:D = 1-3**Conical inlet** Holes, profiled spinnerets

#### Spinning scheme



#### **Structure Formation**

NaHSO<sub>4</sub>, CS<sub>2</sub>, H<sub>2</sub>S

H<sub>2</sub>SO

solid

liquid

Spin bath

#### Coagulation

- Xanthate gel dispersed by Zn precipitates
- Cuticle formation controlling diffusion
- Syneresis, deswelling, crystallization starts

#### Regeneration

Saponifcation, Orientation, Crystallization

#### **Stretch ratio**

• Stretch, 
$$\% = \left(\frac{take-up}{take-off \ 1^{st}galette}\right) \cdot 100$$

~ 100%

- Regular viscose ~ 60%
- Modal

00016592 Skin: higher orientation, smaller crystals

> **Core: less orientated (diffusion** retarded)

3 um

PhG DAD

core

# Viscose Spinning Process



#### Advantages of wet spinning route

- Easy incorporation of additives
- Low viscosity-dope
- Production of profiles fibers
- Natural stretch

# Viscose - Wet Spinning Process



# Spinneret design

**Cylindrical channe Profiled fibers** 

Cone angle

Only corrosive resistant metals (Au, Pt, Rh, Ir alloys)

#### **Staple fibers:**

• Spinneret cluster plate

#### **Filament yarns:**

- Individual spinnerets
- Conical inlet: length/angle entry cone
- Hole length:diameter = 1-3

#### Spinning stability depends on:

- Undisturbed viscose flow free of inhomogeneity
- Undisturbed spinbath flow
- Distance to the next spinneret hole



H. Harms

# Spinning chemistry







#### Spin bath composition:

 $\begin{array}{lll} H_2SO_4 & \sim 2,0\mathchar`2,0\mathch$ 

cellulose xanthate

#### Xanthate decomposition



#### **Fiber Formation**

- Diffusion of protons and Zn<sup>2+</sup> into the liquid
- Generation of a highly swollen gel by coagulation
- Deswelling and solidification of the fiber by diffusion (syneresis, osmotic effects)

# Phenomena during viscose spinning





#### **Primary Structure Formation**

Coagulation

#### **Secondary Structure Formation**

- Dehydratation, densification through the effect of  $Na_2SO_4$ 

#### Regeneration

Orientation, Crystallization

S

$$tretch, \% = \left(\frac{take - up}{take - off \ 1^{st}galette} - 1\right) \cdot 100$$
  
Regular viscose ~ 60%

• Modal ~ 100%

## **Skin-Core Structure**



- Fast freezing of a solid outer layer
- Skin shows higher orientation; smaller sized crystallites
- Core less oriented (diffusion retarded)
- Skin thickness can be increased by additives which retard coagulation (Modal, Supercord)

# **Modified Viscose Fibers**

### **Modified Viscose Fibers**

MODAL (CMD)



#### **Regular viscose**

- low wet tenacity
- **Poor dimension stability**
- progressive shrinking

Götze (1967), 627 pp Lund, G.V.; Waters, W.T. Text. Res. J., 1959, 29, 950

#### **Chemical Modifiers (Cox)**

- **Amines**, **PEGs**
- **Ethoxylated phenols**
- Polyoxyalkylenes,...

Cox, N.L. US Patent 2,535,045 (1950)

Combined action of Zn salt & Modifier: controlling ion diffusion through a cuticle; disturbance of crystal growth



Lenz. Ber. (1982), 53, 24-34

# **Modified Viscose Fibers**

- 1. Lilienfeld Fiber
- 2. Modal fibers (high-wet modulus)
- 3. Polynosic fibers
- **4. Supercord fibers**

# Lilienfeld Fibers

- High DP-pulp (850)
- Alkali ratio > 1
- CS<sub>2</sub> charge > 40% on cellulose
- $H_2SO_4$  conc in spin-bath > 55%

$$RO-C \xrightarrow{S} \underbrace{H_3O^+}_{SH\times H_2O} \begin{bmatrix} H & S \\ RO-C & SH\times H_2O \end{bmatrix}$$



Lilienfeld, L. (1928), US 1683199.



