



Aalto University  
School of Science

# Lecture 9: Why donuts are so good ...

# Menu of today

- Why donuts are so good: Hairy ball theorem
- Drift *orbits*
- Neoclassical transport
- Noether's theorem
- Tokamak ABC
- More on 'anomalous' transport:
  - TF ripple,
  - microinstabilities
- Drifts due to non-stationary fields
- Plasma heating by adiabatic compression

# Depressing news from last week

# Previously, in IPPFSA ...

Analytical work led to findings that are **4 orders of magnitude** from reality:

- We derived expression for the diffusion coefficient  $D_{cl}$  and let the continuity equation give us the *confinement time*

We already identified a few possible causes for increased *transport* – not necessarily *diffusive* in nature.

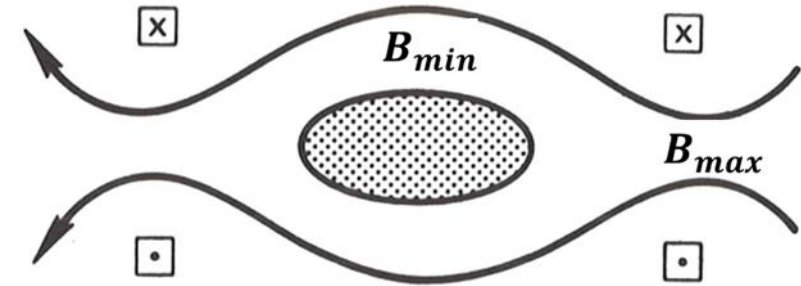
But so far we ignored the effect of *geometry*!

- Recall lecture#2: in linear geometry (cylinder) we have a loss cone

$$\frac{v_{\parallel,0}^2}{v_0^2} \equiv \xi_0^2 > 1 - B_{min}/B_{max}$$

These are called *end losses* and they certainly add to diffusive losses.

# Loss cone and collisions



Features of the end losses:

- Losses via loss cone are along field lines, and, thus, almost immediate  
→ loss cone is 'empty' in no time

Recall the effect of Coulomb collisions:

- Even small-angle collisions change the direction of a particle  
→ a particle with  $\xi_0^2 < 1 - B_{min}/B_{max}$  can be kicked to the loss cone

Here even like-particle collisions can feed the loss cone

→ Eventually entire plasma depleted at rate determined by  $\nu_{coll}$

Note: loss cone independent of particle species ( $q, m$ )

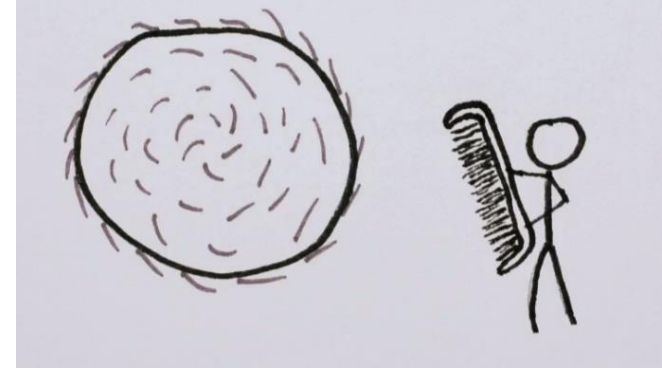
# How to eliminate end losses?

This is equal to asking: ***“how to confine magnetic field lines”***?

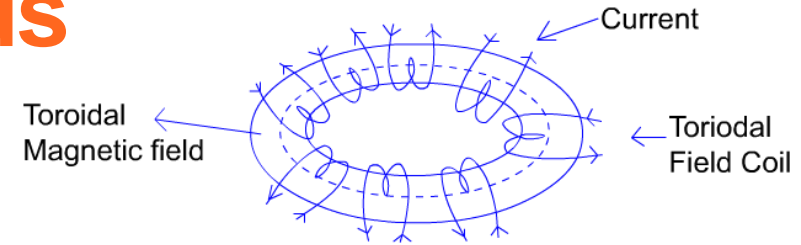
The answer is given by a mathematical theorem in algebraic topology, also known as

*The Hairy Ball theorem*

<https://www.youtube.com/watch?v=B4UGZEjG02s>



# Magnetic field lines in a torus



When the axial field is turned into a toroidal one, it becomes non-uniform.

