CS-E5875 High-Throughput Bioinformatics Variant calling and de novo assembly

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Contents

- ► Genotype calling from HTS data
- ▶ Detecting somatic mutations from HTS data
- ▶ De novo assembly

Human genome

- DNA is a double-stranded molecule with each strand being a linear sequence of nucleotides
- A nucleotide consists of a phosphate group, sugar, and nucleoside
- A nucleoside is a nitrogenous base connected to a deoxyribose sugar
- There are four different nucleotides (depending on the nucleoside): adenine (A), cytosine (C), guanine (G), thymine (T)
- The nucleotides have a specific base pairing in double-stranded DNA:
 - Adenine pairs w/ thymine
 - Cytosine pairs w/ guanine
- Total length: about 3 billion nucleotides

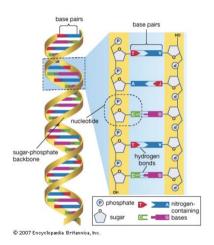


Figure from Wikipedia

Types of human genome variation

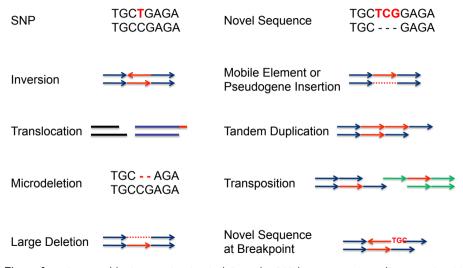


Figure from https://web.stanford.edu/class/cs262/presentations/lecture4.pdf

Single-nucleotide polymorphism

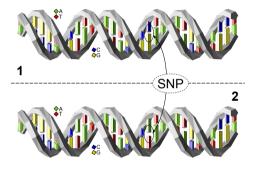
- ▶ Consider a specific nucleotide (chromosome and genomic coordinate) in human genome
- Most individuals have the same nucleotide at that position
- ▶ However, some individuals can have a different nucleotide at that position
- ► This nucleotide difference is called a genetic variant
- ▶ Different nucleotides at that variant position are called alleles
- ► There exist biallelic and multiallelic variants
 - Biallelic: a position in a genome can contain two different nucleotides
 - Multiallelic: a position in a genome can contain more than two different nucleotides
 - Much of the literature/published GWAS results focus on biallelic variants

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 - Much of the literature/published GWAS results focus on biallelic variants
- Minor allele is defined to be the allele that occurs with a lower frequency
- ➤ Variants with a minor allele frequency (MAF) of at least 5% are typically called common single-nucleotide polymorphisms (SNPs)
- ▶ Variants with MAF between 0.5% and 5% are called as low-frequency variants

Single-nucleotide polymorphism

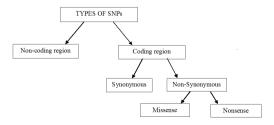
An illustration of a SNP



Figures from https://en.wikipedia.org/wiki/Single-nucleotide_polymorphism

Types of single-nucleotide polymorphism

- Non-coding SNP is located in a region of a genome that does not code for a protein
- ▶ Coding SNP is located in a region of a genome that codes for a protein
- Synonymous SNP does not change the amino acid sequence that is produced
- ▶ Nonsynonymous SNP changes the amino acid sequence that is produced
- Missense SNP causes a substitution of a different amino acid in the final amino acid sequence
- Nonsense SNP causes a premature stop codon / truncated protein amino acid sequence / non-functional protein



 $Figures\ from\ https://en.wikipedia.org/wiki/Single-nucleotide_polymorphism$

Genotype

- ► The genotype of a diploid individual at a single genomic variant position is the combination of the two alleles in the two chromosome copies
- Denote the two alleles of a biallelic variant by A and B
- ▶ Note that both A and B can take values in {A,C,G,T}
 - ▶ The possible genotypes for the variant are then A/A, A/B and B/B
 - ► A/A: no mutation
 - ► A/B: heterozygous mutation
 - ▶ B/B: homozygous mutation
- ► For example: if the possible alleles of a biallelic SNP are A = C and B = T, then the possible genotypes are
 - ► A/A: C/C
 - ► A/B: C/T
 - ► B'/B: T'/T
- ▶ SNPs are the primary source of genetic differences between individuals

Genotype calling

- Assume we have measured short DNA sequencing reads from a large number of cells for several individuals
- ▶ Having aligned the short sequencing reads of all individuals to a reference genome
 - ► SNP calling identifies variable sites (using sequencing reads from all individuals)
 - Genotype calling determines the genotype for each individual separately at each site (using sequencing reads from a single individual separately)
 - Genotype calling is typically only done for positions in which a SNP variant has already been called

