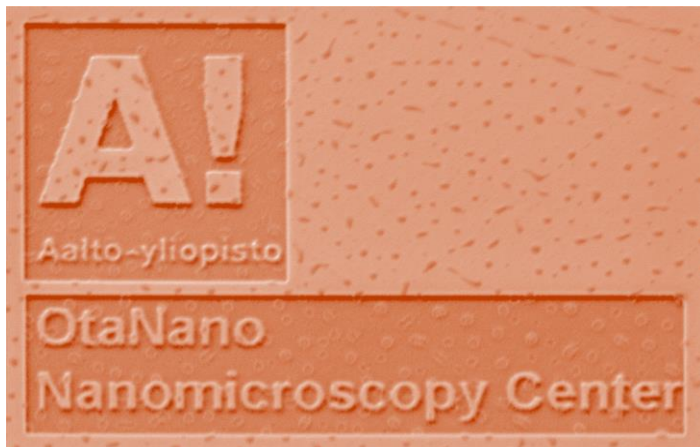


Lecture: PHYS-E0525

Microscopy of Nanomaterials

Focused ion beam (FIB) microscopy and applications



Lide Yao

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Learning goals

□ What is FIB?

Ion source, ion–solid interaction,
basic working principal.....

□ Why use FIB?

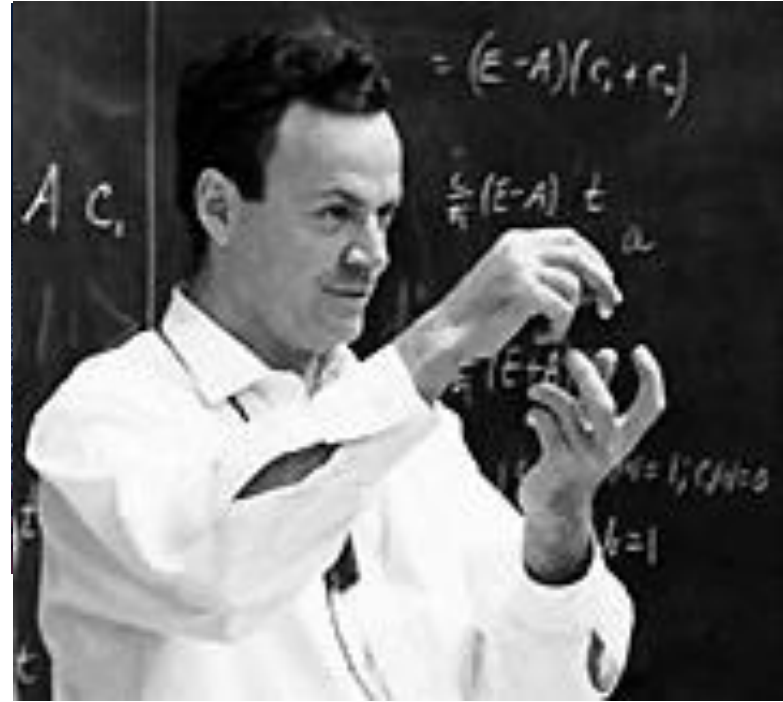
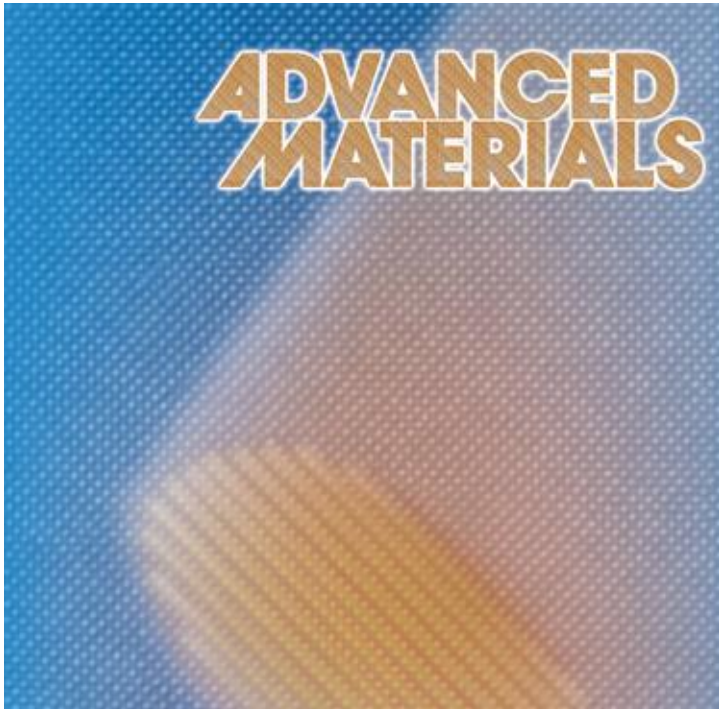
Basic functions with a FIB
Imaging, milling, deposition and implantation

□ For what by a FIB?

Main applications by a FIB

Patterning/etching, cross–sectional SEM, circuit modification,
SEM/TEM sample preparation, EBSD, and 3D slicing/EDX mapping

From electron beam to ion beam



Focused ion beam (FIB)

- ✓ Using highly focused ion beams such as Ga^+ beam to scan and cut a solid material inside a vacuum chamber.
- ✓ Imaging and micro/nano fabricating technique.

Nanoscience to nanotechnology

Development of FIB microscope

- 1975: The first gas field ionization sources (**GFIS**)-FIB systems based on field emission technology were developed [1,2].

Gas ion sources: **He**, Ne, Ar, N,.....

Helium ion microscope (HIM) on the market since 2007

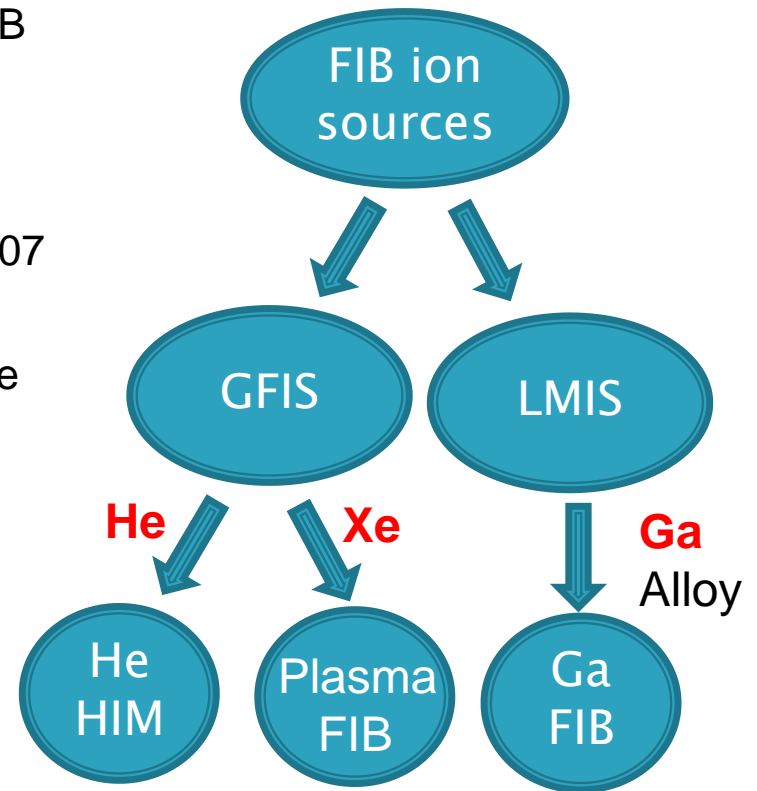
Plasma (**Xe**, Ar, O, N) FIB

- 1978: The first FIB based on a liquid metal ion source (**LMIS**) was built by Seliger et al. [3]

Metal ion sources: **Ga**, Alloy

- 1988: First dual beam FIB/SEM appears [4]

- In the early 1990s: Dual beam commercial systems were available on the market.



[1] Orloff, J. et.al, J. Vac. Sci. Tech. 12 (6), 1209, (1975).

[2] Levi-Setti, R. Scanning Electron Microscopy: 125 (1974).

[3] Seliger, R. et.al, Appl. Phys. Lett. 34, 310 (1979)

[4] Sudraud P, et.al, J. Vac. Sci. Technol. B6, 234 (1988)

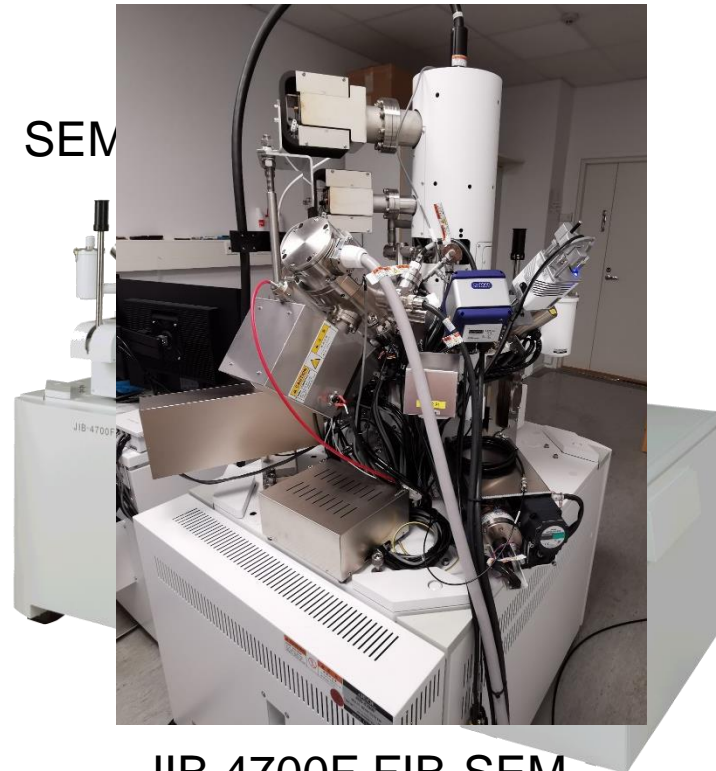
SEM vs FIB

Single electron beam system



JSM 7500F SEM

Dual beam system

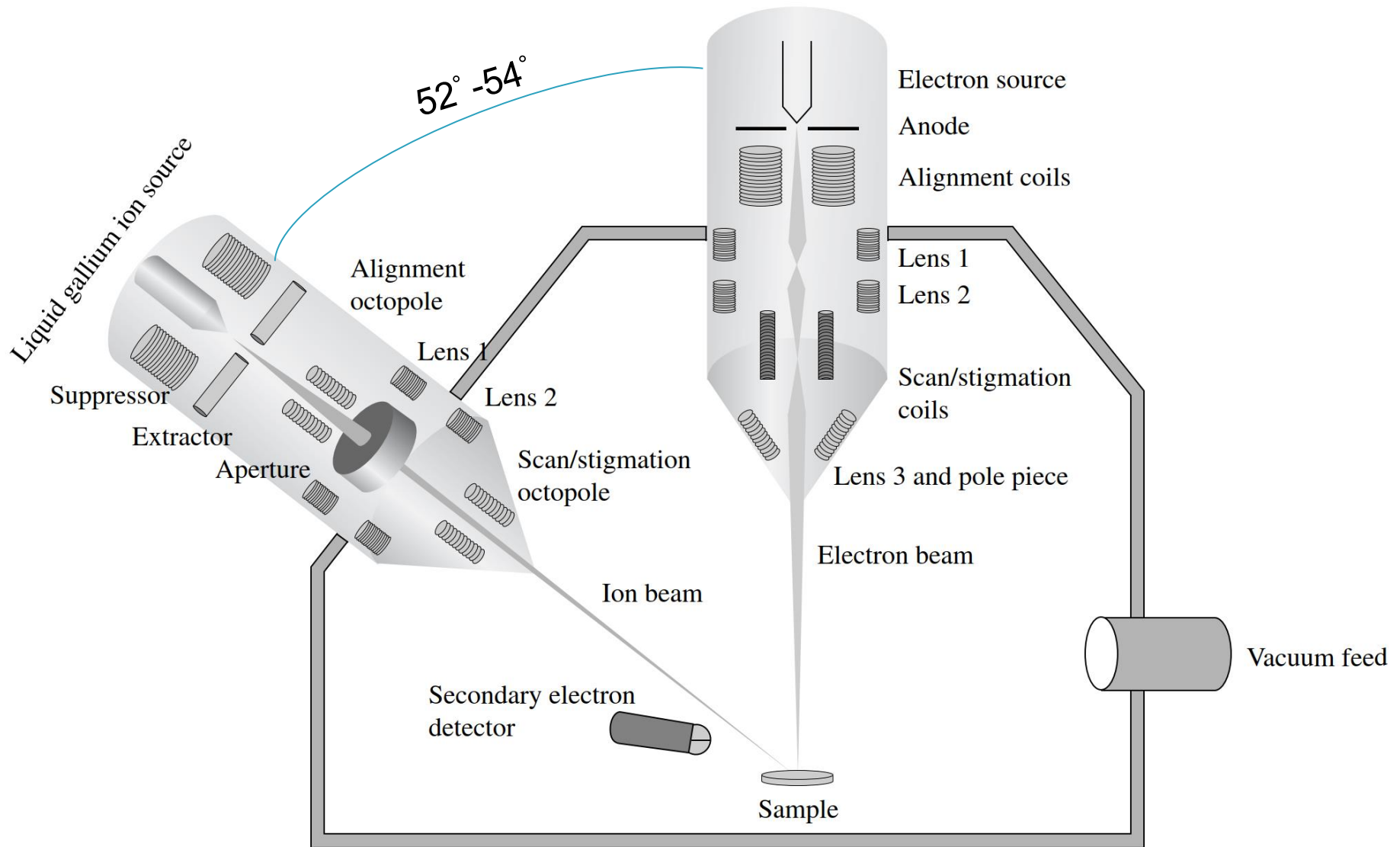


JIB 4700F FIB-SEM

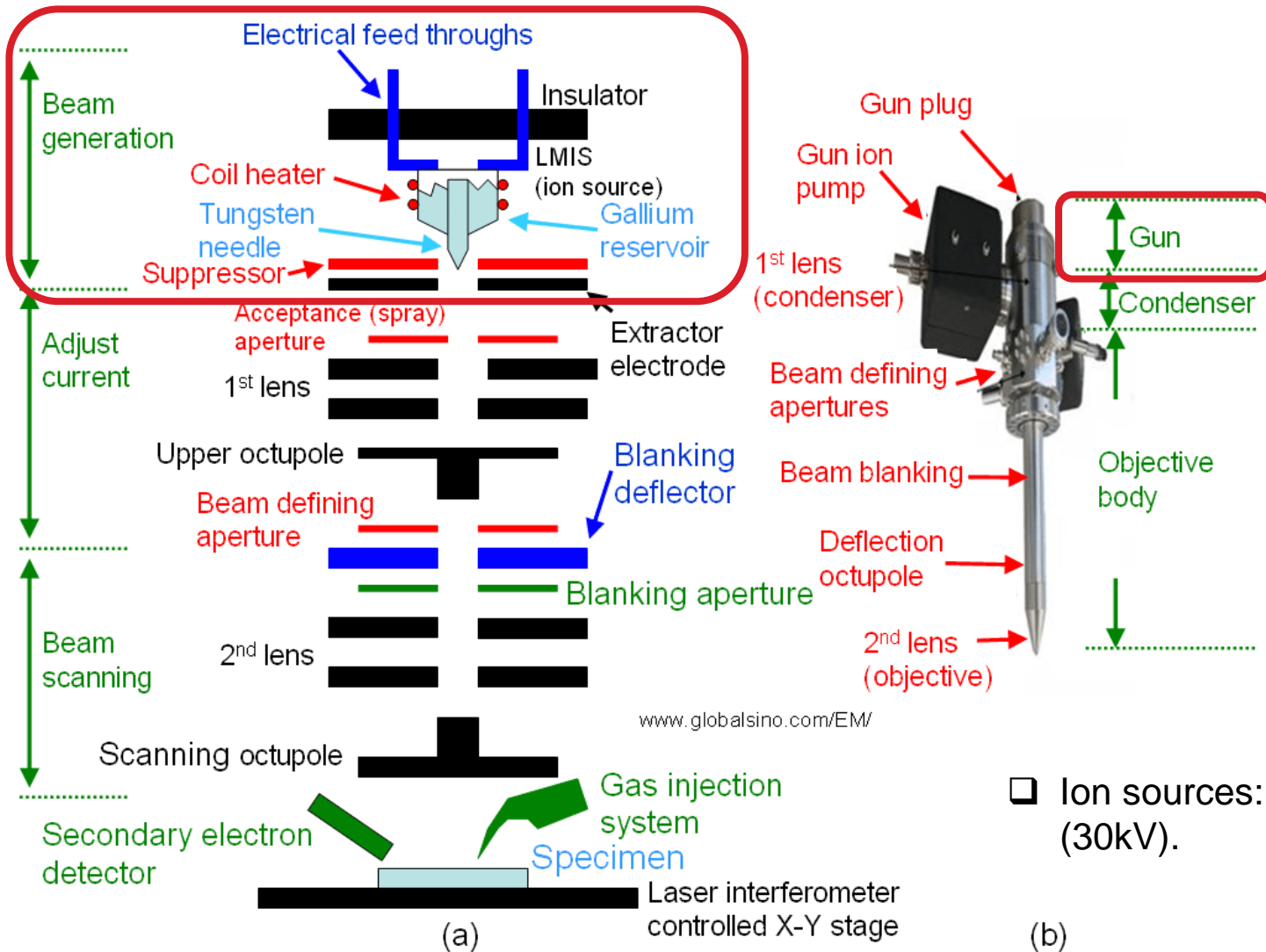
@ OtaNano-Nanomicroscopy Center (NMC), Aalto University

Main difference: additional ion beam column

Dual-beam columns

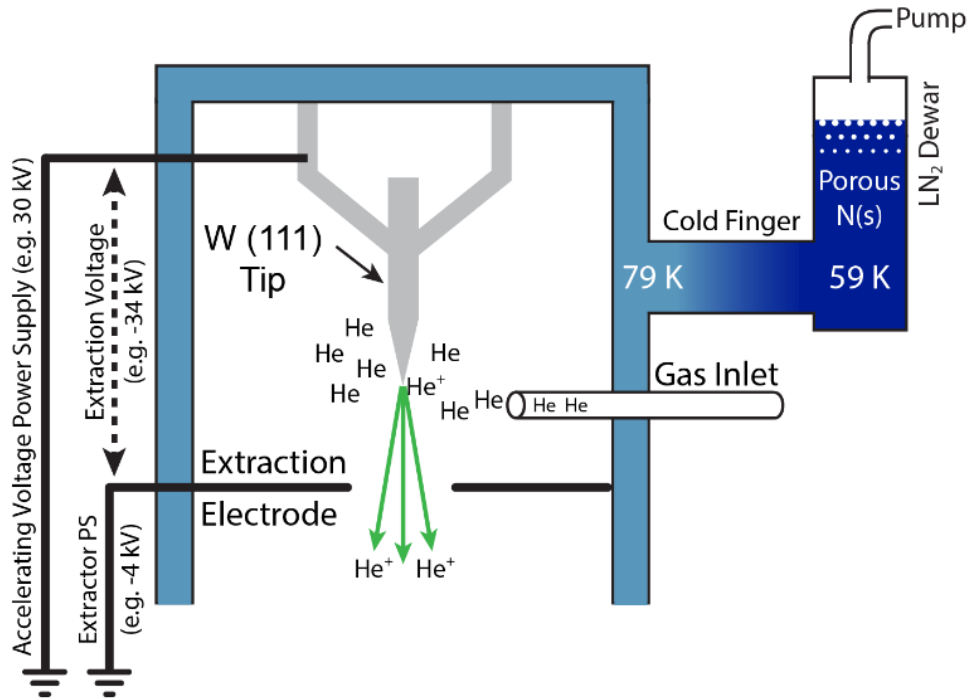


Ion beam column



☐ Ion sources: LMIS, GFIS (30kV).

Gas field ion source (GFIS)



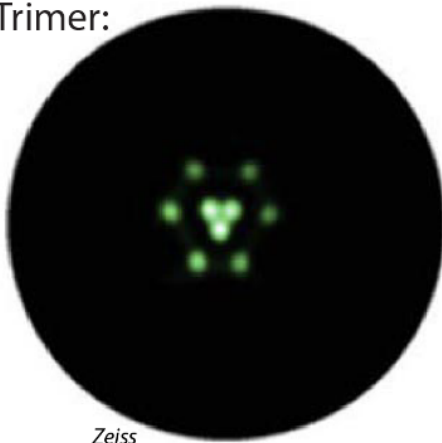
Ionization potential and polarizability of common gases in GFIS vacuum

Gas	Ionization potential (eV)	Polarizability (10^{-24} cm^3)
He	24.6	0.20
Ne	21.6	0.29
Ar	15.8	1.63
H ₂	15.6	0.80
N ₂	14.5	1.74
CO	14.0	1.97
O ₂	13.6	1.57
H ₂ O	12.6	1.43

For He ion GFIS:

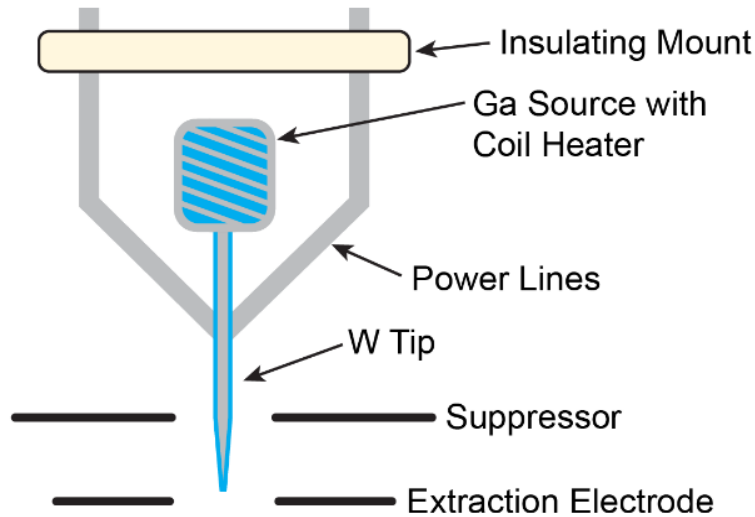
- ✓ Spot size: 0.35 nm for He
- ✓ Brightness: $5.0 \text{ E}9 \text{ A/cm}^2 \cdot \text{sr}$

Trimer:

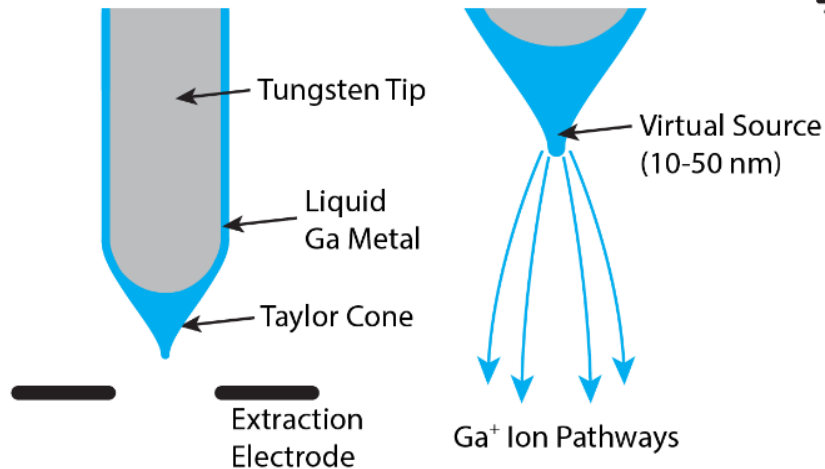


Choose one for Single Atom Source (<1 nm)

Liquid metal ion system(LMIS)



- By applying an electric potential (such as 30kV) between the needle and a downstream metallic extractor.
- A structure known as Taylor cone is formed at the tip of needle.
- Once exceeding a threshold voltage, ion and droplets are extracted from the cone ($E > 1 \times 10^8$ V/cm)
- The extracted ions pass through the hold of extractor.



