



**Aalto University**  
School of Electrical  
Engineering

# Communication acoustics

## Ch 12: Spatial hearing

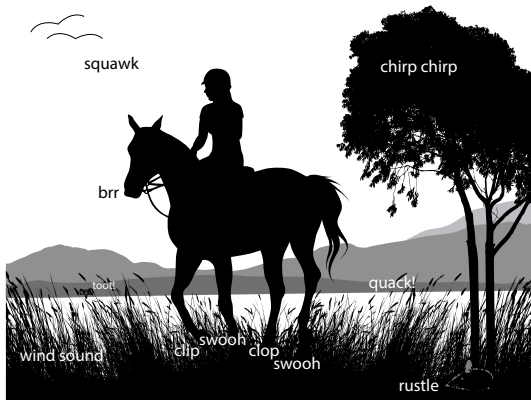
**Ville Pulkki**

*Department of Signal Processing and Acoustics  
Aalto University, Finland*

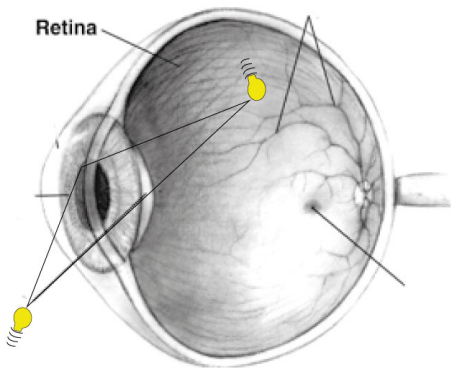
**September 20th, 2022**

# Where and what?

- Localization of sources
- Beam-forming towards different directions

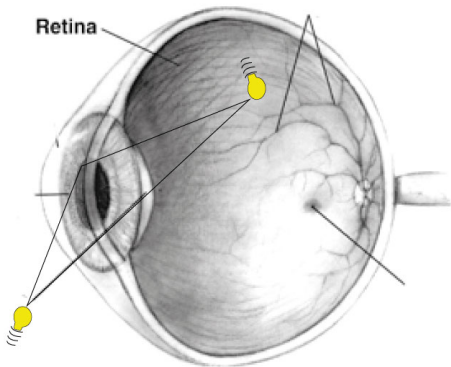


# Human eye



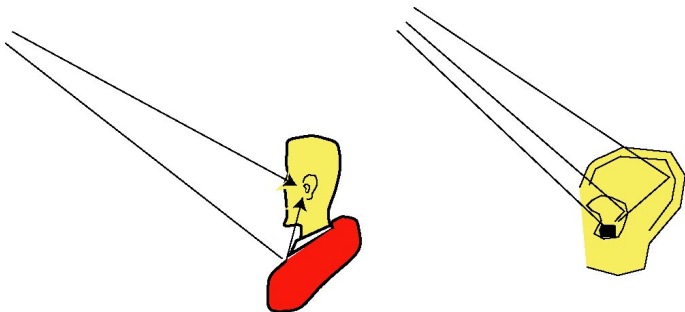
- The cells in the eye are a priori sensitive to direction of light

# Human eye



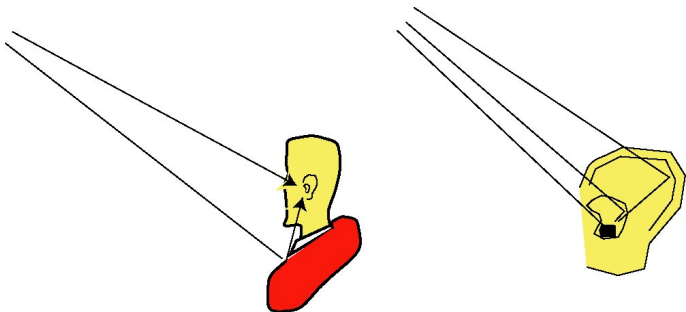
- The cells in the eye are a priori sensitive to direction of light
- Response to quite limited range of wavelengths (380-740nm)

# Human spatial hearing



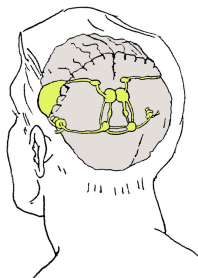
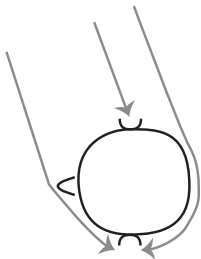
- Response to very large range of wavelengths (2cm–30m)
- Ear canal diameter  $< 1\text{cm}$ , sound just bends into the canal

# Human spatial hearing



- Response to very large range of wavelengths (2cm–30m)
- Ear canal diameter  $< 1\text{cm}$ , sound just bends into the canal
- One ear alone knows quite little of direction

