



**Aalto University**  
School of Science  
and Technology

# Coding Theory on Non-Standard Alphabets

## Random network codes in projective geometries

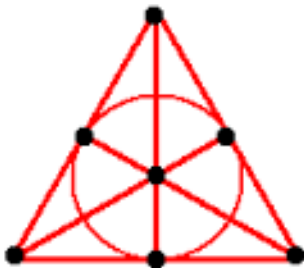
**Marcus Greferath**

Department of Mathematics and Systems Analysis  
Aalto University School of Sciences  
[marcus.greferath@aalto.fi](mailto:marcus.greferath@aalto.fi)

May 2023

# Subspace Designs for Network Coding

- ▶ Have you previously seen this figure?



- ▶ One of the best known images in Discrete Mathematics
- ▶ It is an illustration of the so-called **Fano plane**.

# Projective Geometry

The Fano plane is the smallest non-trivial example of what is called a **projective space**. These geometries are incidence structures  $(P, G)$  consisting of **points** and **lines**, such that the following 3 axioms are satisfied:

- ▶ Any two (distinct) points are contained in a unique line.
- ▶ If a line intersects with two sides of a triangle (but not in the vertices), then it will also intersect with the third side.
- ▶ There are at least 4 points, no 3 of which are on a common line.

# Projective Geometry

A large class of projective spaces comes from vector spaces:  
Let  $V$  be a vector space of dimension at least 3 over the field  $\mathbb{F}$ . Define  $(P, G)$  by

- ▶  $P := \{U \leq V \mid \dim(U) = 1\}$
- ▶  $G := \{U \leq V \mid \dim(U) = 2\}$

Then the pair  $(P, G)$  indeed satisfies the above 3 axioms.

More-over, if  $V = \mathbb{F}_2^3$ , then the above image of the Fano plane describes the structure of  $(P, G)$ .

# Projective Geometry

Finally, there is a notion of **dimension**, that arises as follows:

- ▶ A **subspace** is a subset  $A$  of  $P$  such that with every two points  $p, q \in A$  the entire line spanned by  $p$  and  $q$  will be also contained in  $A$ .
- ▶ It can be seen that arbitrary intersections of subspaces again form subspaces.
- ▶ If  $B$  is an arbitrary subset of  $P$ , then

$$[B] := \bigcap \{B \subseteq A \subseteq P \mid A \text{ subspace}\},$$

i.e. the smallest subspace containing  $B$  is called the **subspace generated by  $B$** .

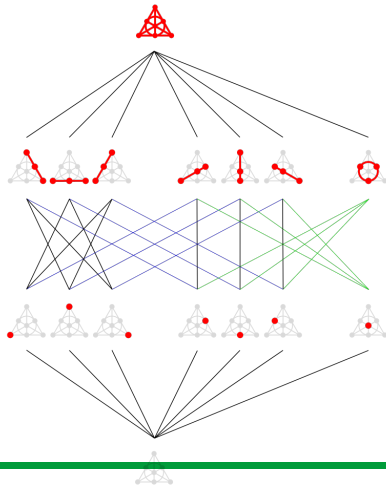
# Projective Geometry

The **dimension** of a subspace  $A$  is then the size of the smallest subset generating  $A$ , reduced by 1. This way, we have the following further concepts:

- ▶ Singletons are the subspaces of dimension 0.
- ▶ **Lines** are subspaces of dimension 1.
- ▶ **Planes** are subspaces of dimension 2.
- ▶ ...
- ▶ **Hyperplanes** are subspaces of dimension  $n - 1$ , provided the given projective space is of dimension  $n$ .

# Projective Geometry

The set of all subspaces of a projective space  $(P, G)$ , partially ordered by set inclusion, is called the **projective geometry** of  $(P, G)$ .



## Subset lattice

- ▶ Let  $X$  be a  $v$ -element set.
- ▶  $\binom{X}{k} :=$  Set of all  $k$ -subsets of  $X$ .
- ▶  $\#\binom{X}{k} = \binom{v}{k}$ .
- ▶ Subsets of  $X$  form a Boolean lattice (wrt.  $\subseteq$ ).

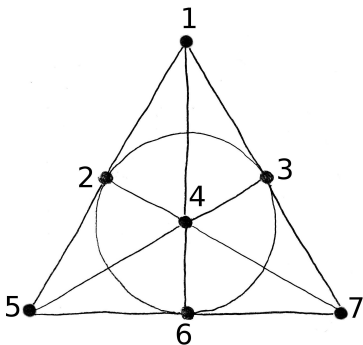
## Definition

$D \subseteq \binom{X}{k}$  is a  $t$ - $(v, k, \lambda)$  (block) design if each  $T \in \binom{X}{t}$  is contained in exactly  $\lambda$  blocks (elements of  $D$ ).