- With Ga ion LMIS (JIB 4700 @Otanano-NMC):
- ❑ Spot size: 3-5 nm (imaging resolution: 5 nm)
 - ❑ Brightness: $\sim 3.0 \times 10^6$ A/cm²·sr
 - ❑ Probe current 1pA-90nA

Other alloy ion sources?

Au-Si, Au-Ge and Au-Si-Ge

Why Ga ion in LMIS?

- ❑ Low melting point (29.8°C)
- ❑ Heavy enough for milling the heavier elements
- ❑ Low volatility at the melting point (a long source life of about 400 mA-hours/mg)
- ❑ Low vapor pressure
 - allowing Ga to be used in its pure form instead of in the form of an alloy source.

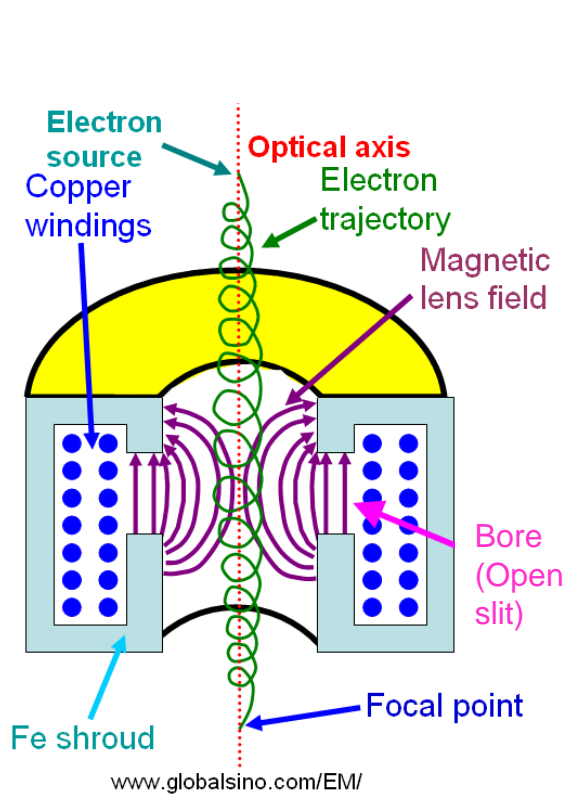
Electrostatic lenses in FIB column

Table 1.1 *Quantitative comparison of FIB ions and SEM electrons*

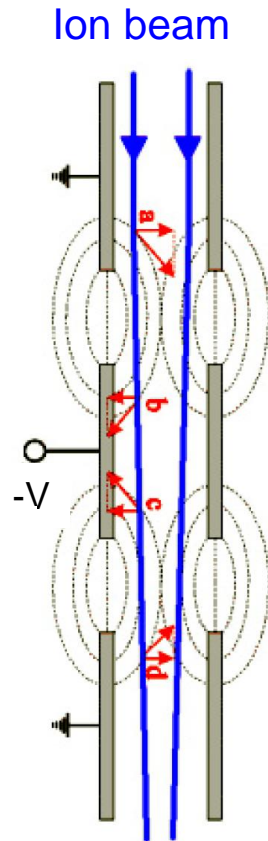
Particle	FIB	SEM	Ratio
Type	Ga ⁺ ion	Electron	
Elementary charge	+1	-1	
Particle size	0.2 nm	0.00001 nm	20 000
Mass	1.2×10^{-25} kg	9.1×10^{-31} kg	130 000
Velocity at 30 kV	2.8×10^5 m/s	1.0×10^8 m/s	0.0028
Velocity at 2 kV	7.3×10^4 m/s	2.6×10^7 m/s	0.0028
Velocity at 1 kV	5.2×10^4 m/s	1.8×10^7 m/s	0.0028

Why electrostatic lens?

- ✓ The ion (positively charged) is much larger and more massive than the electron. $m_i \sim 10^5 m_e$
- ✓ Ions travel more slowly
- ✓ Larger fields to focus and control ions than electrons:
Lorentz force:
$$\vec{F} = \underbrace{q\vec{E}}_{\text{Electric force}} + q\vec{v} \times \underbrace{\vec{B}}_{\text{Magnetic force}}$$
- ✓ If magnetic lens, Lorentz force is weaker, so a few km coils will be needed.



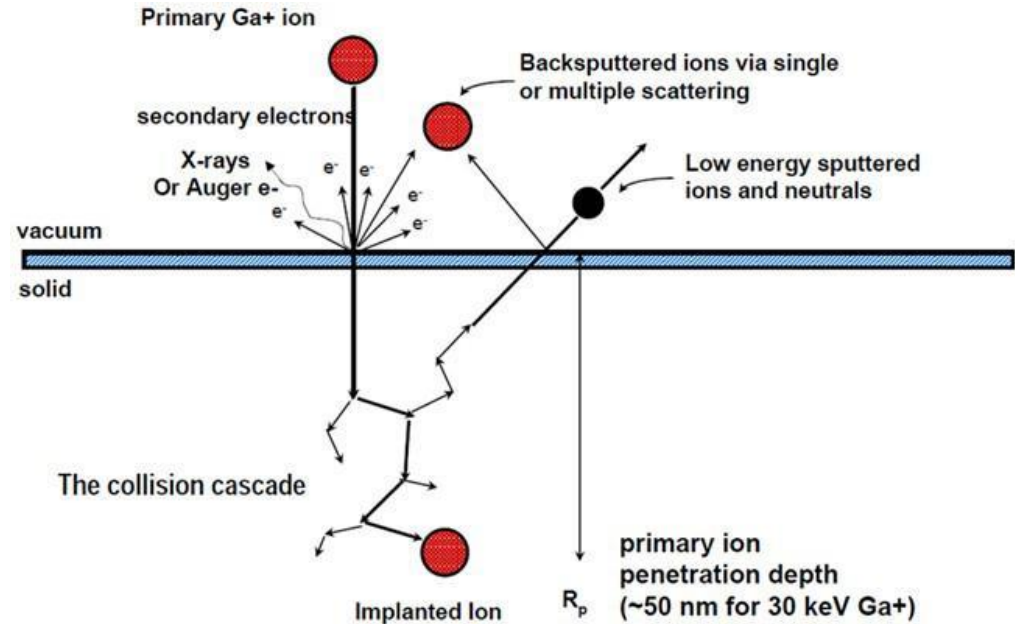
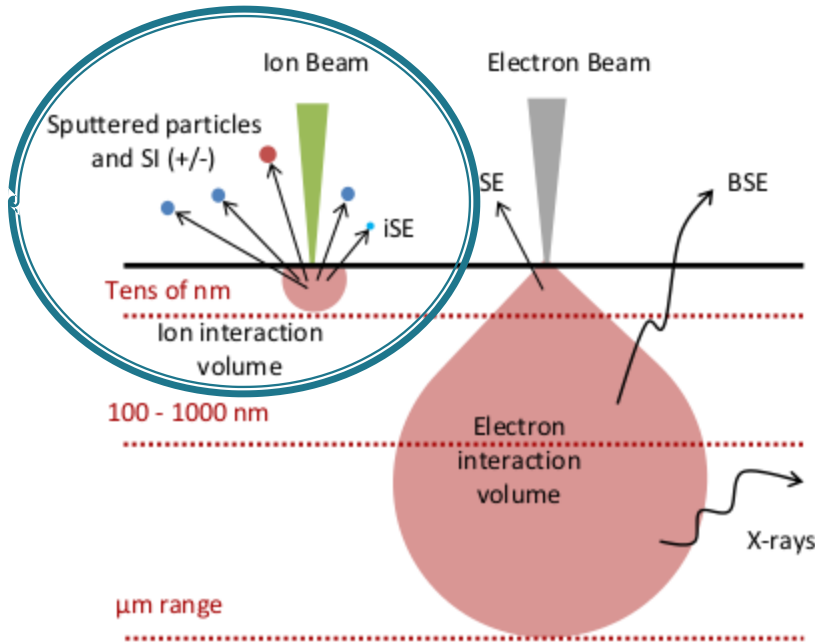
Magnetic lens



Electrostatic lens

Aberrations in ion and electron optics depend on the same factors.

Ion-solid interactions in FIB-SEM



Bernd Schmidt, Klaus Wetzig. Ion Beams in Materials Processing and Analysis. Springer, 2013.

Electron-solid interaction

- ✓ Secondary electrons (SE),
- ✓ Backscattered electrons (BSE)
- ✓ Cathodolumenecence
- ✓ Auger electrons
- ✓ Characteristic x-ray
- ✓ Interaction volume: μm

Ion-solid interaction

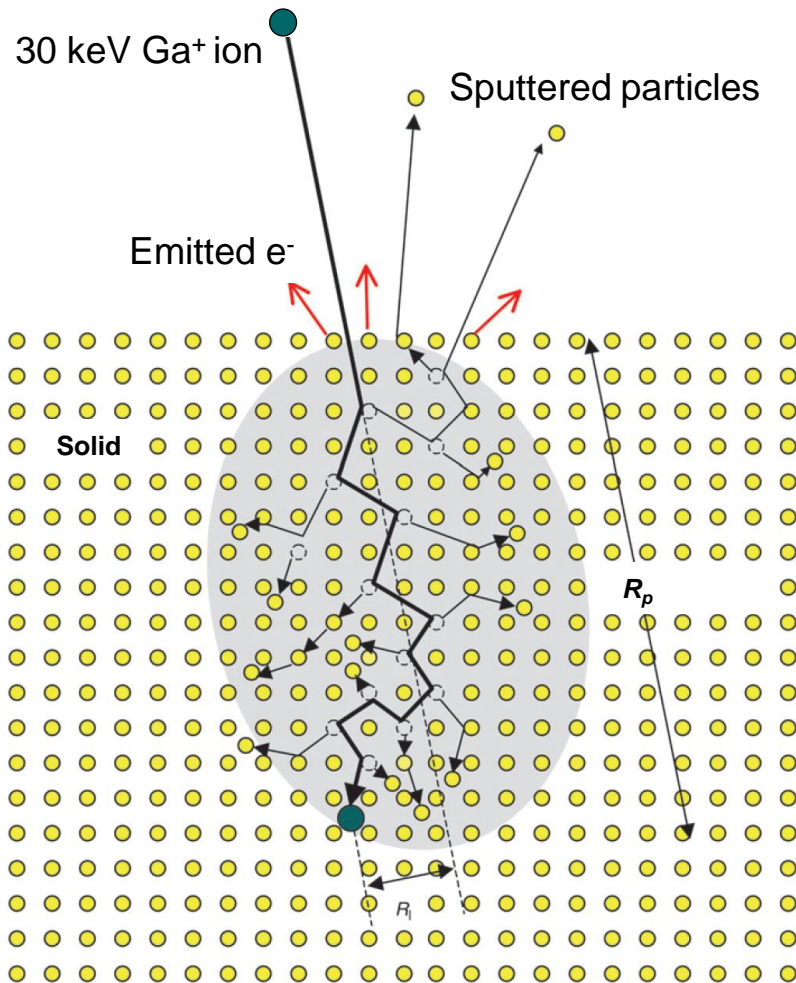
Nuclear processes

- ✓ Sputtered particles (ions or neutrals)
- ✓ Backscattered ions

Electronic processes:

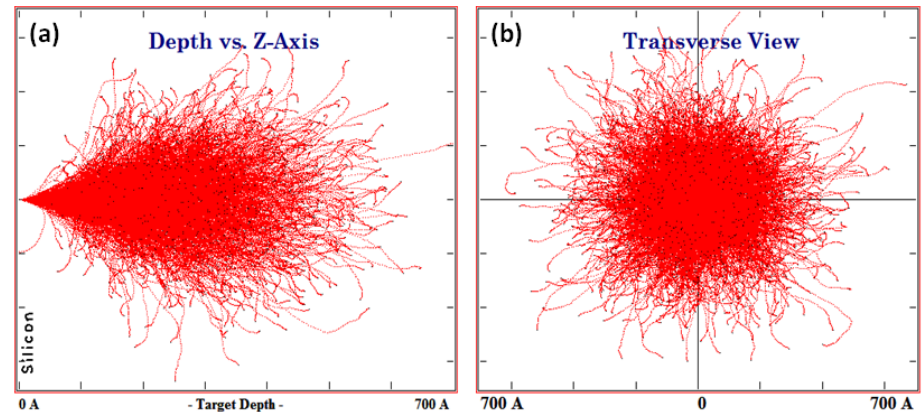
- ✓ Ion induced secondary electron (iSE)
- ✓ X-rays or Auger electrons (low yield)
- ✓ Interaction volume: **tens of nm**

Collision cascade (Ga ions)



Collision cascade model

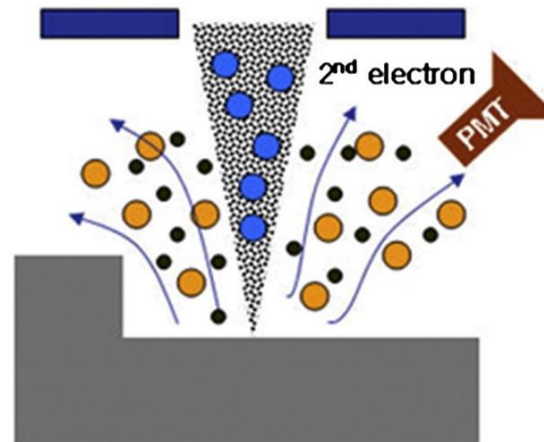
TRIM or SRIM (transport, or stopping range ions in matter)—Monte Carlo simulation



- ✓ Projected range R_p : 10-100 nm
- ✓ Lateral range R_l : 5-50 nm

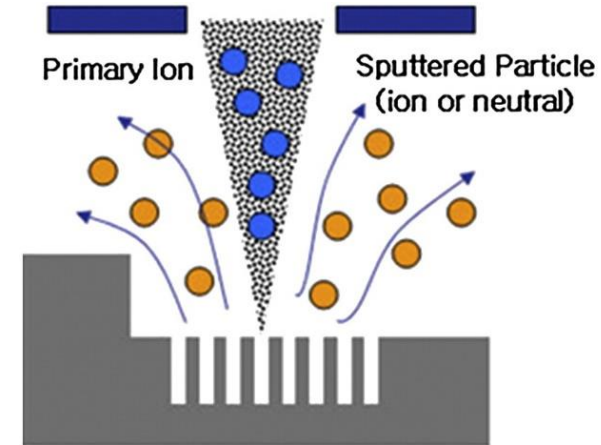
Basic functions in a FIB

✓ **FIB imaging**
iSEs



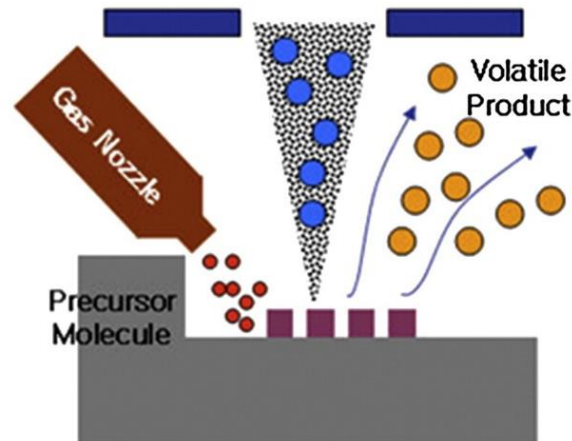
a imaging

✓ **FIB sputtering (milling)**
Primary ion



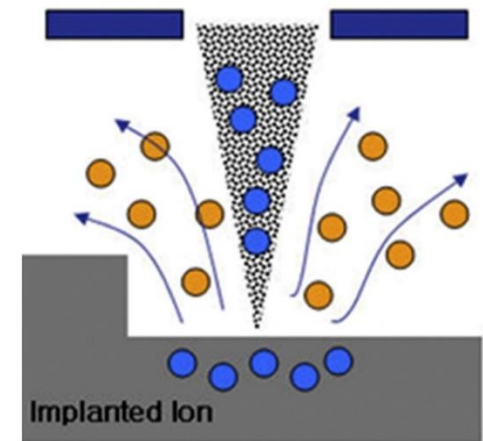
b milling

✓ **FIB assisted deposition**
Primary ion



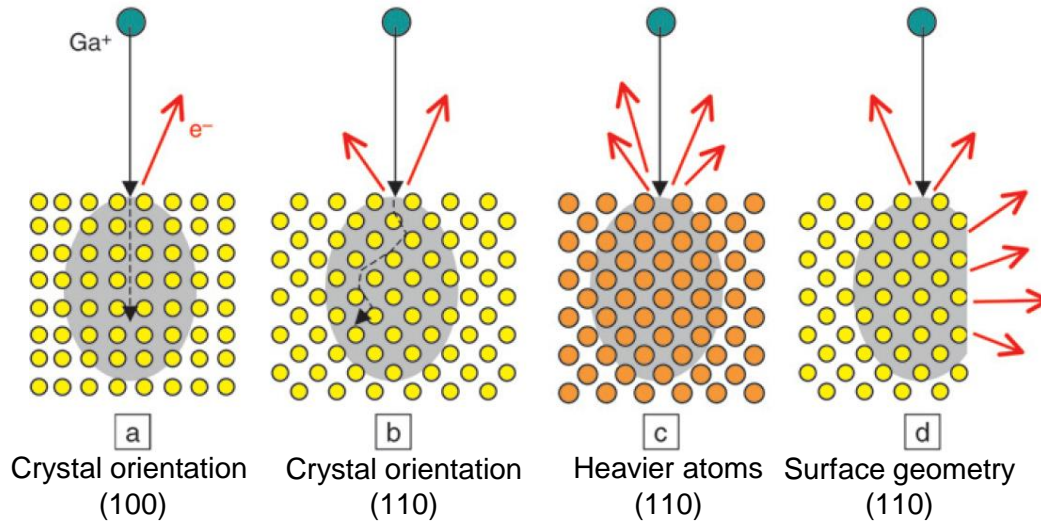
c deposition

✓ **Ion implantation**
Primary ion



d implantation

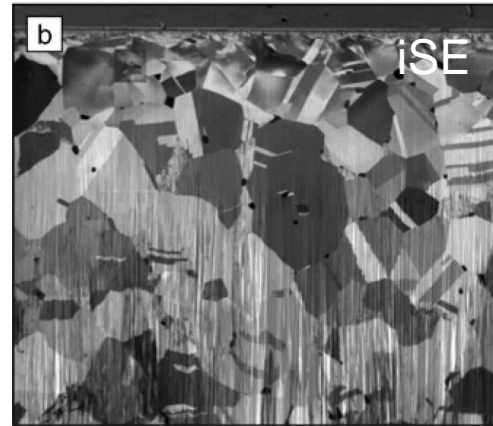
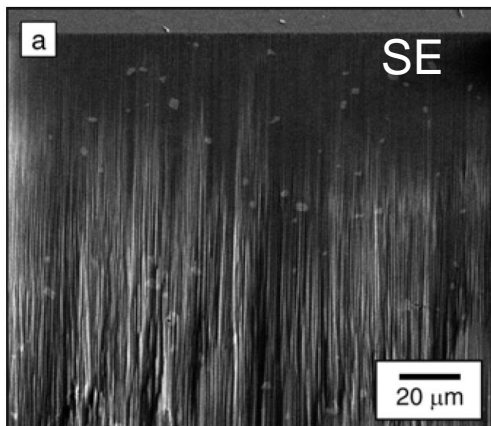
ISE imaging in a FIB-SEM



- Mainly detecting iSEs for imaging in a FIB
- A few 1-10 iSEs (10eV) / Ga ion (5-30kV)

Contrast mechanism of iSE imaging

- ✓ Ion “channels” parallel to crystal planes, fewer electrons are emitted.
- ✓ Heavier samples typically result in more ISEs (and SEs).
- ✓ Surface topography can lead to increases in the number of ISEs (and SEs).
- ✓ Offering complementary information about a sample surface.



SE and iSE images from a FIB-cut brass

C.A.Volkert et al., MRS bulletin 32, 389, 2007

Drawbacks by iSE imaging

Surface damage and ion implantation

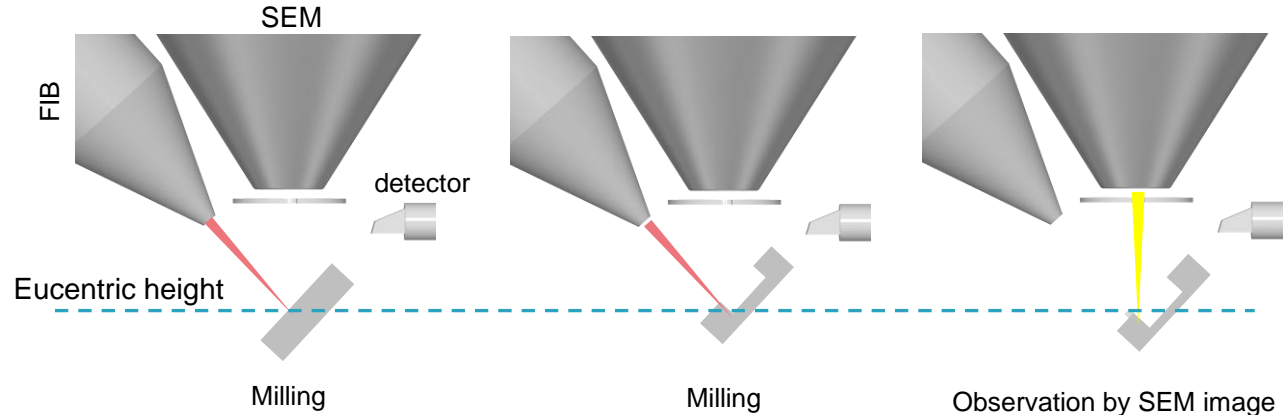
❖ **Channeling effect** in iSE imaging is obvious!