Reference	AGTTTGACTCCAAACTGTAACGTAAGCTTAGCTACT
Aligned reads	TGACTCCAAACTGTAATGTA
	ACTCCAAACTCTAATGTAAG
	ACTCCAAACTGTAAGGTAAG
	CCAATCTCTAATGTAAGCTT
	CCAAACTGTAATGTAAGCTT
	AACTCTAATGTGAGCTTAGC
	ACTGTAA <mark>T</mark> GTAAGC <mark>G</mark> TAGCT
	CTCTAATGTAAGCATAGCTA
	CTCTAATGTAAGCTTAGCTA
	GTAATGTGAGCTTAGCTACT
Genotype	CT-A
	GTA

Genotype calling

Challenges in SNP and genotype calling

- ► A mismatch in an aligned read can be due to
 - ► A true SNP
 - An error while generating the sequencing library
 - Base calling error
 - Misalignment
 - Mistakes done earlier while building the reference sequence
- ▶ Many NGS studies rely on low-coverage sequencing (e.g. <5x), i.e., on average <5 sequencing reads per site (nucleotide position) per individual
 - A high probability that only one of the two chromosomes of a diploid individual has been sampled / sequenced at a specified site

A probabilistic framework: so-called "genotype likelihoods" which incorporate errors that may have been introduced in base calling, alignment and assembly are coupled with prior information, such as allele frequencies and patterns of linkage disequilibrium (LD)

GATK: a simple Bayesian genotyper

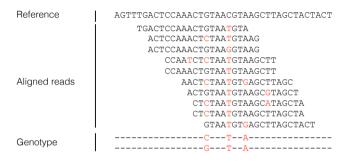
- ► Genotyping with GATK tool (McKenna et al, 2010)
- ► GATK computes the posterior probability of each genotype, given
 - the pileup of aligned reads that cover a given locus (i.e., a nucletotide location)
 - expected heterozygosity of the sample
- Define:
 - ► *G* is the genotype
 - ▶ D represents the data (pileup of the aligned reads at a given position)
 - ightharpoonup P(G) is a prior probability of seeing this genotype (in a given population)

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- Define:
 - G is the genotype
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 - ightharpoonup P(G) is a prior probability of seeing this genotype (in a given population)
- ► The basic model is then (recall the Bayes' theorem)

$$P(G|D) = \frac{P(D|G)P(G)}{P(D)} \propto P(D|G)P(G),$$

GATK: likelihood model

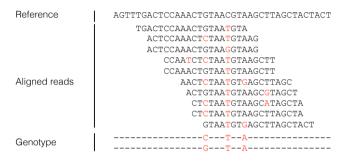


▶ The likelihood can be written as a product over the independent aligned reads

$$P(D|G) = \prod_{b_i \in \text{pileup}} P(b_i|G),$$

where b_i (i = 1, ..., d) represents the nucleotide base in the *i*th read covering the locus

GATK: likelihood model

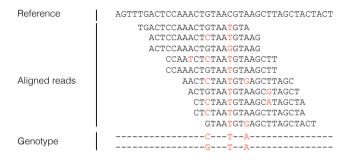


- ightharpoonup For each position, decompose the genotype into its two alleles as $G=(A_1,A_2)$
- ▶ The probability of a base given the genotype is defined as

$$P(b_i|G) = P(b_i|(A_1, A_2)) = \frac{1}{2}P(b_i|A_1) + \frac{1}{2}P(b_i|A_2),$$

because b_i can come from either of the chromosome copies with equal probability

GATK: likelihood model

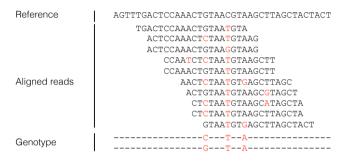


Finally, the probability of seeing a base given an allele is

$$P(b_i|A) = \left\{ egin{array}{ll} rac{e_i}{3}, & ext{if } b_i
eq A \ 1-e_i, & ext{else} \end{array}
ight.,$$

where $e_i = 10^{-\frac{q_i}{10}}$ is the reversed phred scaled quality score at the base in the *i*th read