In the first approximation (geometrical considerations):  $B = B_0 \frac{R_0}{R}$

(Previous "*Food for thought*"):  $v_{\nabla B} = \pm \frac{1}{2} v_{\perp} r_L \frac{\mathbf{B} \times \nabla B}{B^2}$  (HW: for  $1/R$  field)

- ➔ Electrons move upward, ions downward (or vice versa)
- ➔ A vertical electric field is established!
- ➔  $\mathbf{E} \times \mathbf{B}$  drift makes both species march horizontally out of the cage ...

# The need for *helical* field lines

The physics approach to field line problem:

- Having fixed the geometry as torus, the gradient drift is unavoidable
- The charge separation *can* be prevented:

Short-circuit the system!

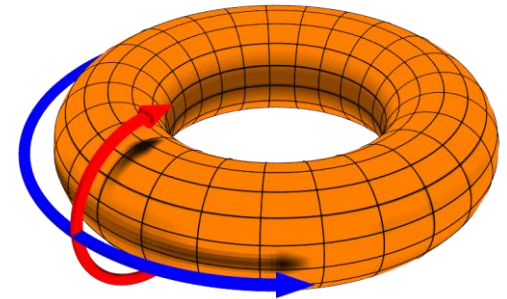
The electrons need a route to the ions → a vertical B-field?

No, that is not natural to toroidal geometry

→ introduce a

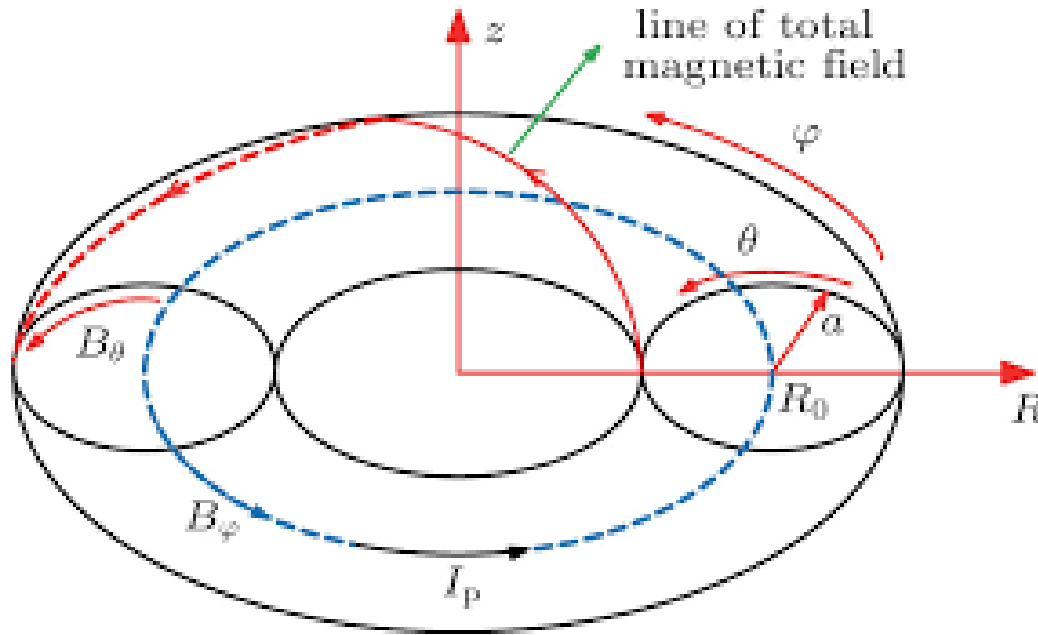
*poloidal* magnetic field

to supplement the *toroidal* field





# Toroidal magnetic cage



Toroidal angle:  $\varphi$

Poloidal angle:  $\theta$

Toroidal field:  $B_\varphi$

Poloidal field:  $B_\theta$

Major radius:  $R$

Minor radius:  $r$  ( $a$ )

Magnetic axis at  $R = R_0$

# There is no such thing as free lunch

Things look perfect now – or do they?