❖ **Imaging resolution:** ~5 nm

FIB milling



JIB-4700F



FIB-SEM(Dual-FIB)

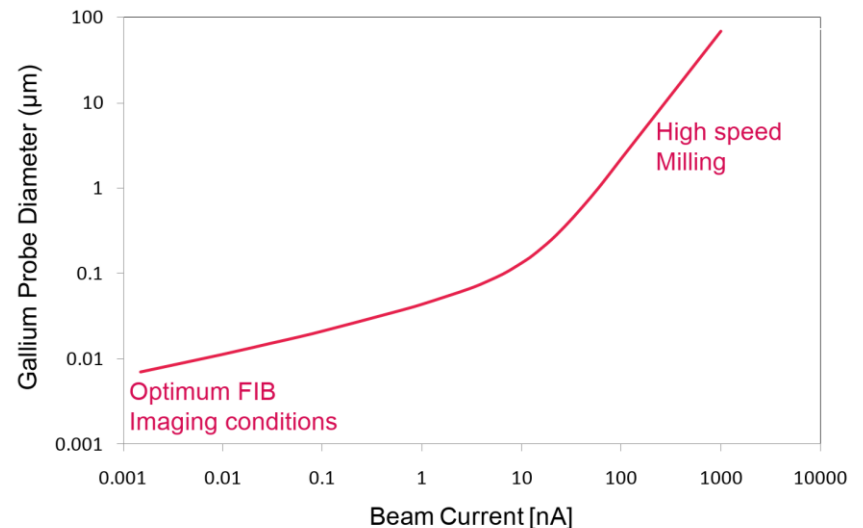
Ion Milling \Rightarrow Observation by SEM image

To optimize the following parameters for efficient milling:

(1) Ion beam parameters (ion energy, probe current, and beam diameter),

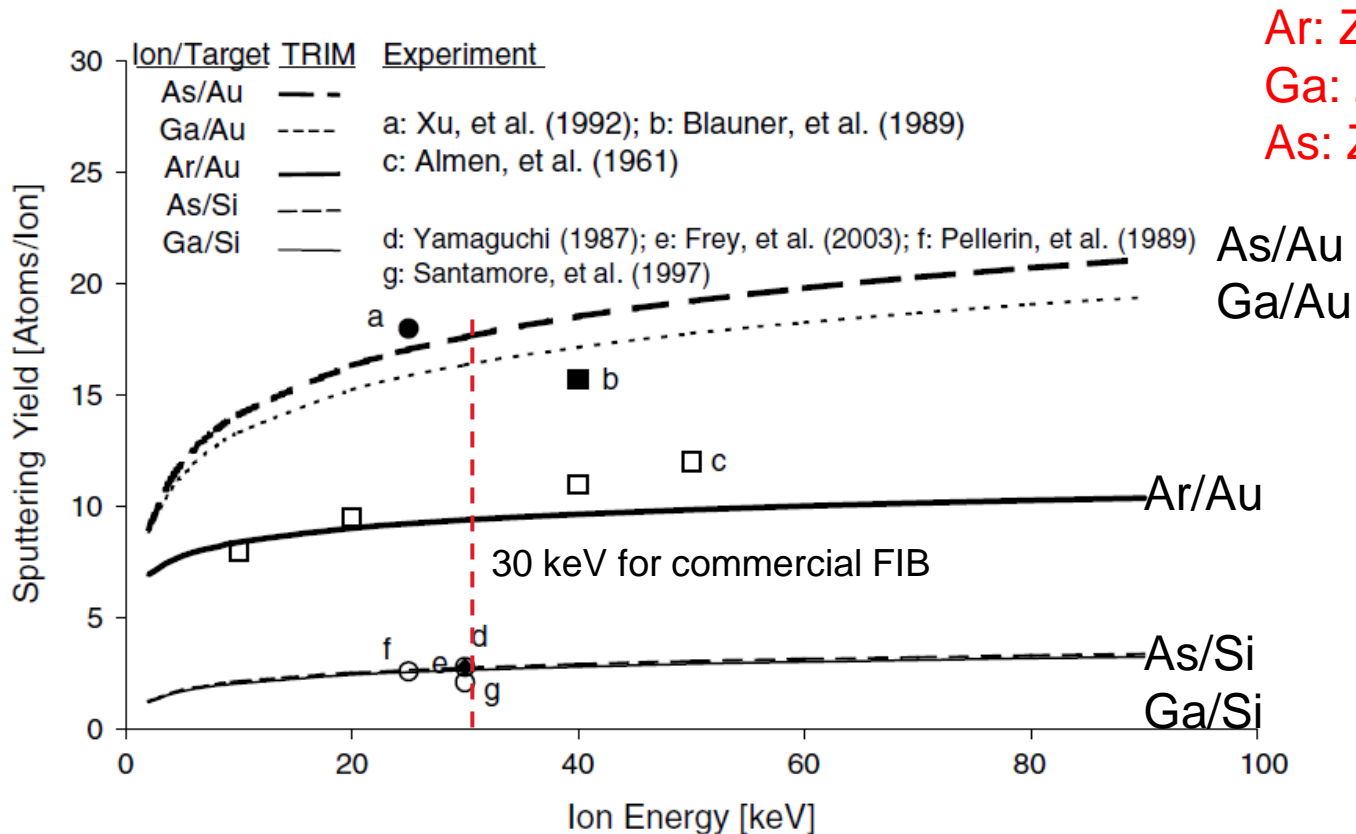
(2) Processing parameters (dwell time, beam overlap, ion dose, scanning mode)

(3) Target materials (mass, density, and crystallographic orientation)



FIB milling

Energy dependence of sputtering yield



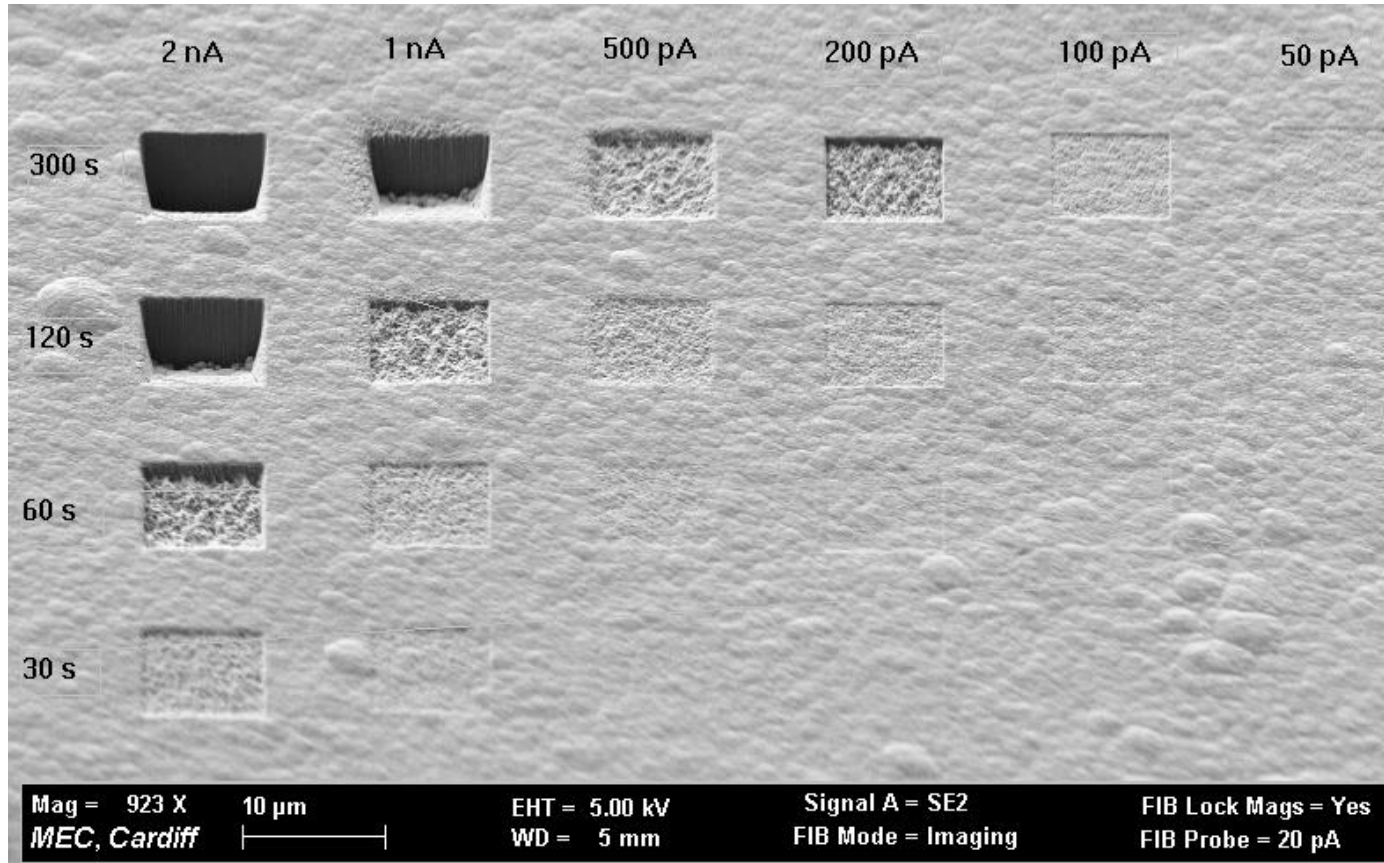
Ar: Z=18
Ga: Z=31
As: Z=33

Energy dependence of sputtering yield of Au and Si target substrates by three types of ions at normal incidence.

- ✓ Sputtering yield “saturates” at ~100keV.
- ✓ Higher energy leads to significant implantation

FIB milling

Probe current, beam diameter and milling time

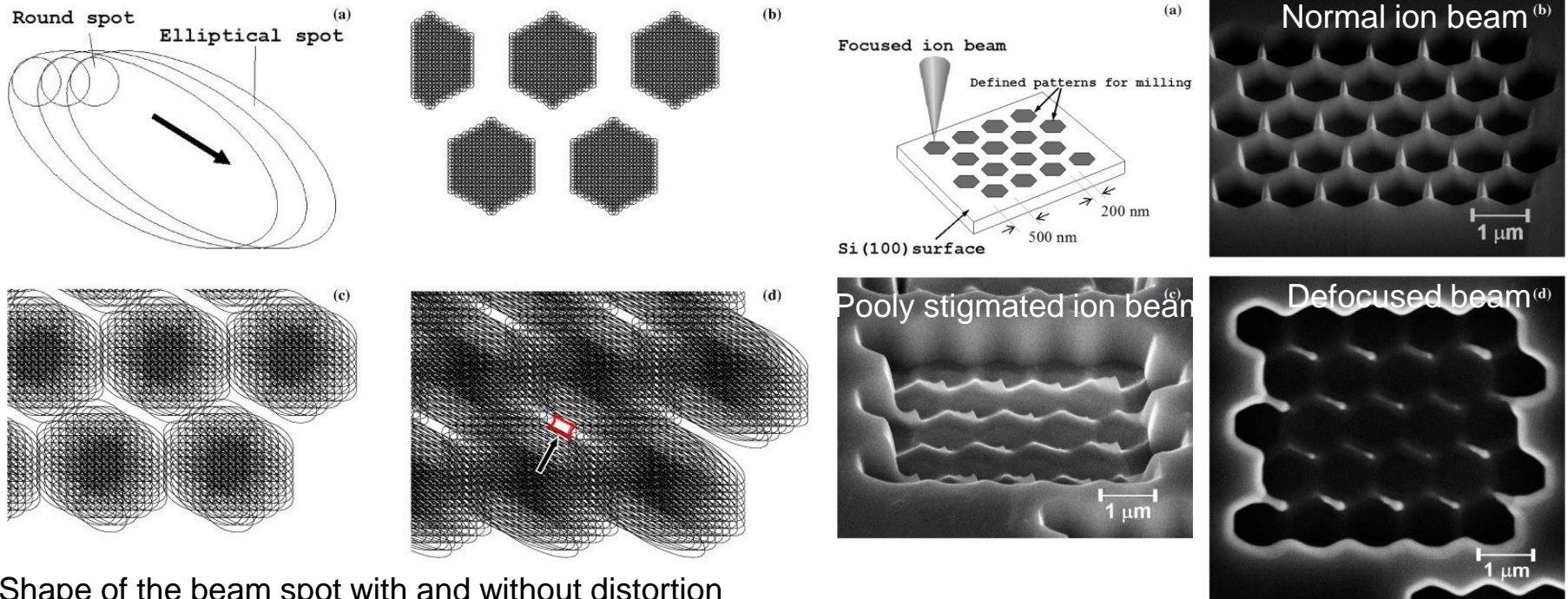


- ✓ At higher probe current (i.e. larger spot size), higher sputtering yield but lower resolution, vice versa.

FIB milling

Focus and astigmatism

Much necessary with **good focus** and **low astigmatism** before milling!

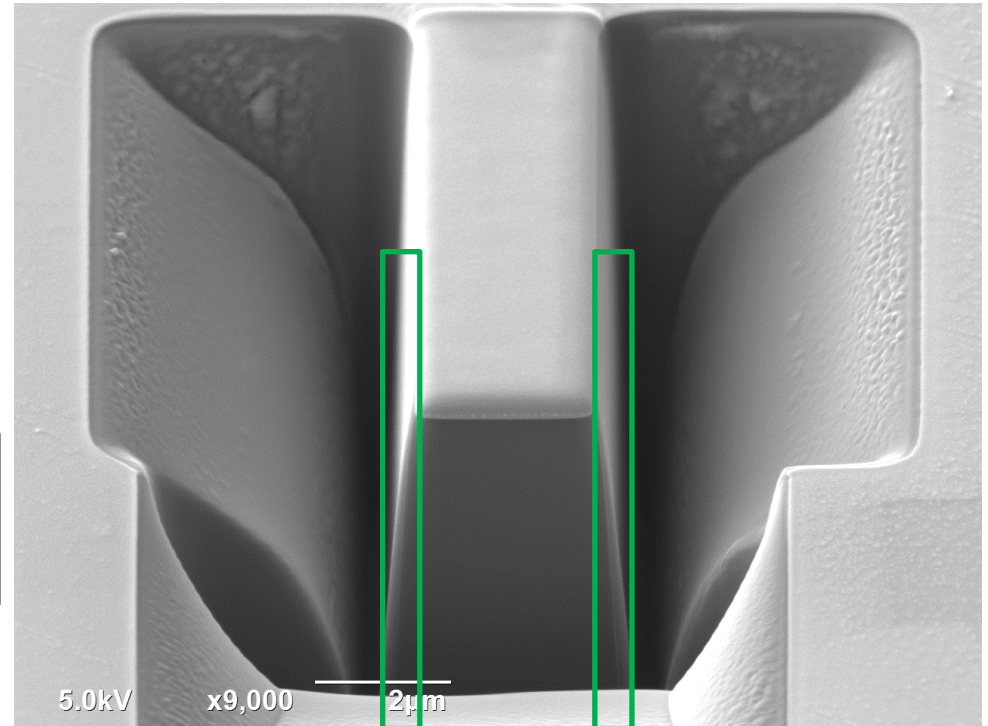
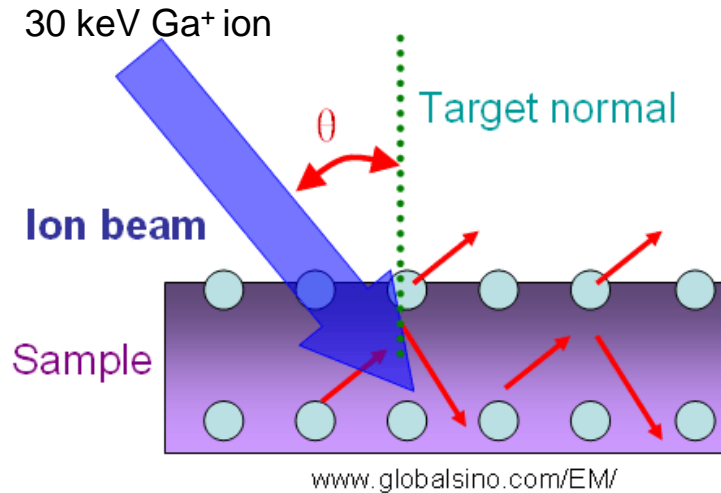


Shape of the beam spot with and without distortion

- ❖ With astigmatism and out-of-focus ion beam, each spot may become elliptical and elongated. Thus, the distorted beam finally causes the unwanted milling.

FIB milling

Incident angle

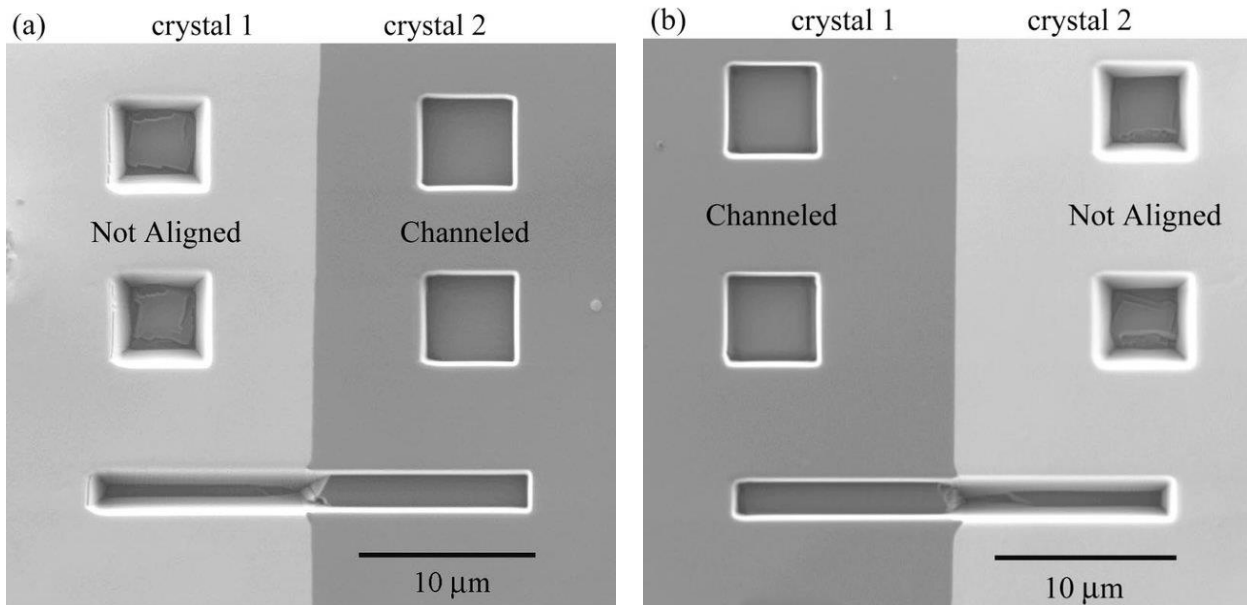
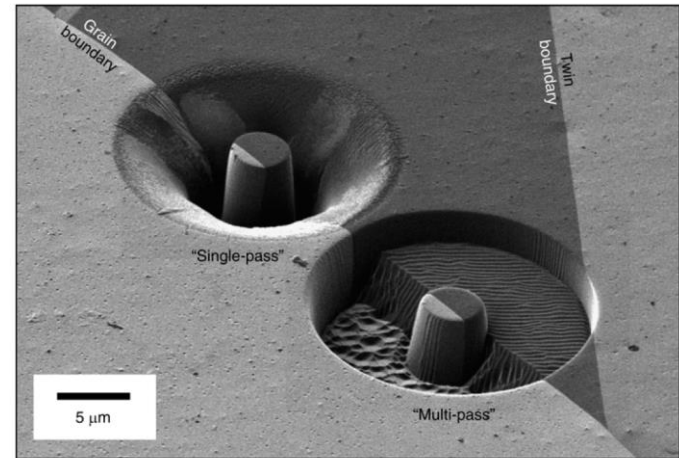
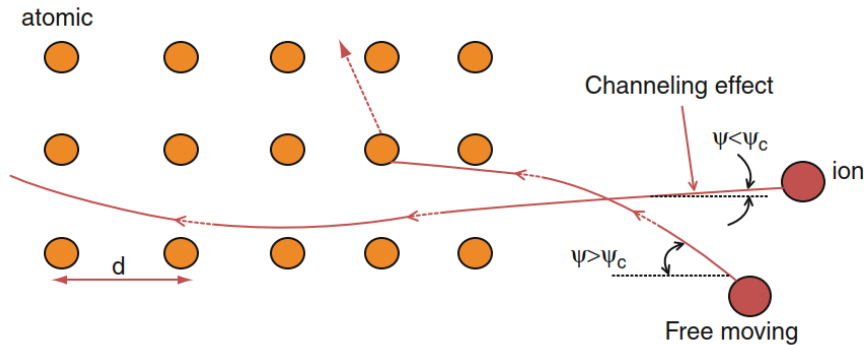


Effect of incidence angle:

- ✓ Maximum sputtering yields (Sputtered atoms per incoming ion) at angles in the range of 75° to 80°.
- ✓ FIB milling is usually done at normal incidence for vertical trench profile.
- ✓ No longer 'normal' once the milling starts-inclined incidence on tapered sidewall.

FIB milling

Channeling effect



Ion channeling effects:

- ✓ Reducing sputtering yield,
- ✓ Low processing efficiency,
- ✓ groove-like morphology of the surface
- ✓ High surface roughness,

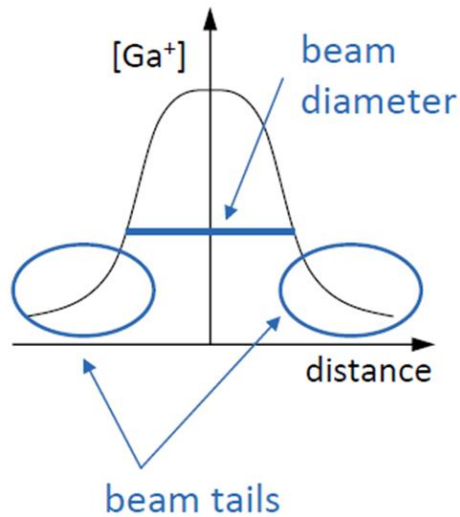
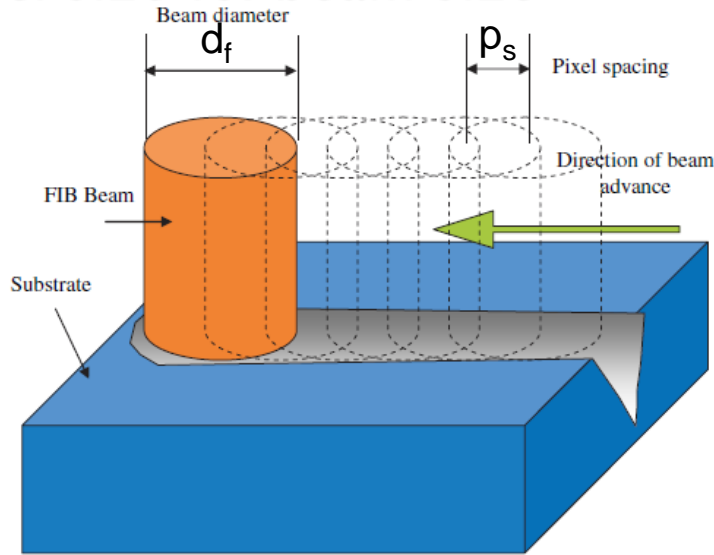
Relevant factors:

- ✓ Angle of incidence of the ion beam
- ✓ characteristics of the ion
- ✓ Orientation of the target.