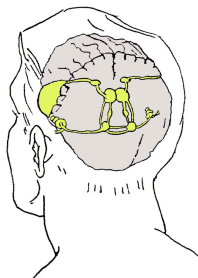
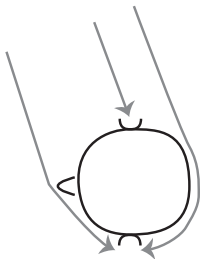
# Human spatial hearing



©J. Blauert

- The perception of direction is formed in signal analysis by the brains

# Human spatial hearing

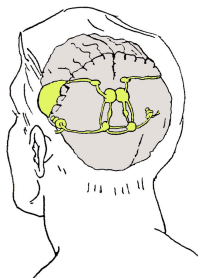
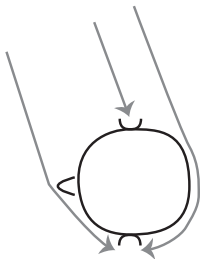


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- The perception of direction is formed in signal analysis by the brains
- Signal characteristics in one ear / Signal differences between two ears



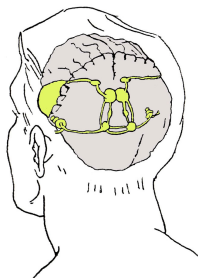
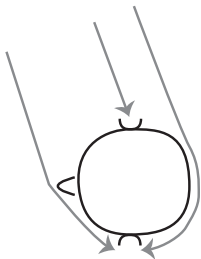
# Human spatial hearing



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- The perception of direction is formed in signal analysis by the brains
- Signal characteristics in one ear / Signal differences between two ears
- Hearing mechanisms estimate the most probable direction for sound

# Human spatial hearing



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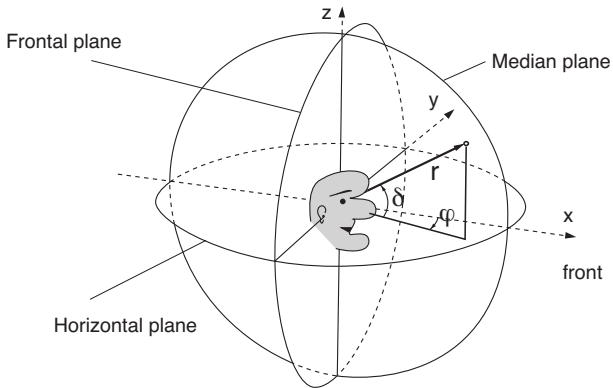
- The perception of direction is formed in signal analysis by the brains
  - Signal characteristics in one ear / Signal differences between two ears
  - Hearing mechanisms estimate the most probable direction for sound
  - Hearing can be fooled easily by audio techniques!
-

## This chapter

- Basic concepts
- Head-related acoustics
- Localization cues
- Localization accuracy
- Perception of direction in presence of echoes
- Ability to listen selectively specific direction
- Distance perception

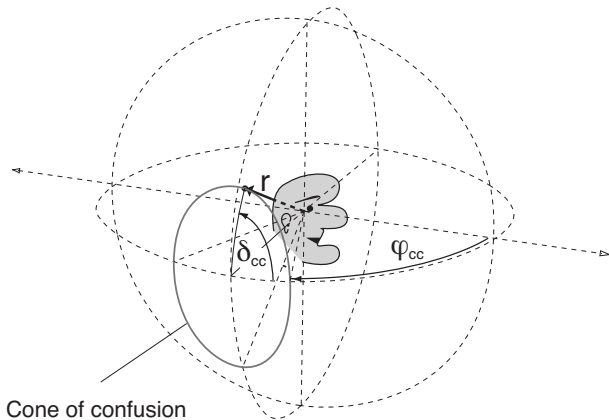
# Coordinate system

## ■ Azimuth-elevation / median plane



## Coordinate system 2

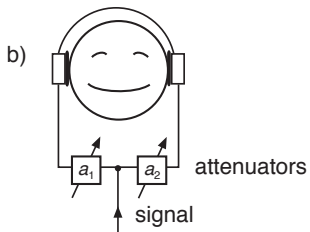
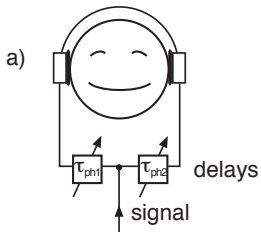
- Cone of confusion



# Basic concepts

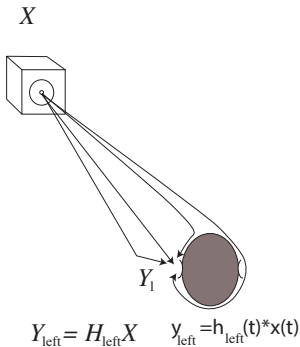
- Listening conditions
  - Monaural hearing (hearing processes and cues that need signal from only one ear)
  - Binaural hearing (differences in ear signals have also an effect)
- Spatial sound reproduction methods
  - Monotic (signal fed to only one ear)
  - Diotic (same signal fed to both ears)
  - Dichotic (different signal fed to ears)

# Dichotic listening with headphones



## Head-related acoustics

- Let us consider only free field first
- How does incoming sound change as it hits the listener?
- What is the transfer function from source to ear canal?