There is a price to pay for the more complicated geometry ...

# Life in toroidal geometry



# Single particle in *non-uniform* B-field

## Part III: curved B

In a tokamak, the field lines have *curvature*,  $R_c$

Geometry makes math complicated → let's take a more intuitive approach:

*A particle on a curved path experiences centrifugal force*  $\mathbf{F}_{cf} = \frac{mv_{\parallel}^2}{R_c} \mathbf{r} = mv_{\parallel}^2 \frac{\mathbf{R}_c}{R_c^2}$

→ GC drift called the **curvature drift**.  $v_R = \frac{1}{q} \frac{\mathbf{F}_{cf} \times \mathbf{B}}{B^2} = \frac{mv_{\parallel}^2}{qB^2} \frac{\mathbf{R}_c \times \mathbf{B}}{R_c^2}$

But this is not all, folks ...

When modifying our magnetic field on pen-and-paper, we have to make sure our new field is *physical* !

# Curvature drift with a physical B field

A curved field cannot be uniform  $\rightarrow$  accompanying gradient drift.

Ampere' law in vacuum:  $\nabla \times \mathbf{B} = 0$

Cylindrical coordinates (natural choice):  $\nabla \times \mathbf{B} = (\nabla \times \mathbf{B})_z = \frac{1}{R} \frac{\partial}{\partial R} (RB_\phi) = 0$

$\rightarrow B_\phi \propto \frac{1}{R} \rightarrow B \propto \frac{1}{R_c} \rightarrow \frac{\nabla B}{B} = -\frac{R_c}{R_c^2}$  accompanying  $\mathbf{v}_{\nabla B} = \frac{1}{2} \frac{m}{q} v_\perp^2 \frac{\mathbf{R}_c \times \mathbf{B}}{R_c^2 B^2}$

$\rightarrow$  Total drift in curved  $B$  field:  $\mathbf{v}_R + \mathbf{v}_{\nabla B} = \frac{m}{q} \left( v_\parallel^2 + \frac{1}{2} v_\perp^2 \right) \frac{\mathbf{R}_c \times \mathbf{B}}{R_c^2 B^2}$

Drifts add. Bad news for toroidal confinement = bending field lines ...

# Direction of magnetic drifts in a torus

The poloidal field is much smaller than the toroidal field,  $B_{pol} \sim \frac{1}{10} B_{tor}$

→ the direction of the drifts is dominated by  $B_{tor}$

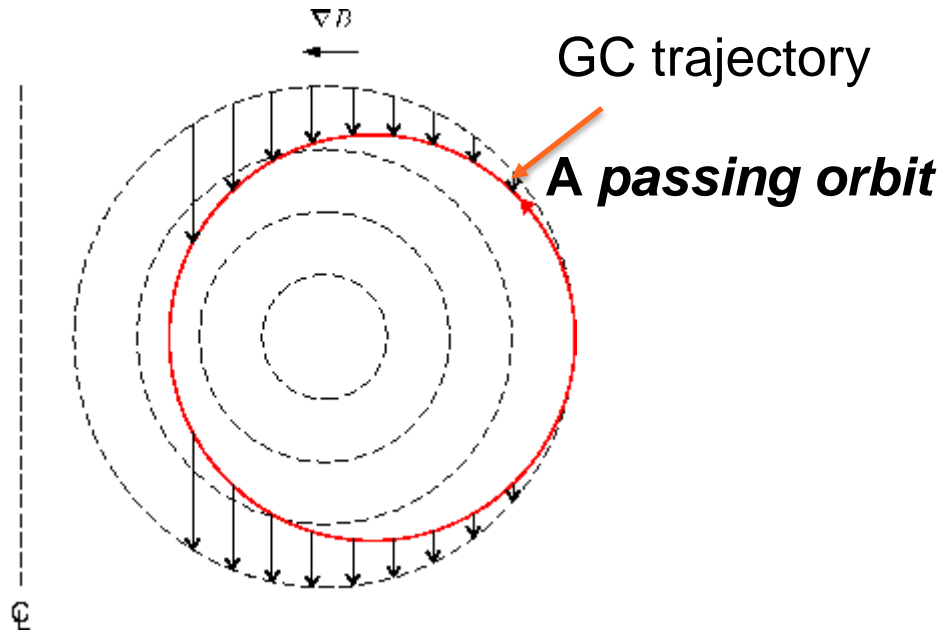
Gradient drift:  $v_{\nabla B} \propto \mathbf{B} \times \nabla B \propto (\pm \hat{\phi}) \times (-\hat{R}) \rightarrow \text{gradient drift} \approx \text{vertical} !$