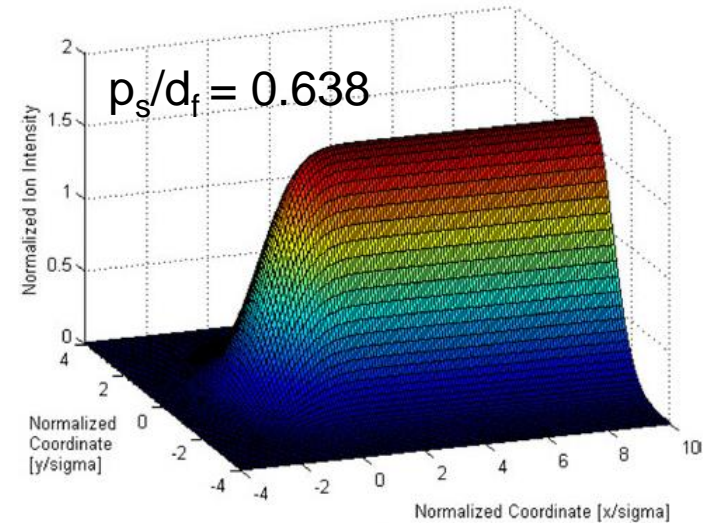
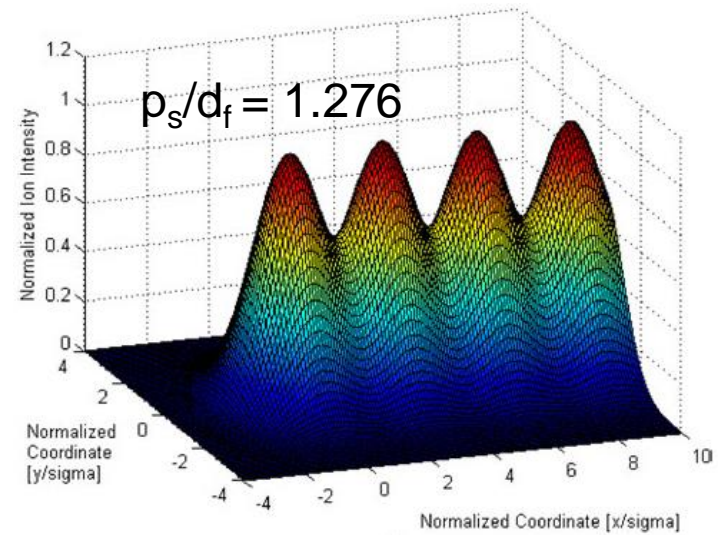
Effects of channeling on the FIB milling of a Cu 10° /100 twist bicrystal at (a) 0° tilt and (b) 10° tilt.

FIB milling

Pixel size vs. beam size



For continuous non-wavy milling, p_s/d_f should be less than 0.638



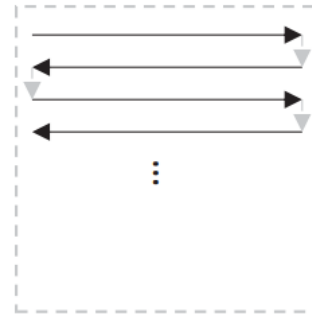
Ion flux distribution along a scan line with $p_s/\sigma = 3.0$ (top), 1.5 (bottom), $d_f = 2.35\sigma$.

FIB milling

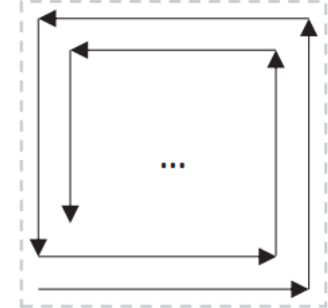
Scan orientation

Merits with spiral scan:

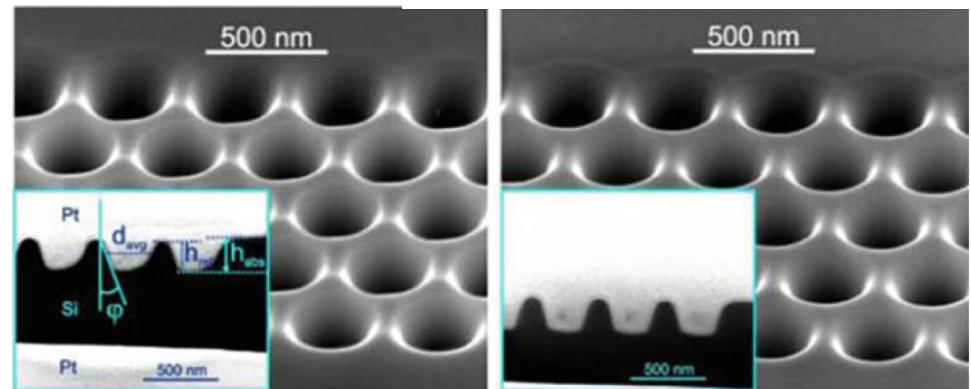
- ✓ The unwanted beam exposure or etching are much smaller in the case of the spiral scan.
- ✓ Shape produced by the spiral scan is much more symmetric.
- ✓ Redeposited material can be better removed from the sidewalls as the beam progresses from the center of a hole outward,



Serpentine



Spiral

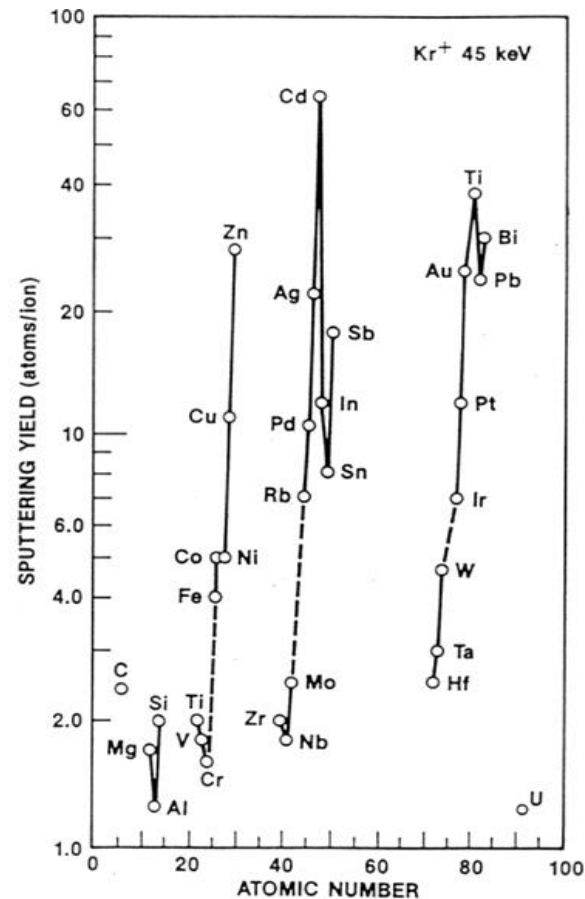


- ❖ Spiral scan -- for milling holes or complexly patterning!
- ❖ Serpentine scan-- for milling a feature with sharp angles (like square pattern).

FIB milling

Sputtering yield of different materials

Material	Sputterrate [$\mu\text{m}^3/\text{nC}$]
Si	0.27
Thermal Oxide	0.24
TEOS	0.24
Al	0.3
Al ₂ O ₃	0.08
GaAs	0.61
InP	1.2
Au	1.5
TiN	0.15
Si ₃ N ₄	0.2
C	0.18
Ti	0.37
Cr	0.1
Fe	0.29
Ni	0.14
Cu	0.25
Mo	0.12
Ta	0.32
W	0.12
MgO	0.15
TiO	0.15
Fe ₂ O ₃	0.25
Pt	0.23
PMMA	0.4



- ✓ Sputtering yield varies with material, orders of magnitude difference across periodic table.
- ✓ Actual rate much lower due to re-deposition of sputtered material.

FIB milling

Ion beam artifacts (Re-deposition)

Re-deposition:

During ion milling, a portion of the ejected atoms bump back into the already sputtered surface and redeposit onto it.

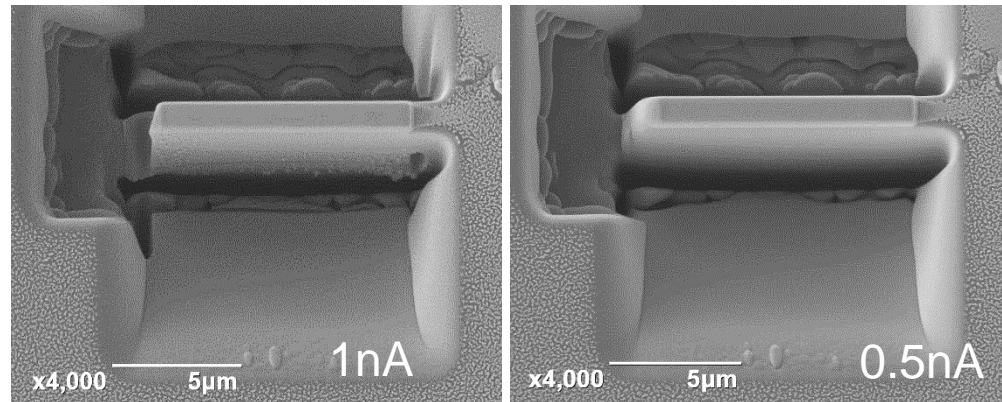
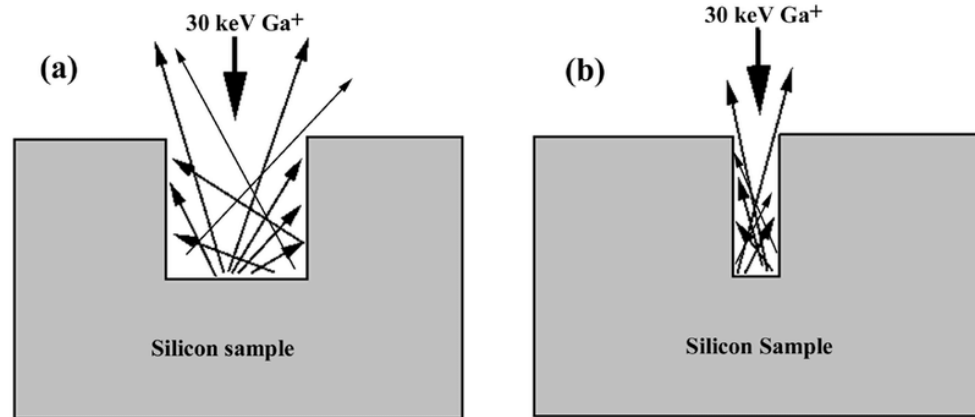
Re-deposition depends on:

- Kinetic energy of atoms leaving surface
- Sticking coefficient of target
- Sputtering yield of target
- Geometry of feature being milled

Factors that increase sputtering rate tend to increase re-deposition:

- FIB milling is performed in a confined trench.
- FIB milling is performed in a high-aspect-ratio trench.
- Higher ion beam currents are used.

Re-deposition can be greatly reduced by broadening trench width, decreasing probe current and multiple passes scanning!



FIB milling

Ion Beam artifacts—curtaining effect

Curtaining effect (by non-planar milling of the surface)

Due to competition between smoothing by surface diffusion or viscous flow and roughening because of surface curvature-dependent sputter yields.

i) Rough surface

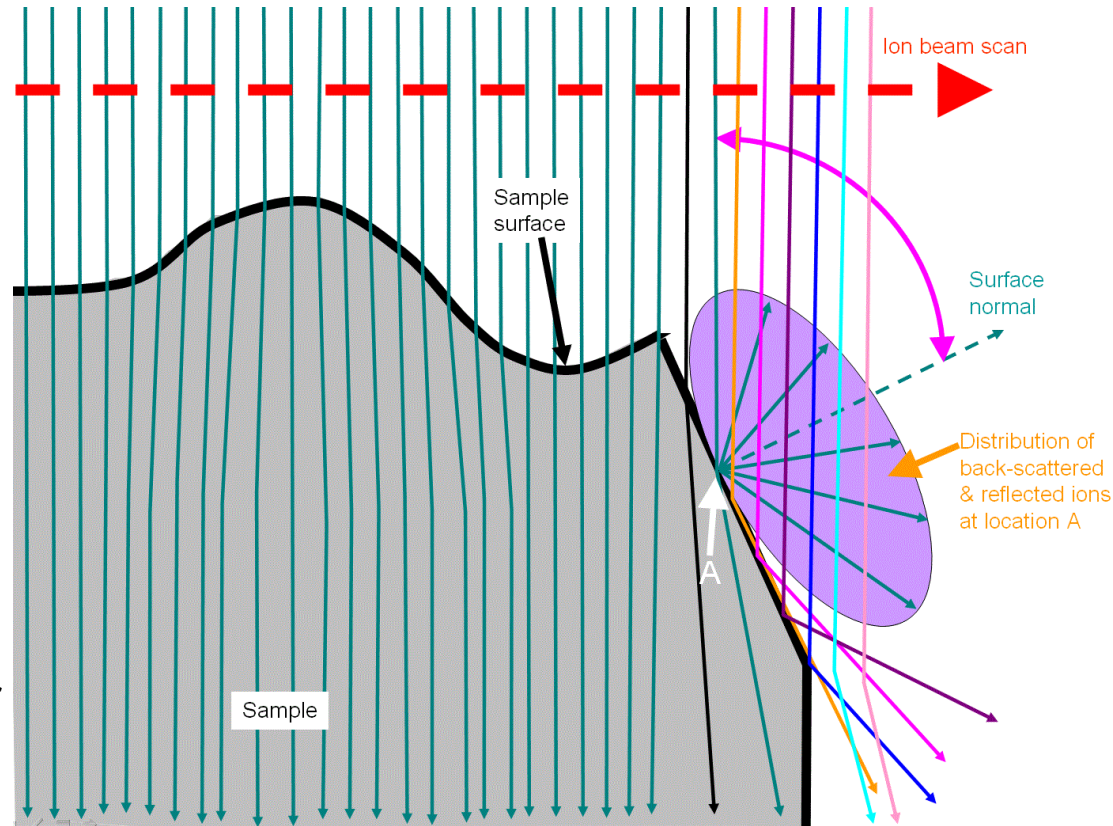
ii) A surface with uneven chemical composition.

iii) Composites of hard and soft materials.

iv) Height steps (e.g. patterned structures in semiconductors)

v) A porous structure.

vi) Curtaining effect increases with lower acceleration voltages which is used for high quality samples.



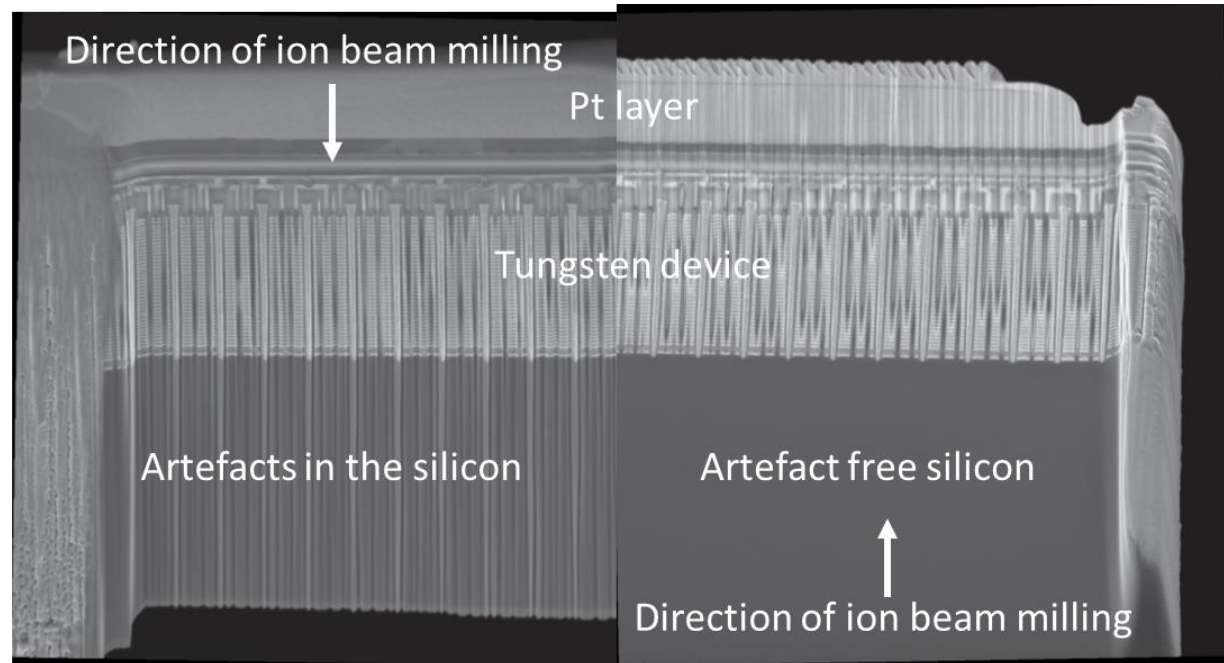
FIB milling

Ion Beam artifacts – curtaining effect

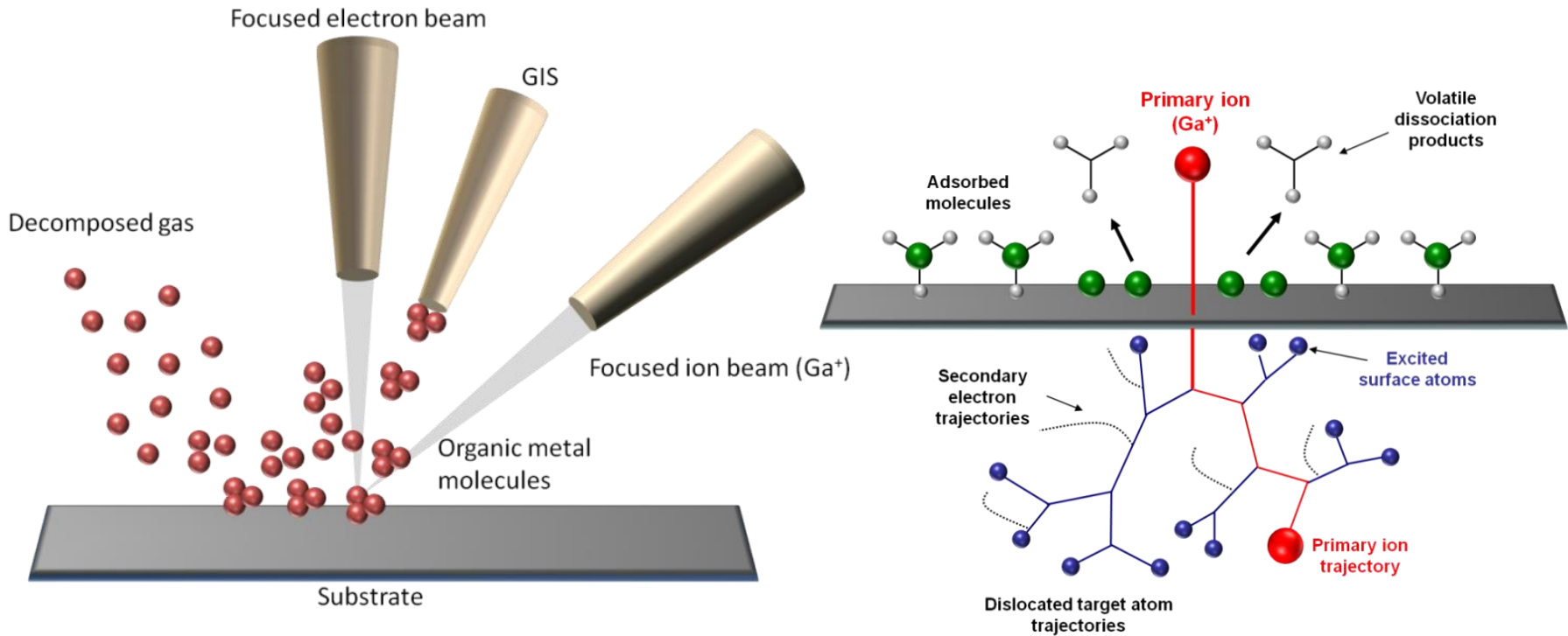
To eliminate the curtaining artifacts:

- 1) Ion milling direction coming from a homogeneous material.
- 2) Use thick, uniform and dense protection cap.
- 3) Rocking the sample during FIB milling process.
- 4) Infiltrate the samples, which have porous structures, with low viscosity resin.

For example:



FIB-Deposition

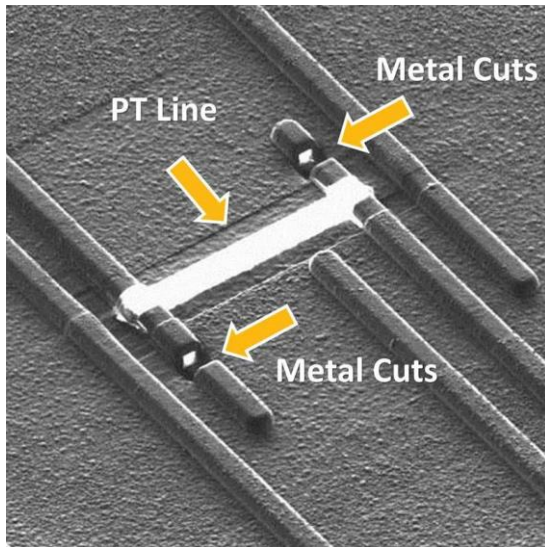


- ✓ Stream of a gaseous organometallic platinum or polymeric carbon compound
- ✓ Ga^+ beam (mild current avoiding a high rate of sputtering) causes the cleavage of the platinum or carbon from the volatile components of the precursor compounds.