- ▶ If  $\lambda = 1$ :  $D$  is called a Steiner system
- ▶ For  $\lambda = 1, t = 2, k = 3$ ,  $D$  is referred to as a Steiner triple system STS( $v$ )



## Example



$$X = \{1, 2, 3, 4, 5, 6, 7\}, \quad D = \{\{1, 2, 5\}, \{1, 4, 6\} \\ \{1, 3, 7\}, \{2, 3, 6\}, \{2, 4, 7\}, \{3, 4, 5\}, \{5, 6, 7\}\}$$

Fano plane  $D$  is a  $2$ -( $7, 3, 1$ ) design, i.e an STS( $7$ ).

## Lemma

Let  $D$  be a  $t$ - $(v, k, \lambda)$  design and  $i \in \{0, \dots, t\}$ . Then  $D$  is also an  $i$ - $(v, k, \lambda_i)$  design with

$$\lambda_i = \frac{\binom{v-i}{t-i}}{\binom{k-i}{t-i}} \cdot \lambda. \quad \text{In particular, } \#D = \lambda_0$$

## Example

Fano plane STS(7) ( $v = 7, k = 3, t = 2, \lambda = 1$ ):

$$\lambda_2 = 1, \quad \lambda_1 = 3, \quad \lambda_0 = 7$$

## Corollary: Integrality conditions

If a  $t$ - $(v, k, \lambda)$  design exists, then  $\lambda_0, \lambda_1, \dots, \lambda_t \in \mathbb{Z}$ , i.e. all these Parameters must be **admissible**.

## Remark

All finite projective spaces are Steiner systems. The underlying 2-designs have parameters  $(\frac{q^n-1}{q-1}, q+1, 1)$ . Here  $q$  is the number of elements in the finite field, and  $n$  is the dimension of the vector space.

## Lemma

STS( $v$ ) admissible  $\iff v \equiv 1, 3 \pmod{6}$ .

## STS( $v$ ) for small $v$

- ▶ Fano plane STS(7) is smallest (non-trivial) example.
- ▶ Next admissible case is STS(9) which exists as affine plane of order 3.

## Theorem (Kirkman 1847)

All admissible STS( $v$ ) do exist.

## Subspace lattice

- ▶ Let  $V$  be a  $v$ -dimensional  $\mathbb{F}_q$  vector space.
- ▶ Grassmannian  $\begin{bmatrix} V \\ k \end{bmatrix}_q :=$  Set of all  $k$ -dim. subspaces of  $V$ .
- ▶ Gaussian Binomial coefficient

$$\# \begin{bmatrix} V \\ k \end{bmatrix}_q = \begin{bmatrix} v \\ k \end{bmatrix}_q = \frac{(q^v - 1)(q^{v-1} - 1) \cdot \dots \cdot (q^{v-k+1} - 1)}{(q - 1)(q^2 - 1) \cdot \dots \cdot (q^k - 1)}$$

- ▶ Subspace lattice of  $V =$  projective geometry  $\text{PG}(v - 1, q)$ 
  - ▶ Elements of  $\begin{bmatrix} V \\ 1 \end{bmatrix}_q$  are **points**.
  - ▶ Elements of  $\begin{bmatrix} V \\ 2 \end{bmatrix}_q$  are **lines**.
  - ▶ Elements of  $\begin{bmatrix} V \\ 3 \end{bmatrix}_q$  are **planes**.
  - ▶ Elements of  $\begin{bmatrix} V \\ v-1 \end{bmatrix}_q$  are **hyperplanes**.

## How to obtain $q$ -analogs in combinatorics?

Replace **subset lattice** by **subspace lattice**!

traditional	$q$ -analog
$v$ -element set $X$	$v$ -dim. $\mathbb{F}_q$ vector space $V$
$\binom{V}{k}$	$\begin{bmatrix} V \\ k \end{bmatrix}_q$
$\binom{v}{k}$	$\begin{bmatrix} v \\ k \end{bmatrix}_q$
cardinality	dimension
$\cap$	$\cap$
$\cup$	$+$

- ▶ The subset lattice corresponds to the limit when  $q \rightarrow 1$ .
- ▶ A groaner: This comes from the unary field  $\mathbb{F}_1$ .

## What is the definition of a $q$ -analog of a design?

We follow the above recipe and make the transit from sets to vector spaces:

### Definition (subspace design)

Let  $V$  be a  $v$ -dimensional  $\mathbb{F}_q$  vector space.  $D \subseteq \begin{bmatrix} V \\ k \end{bmatrix}_q$  is a  $t$ - $(v, k, \lambda)_q$  (subspace) design if each  $T \in \begin{bmatrix} V \\ t \end{bmatrix}_q$  is contained in exactly  $\lambda$  elements of  $D$ .

- ▶ If  $\lambda = 1$ :  $D$  is called a  $q$ -Steiner system
- ▶ If  $\lambda = 1$ ,  $t = 2$ ,  $k = 3$ :  $D$  is referred to as  $q$ -Steiner triple system  $STS_q(v)$
- ▶ Geometrically, the  $STS_q(v)$  is a set of planes in  $PG(v - 1, q)$  which cover each line exactly once.