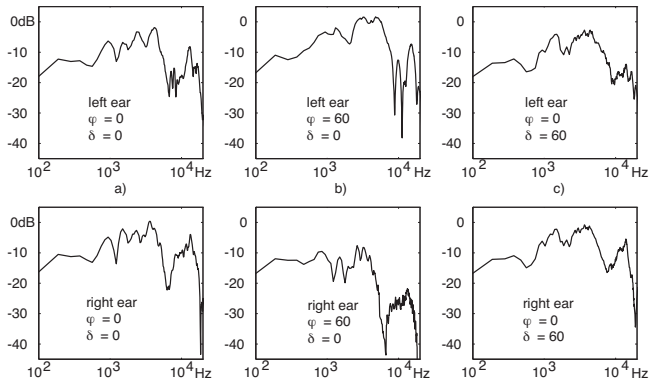
## Head-related acoustics measurements

- Transfer function from source to ear canal
- Place a microphone to ear canal or use dummy heads
- Head-related transfer function, head-related impulse response
- Depends heavily on the direction of the source



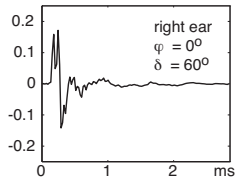
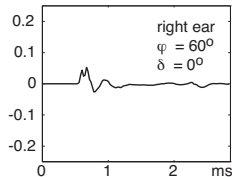
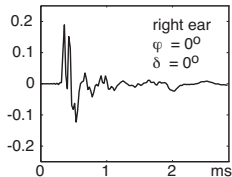
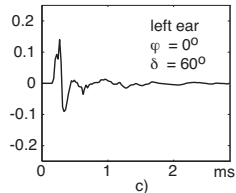
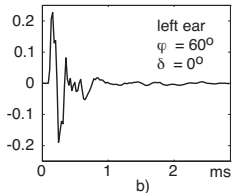
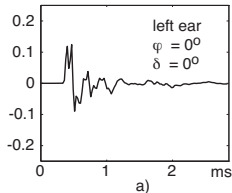
# Head related transfer function (HRTF)

- Measured with real subject for three directions
- Magnitude response

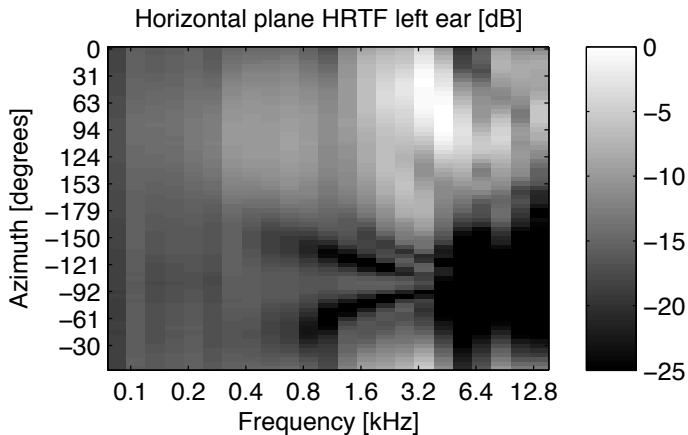


# Head related impulse response (HRIR)

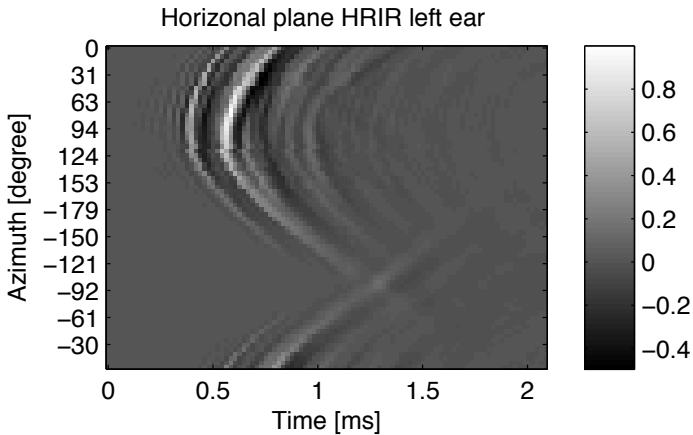
- HRIR == HRTF in time domain, quite often HRIRs are also called HRTFs