Curvature drift:  $v_c \propto \mathbf{R}_c \times \mathbf{B} \propto \hat{R} \times (\pm \hat{\phi}) = (\pm \hat{\phi}) \times (-\hat{R})$

Thus it is further verified: gradient and curvature drifts add.

What do they do to our charged particles?

# Drift orbits in toroidal geometry



Simon Pinches, PhD thesis

In this course the magnetic field strength can be approximated as

$$B = \frac{B_0 R_0}{R}$$

Taking into account how the particles move along the field lines, this can be expressed a little more informatively:

$$B = \frac{B_0 R_0}{R_0 + r \cos \theta}$$

With large *aspect ratio*  $A = R_0/a \gg 1$

$$B = \frac{B_0}{1 + \epsilon \cos \theta} \approx B_0(1 - \epsilon \cos \theta), \epsilon = 1/A$$

# Trapping in toroidal geometry

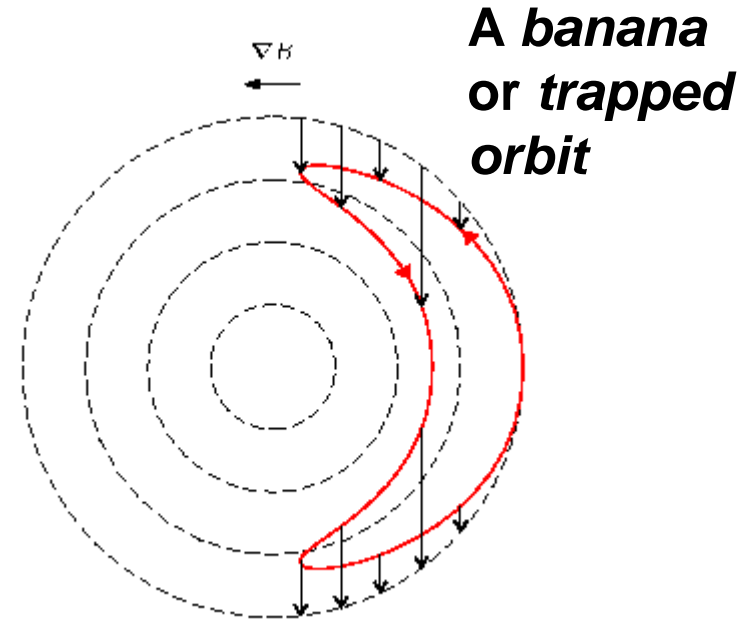
1/R nature of the magnetic geometry → field lines are denser at the inner part of the donuts  
→ there is a *gradient* in magnetic field towards the symmetry axis of the torus

→ reflection can occur! → HW

- Find the mirror point
- Fraction of banana particles

Width of this banana orbit:

$$\text{poloidal Larmor radius } \Delta_b = mv_{\parallel}/qB_{pol} \sim 10r_L \ll$$



Simon Pinches, PhD thesis



# Drift orbits and transport

Remember the intuitive basis for diffusion via random walk:

$$D \propto (\text{step length})^2 / \tau_{coll}$$

For classical diffusion: step length = Larmor radius

Now collisions can throw a particle from one banana orbit to another

→ step length = *poloidal* Larmor radius

→ so-called *neoclassical diffusion*, which is about  $\frac{B_{tor}^2}{B_{pol}^2} \sim 100$  times larger !

But we are still about a factor of 100 away from the observed transport ...

