# CS-E5875 High-Throughput Bioinformatics ChIP-seq data analysis

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### Contents

- Background
- ChIP-seq protocol
- ► ChIP-seq data analysis
- Applications

# Transcriptional regulation

▶ Transcriptional regulation is largely controlled by protein-DNA interactions

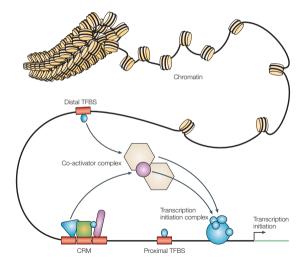


Figure from (Wasserman & Sandelin, 2004)

# Transcriptional regulation

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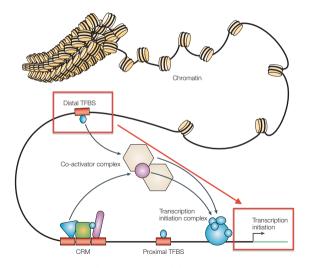


Figure from (Wasserman & Sandelin, 2004)

### Protein-DNA binding

- A transcription factor (TF) is a protein that binds to DNA in a sequence specific manner
  - ► E.g. GATA2 protein preferentially recognizes and binds sequences ...[T/A]GATA[A/G]...
- ► TFs can:
  - Function alone or with other proteins
  - ► Recruit other co-factors to bind DNA
  - Activate or repress gene expression
  - **.** . . .

## Protein-DNA binding

► Transcription factors contain DNA-binding domain(s) (DBDs) that encode their DNA-binding specificities

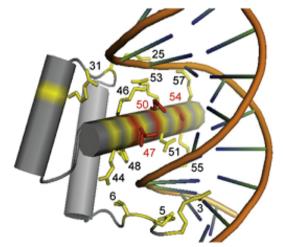


Figure from (Kissinger et al., 1990)

# Modeling transcriptional regulation

- ► The goal
  - An accurate method to measure locations where a specific protein bind DNA
- Challenges
  - ▶ Human genome contains about 3 billion  $(3 \times 10^9!)$  nucleotides
  - → Lots of putative binding sites
  - ► Human genome is physically about 2 meters long, packed in a cell nucleus with an average diameter in the range of micrometers
  - ightarrow Parts of the nucleus are densely packed and thus not available for TFs to interact

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- Protein-DNA binding can be studied using e.g.
  - Biophysics: all atom-level modeling
  - Probabilistic models for biological sequences
  - ▶ Biological experiments + statistical analysis:
    - ChIP-seq, protein binding microarray, high-throughput SELEX, chromatin accessibility

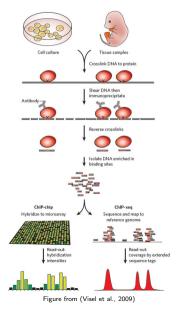
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# ChIP-seq

- For any given condition, how do we find the genomic locations where DNA binding proteins bind?
- ► The current state-of-the-art method: chromatin immunoprecipitation followed by sequencing (ChIP-seq)
- ► ChIP-seq can identify genomic binding locations for a single DNA binding protein at a time
- ► The basic principle:
  - 1. Use a specific antibody to label a protein of interest
  - 2. Fragment the DNA (with proteins still binding the DNA)
  - 3. ChIP step enriches for those proteins that are bound/labeled by the antibody
  - 4. Extract DNA fragments from the enriched proteins
  - 5. These DNA fragments are then sequenced

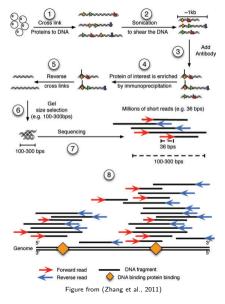
# ChIP-seq protocol



#### ChIP-seq steps:

- Crosslink DNA-binding proteins with DNA in vivo
- Shear the chromatin into small fragments (e.g. 200bp-1000bp) amenable for sequencing (sonication)
- Immunoprecipitate the DNA-protein complex with a specific antibody
- Reverse the crosslinks
- Assay enriched DNA to determine the sequences bound by the protein of interest

# ChIP-seq protocol again



# Strand specificity and read density visualization

► A "data view" of protein-DNA binding

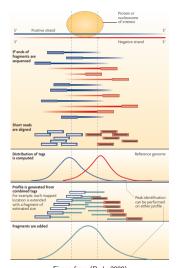


Figure from (Park, 2009)

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# Identification of binding sites from ChIP-seq data