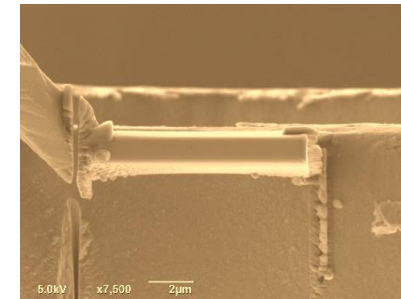
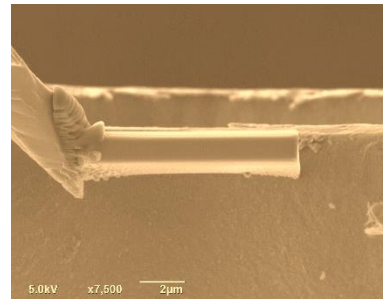
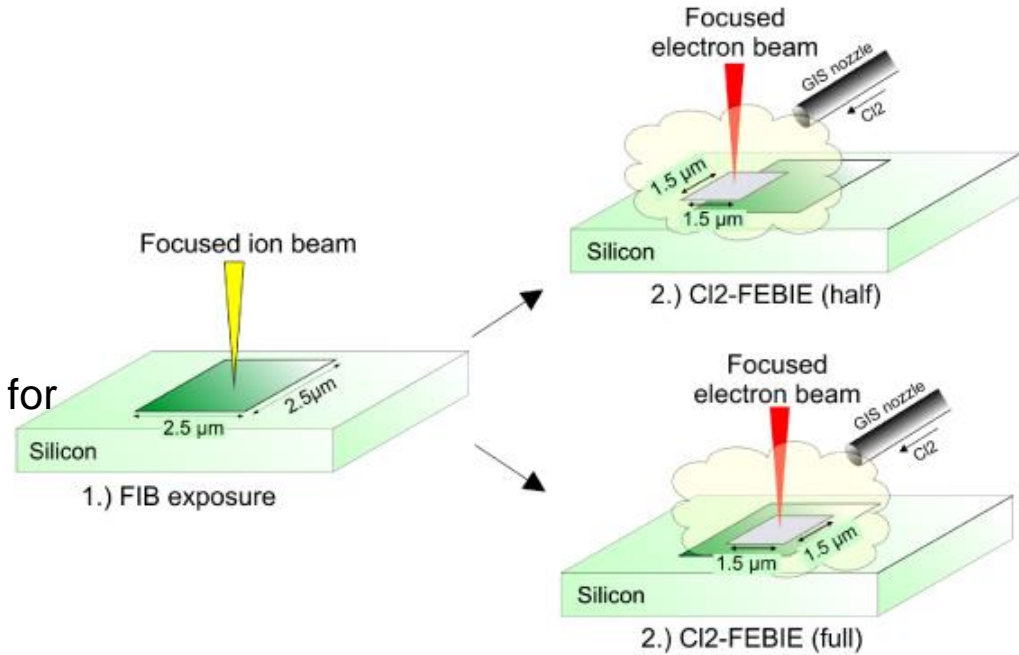
FIB-Deposition

FIB deposition compared to CVD and PVD

- ✓ Locally
- ✓ Site specially
- ✓ No purity (organic residues)
- ✓ Just a few precursor gases are available for the deposition of Pt, W, SiO₂, and C.



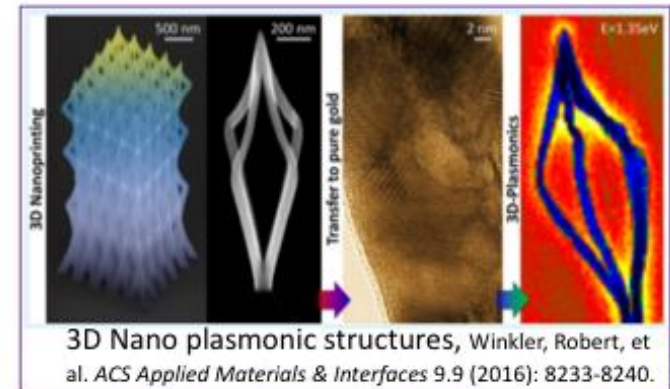
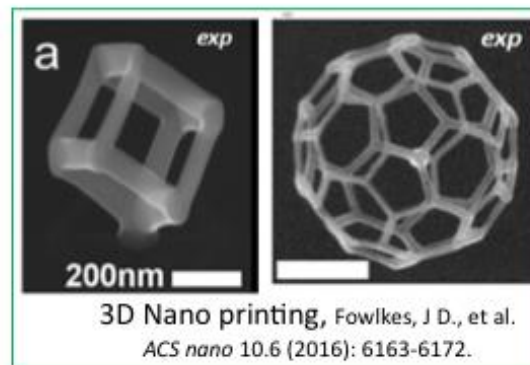
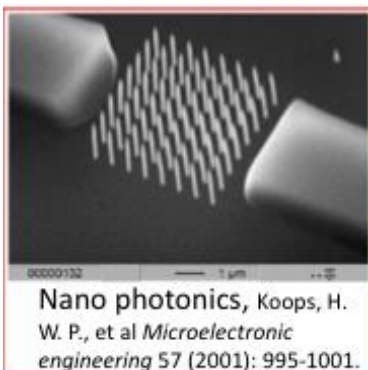
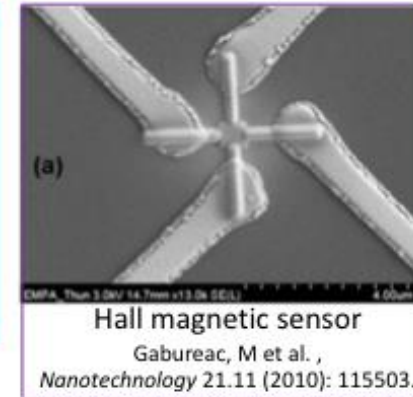
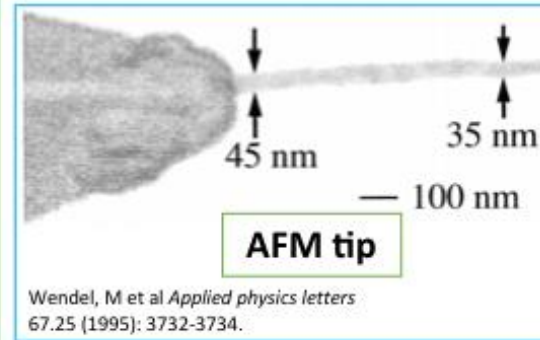
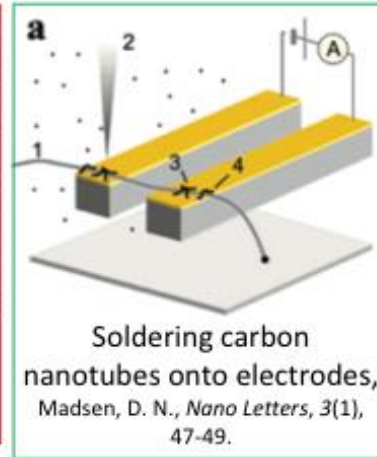
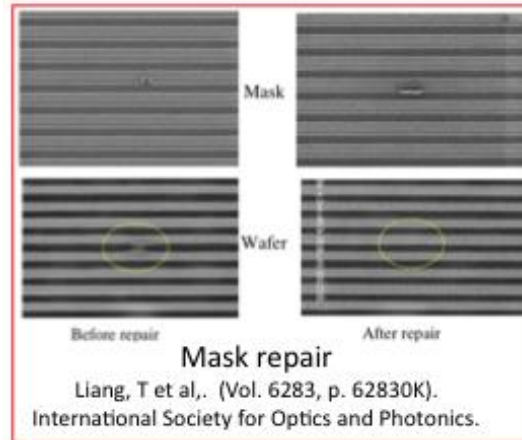
Circuit modification



Welding for lift-out process

FIB/SEM-Deposition

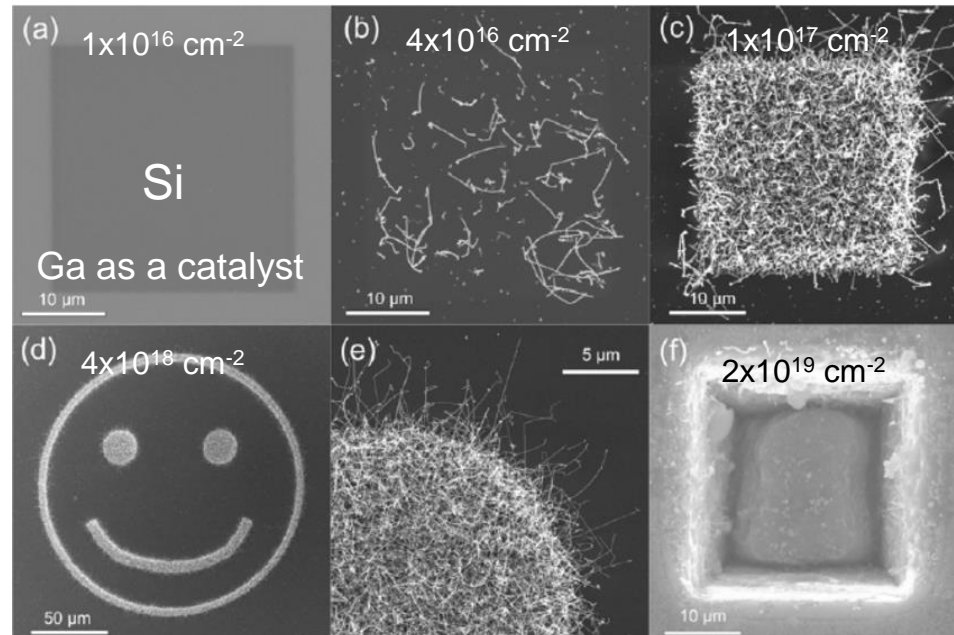
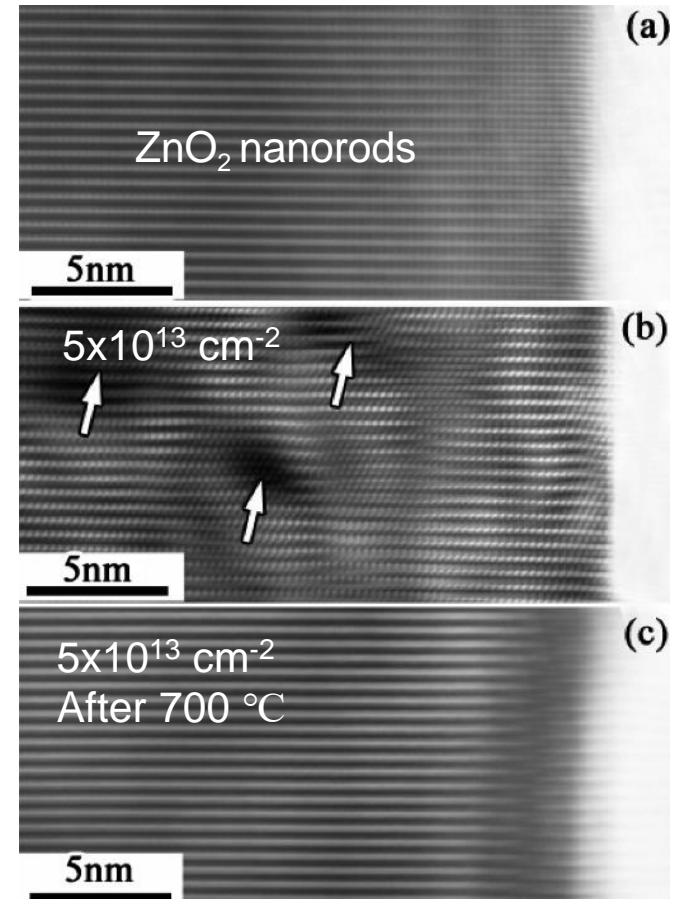
Applications of FEBID



FIB-implantation

Gallium implantation

- ✓ Alternation of the specimen's local composition within the interaction volume.
- ✓ Leading to structural changes, as well as alteration in, e.g., thermal, electrical, optical, and mechanical properties.

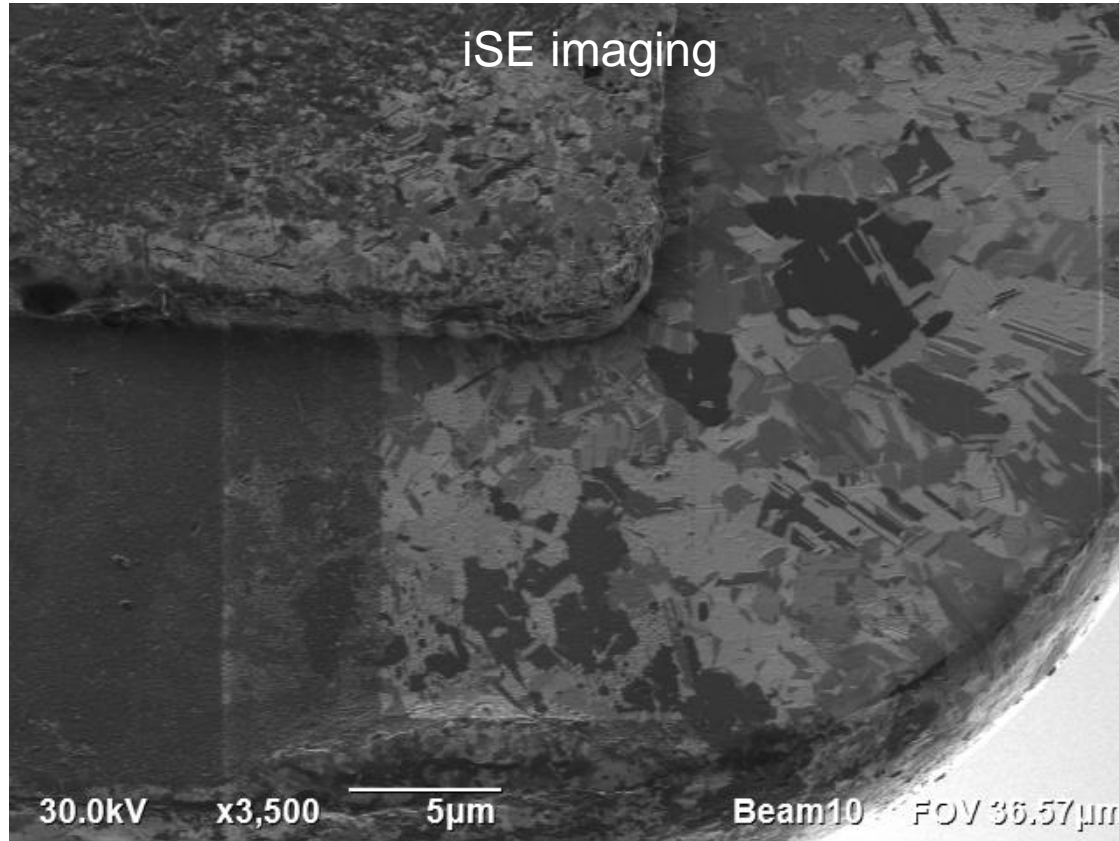


Annealing effect of Ga-implanted ZnO₂ nanorods

L. Yao et al., J. App. Phys, **105**, 103521 (2009),

Applications

Characterization of polycrystalline microstructure

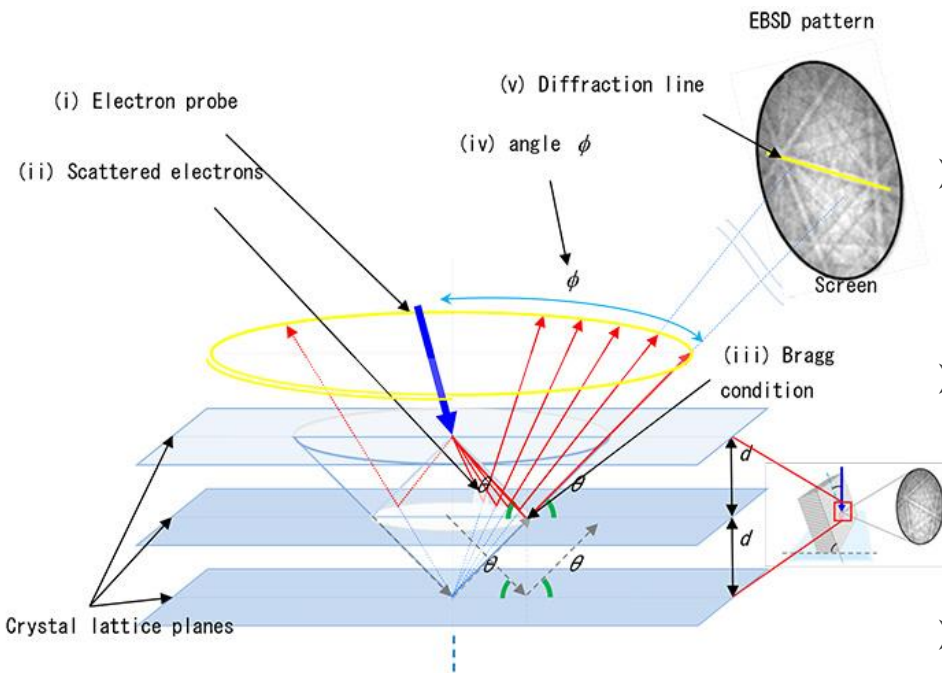


Any other way to determine crystallographic information of microstructure such as crystal orientation, grain boundaries, local crystal perfection.....?

Electron backscatter diffraction (EBSD) pattern analysis

Applications

Formation of EBSD pattern

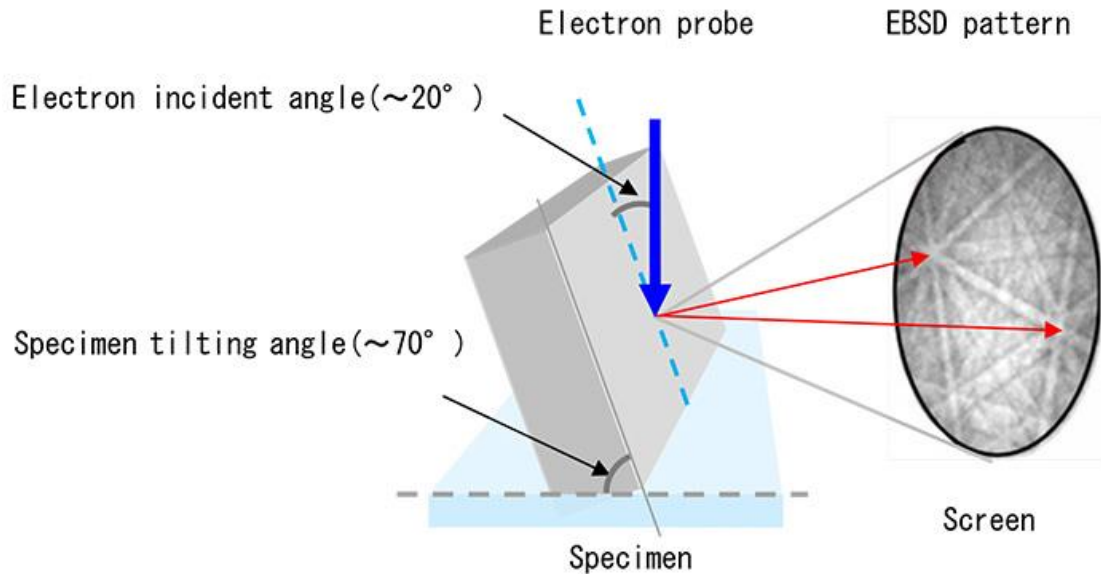


- Elastically or inelastically scattered electrons over a large angular range by sample
- The electrons satisfying the Bragg condition ($2d \cdot \sin\theta = n \cdot \lambda$) are diffracted and produce diffraction spots on the screen.
- The electrons travelling in different azimuthal direction produce successive diffracted spots to finally form a diffraction line.
- Many diffraction lines generated from the lattice planes in different orientations are superposed and thus form an EBSD pattern (well known as Kikuchi pattern).
- For acquiring high intensity of EBSD, specimen tilt angle is about 70°

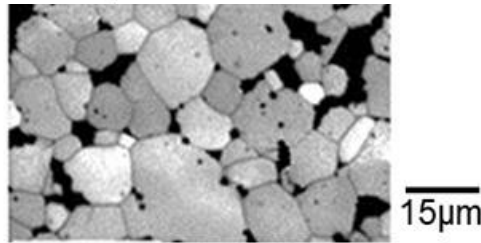
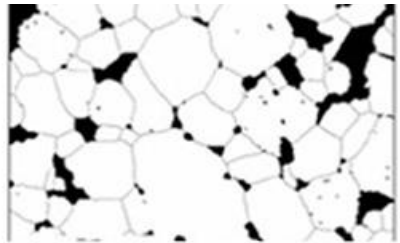
<https://www.jeol.co.jp>

Applications

Crystal orientation mapping



- If electron probe scans an arbitrary area of the specimen, the crystal orientations of each point of the area are recognized by comparing measured and calculated EBSD patterns.
- Crystal orientation map can be obtained automatically and rapidly.
- 10-20 nm resolution in Schottky-emission electron gun SEM
Depth: 30-50nm



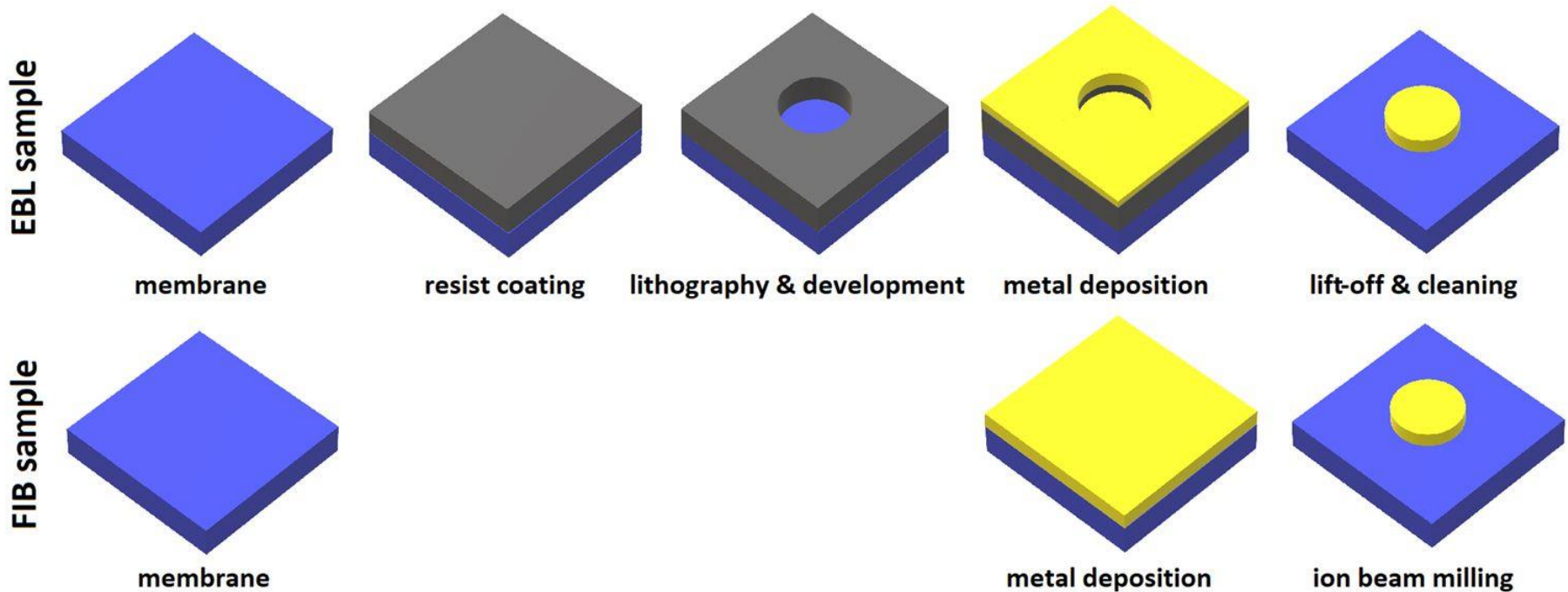
If EBSD+FIB

- ✓ Microstructure orientation analysis
- ✓ No necessary with mechanical polishing
- ✓ To reveal grain orientation inside bulk by 3D EBSD