# More on ‘anomalous’ transport



# More geometrical effects

”Previously on IPPFSA: ... *misalignments of field coils can lead to stray field lines prematurely ending up at the wall*”

In toroidal geometry an additional loss channel, related to the field line topology, can appear:

Think: *how can we be sure that the drift orbit closes upon itself in the poloidal plane???*

Intuitive conclusion: *only if the drift in the upper and lower hemisphere are identical...*

But it is dangerous to blindly trust intuition. Luckily we have ....

# Noether's theorem <https://www.youtube.com/watch?v=ahf0zCaqrwM>

Much of the physics you learned already in school is based on the work of a *mathematician*, Emmy Noether:

***Any symmetry is accompanied by a conservation law***

- Translational symmetry → conservation of *linear momentum*
- Rotational symmetry → conservation of *angular momentum*
- Symmetry in time → conservation of *energy*

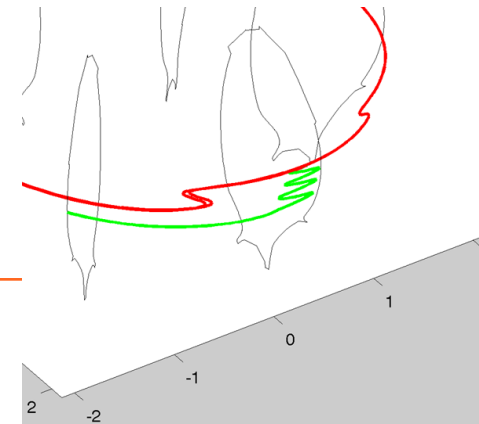
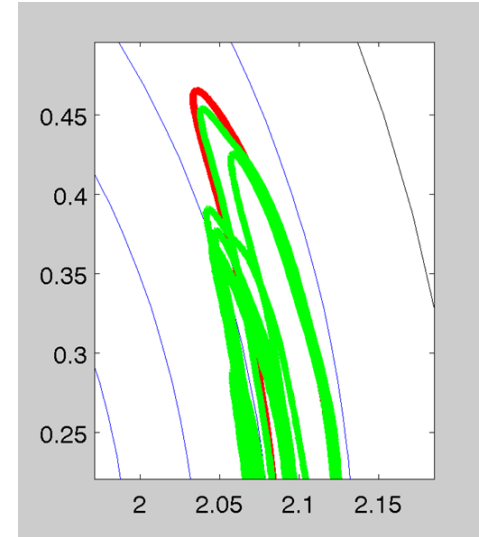
If our toroidal plasma is *axisymmetric*, the conserved quantity, called *toroidal canonical momentum*, is conserved and guarantees closing of the drift orbits!

# But...there is no such thing as an axisymmetric tokamak...

- The toroidal field is produced by  $N \ll \infty$  external coils
- The extent of these coils is finite
- $R_{mirror} = R_{mirror}(\phi)$
- the banana orbit does not close upon itself
- banana orbit starts wandering off ...

## Notes:

- this effect is limited to the very edge of the toroidal plasma
  - not responsible for global degradation of a plasma
- very small  $\xi_0$  → can even get trapped between two coils



# But what about non-uniform $E$ field??

Requires straight-forward but complicated (= time consuming) math

→ skip here. Will give the so-called *finite-Larmor-radius effects*:

$$v_E = \left( 1 + \frac{1}{4} r_L^2 \nabla^2 \right) \frac{\mathbf{E} \times \mathbf{B}}{B^2}$$

Note: the  $E \times B$  drift is no longer independent of species due to  $r_L^2$  !

→ if a density clump appears... → charge separation →  $E$

A feedback mechanism → a *drift instability* → transport

Note: important for large  $k^2 r_L^2$ , i.e., small wavelengths → *microinstability*

# What about time-varying fields???





# Time-varying E field ...

Plasma is not a passive media but responds to changes (recall plasma oscillations)  $\rightarrow$  take uniform but sinusoidally varying field:  $\mathbf{E} = E_0 e^{-i\omega t} \mathbf{x}$

$$\rightarrow \frac{dE_x}{dt} = i\omega E_x \rightarrow \frac{d^2 v_x}{dt^2} = -\Omega_c^2 \left( v_x \mp \frac{i\omega}{\Omega_c} \frac{E_x}{B} \right) ; (\mathbf{B} = B_0 \mathbf{z})$$