- First steps in ChIP-seq data analysis:
  - Quality control, and short read alignment
- Quantify read coverage (also called read density), which refers to "pile-up" of aligned reads along genome (see previous lectures)
- ► Given read coverages/densities on both strands along genome, the actual data analysis task involves identification of the protein binding sites
- ► Given the above information about the experimental steps, we should expect to see two "signal peaks" on opposite DNA strands within a proper distance
  - → This analysis is often called "peak detection"

## Identification of binding sites from ChIP-seq data

- ▶ But how much signal (how many reads) in a putative genomic region is considered enough to call a protein-DNA interaction site?
- What affects the signal strength?
  - 1. Protein binding in the first place
  - 2. Sequencing depth (i.e., total number of sequencing reads)
  - 3. Chromatin accessibility
  - 4. Fragmentation efficiency
  - 5. Mappability (i.e., uniqueness) of a local genomic region
- ▶ All these aspects affect binding locally, i.e., not uniformly along the whole genome

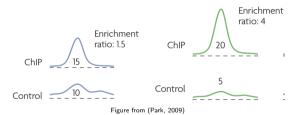
### ChIP-seq controls

- ► The best way to assess significance of a signal at putative binding sites is to use a control for ChIP-seq
  - Input-DNA: sequencing data of the (fragmented) genomic DNA from the same sample without any antibody/immunoprecipitation
  - ▶ ChIP-seq experiment with an unspecific antibody which does not detect any specific protein
- ► ChIP-seq controls can be used to account for many of the biases (e.g. biases 3–5 listed on the previous page) which affect the signal strength
- Input-DNA is currently considered to be the best control

## Detecting binding sites from ChIP-seq data

▶ Early methods used a single cut-off for signal strength or a log-fold enrichment

$$score = log \frac{\# \ ChIP\text{-seq} \ reads \ in \ a \ genomic \ region}{\# \ Input \ DNA \ reads \ in \ a \ genomic \ region}$$



Current state-of-the-art methods are probabilistic

- A commonly used method for detecting TF binding sites from ChIP-seq data: MACS (Zhang et al, 2008)
- Analyzes each biological sample separately
- Note: here words "sequencing read" and "tag" are used interchangably

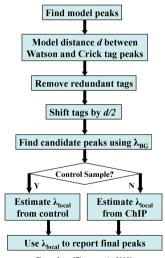


Figure from (Zhang et al., 2008)

#### Find model peaks:

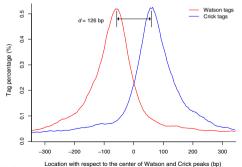
- ▶ Define two parameters, mfold<sub>low</sub> and mfold<sub>high</sub>, to find genomic regions with high confidence fold-enrichment
- ▶ bandwidth = assumed sonicated DNA fragment size
- ► MACS slides 2 × bandwidth window across the genome to find genomic regions that satisfy:

$$mfold_{low} \le exp(score) \le mfold_{high}$$

- ► The first inequality identifies high confidence binding sites
- ▶ The second inequality filters out putative artefacts, such as PCR duplicates

Model the shift size of ChIP-seq tags

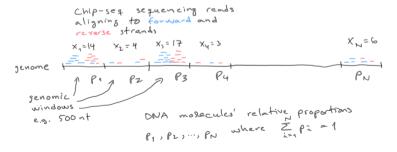
- ► Take 1000 high confidence genomic regions (randomly) from the previous step
- ► Separate sequencing reads that are aligned to Watson and Crick
- Align the reads by the mid point between their Watson and Crick tag centers
- Find d: distance between the modes of the Watson and Crick peaks in the alignment



- ▶ Shift all reads by d/2 toward the 3' ends: the most likely protein-DNA interaction sites
- $\triangleright$  An alternative strategy could be to extend all aligned sequencing reads to length d
- ► Remove redundant tags:
  - Sometimes the same read can be sequenced repeatedly, more than expected from a random genome-wide tag distribution
  - Such reads might arise from biases during ChIP-DNA amplification and sequencing library preparation (PCR duplicates)
  - These are likely to add noise to the final peak calls
  - MACS removes duplicate reads in excess of what is warranted by the sequencing depth (binomial distribution p-value  $< 10^{-5}$ )
  - ► For example, for the 3.9 million ChIP-seq reads, MACS allows each genomic position to contain no more than one tag and removes all the redundancies

#### Identifying the most likely binding sites

- Counting process is exactly analogous to that of RNA-seq counting process
- Assume: reads are sampled independently from a population with fixed probabilities  $(p_1, \ldots, p_N)$  for all N genomic locations  $(\sum_{i=1}^N p_i = 1)$
- ▶ Then, the read counts  $x_1, x_2, ..., x_N$  across the genomic locations/windows follow the multinomial distribution (total number of reads is  $\sum_{i=1}^{N} x_i = n$ )
- For a single genomic location i, the read count  $x_i$  follows the binomial distribution with  $p = p_i$ , which can be approximated by the Poisson distribution