<https://www.jeol.co.jp>

Applications

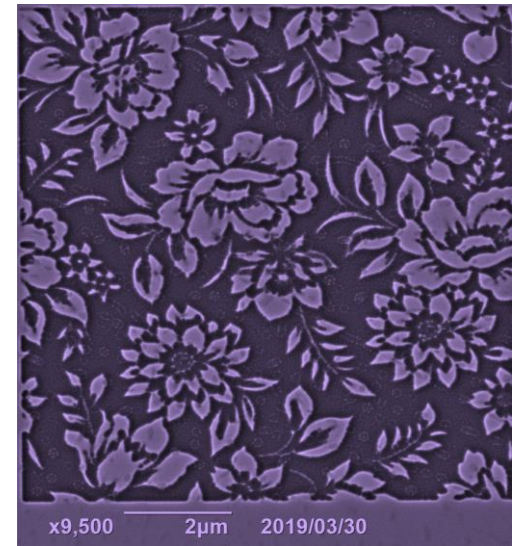
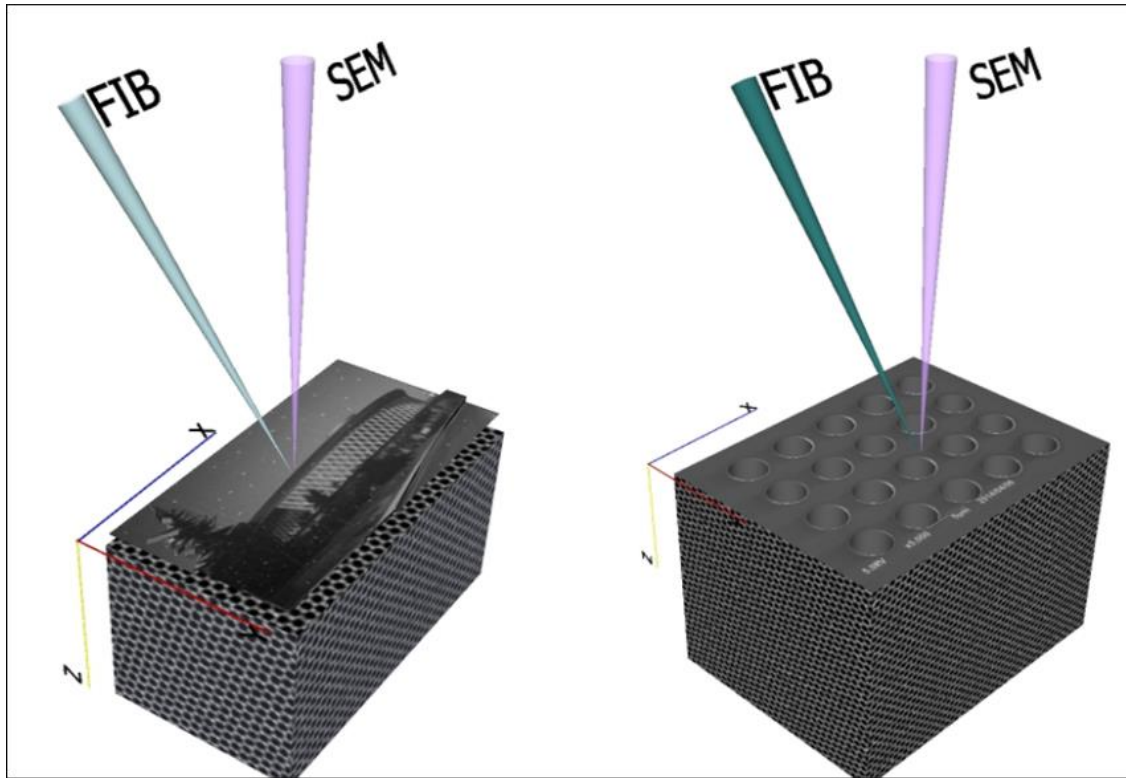
FIB patterning vs EBL patterning



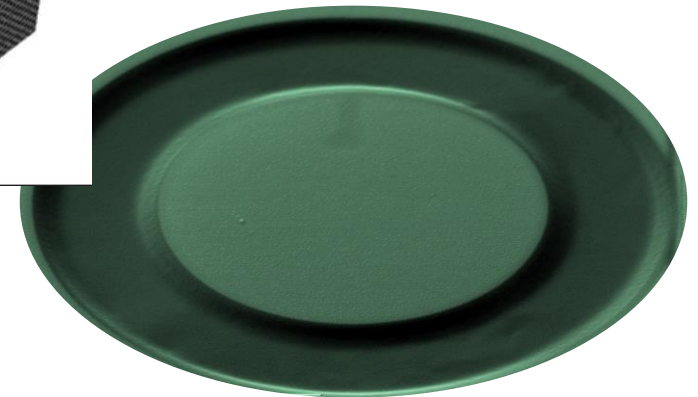
- ✓ FIB Milling allows for creating cross sections or developing structures with desired geometries to control not only the lateral position but also local depth.
- ✓ It does not require the use of masks.

Applications

Etching and patterning @OtaNano-NMC

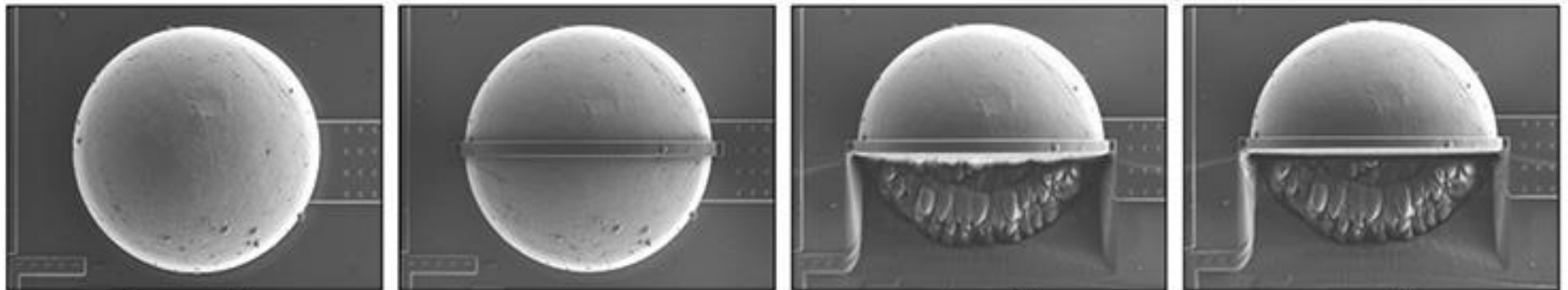


with desired geometries to control not only the lateral position but also local depth.



Applications

Cross Sectional SEM sample



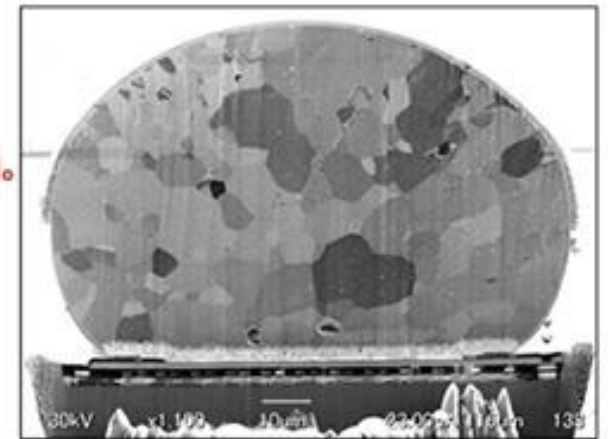
Before milling

Deposition

Rough milling

Fine milling

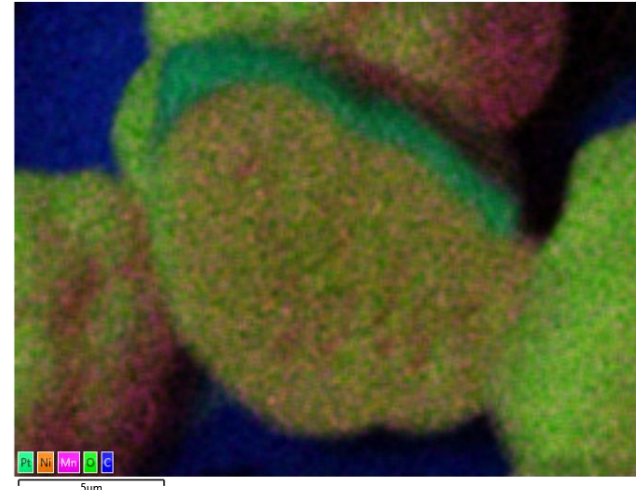
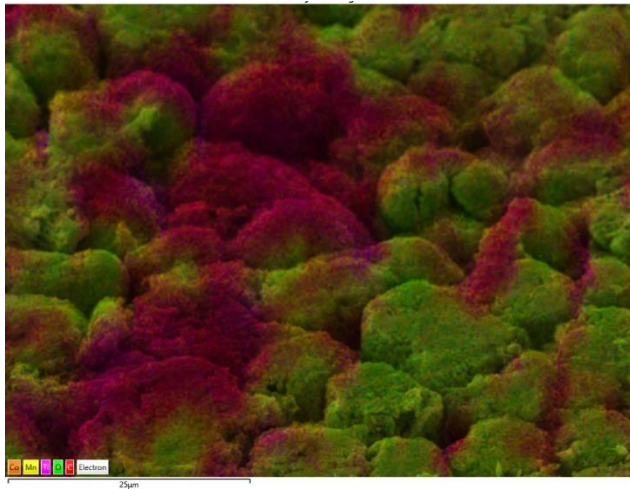
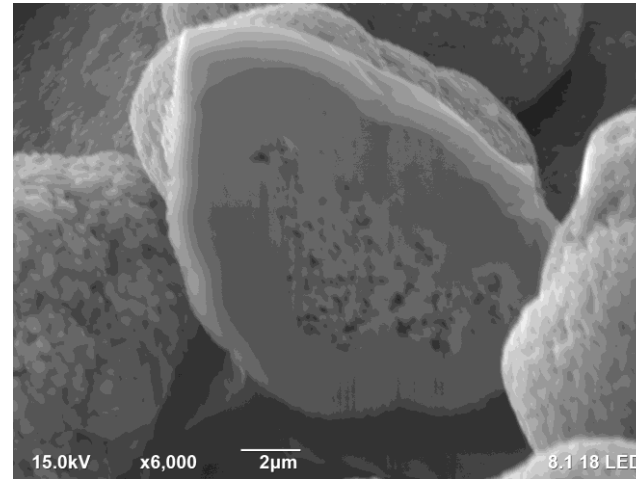
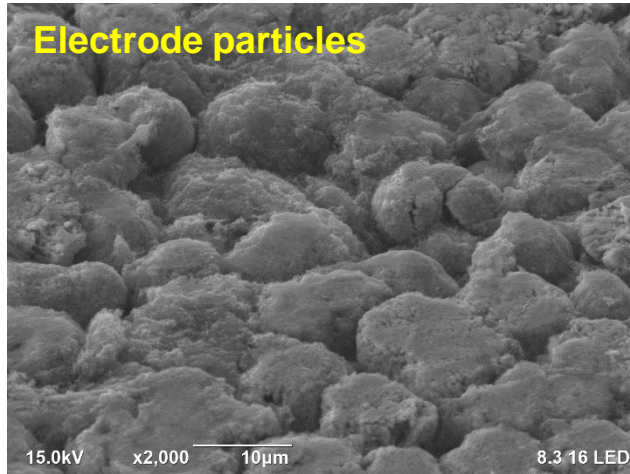
■ Deposition ■ Rough milling ■ Fine milling



Milled cross section (SIM image)

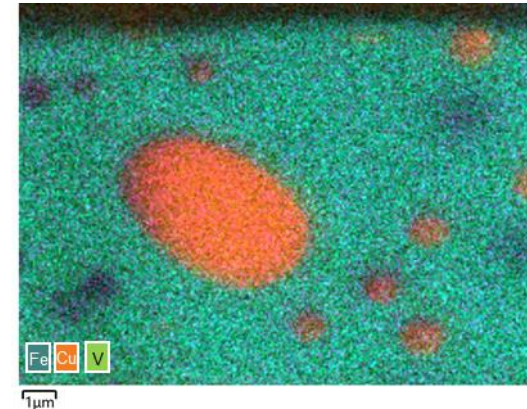
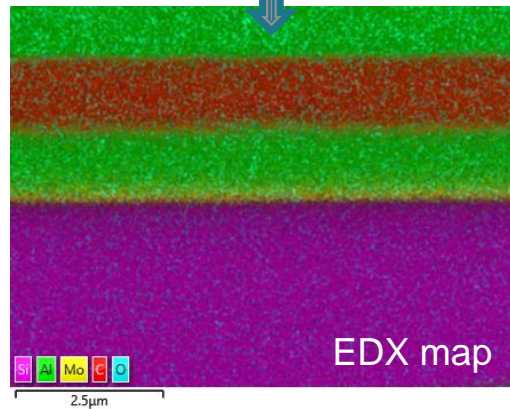
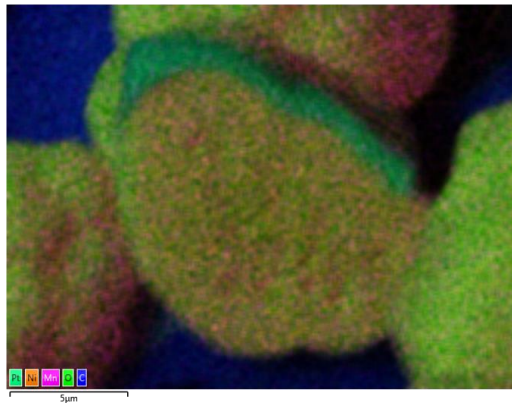
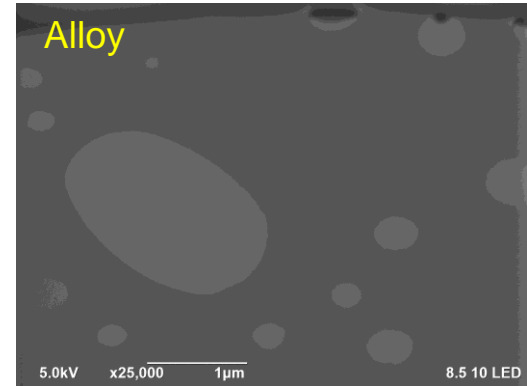
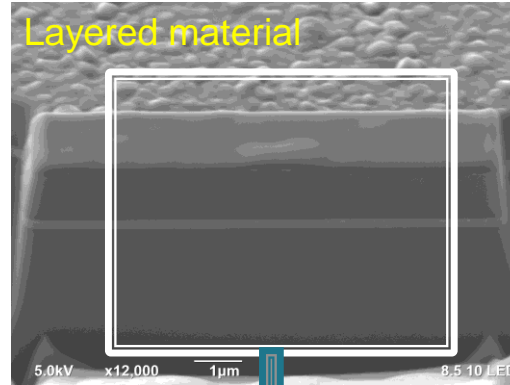
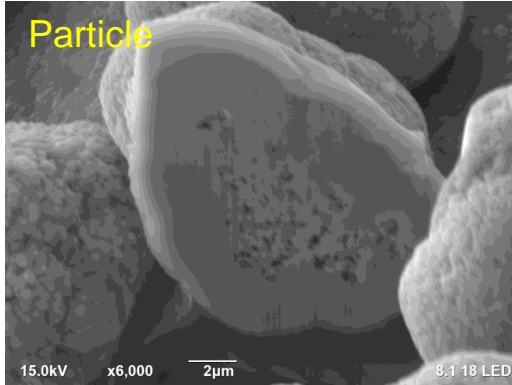
Applications

Cross Sectional SEM imaging/EDX mapping



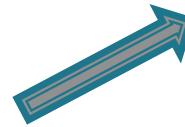
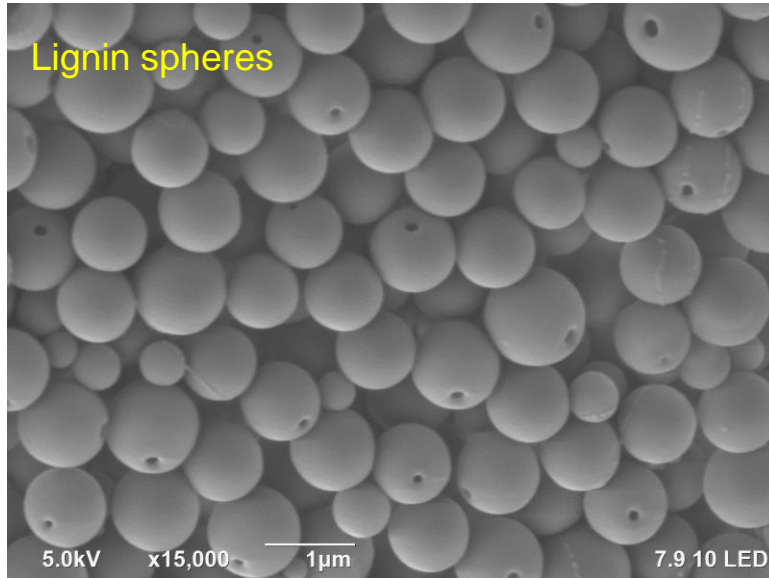
Applications

FIB-cut cross sectional SEM imaging and EDX mapping

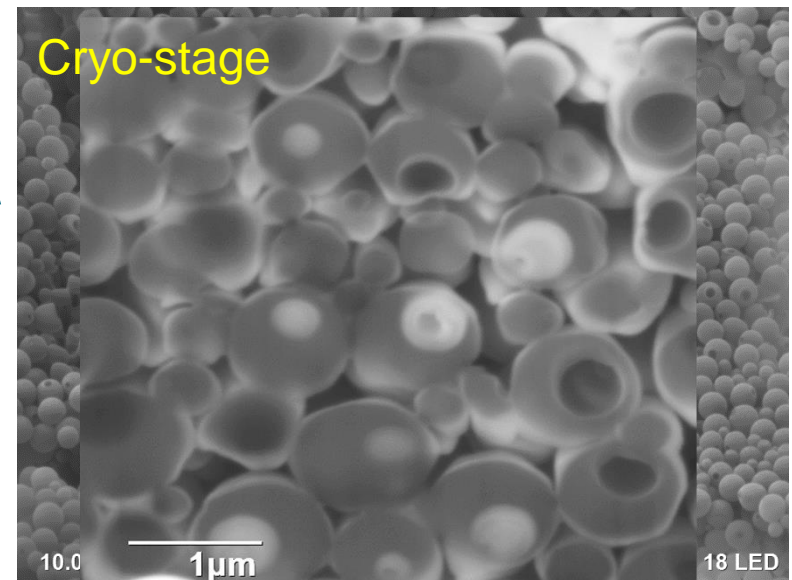
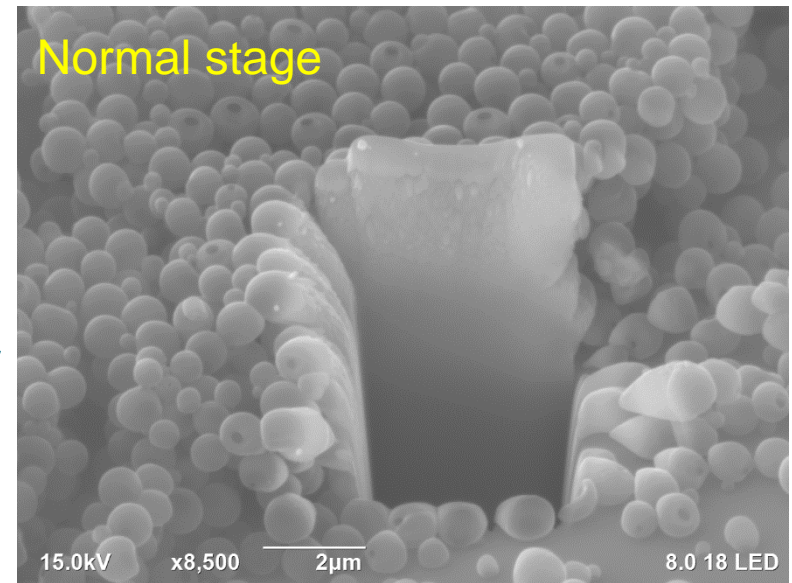
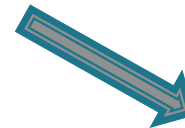


Applications

Cross Sectional SEM imaging with Cryo-stage

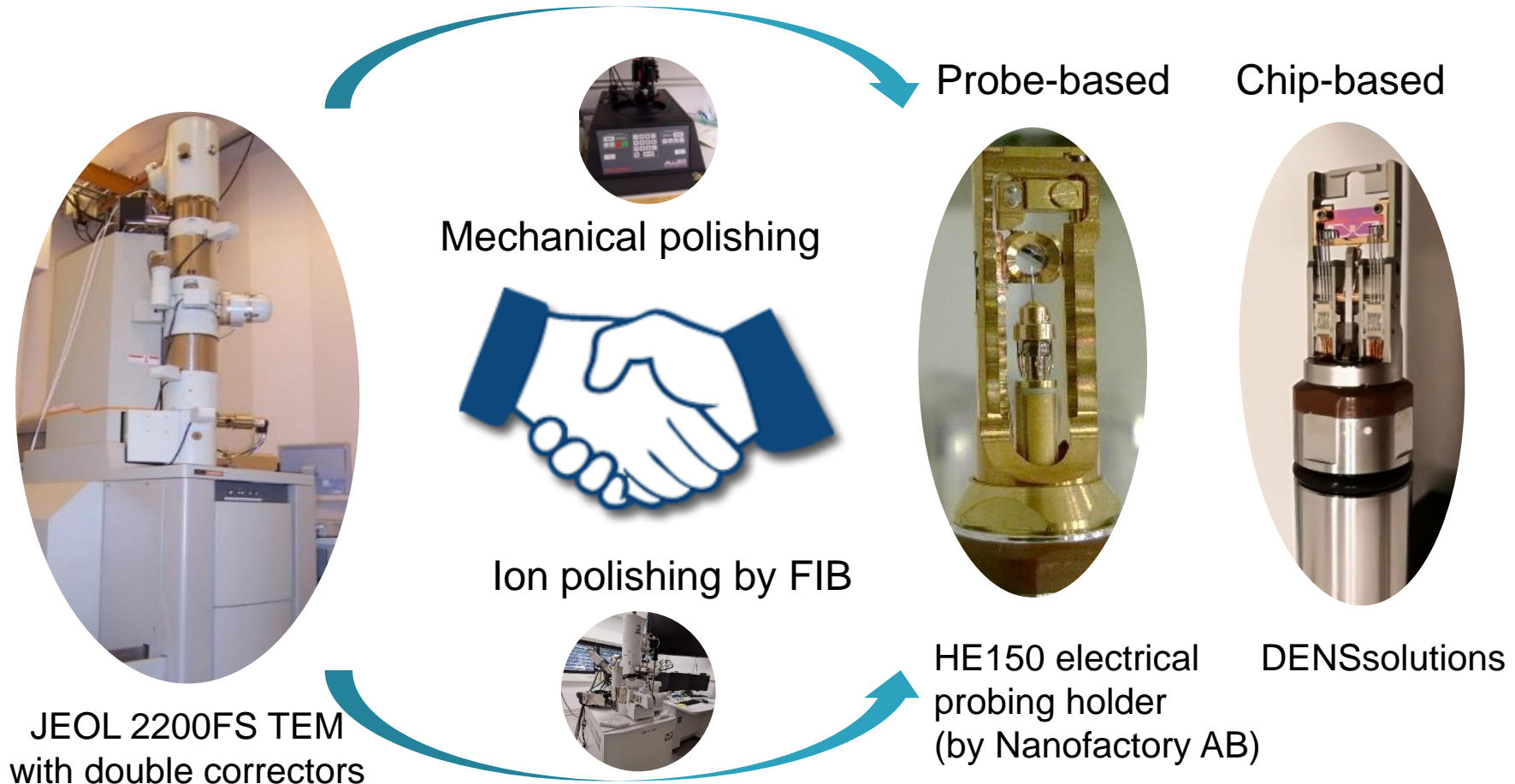


FIB-cut



- ❖ Cryo-SEM/FIB offers new opportunities to study soft materials, and frozen, hydrated samples from the field of life science.
- ❖ FIB-milling enables imaging additional planes by site-specific X-sectioning perpendicular to the cryo-fracture surface, thus adding a third imaging dimension to the cryo-SEM.