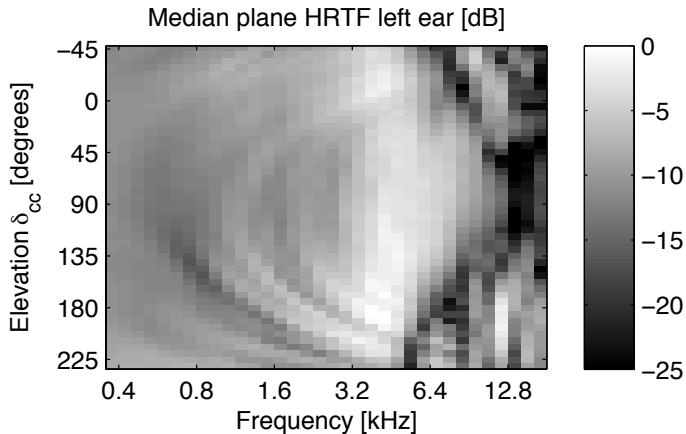
## HRTF in horizontal plane



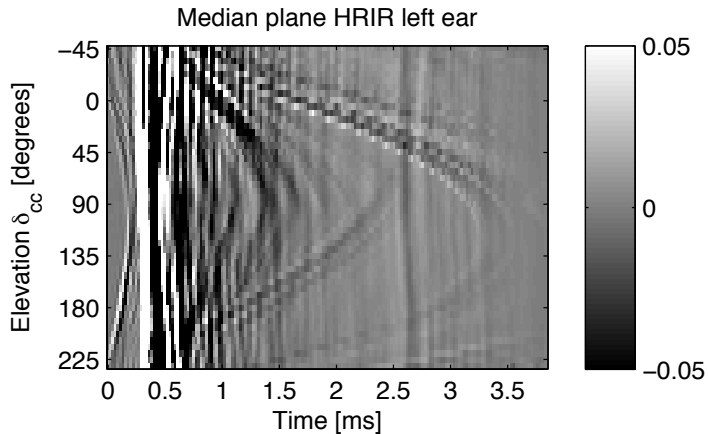
# HRIR in horizontal plane



## HRTF in median plane



# HRIR in median plane



## Localization cues

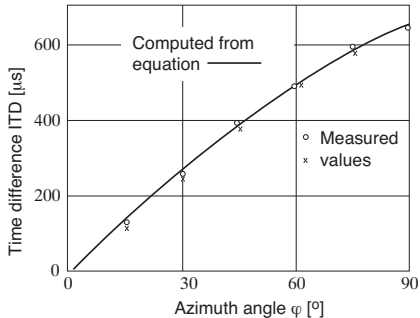
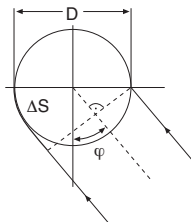
- HRTFs impose some spatial information to ear canal signals
- What information do we dig out from those?
- Binaural localization cues
  - Interaural time difference, ITD
  - Interaural level difference, ILD
- Monaural localization cues: spectral cues
- Dynamic cues



## Interaural time difference (ITD)

- Sound arrives a bit earlier to one ear than the other
- ITD varies between -0.7ms and +0.7ms
- JND of ITD is of order of  $20\mu\text{s}$

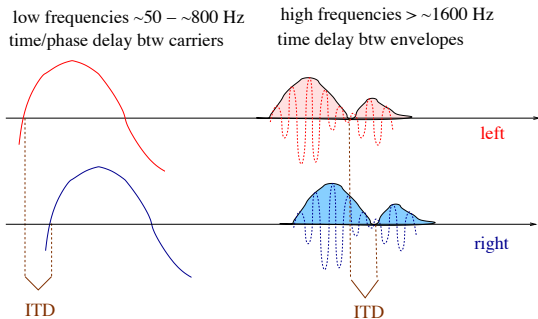
$$\tau = \frac{D}{2c}(\varphi + \sin \varphi)$$



# Interaural time difference (ITD)

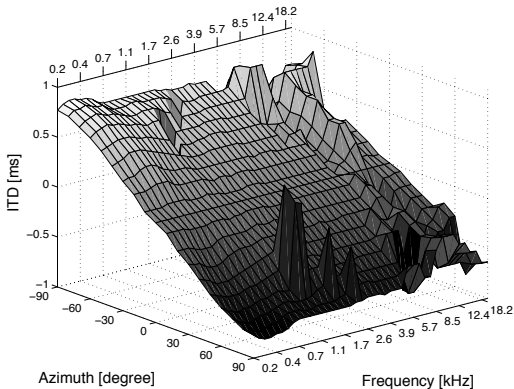
We are sensitive to

- phase differences at low frequencies
- time differences between envelopes at higher frequencies