GATK: genotype selection



▶ The maximum a posteriori (MAP) estimate of the genotype is then

$$\hat{G} = \arg\max_{G} P(G|D)$$

ightharpoonup In other words, we choose G that gives the highest probability

$$P(G = (A, A) | D)$$
 or $P(G = (A, B) | D)$ or $P(G = (B, B) | D)$

Contents

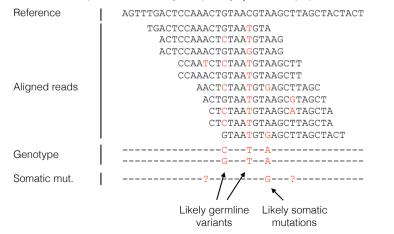
- ► Genotype calling from HTS data
- ▶ Detecting somatic mutations from HTS data
- ▶ De novo assembly

Germline and somatic mutations

- Genetic variation represented by SNPs is inherited from parents and transmitted to offspring
 - ► SNPs are germline mutations
- In addition to SNPs, each individual will accumulate additional mutations during life time
 - These gained mutations are called somatic mutations (or gained mutations)
 - ▶ These mutations are generally not passed on to offspring through the germline
- ▶ Somatic mutations are involved in e.g. the development of many cancers

Germline and somatic mutations

- ► Germline mutations that we have considered previously can be heterozygous or homozygous, i.e., appear with a frequency of 0%, 50% or 100%
- ► Somatic mutations can be present with any frequency (across a population of cells)



Somatic mutation detection with Mutect

- ► Somatic mutation detection with Mutect (Cibulskis et al, 2013)
- Consider detecting a somatic mutation at a given position (chromosome and coordinate)
- ▶ Denote the reference allele as $r \in \{A, C, G, T\}$
- ► Assume *d* aligned sequence reads overlap the position and denote
 - $ightharpoonup b_i$ is the nucleobase called in the *i*th read $(i \in \{1, \ldots, d\})$
 - e_i is the probability of error of the base called in the ith read

$$e_i=10^{-\frac{q_i}{10}}$$

where q_i is the associated Phred quality score

Mutect: two alternative models / hypotheses

- ► To detect a somatic mutation, try to explain the data at a specific position using two models:
 - 1. Model M_0 : there is no somatic mutation at the given position and all non-reference nucleobases are explained by sequencing noise
 - 2. Model M_f^m : a variant allele $m \neq r$ truly exists at the given position with an allele frequency f and reads are also subject to sequencing noise
- Note that M_0 is equivalent to M_f^m with f=0 and m=r

Reference	AGTTTGACTCCAAACTGTAACGTAAGCTTAGCTACTACT
Aligned reads	TGACTCCAAACTGTAATGTA
	ACTCCAAACTCTAATGTAAG
	ACTCCAAACTGTAAGGTAAG
	CCAATCTCTAATGTAAGCTT
	CCAAACTGTAATGTAAGCTT
	AACTCTAATGTGAGCTTAGC
	ACTGTAATGTAAGCGTAGCT
	CTCTAATGTAAGCATAGCTA
	CTCTAATGTAAGCTTAGCTA
	GTAA <mark>T</mark> GTGAGCTTAGCTACT
Genotype	CTA
	GTA

Mutect: likelihood model

▶ The likelihood (or probability) for the model M_f^m is given by

$$P(D \mid M_f^m) = P(\{b_i\} | \{e_i\}, r, m, f) = \prod_{i=1}^d P(b_i | e_i, r, m, f)$$

assuming the sequencing errors are independent across reads

Mutect: likelihood model

If all substitution errors are equally likely and occur with probability $e_i/3$, then the likelihood is

$$P(b_i|e_i, r, m, f) = \begin{cases} f \cdot e_i/3 + (1-f)(1-e_i) & \text{if } b_i = r \\ f \cdot (1-e_i) + (1-f)e_i/3 & \text{if } b_i = m \\ e_i/3 & \text{otherwise} \end{cases}$$

- ightharpoonup Recall the bulk sequencing experiment: a sequencing read b_i is measured from a DNA fragment that originates from a randomly selected cell in the biological input sample
 - Probability that b_i is from a cell that does not have the somatic mutation is 1-f
 - ightharpoonup Probability that b_i is from a cell that has the somatic mutation is f
 - ightharpoonup Probability that the nucleobase is measured correctly is $1-e_i$
 - Probability that b_i that is neither the reference nor the mutation (must be a sequencing error) is $f \cdot e_i/3 + (1-f) \cdot e_i/3 = e_i/3$

Mutect: likelihood model

The likelihood for the model M_0 , $P(D \mid M_0)$, can be computed similarly but by setting f = 0 and m = r, where the likelihoods for the nucleobases are

$$P(b_i|e_i, m=r, f=0) = \begin{cases} 1-e_i & \text{if } b_i=m=r \\ e_i/3 & \text{otherwise} \end{cases}$$