- Ten<sub>cond</sub> ~ 60 cN/tex; Ten<sub>wet</sub> ~ 40 cN/tex
- Shortly on market as **BX fiber**
- Fiber too brittle for textile applications

# High-tenacity viscose fibers

**Modifiers** in viscose to delay regeneration

- Zn salts
- Organic modifiers
- Mixed modifiers
- Formaldehyde

### Plasticizing coagulation bath

- Hot water or steam
- Hot diluted sulfuric acid solution

# Modified viscose fibers

Regular viscose fibers had low wet tenacity, **No dimension stability** & suffer **progressive shrinking**!

**Cox** (1950): introduced **Chemical modifiers** (PEGs, amines, ethoxylated phenols, polyoxyalkylenes, mixtures)

**Combined action of Zn salt & Modifier:** controlling ion diffusion through a cuticle; disturbance of crystal growth

Cox, N.L. US Patent 2,535,045 (1950) Götze (1967), 627 pp Lund, G.V.; Waters, W.T. Text. Res. J., 1959, 29, 950

# **Effect of Modifiers**



protonation of ether-O





Zink sulfothiocarbaminate =proton diffusion barrier

# Modal® Fibers

- High tenacity and elongation
- High dimension stability
- Higher wet tenacity
- Good mercerizability
- Wet modulus ≥ 4.5 cN/tex/5%
- Reduced shrinking
- Low fibrillation
- Short crystallites

Treiber, E. Lenz Ber, 53, (1982), 24-34 Puchegger, Ernst. Lenz Ber. 52, (1982), 13-19



# **Polynosic Fibers**

#### Tachikawa Patent (1952):

- Nonmodified viscose with a high DP-pulp
- High degree of xanthation
- Spin-bath of low acidity (10 g/L) and salt, low T (< 35°C)
- High stretches up to 300%
- $\sigma_{cond}$ : 35-55 cN/tex;  $\epsilon_{cond}$ : 6-10%
- $\sigma_{wet}$ : 27-40 cN/tex;  $\epsilon_{wet}$ : 7-12%
- Wide recognition, esp. in France
- However, too brittle! Fibrillating
- Meanwhile, completely replaced by Modal®



# Requirements for strong fibers

- 1. Green processes: closed-loop, no toxic chemicals, product recyclability
- 2. Dyeability, recycling of dyes

### 3. High strength, high toughness, high fatigue

- 4. Low fibrillation
- 5. Production of low titers (microfibers)
- 6. Controlled interaction with water
- 7. Tuning hydrophilicity, hydrophobicity
- 8. Others

# Structure vs Mechanical Properties



Flory, JACS (1945) Kitchen, Krässig; J. Polymer Sci. 51, 123 (1961)

Tensile Strength  $\propto CrI_{(f_r,DP_n=const)}$ Tensile Strength  $\propto f_{r(CrI,DP_n=const)}^2$ 



Hans Krässig: Cellulose (1993), p161

# Fringed Fibrillar Structure



- A Crystallites: size, orientation
- **B** Amorphous zone: connect successive crystallites; size, density, orientation, degree of coiling
- **C** Lateral connections of adjacent amorphous regions
- D Lateral connections between crystallites (Kratky "Clusters") Small-to-medium size leads to nonfibrillating fibers of high (lateral) strength and sufficient extensibility
- E Elongated voids preferred

Ratio between long period and crystallite length

Schurz, J.; Lenz, J. Macromol. Symp. 83, 273-289 (1994) Schurz, J. Lenz. Ber. 9, 94, 37-40 (1994)

# Supercord Rayon Fibers

Today, the strongest commercial MMCFs. Mainly used for the reinforcement of high-speed tires.

• Super 3 cord: 50 cN/tex (cond); 39 cN/tex (wet) [>1963]

# **Concept of High-Tenacity Fibers**

### Shrunken gel

Structure determined **before** stretching; low primary gel swelling!

Crystallization precedes orientation.