How to prepare a successful TEM lamella?



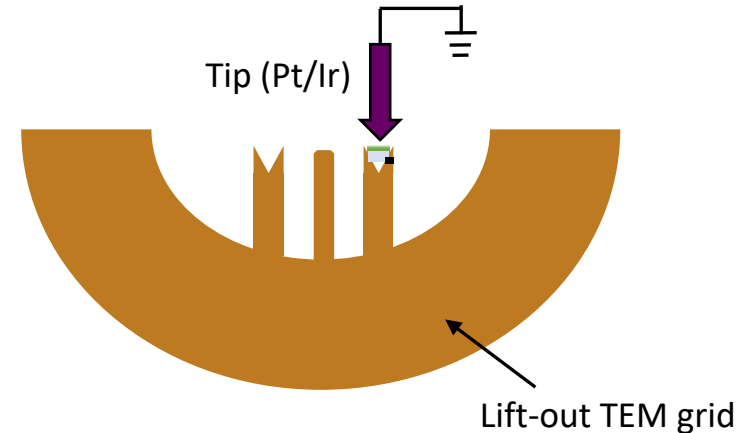
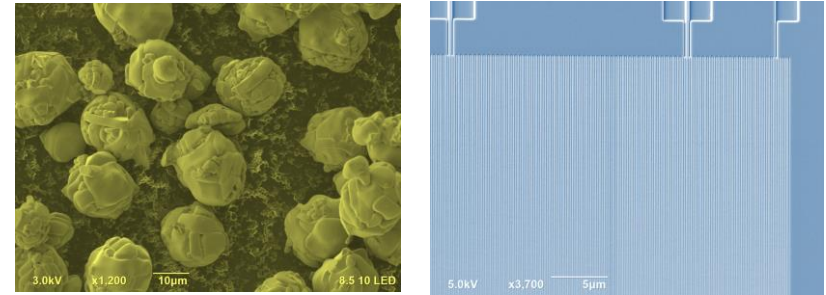
Challenging work!

Applications

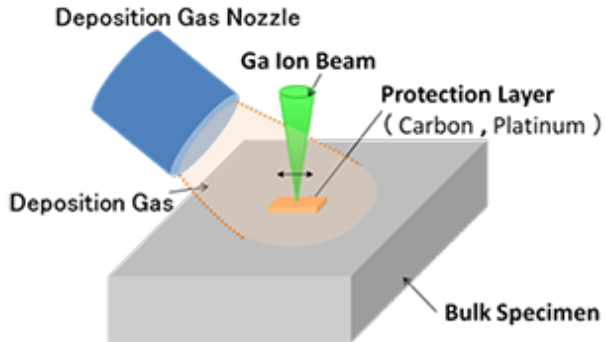
FIB-cut TEM lamella (in-situ lift-out technique)

- TEM lamella can be prepared site-specifically with a spatial accuracy as fine as 30 nm.
- Compared to other techniques (microtomy, low-energy ion milling, dimpling, etc.), it costs a short time (a few hours).
- Applicable for broad material systems including hard, soft, life materials with **cryo-stage**.

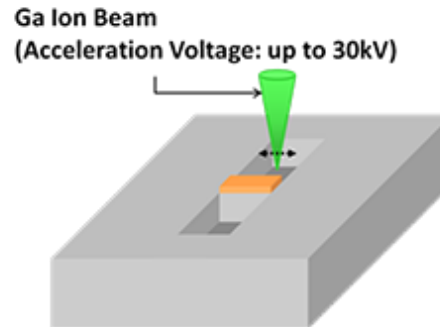
By FIB polishing



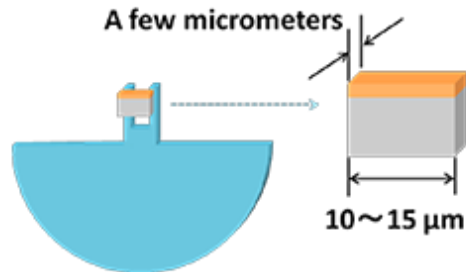
TEM specimen preparation (overview)



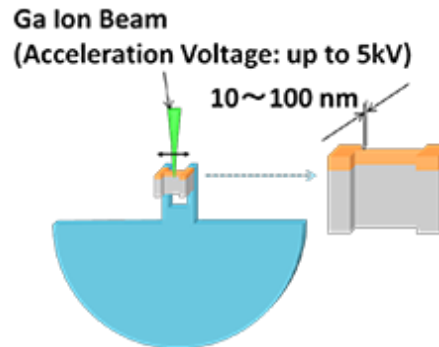
(a) Formation of protection layer



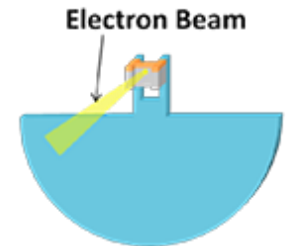
(b) Rough milling



(c) Fixation of thin section to TEM grid



(d) Fine milling (Thinning)



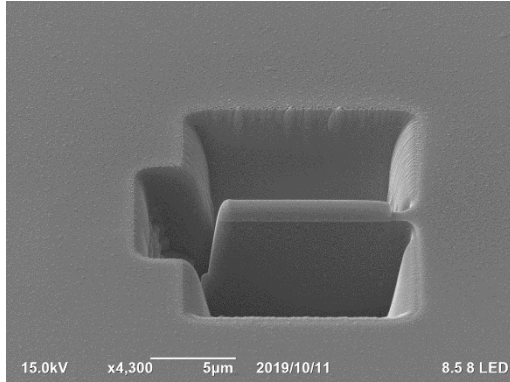
(e) TEM observation

<https://www.jeol.co.jp>

FIB-cut Steps

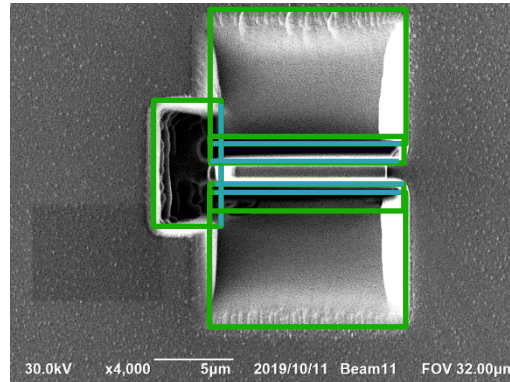
Prior to FIB, a ~30 nm Pt layer was pre-deposited on the top by sputtering machine

SEM



T: 53°

FIB



T: 53°

Pattern size:
10 μm L x 2 μm H,

Depo (Pt):
Beam 10 (30pA)
1 μm thick

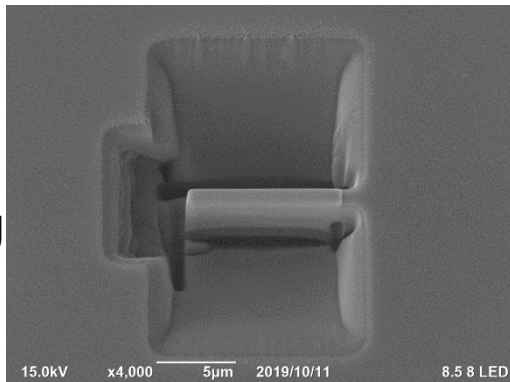
Grooving:
Beam 4 (10 nA)
Depth 6 μm

Side cutting
Pre-thinning
Under cutting

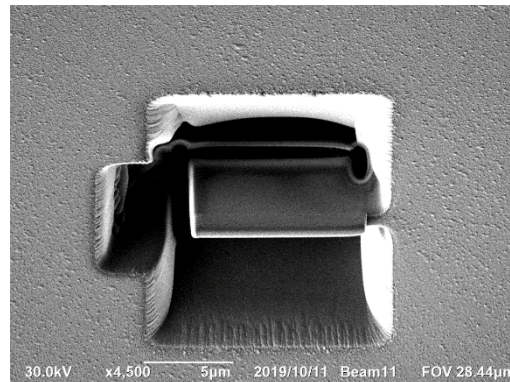
Beam 5 (3 nA)
Depth 6 μm

Pre-cutting

Undercutting



T: 8°

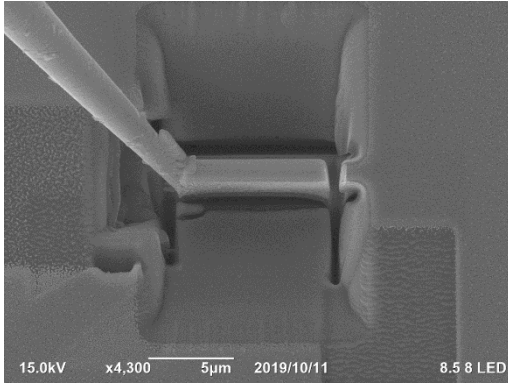


T: 8°

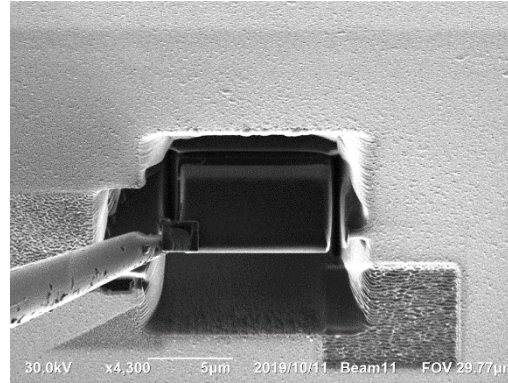
FIB-cut Steps

Lifting-out

SEM

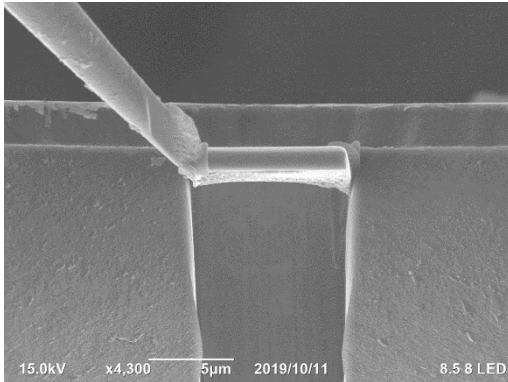


FIB



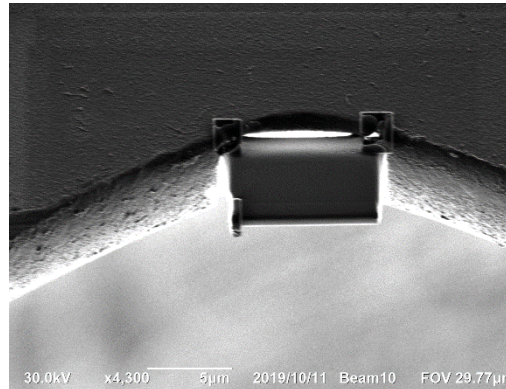
For welding:
Carbon deposition,
Beam 10 (30 pA),
2-3 minutes

T: 0°



Deposit

T: 0°

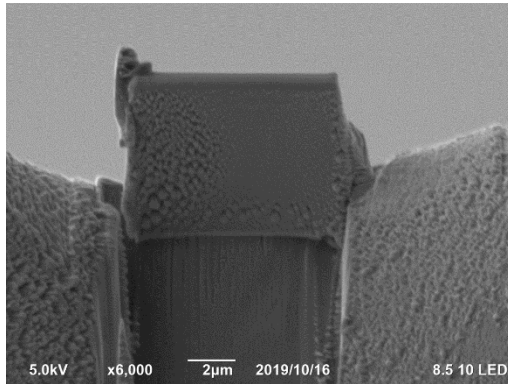


T: 0°

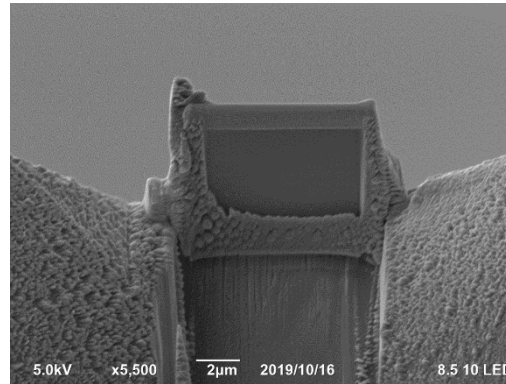
T: 0°

FIB-cut Steps

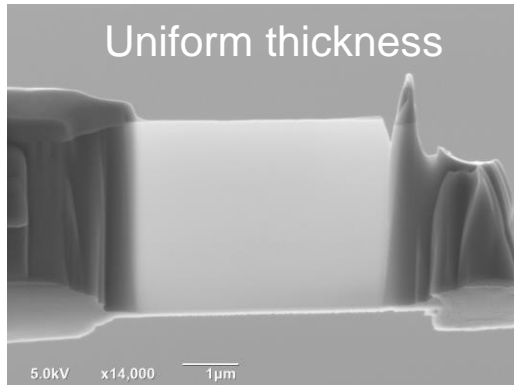
Fine milling



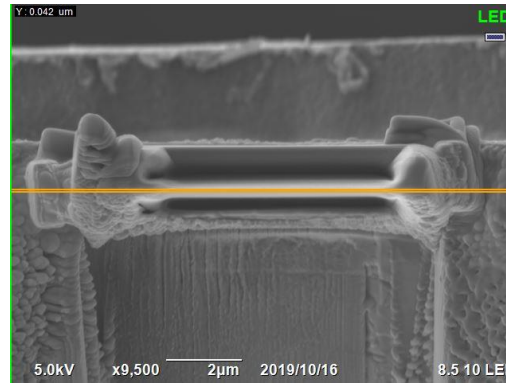
T: 53°



T: 53°



T: 53°



T: 0°

Fine milling:

30 kV, Beam 7 (500 pA), until 750 nm
30 kV, Beam 8 (300 pA), until 300 nm
+/- 2°

Fine polishing:

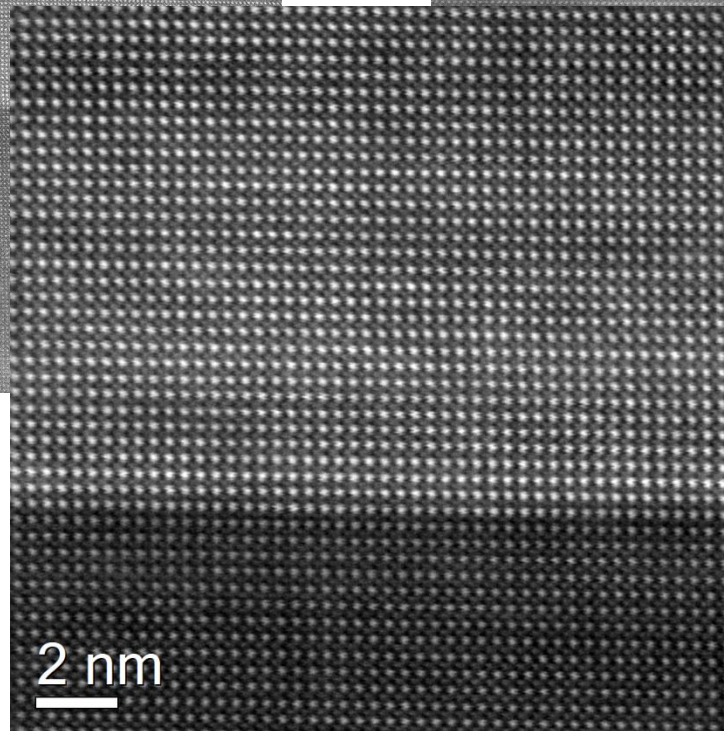
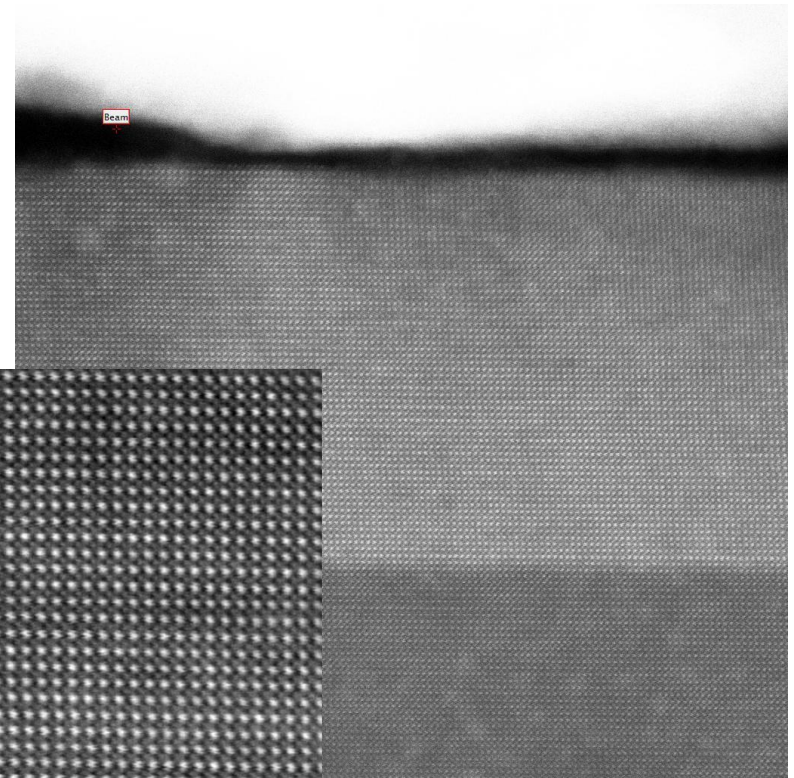
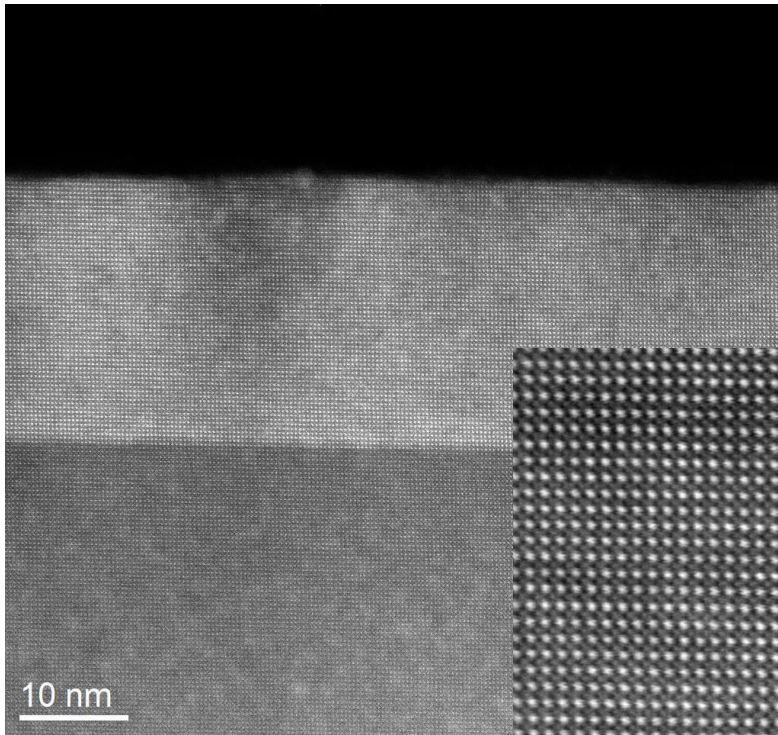
5 kV, Beam 7 (50 pA) or Beam 8 (30 pA)
< 100 nm
+/- 1.5°

Cleaning:

3kV, Beam 7, < 2 mins
+/- 5°

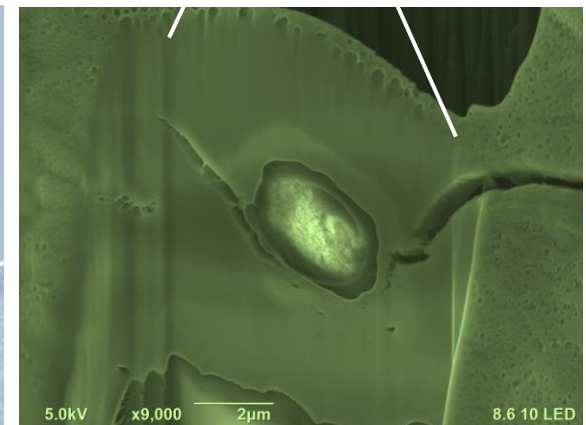
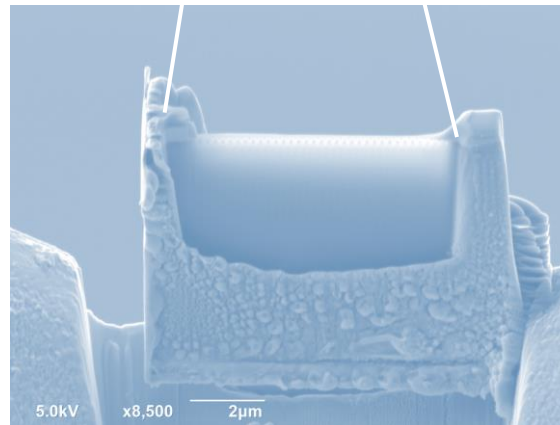
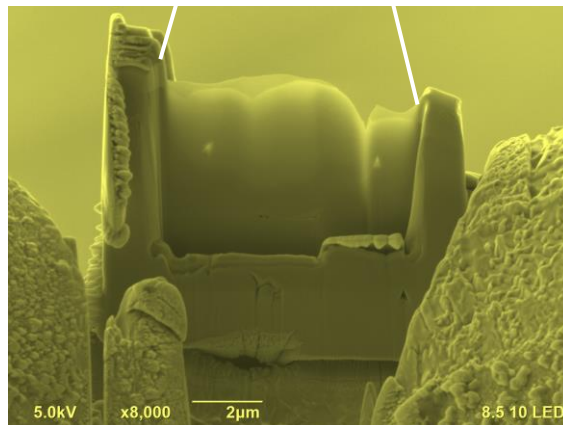
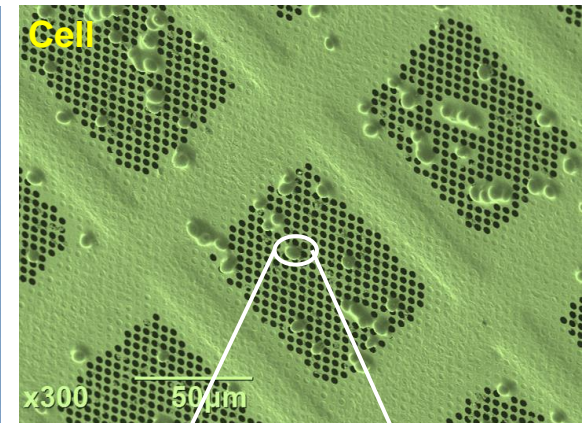
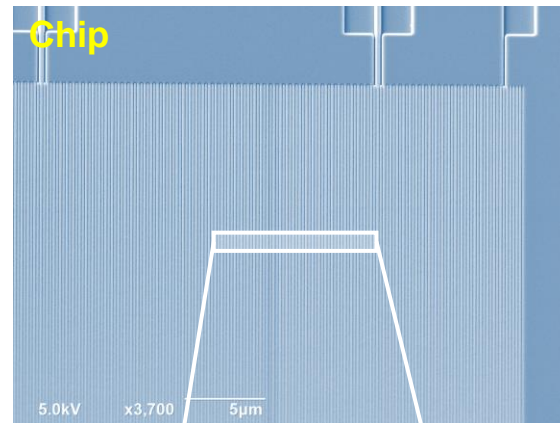
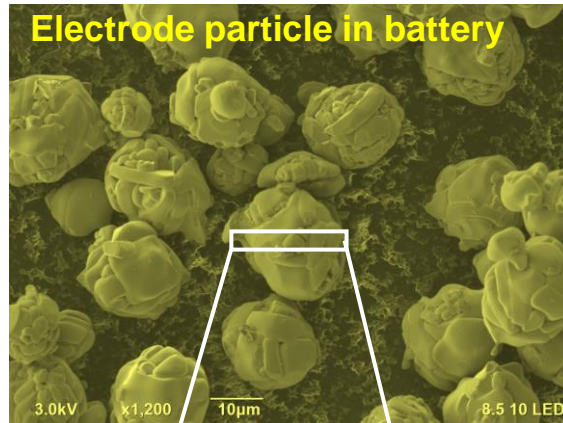
Note: in order to have a robust in-situ TEM sample, it would be better to prepare a wedging shape lamella.