### Binomial and Poisson distributions

Recall the definition of the binomial distribution (of a random variable X)

Binomial
$$(k; p, n) = P(X = k) = \binom{n}{k} p^k (1-p)^{n-k}$$

Consider the mean of the binomial  $E(X) = \sum_{x=0}^{n} x \cdot P(X = x) = np$  and denote the mean by  $\lambda$ 

$$\lambda = np \Leftrightarrow p = \frac{\lambda}{n}$$

▶ Substitute  $p = \frac{\lambda}{n}$  into the binomial distribution and take limit  $n \to \infty$ 

### Binomial and Poisson distributions

► We have

$$\lim_{n \to \infty} P(X = k) = \lim_{n \to \infty} \frac{n!}{k!(n-k)!} \left(\frac{\lambda}{n}\right)^k \left(1 - \frac{\lambda}{n}\right)^{n-k}$$

$$= \left(\frac{\lambda^k}{k!}\right) \lim_{n \to \infty} \frac{n!}{(n-k)!} \left(\frac{1}{n^k}\right) \left(1 - \frac{\lambda}{n}\right)^n \left(1 - \frac{\lambda}{n}\right)^{-k}$$

$$= \left(\frac{\lambda^k}{k!}\right) \lim_{n \to \infty} \frac{n(n-1)\cdots(n-k+1)}{n^k} \left(1 - \frac{\lambda}{n}\right)^n \left(1 - \frac{\lambda}{n}\right)^{-k}$$

$$= \left(\frac{\lambda^k}{k!}\right) \lim_{n \to \infty} \underbrace{\left(\frac{n^k + O(n^{k-1})}{n^k}\right)}_{\to 1} \underbrace{\left(1 - \frac{\lambda}{n}\right)^n \left(1 - \frac{\lambda}{n}\right)^{-k}}_{\to 1}$$

$$= \frac{\lambda^k}{k!} e^{-\lambda}$$

<sup>\*</sup>Because  $\lim_{x\to\infty} \left(1+\frac{1}{x}\right)^x = e$ 

#### Binomial and Poisson distributions

- $\triangleright$  Poisson approximation to binomial distribution is accurate when n is large and p is small
- lacktriangle Poisson approximation is convenient because is has only a single parameter  $\lambda$

- $\triangleright$  Let  $x_i$  denote the number of sequencing reads in the *i*th position / window in a genome
- ► Each genomic window is analyzed independently

$$x_i \sim \text{Poisson}(\cdot|\lambda_{\text{BG}}) = \frac{\lambda_{\text{BG}}^{x_i}}{x_i!} e^{-\lambda_{\text{BG}}}, \quad x_i = 0, 1, 2, \dots$$

where  $\lambda_{\mathrm{BG}}$  is the rate of observing reads in the control sample along the whole genome

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MACS linearly scales the total number of sequencing reads in the control experiment  $N_{\text{control}}$  and in the ChIP experiment  $N_{\text{ChIP}}$ , i.e.,

$$\lambda_{\mathrm{BG}} := N_{\mathsf{ChIP}} / N_{\mathsf{control}} \cdot \lambda_{\mathrm{BG}}$$

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▶ Because ChIP-seq data has several bias sources which vary across the genome, it is better to model the data using a "local" or "dynamic" Poisson

$$\lambda_{\mathrm{local}}^{(i)} = \mathsf{max}(\lambda_{\mathrm{BG}}, [\lambda_{\mathrm{1K}}^{(i)}], \lambda_{\mathrm{5K}}^{(i)}, \lambda_{\mathrm{10K}}^{(i)}),$$

where  $\lambda_{XK}^{(i)}$  is estimated from the control sample (e.g. input-DNA) using the window of size XK centered at the ith position ( $[\cdot]$  denotes an optional input argument)

- Assessing statistical significance of  $x_i$  reads (in a genomic region i) using hypothesis testing
  - $ightharpoonup H_0$ : the *i*th location is not a binding site
  - $ightharpoonup H_1$ : the *i*th location is a binding site
- The *p*-value is the probability of observing  $x_i$  many reads or more, assuming the null hypothesis is true:

$$p - \text{value} = \sum_{k=x_i}^{\infty} \text{Poisson}(k|\lambda_{\text{local}}^{(i)})$$