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	ACTGTAATGTAAGCGTAGCT
	CTCTAATGTAAGCATAGCTA
	CTCTAATGTAAGCTTAGCTA
	GTAA <mark>T</mark> GTGAGCTTAGCTACT
Genotype	
	GTA

 Similarly as in genotyping, we can apply the Bayes rule to compute the probability of the models (or hypotheses)

$$P(M_f^m \mid D) \propto P(D \mid M_f^m)P(m, f)$$

$$P(M_0 \mid D) \propto P(D \mid M_0)P(m = r, f = 0)$$

where P(m, f) is a prior, i.e., the expected probability of a mutated nucleotide m and its frequency f for a given cancer type, and $P(m = r, f = 0) = 1 - P(m, f \ge 0)$

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where P(m, f) is a prior, i.e., the expected probability of a mutated nucleotide m and its frequency f for a given cancer type, and $P(m = r, f = 0) = 1 - P(m, f \ge 0)$

Somatic mutation detection is performed by computing the likelihood ratio of the two models (or hypotheses), M_0 and M_f^m

$$\mathsf{LOD}_{\mathcal{T}}(m,f) = \log_{10} \frac{P(M_f^m \mid D)}{P(M_0 \mid D)} = \log_{10} \frac{P(D \mid M_f^m)P(m,f)}{P(D \mid M_0)(1 - P(m,f))}$$

- ▶ The key quantity $P(M_f^m \mid D)$ involves two unknowns:
 - ▶ Mutated nucleotide $m \neq r$
 - Variant frequency f
- The unknown frequency f and mutated nucleotide m can be estimated using the maximum likelihood method to obtain $\hat{f}_{\rm ML}$ and $\hat{m}_{\rm ML}$

$$\hat{f}_{\mathsf{ML}}, \hat{m}_{\mathsf{ML}} = \arg\max_{f,m} P(M_f^m \mid D)$$

or set to plug-in estimates: e.g. m is chosen to be the most frequent non-reference allele and

$$\hat{f} = \frac{\text{the number of } m \text{ reads}}{\text{the total number of reads}}$$

- ▶ Somatic mutation is called if the above LOD score exceeds a certain significance level
- ▶ Note that the above LOD score corresponds to a likelihood ratio statistic

Mutect: filtering false positives

The detected somatic mutations should be further filtered to avoid likely false positives

▶ Check that the detected variant is not a heterozygous germline SNP, i.e., test

$$\mathsf{LOD}_{N} = \mathsf{log}_{10} \, \frac{P(M_0 \mid D)P(m, f)}{P(M_{0.5}^m \mid D)P(\text{"germline"})},$$

where frequency has been set to f=0.5 and terms have been reverted to avoid false positives

Mutect: filtering false positives

▶ Filter other technical artifacts not accounted by the model

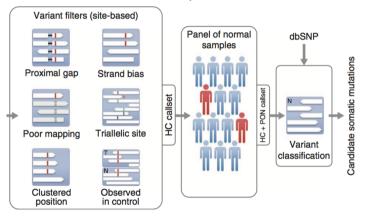


Figure from (Cibulskis et al, 2013)

Mutect: performance

Sensitivity and specificity of detecting true somatic mutations depends on e.g.

- Sequencing depth, i.e., the number of reads overlapping a genomic position (on average)
- Variant frequency
- Abundance of sequencing errors
- etc.

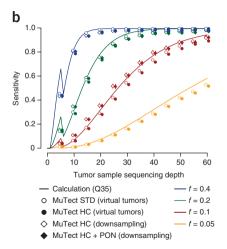


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Types of human genome variation

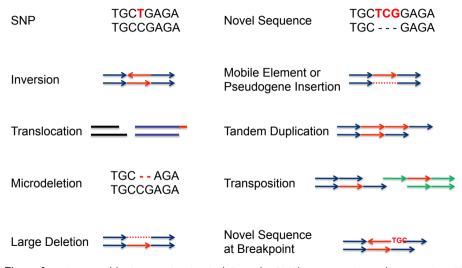


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De novo genome assembly

- ► This section follows (Chaisson, et al, 2015)
- ▶ De novo: no reference genome available
- ► The goal of de novo genome assembly is to determine the sequence of a genome using only randomly sampled sequence fragments
 - ▶ Sequence fragments are typically less than one-millionth the size of a mammalian genome