## Interaural time difference

- HRTFs measured from a human, ITD of noise sound source in free field computed with an auditory model

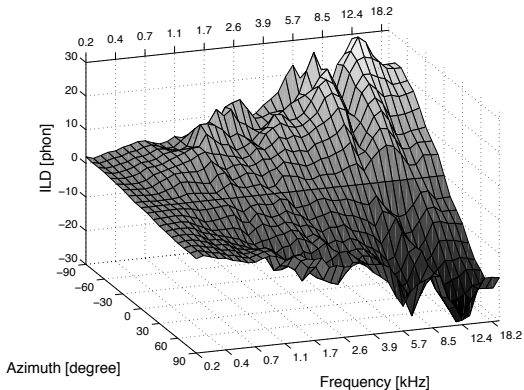


## Interaural level difference (ILD)

- Head shadows incoming sound
- Effect depends on
  - wavelength, no shadowing at low frequencies
  - distance, larger ILD at very short distances ( $< 1\text{m}$ )
- ILD varies between  $-20\text{dB}$  and  $20\text{dB}$
- JND is of order of  $1\text{dB}$  for sources near median plane

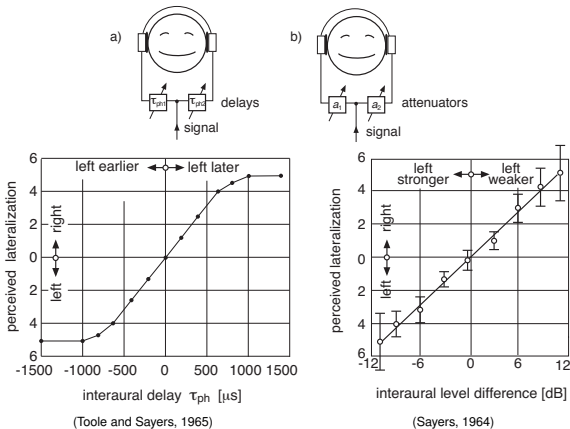
## Interaural level difference

- HRTFs measured from a human, ILD of sound source in free field computed with an auditory model



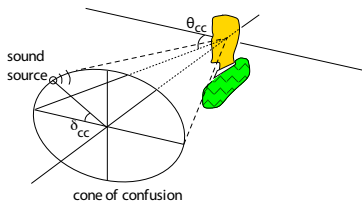
# Basic lateralization results

- Subjects report the position of internalized auditory source on interaural axis



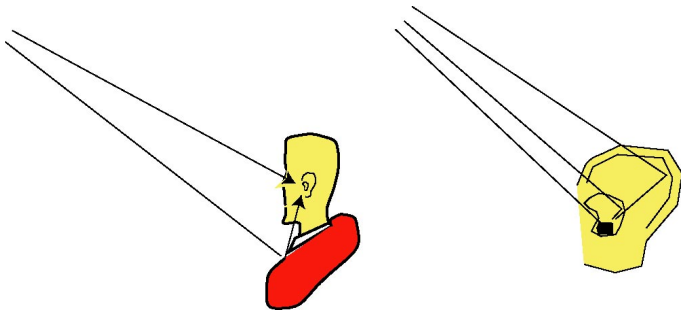
## Additional cues

- Binaural cues (ITD and ILD) in principle resolve only the cone of confusion where the source lies
- Other cues are used to resolve the direction inside the cone
  - monaural spectral cues
  - dynamic cues (effect of head movements to cues)



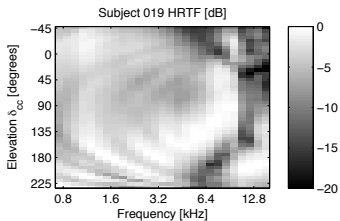
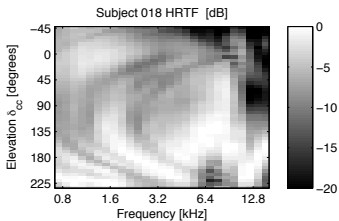
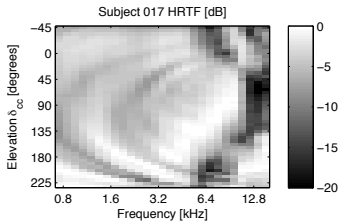
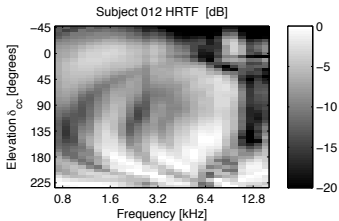
## Monaural spectral cues