## Lemma

Let  $D$  be a  $t$ - $(v, k, \lambda)_q$  design and  $i \in \{0, \dots, t\}$ . Then  $D$  is also an  $i$ - $(v, k, \lambda_i)_q$  design with

$$\lambda_i = \frac{\begin{bmatrix} v-i \\ t-i \end{bmatrix}_q}{\begin{bmatrix} k-i \\ t-i \end{bmatrix}_q} \cdot \lambda. \quad \text{In particular, } \#D = \lambda_0.$$

## Corollary: Integrality conditions

If a  $t$ - $(v, k, \lambda)_q$  design exists, then  $\lambda_0, \lambda_1, \dots, \lambda_t \in \mathbb{Z}$ , i.e. all of these Parameters must be **admissible**.

## Lemma

$\text{STS}_q(v)$  admissible  $\iff v \equiv 1, 3 \pmod{6}$ .

## $\text{STS}_q(v)$ for small $v$

- ▶ The famous  $q$ -analog of the Fano plane  $\text{STS}_q(7)$ .  
Problem: its existence is open for every field order  $q$ .
- ▶  $\text{STS}_q(9)$  which could be called the  $q$ -analog of the binary affine plane. Problem: its existence is open for every  $q$ .
- ▶ **Good News:** A non-trivial  $q$ -Steiner system is known to exist:  $\text{STS}_2(13)$  and was discovered by Braun, Etzion, Ostergard, Vardy, Wassermann in 2013.



Focus on **binary**  $q$ -analog of the Fano plane  $\text{STS}_2(7)$ .

$$\lambda_2 = 1, \quad \lambda_1 = 21, \quad \lambda_0 = 381$$

- ▶  $\text{STS}_2(7)$  consists of  $\lambda_0 = 381$  blocks which need to be found in a set of  $\begin{bmatrix} 7 \\ 3 \end{bmatrix}_2 = 11811$  planes of that projective space!
- ▶ This is a huge search space, as  $\binom{11811}{381}$  has 730 decimal digits.

**Additional properties and insight is required!**

- ▶ Through each point  $P$  there are  $\lambda_1 = 21$  blocks. Image in  $V/P \cong \text{PG}(5, 2)$  is a line spread, (cf. Mateva, Topalova 2009): There are 131044 types of spreads.
- ▶ More refined information by **intersection numbers** (see Kiermaier and Pavcevic 2014).

## Definition (Recall: Steiner system)

$D \subseteq \binom{V}{k}_q$  is a  $t$ - $(v, k, 1)_q$  Steiner system

if each  $T \in \binom{V}{t}_q$  is contained in exactly one element of  $D$ .

## Definition ((constant dimension) subspace code)

$C \subseteq \binom{V}{k}_q$  is a  $(v, 2(k - t + 1); k)_q$  subspace code

if each  $T \in \binom{V}{t}_q$  is contained in at most one element of  $C$ .

- ▶  $q$ -Fano setting:  $(7, 4; 3)_q$  subspace code  $C$ .
- ▶ For  $q = 2$ :
  - ▶  $\#C \leq 381$
  - ▶  $\#C = 381 \iff C$  is a  $\text{STS}_2(7)$
- ▶ Task: Find maximum size  $A_q(7, 4; 3)$  of  $(7, 4; 3)_q$  subspace code!

## History

- ▶ Silberstein 2008:  $A_2(7, 4; 3) \geq 289$   
Based on lifted rank metric codes.
- ▶ Vardy 2008:  $A_2(7, 4; 3) \geq 294$
- ▶ Kohnert, Kurz 2008:  $A_2(7, 4; 3) \geq 304$   
Prescribe group of order 21
- ▶ Braun, Reichelt 2012:  $A_2(7, 4; 3) \geq 329$   
Prescribe group of order 15, modify large solutions.
- ▶ Liu, Honold 2014; Honold, K. 2015:  
**explicit construction** of  $\#C = 329$   
via expurgation and augmentation of the lifted  
Gabidulin code  
  
More-over:  $A_3(7, 4; 3) \geq 6977$  for  $q = 3$  (which means  
 $STS_3(7)$  would have size 7651.)

## Recent approach

by Daniel Heinlein, Sascha Kurz and Alfred Wassermann.

- ▶ Systematically check  $G < \text{GL}(7, 2)$  for admitting large  $G$ -invariant codes.
- ▶ Found  $\#G = 64$  admitting  $\#C = 319$ .
- ▶ ... having a subgroup of order 32 admitting  $\#C = 327$ .
- ▶ ... having a subgroup of order 16 admitting  $\#C = 329$ .
- ▶ ... having a subgroup of order 4 admitting

$$\#C = 333.$$

- ▶ Code provided at [subspacecodes.uni-bayreuth.de](https://subspacecodes.uni-bayreuth.de)