Previous results  $\rightarrow$  define  $v_E \equiv -\frac{E_x}{B}$  and  $v_p \equiv \pm \frac{i\omega}{\Omega_c} \frac{E_x}{B}$

$$\frac{d^2 v_x}{dt^2} = -\Omega_c^2 (v_x - v_p)$$

$$\frac{d^2 v_y}{dt^2} = -\Omega_c^2 (v_y - v_E)$$

## ... and the *polarization drift*

From previous experience, let's make *trial solutions*

$$v_x = v_{\perp} e^{-i\Omega_c t} + v_p \quad \frac{d^2 v_x}{dt^2} = -\Omega_c^2 (v_x - v_p) - \omega^2 v_p$$

$$v_y = \pm i v_{\perp} e^{-i\Omega_c t} + v_E \quad \frac{d^2 v_y}{dt^2} = -\Omega_c^2 (v_y - v_E) - \omega^2 v_E$$

This is NOT the same as the original set of equations... ☹

However, IF  $\omega^2 \ll \Omega_c^2$ , then we can neglect the last term.

➔ For *sufficiently* slowly varying E-field the trial solutions are OK

➔ *Polarization drift*:  $v_p \equiv \pm \frac{1}{\Omega_c B} \frac{dE}{dt}$

# Physics of polarization drift etc

1. The polarization drift is *parallel* to the electric field!
2. Polarization drift depends on charge → *polarization current* !

$$\mathbf{j}_p = \frac{\rho}{B^2} \frac{d\mathbf{E}}{dt}, \text{ where } \rho \text{ is the mass density of the plasma}$$

3. Polarization effect is similar to that in any dielectric where  $\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$ 
  - In a plasma, the dipoles are formed by ions and electrons separated by the distance  $r_L$
  - However, since ions and electrons are mobile and try to keep quasineutrality, a stationary electric field cannot sustain polarization current

# Time-varying magnetic field ...

We already know what happens in time-varying B field – at least if the variation is sufficiently slow:  $\mu \equiv \frac{1}{2} \frac{mv_{\perp}^2}{B} = \text{constant}$

→ Increasing B will increase  $v_{\perp}$ ! ... But how much?

Time-varying magnetic field is associated with an electric field:

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\text{EoM} \rightarrow \frac{d}{dt} \left( \frac{1}{2} m v_{\perp}^2 \right) = q \mathbf{E} \cdot \mathbf{v}_{\perp} = q \mathbf{E} \cdot \frac{d\mathbf{l}}{dt}, \text{ where } \mathbf{v}_{\perp} = \frac{d\mathbf{l}}{dt}.$$

$$\text{Slowly varying field} \rightarrow \text{change over one gyro orbit: } \delta \left( \frac{1}{2} m v_{\perp}^2 \right) = \oint q \mathbf{E} \cdot d\mathbf{l}$$

# ... and adiabatic compression = heating !

Use Stokes' theorem

$$\delta \left( \frac{1}{2} m v_{\perp}^2 \right) = q \int (\nabla \times \mathbf{E}) \cdot d\mathbf{S} = -q \int \frac{\partial \mathbf{B}}{\partial t} \cdot d\mathbf{S}$$

Plasma is diamagnetic  $\rightarrow \mathbf{B} \cdot d\mathbf{S} < 0$  for ions and  $> 0$  for electrons

$$\rightarrow \delta \left( \frac{1}{2} m v_{\perp}^2 \right) = \pm q \frac{\partial \mathbf{B}}{\partial t} \pi r_L^2 = \mu \frac{2\pi \frac{\partial B}{\partial t}}{\Omega_c} = \mu \frac{1}{f_c} \frac{\partial B}{\partial t}; \delta B_{orbit} = \frac{1}{f_c} \frac{\partial B}{\partial t}$$

$$\rightarrow \delta \left( \frac{1}{2} m v_{\perp}^2 \right) = \mu \delta B_{orbit} \quad \& \quad \text{additional result: } \mu = \text{constant} \text{ (... again)}$$

So plasma can be heated by increasing B slowly:  $v_{\perp}^2$  increases but  $r_L$  shrinks  
 $\rightarrow$  by *compressing* it.