- Effect of pinna diffraction
- Effect of reflections/diffraction from torso





# Monaural spectral cues

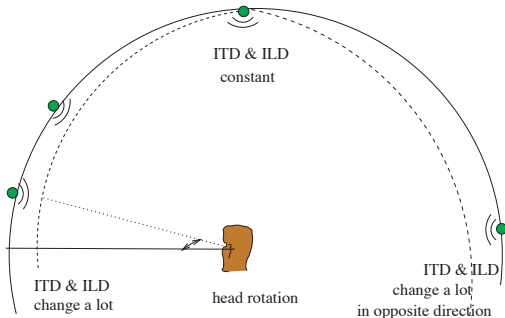


## Monaural spectral cues

- Source has to have relatively flat spectrum
- Relatively reliable cue for most natural sounds
- Narrow-band sounds hard to localize (mosquito in dimmed room)
- Humans adapt relatively fast to new HRTFs, especially if visual cues are available
- The ears also grow throughout the life, constant adaptation

## Dynamic cues

- When head rotates, ITD and ILD and spectral cues change
- Powerful though coarse cue
- Resolves efficiently front/back/inside-the-head locations, but not fine details



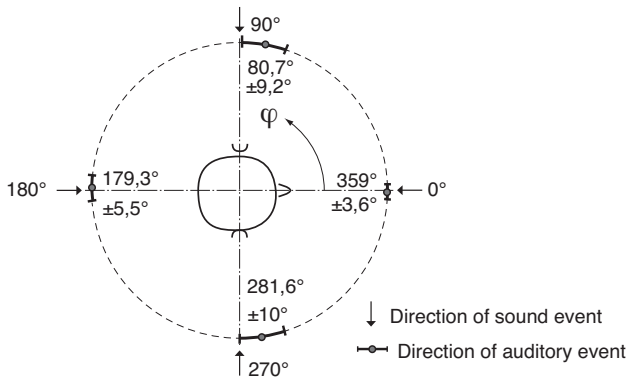
## Interaction between spatial hearing and vision

- Both senses try to find out "where" is the source
- If visual cue is clear, vision dominates
- Ventriloquism, within about  $30^\circ$  area visual image captures auditory image
- If visual cue is blurry, or not prominent, auditory cue wins
- In some cases two events occur, visual event and auditory event

# Accuracy of localization

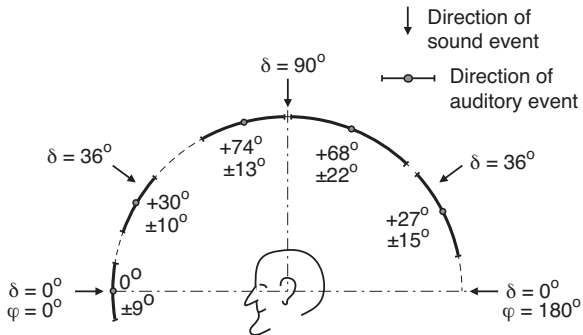
- How well do we localize point-like sources?
- What is the accuracy of directional hearing?
- Azimuth / elevation / 3D
- Accuracy of perception of spatial distribution of wide sound sources

# Localization accuracy in horizontal plane



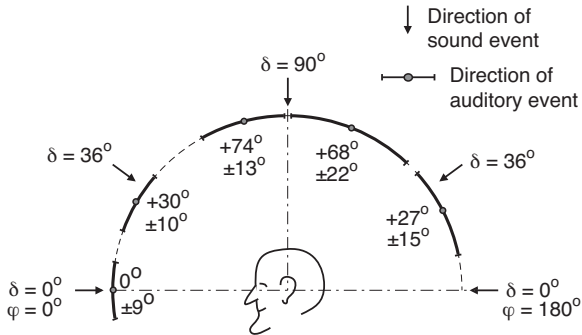
Adapted from Blauert (1996)

# Localization accuracy in median plane



Adapted from Damaske and Wagener (1969)

# Localization accuracy in median plane



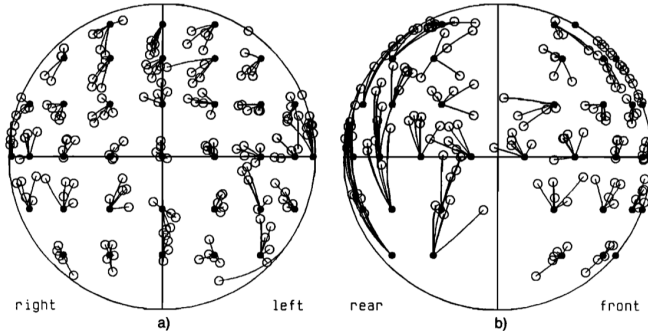
Adapted from Damaske and Wagener (1969)

- Monaural cues are effective only after adaptation with visual reference, thus field-of-vision has better accuracy