De novo genome assembly

- ► This section follows (Chaisson, et al, 2015)
- ▶ De novo: no reference genome available
- ► The goal of de novo genome assembly is to determine the sequence of a genome using only randomly sampled sequence fragments
 - ▶ Sequence fragments are typically less than one-millionth the size of a mammalian genome
- Most current approaches involve some aspect of a whole-genome shotgun sequencing and assembly (WGSA) strategy
 - ▶ Random fragments from a genome are sequenced and computationally stitched together to generate sequence contigs and scaffolds
- ▶ Under ideal conditions (i.e., uniformly high sequence coverage across the whole genome and a genome devoid of repetitive sequences), an assembly may be determined with the simple approach of merging reads with maximal overlap

De novo genome assembly

- ▶ In practice such an approach does not work because:
 - Sequence coverage is almost never uniform
 - ▶ Genome contains repetitive sequences of varying length, and
 - ► Genome contains varying copy numbers (duplications)

Types of genome assembly gaps

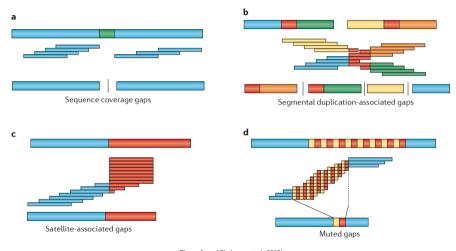


Figure from (Chaisson, et al, 2015)

Early de novo assembly methods

- ▶ The most-widely used mammalian genomes, human and mouse, were not assembled using WGSA
- Instead, human and mouse assemblies are relatively unique among mammalian genomes in that they were assembled almost entirely using clone-by-clone-based sequencing
 - Each genome/chromosome was divided into roughly 200-kb-long overlapping fragments that were cloned into bacterial artificial chromosomes (BACs) and individually assembled
 - These longer 200kb fragments were then connected

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 - Each genome/chromosome was divided into roughly 200-kb-long overlapping fragments that were cloned into bacterial artificial chromosomes (BACs) and individually assembled
 - ▶ These longer 200kb fragments were then connected
- ▶ When the result of a de novo assembly is a sequence per chromosome without gaps and with 99.99% base-pair accuracy, the assembly is considered complete; otherwise, it is considered a draft.
 - ▶ Even a recent build of the human genome (GRCh38) contains gaps

State-of-the-art assembly strategies

- ➤ Since 2013, de novo assembly of mammalian genomes has shifted from purely WGSA data to assembly with longer sequence reads generated either synthetically or by single-molecule sequencing (SMS) (e.g. PacBio, Nanopore)
- ▶ The main algorithmic approaches to de novo assembly are
 - Overlap-layout-consensus (OLC)
 - de Bruijn
 - ► (The string graph)

Overlap-layout-consensus (OLC)

- Contigs: Continuous (or 'contiguous') sequences produced in a de novo assembly, free of any gaps
- ▶ Basic steps of OLC algorithms:
 - Overlaps between all read pairs are first detected
 - Contigs are formed by iteratively merging overlapping reads until a read heuristically determined to be at the boundary of a repeat is reached

Overlap-layout-consensus (OLC)

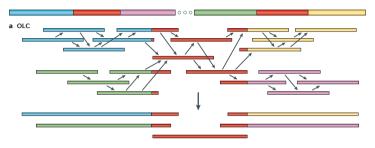


Figure from (Chaisson, et al, 2015)

- ► Some repeats can be resolved
- ▶ Imprecise read overlaps are allowed to account for sequencing errors

de Bruin algorithms

- Basic steps of de Bruin algorithms:
 - ▶ Start by replacing each read with the set of all-overlapping sequences of a shorter, fixed length (*k* typically between 31 and 200)
 - Contigs are formed by merging k-mers appearing adjacently in reads stopping at k-mers from repeat boundaries
- Requires highly accurate reads
- ▶ Initially discards some of the ability for reads to resolve repeats longer than k bases

de Bruin algorithms

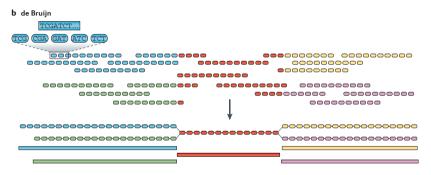


Figure from (Chaisson, et al, 2015)

Genome annotation de novo

- ▶ Full genome assembly methods can be developed further
- ► Things to do next:
- Gene finding:
 - ▶ Ab initio prediction methods: based on statistical signals within the DNA
 - ▶ E.g.: hidden Markov model-based prediction of genes: Genscan, Augustus, HMMgene
 - Align known genes of model species against the new genome
 - If RNA-seq available from the same species, align RNA-seq data to the newly discovered genome
- Gene annotation:
 - ► Function of the genes that can be aligned to new genome give some hint about the newly sequenced organism

References

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