Medium stretch  $\Rightarrow$  small crystals, intermediate orientation, low modulus, high extensibility and high fatigue

⇒Supercord, Modal®, HWM (Modulus < 20 GPa)

### Highly swollen gel

Structure determined at stretching

Orientation occurs **before** crystallization.

Maximum stretch $\rightarrow$ Fiber of high orientation, high modulus & tenacity, **low loop tenacity** and **low extensibility, high brittleness** 

⇒Polynosic, Fortisan, Fiber "B" and others (Modulus > 20 GPa)

### SUPERCORD FIBER PROCESS

Low-acid, high salt, high modifier BATH A producing a *pure* coagulate fiber with small crystallites

→ Shrunken Gel



#### Super 2 and Super 3:

- higher DP pulps of better purity
- improved modifiers: coagulation separated from regeneration
- jets of smaller hole size
- lower spin bath acid concentration
- improved stretching techniques

#### **Characteristics**

- Small crystallites through fast coagulation
- All-skin structure with a thin outer layer (cuticle)
- Structure can accommodate small-segments slippage (high extensibilities)
- Individual molecules pass through several crystallites giving a cooperative effect (high tenacity)
- High toughness and fatigue

# Cordenka EHM® fiber



# VISCOSE

- Aftertreatment
- Drying
- Baling















### Aftertreatment of Fibers





#### Cutter



### Crimp

### Dryer

Prerequisite for yarn spinning Staple CV fibres receive a spontaneous crimp through skincore structure



#### Staple fiber:

 Filter band dryer, vacuum dryer

#### Filaments

Cylinder dryer



# Baling press

Dried staple fibers are opened and pneumatically conveyed to the bailing press





# Wet spinning of *aqueous* alkaline cellulose solutions

### Coagulation from alkaline (thio)urea solution



### Coagulation from alkaline urea solution



Isobe N. et al. Carbohydrate Polymers (2012), 89, 1298-1300.

### Cellulose crystallization from alkali solution

### **Three-step process:**

- 1. Formation of glucan chain sheets by van der Waals forces
- 2. Association of these sheets into mini-crystals by H-bonding
- 3. Convergence of the mini-crystals (= subelementary fibrils) into the native crystalline microfibril

Fringed micellar model has been proposed to reflect the semi-crystalline structure of the native cellulose fibers

J. Phys. Chem. Lett. 2016, 7, 5044-5048

### Cellulose coagulation from NaOHaq vs TBAHaq



Colloids and Surfaces A: Physicochem. Eng. Aspects 483 (2015) 257–263

### High strength fibers spun from NaOH/LiOH/urea

1<sup>st</sup> BATH

5.8 wt% CL pulp, DP 460, dissolved in **NaOH:LiOH:Urea:H**<sub>2</sub>**O = 7:0.5:12:80.5** -12°C precooling and mixed at RT

nixed at RT 5 wt% Na<sub>2</sub>SO<sub>4</sub>, 5°C

Phytic acid /Na<sub>2</sub>SO<sub>4</sub> are coagulants with low self-diffusion coefficients

More complete coagulation prior to regneration

Cellulose regeneration retarded due to slower diffusion rate of the protons



15 wt% Phythic acid

Cellulose solvation with NaOH/urea maintained for a longer time.

**FIBER** 

2<sup>nd</sup> BATH 60°C water

DR up to 2.0

#### **GEL strengths of coagulates:**

Phytic acid 9.5 MPa >>  $H_2SO_4$  4.7 MPa

### High strength fibers spun from NaOH/LiOH/urea

alkali-urea complex as shell on the cellulose chain destroyed

liberated **cellulose molecules** aggregate sufficiently in parallel to form nanofibers

### SAXS

Fibrillar structure aligned parallel to the fiber direction

Needle-shaped voids

Periodic lamellar arrangement of crystalline and amorphous domains.

v <sub>ext</sub> =7.46 m/min, DR2				
Xc	%	65		
f <sub>cryst</sub>		0,90		
$\sigma_{b,dry}$	cN/tex	35		
$\sigma_{b,wet}$	cN/tex	25		
$\boldsymbol{\varepsilon}_{b,dry}$	%	7,7		
E <sub>b,wet</sub>	%	8,7		