# Accuracy of 3D localization

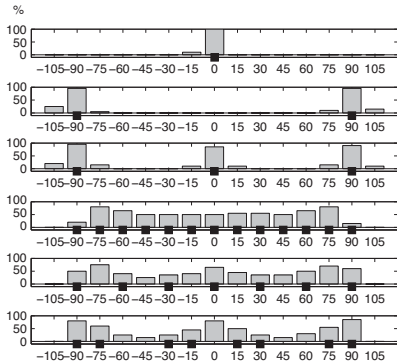
- Task: "Point with nose to the direction of auditory event"



Adapted from Middlebrooks (1992)

# Accuracy of perception of spatial distribution of sources

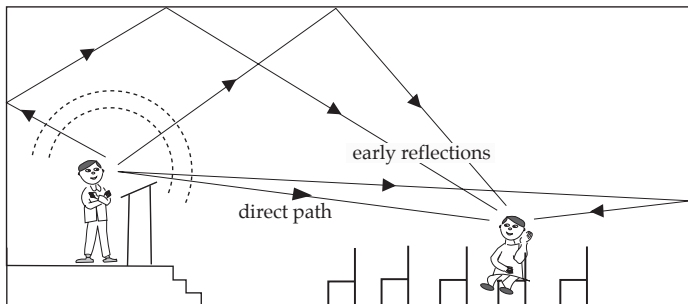
- 13 loudspeakers in free field, black squares denote loudspeakers that produced pink noise
- Task: "Tell which loudspeakers are on"
- Incorrect perception of distribution in complex cases
- Perception of spatial distribution of 1, 2, or 3 loudspeakers correct



Adapted from Santala and Pulkki (2011)

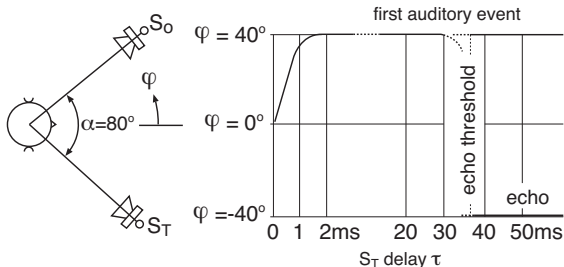
## Directional hearing in enclosed spaces

- Previous results in free field
- What about rooms?
- Humans are relatively ok in localization in rooms. How come?



## Precedence effect

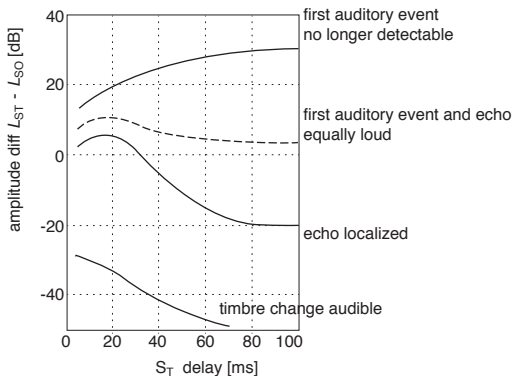
- Free-field exists only for short time 1–10ms after direct sound has arrived, and reflections not yet
- The short time dominates in direction perception
- Reflections (2 - 30ms) are perceived to arrive from the direction of direct sound



Adapted from Blauert (1996)

# Precedence effect, experiments with two sounds

- Direct sound  $S_0$ : "lead"
- Reflected sound  $S_T$ : "lag"



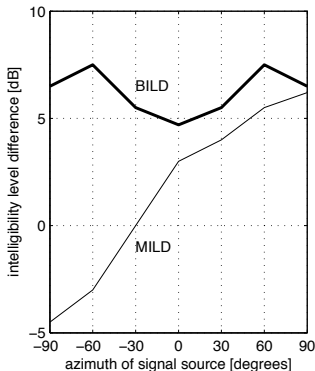
Adapted from Blauert (1996)

# Binaural Advantages in Timbre Perception

- Try to listen selectively certain direction
- Binaural detection
- Binaural decolouration

## Binaural intelligibility level difference

- Minimum understandable level of speech source in different directions in diffuse noise
- Reference condition: binaural listening with source in back
- Binaural intelligibility level difference (BILD)
- Monaural intelligibility level difference (MILD)
- MILD: best heard with source in same side
- BILD gives few decibels advantage to ipsilateral MILD
- "Better-ear" listening explains most of the effect
- Binaural hearing assists by few dB



Adapted from Blauert (1996)

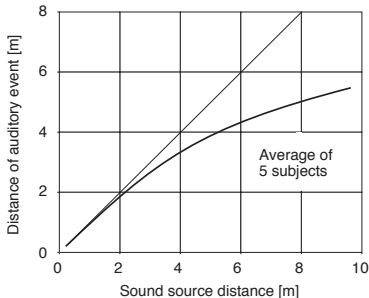
# Perception of the distance of source

- Cues
  - Loudness, what higher loudness, that closer
  - Effect of room, what less that closer
  - Spectral content, what sharper sound, that closer
  - Binaural cues, excess ILD cues at low frequencies near the head
- Perception depends on signal, listening conditions and source directivity
- Absolute accuracy low
- Relative accuracy good (Is the source approaching?)