STEM imaging after cleaning



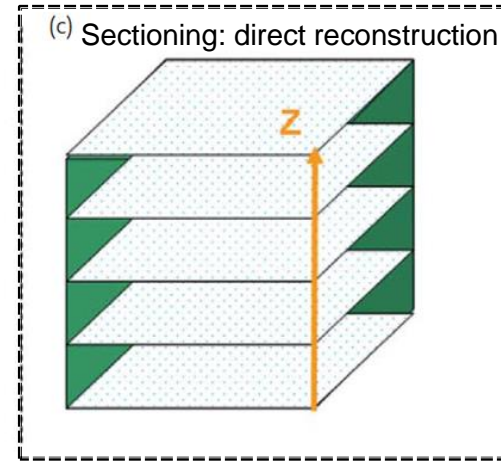
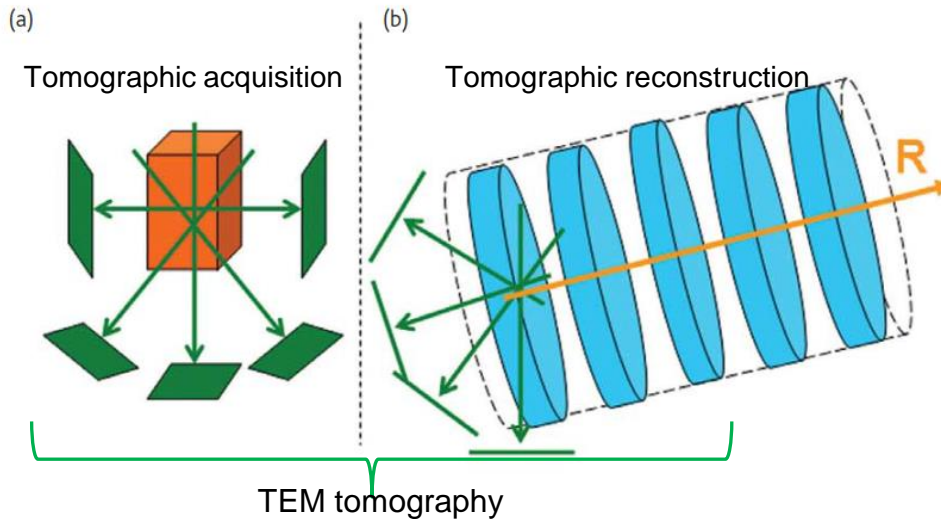
Applications

FIB-cut TEM lamellas for various materials



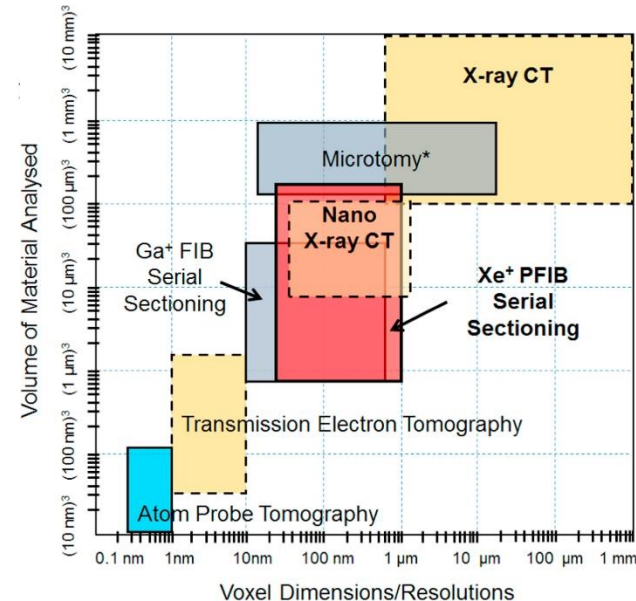
Applications

3D observation and analysis –FIB tomography



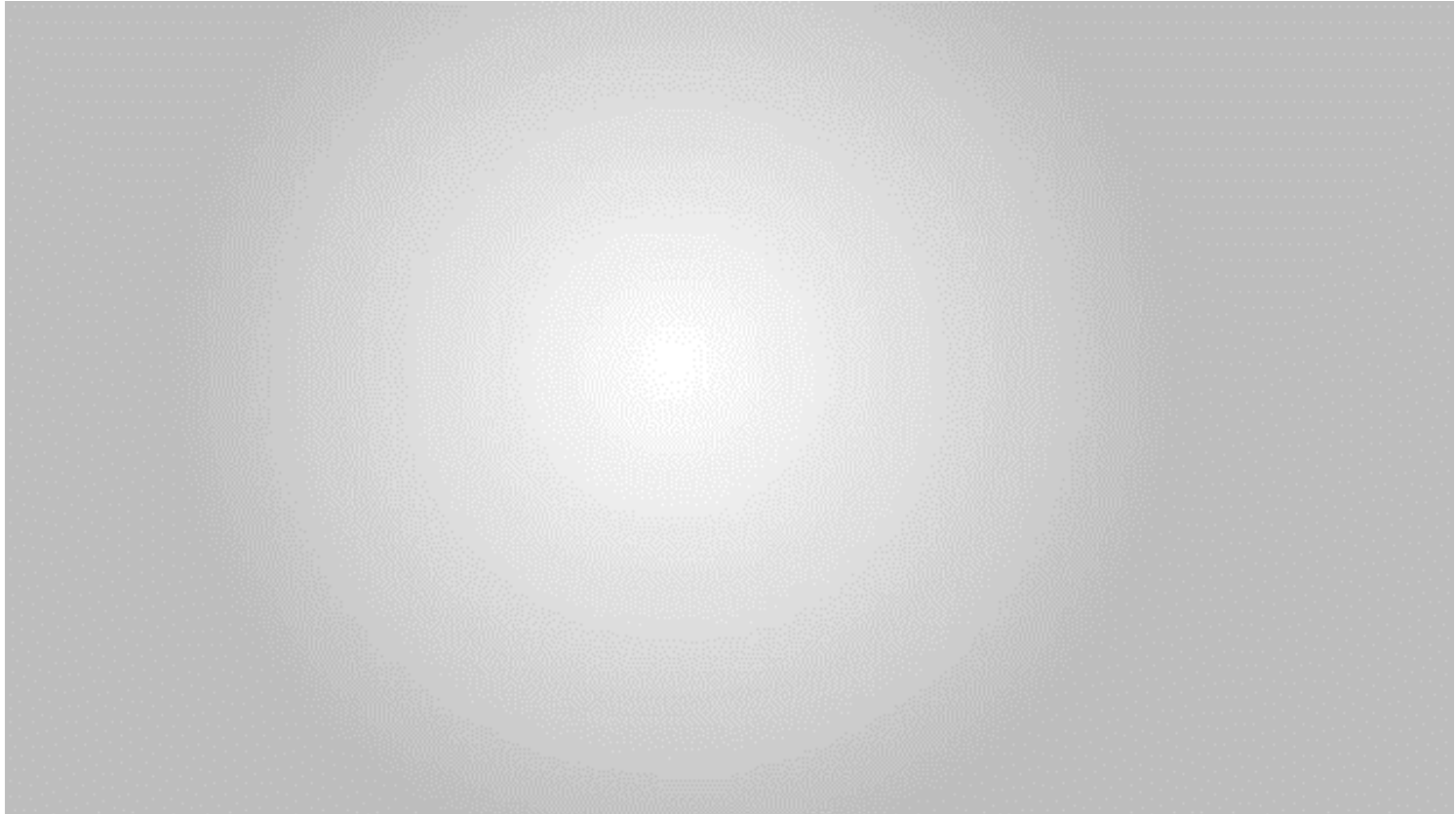
Technique features:

- ✓ Simultaneously cross-sectioning (by ion beam) and monitoring /or SE or BSE imaging (by electron beam)
- ✓ Two modes
 - i) Dynamic mode: SEM imaging in real time during milling process.
 - ii) Static mode: SEM imaging, EDX mapping/FIB imaging after each milling. High resolution imaging.
- ✓ Resolution: lateral ~1nm, z- resolution 10-100nm
- ✓ FIB tomography fills in the gap between TEM tomography and X-ray tomography.



Applications

Procedure of FIB–Tomography (video)



Narayan, K., Subramaniam, S. Focused ion beams in biology. *Nat Methods* **12**, 1021–1031 (2015)

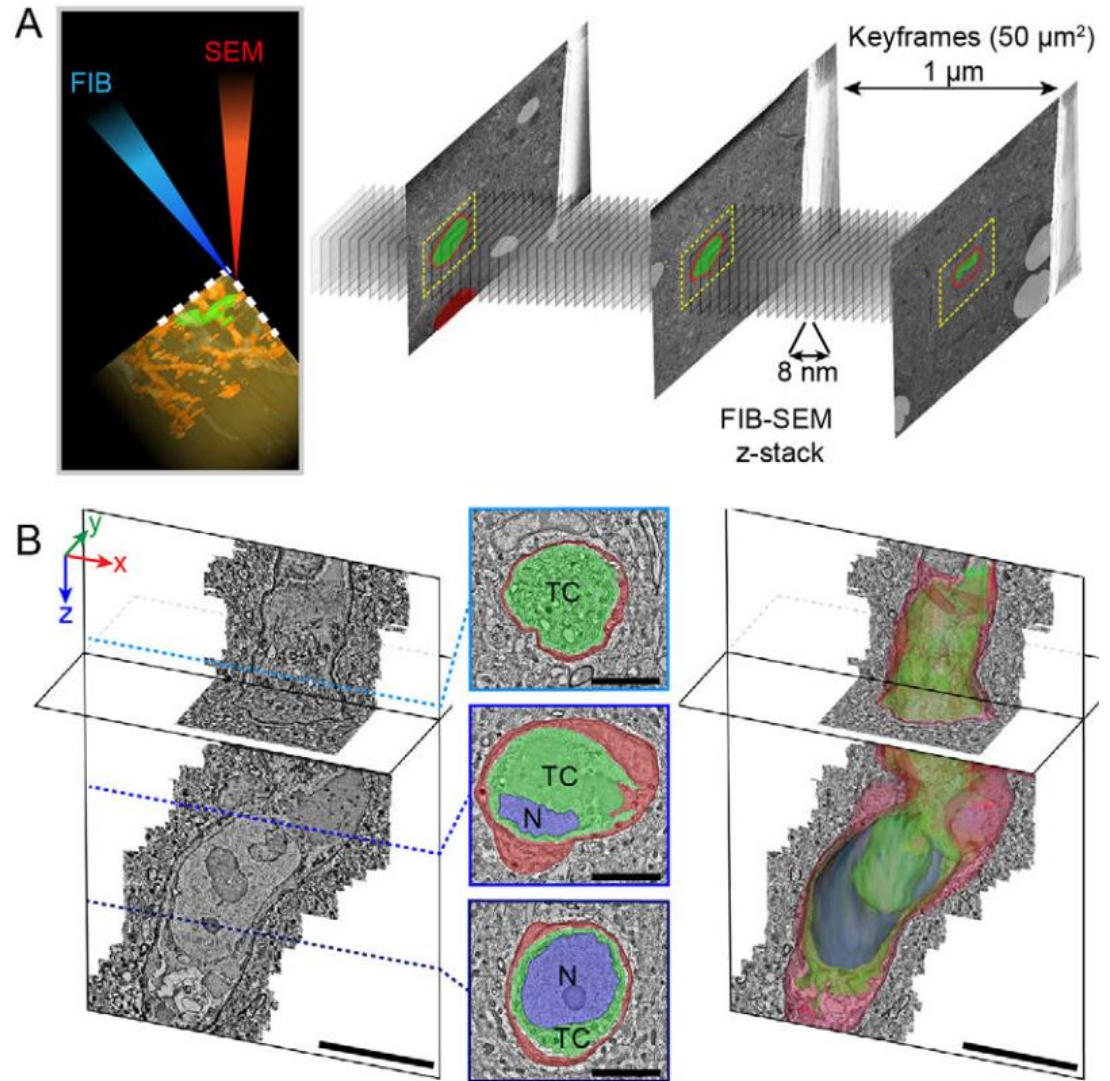
Applications

FIB tomography

Advantage:

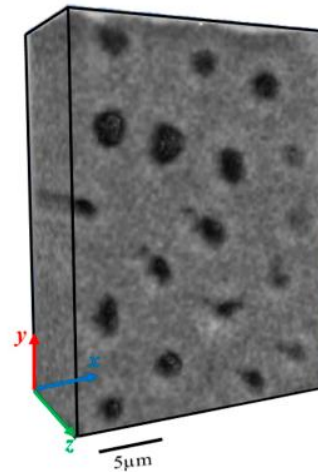
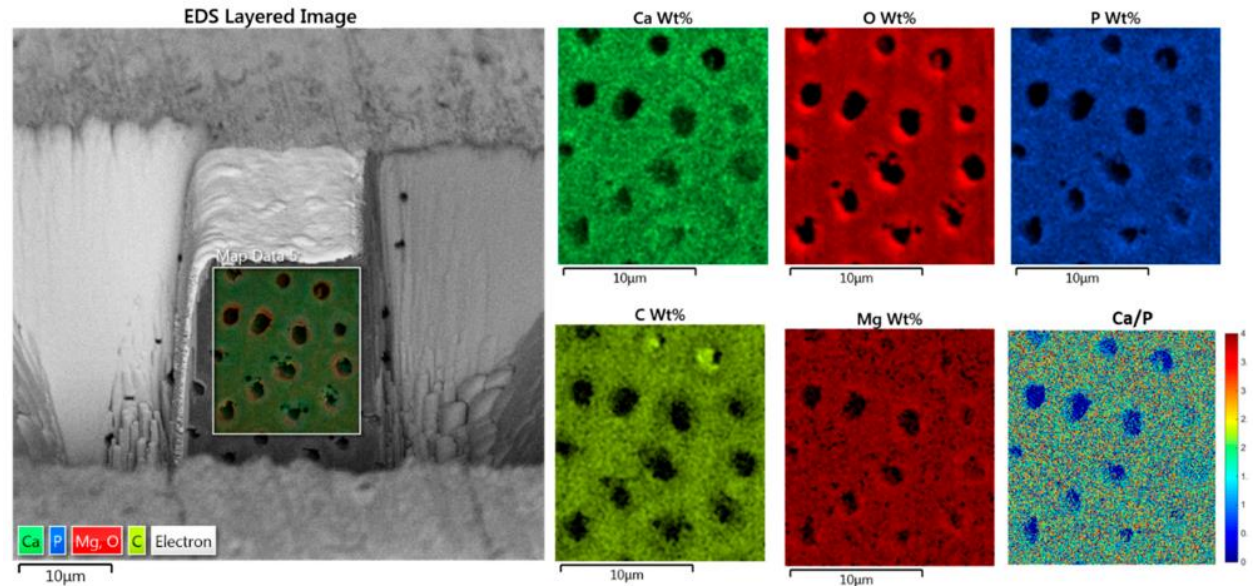
- Compared to serial TEM or serial tomography, the main advantage is the size of the volume that can be acquired
- With a close to one thousand-fold increase in favor to the FIB-SEM.

It is applicable at NMC with a Cryo-stage!



Applications

3D EDX mappings

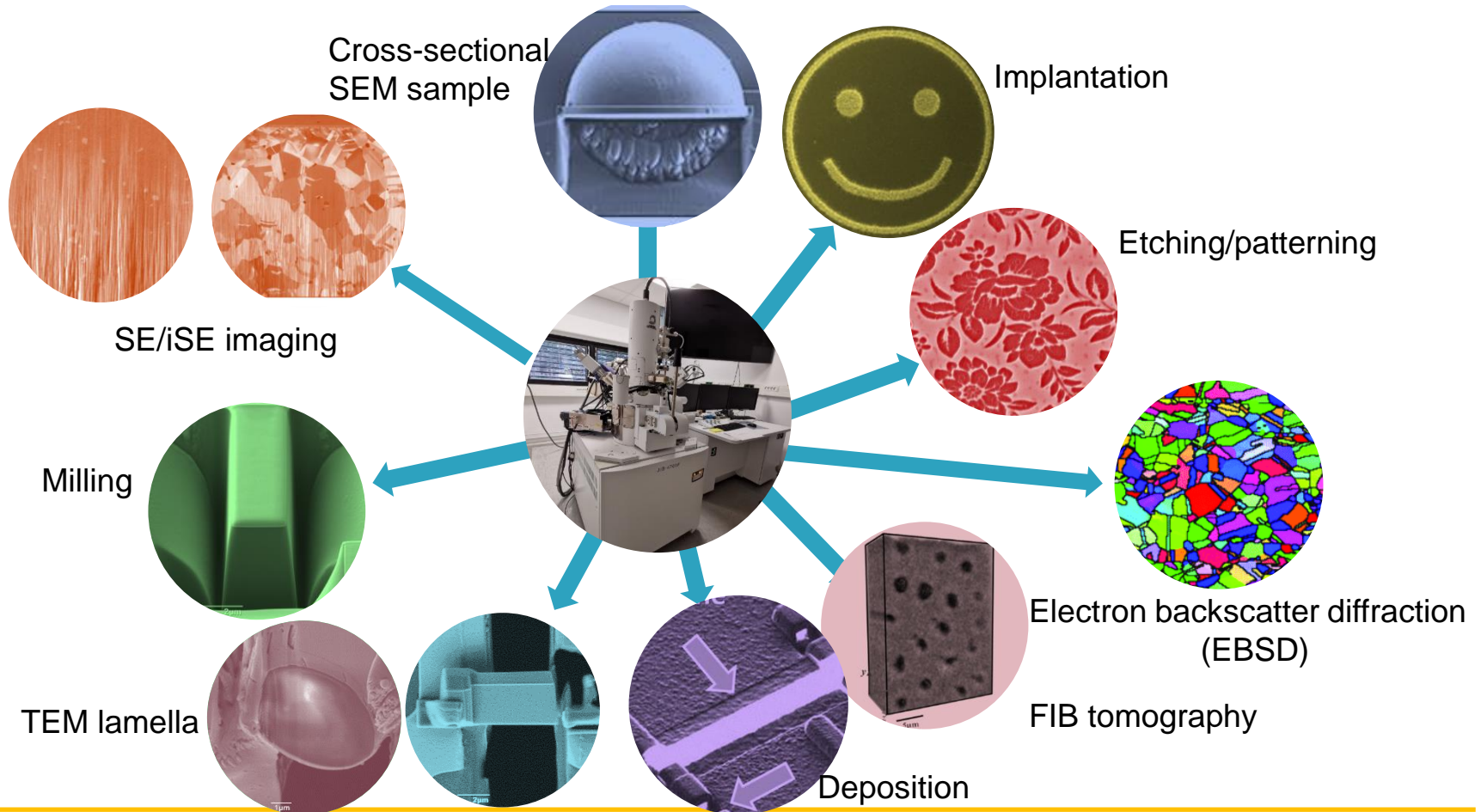


Spatial distribution of chemical elements
in dentine

Materials **2018**, 11(9), 1493

Key points to remember with FIB

- ✓ Micro/nano manufacturing technique with unique advantages of high fabrication resolution, high flexibility, maskless processing, and rapid prototyping.
- ✓ Wide applications in various areas, such as semiconductor industry, micro-/nano-optics, surface engineering, biotechnology, and nanotechnology.



Key references

- ▶ *Focused ion beam systems–basics and applications*, edited by Nan Yao, Cambridge University, New Jersey, online 2010.
- ▶ R.J. Young, M.V. Moore, Dual–Beam (FIB–SEM) Systems. In: Giannuzzi L.A., Stevie F.A. (eds) *Introduction to Focused Ion Beams* (2005), Springer
- ▶ *Introduction to Focused Ion Beam Nanometrology*, Edited by David C. Cox, Morgan & Claypool Publishers, 2015.
(available in Aalto library)