## Distance cue 1: Loudness

- Amplitude of direct sound decreases with  $1/r$  law
- The sound energy carried by the surface of a sphere ( $A = 4\pi r^2$ ) is constant, and pressure is related to square root of energy
- SPL decreases 6dB with every doubling of distance
- If we know the source (human talker, insect, walking sounds), the distance is perceived relatively accurately in short
- In free field with real human speaker, similar effect is found:



Adapted from Békésy (1949)

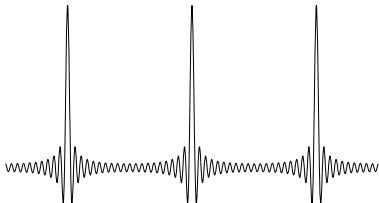
## Distance cue 2: Effect of room

- Direct-to-reverberant ratio (DRR) is often mentioned as "perceptual cue", though it is rather a physical measure
- If the listener has heard a source in a specific room, the change in DRR leads into perception of approaching or distancing source
- Effect depends on signal.
- Easily perceivable on harmonic tone complexes

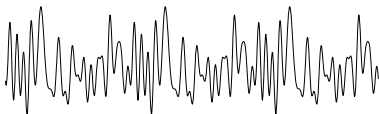
## Distance cue 2: Effect of room

- The characteristics in ear canal signals change with DRR = one of distance cues
- The cue is not known well / which modification in the signal produces the perception
- One possibility is the sensitivity of ear to phase

Zero-phase cosine signal



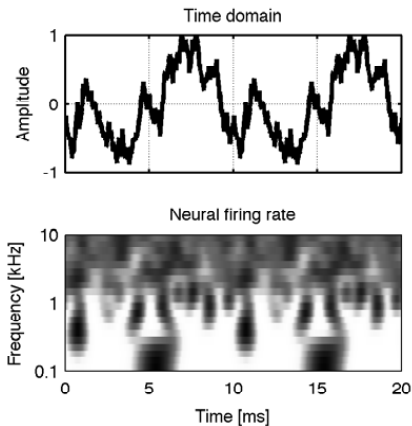
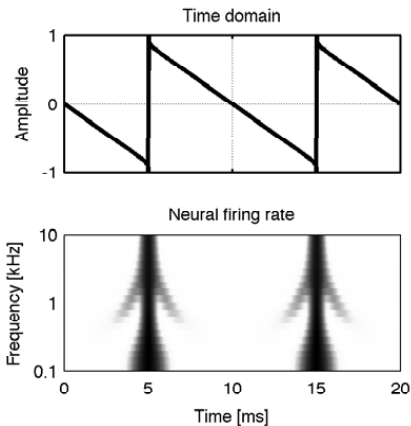
Random-phase  
(scrambled by the room  
effect) version



# Response of cochlea to 100Hz sawtooth with phase modifications

■ Original sound

after room response



## Distance cue 3: Effect of air absorption

- shear viscosity, thermal conductivity or heat dissipation, and molecular relaxation due to oxygen, nitrogen, and water vapor vibrational, rotational, and translational energy
- depends on temperature, humidity, static pressure
- low-pass effect
- can for instance have values [1-100]dB/km
- known sounds are perceived closer if they have more energy at high frequencies
- hissing is perceived closer than normal speech

## Distance cue 4: short-range binaural cues

- with plane waves, the ILD at low frequencies is negligible
- e.g., when source is 3cm from one ear, it is 30cm from the other ear
- $1/r$  law makes ILD to have high magnitude
- excess-ILD re ITD brings perceived source closer

# Accuracy of distance perception

- In many studies
  - source is perceived too far in short distances
  - source is perceived too close in high distances
- Acoustic horizon, maximum perceived distance of auditory event

# References

*These slides follow corresponding chapter in: Pulkki, V. and Karjalainen, M. Communication Acoustics: An Introduction to Speech, Audio and Psychoacoustics. John Wiley & Sons, 2015, where also a more complete list of references can be found.*

*References used in figures:*

Blauert, J. (1997) Spatial Hearing – Psychophysics of Human Sound Localization. MIT Press.

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von Békésy, G. (1949) The moon illusion and similar auditory phenomena. Ame. J. Psychol., 62(4), 540–552.