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- For genomic regions for which the null hypothesis is rejected:
  - ▶ The location with the highest pileup of aligned sequencing reads (shifted by d/2) is used as an estimate of the nucleotide-level binding location: called summit
- ▶ The ratio between the ChIP-seq read count  $x_i$  and  $\lambda_{local}^{(i)}$  is reported as the fold\_enrichment

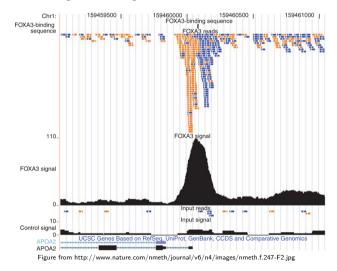
### Multiple correction in MACS

- ► For a ChIP-seq experiment with controls, MACS empirically estimates the false discovery rate (FDR)
- ▶ At each *p*-value, MACS uses the same parameters to find
  - ChIP-seq peaks over control, and
  - ► Control peaks over ChIP-seq (i.e., an analysis using swapped samples)
- ► The empirical FDR is defined as

empirical FDR = 
$$\frac{\text{\#control peaks}}{\text{\#ChIP peaks}}$$

## ChIP-seq peak: Illustration

► An illustration of a strong TF binding site



# Summary

- ► ChIP-seq is a powerful way to detect TF binding sites
- ► ChIP-seq method is limited in that
  - Only a subset of all TFs have a chip-grade antibody
  - ► None of the antibodies are perfect
  - ► A single experiment will profile a single protein
- ► ChP-seq can be applied to profile practically any protein / protein complex / molecule that interacts with DNA, assuming an antibody exists:
  - DNA methylation
  - RNA polymerase
  - ► Histone proteins / nucleosomes
  - Post-translationally modified histone proteins
  - **>** ...

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- ► The ENCODE Project: ENCyclopedia Of DNA Elements
- ▶ Identify all functional elements in the human and mouse genomes
- ▶ Large amounts of functional and epigenetic data from several number of cell types/lines

Large amounts of functional and epigenetic data from several number of cell types/lines

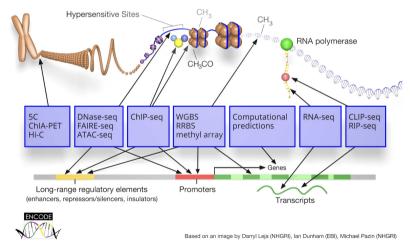
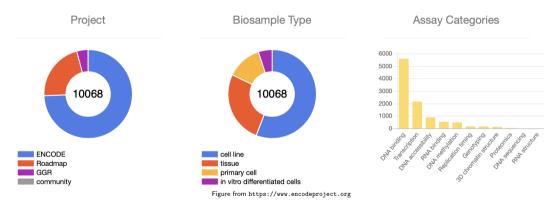


Figure from https://www.encodeproject.org

Large amounts of functional and epigenetic data from several number of cell types/lines



Understand non-coding disease associated variants

- Co-localization of SNPs in protein-DNA interaction sites
- Can e.g. increase/decrease the strength of interaction and thereby affect e.g. gene transcription

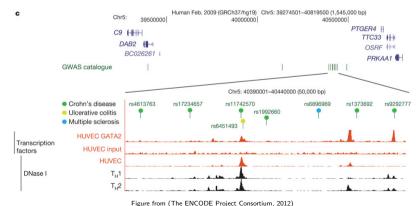
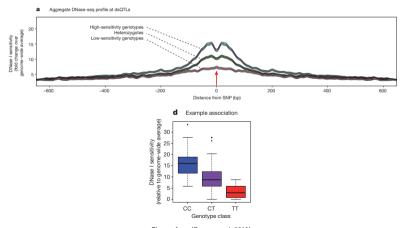


Figure from (The ENCODE Project Consortium, 2012)

### **Applications**

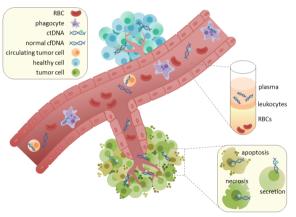
Understand non-coding disease associated variants

 Quantify how SNPs affect chromatin accessibility (and thus TF binding and gene transcription)



# Circulating free/tumor DNA

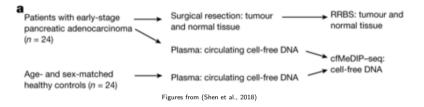
- Circulating free DNA (cfDNA) are degraded DNA fragments released to the blood plasma
- Circulating tumor DNA (ctDNA) are tumor-derived DNA fragments in the blood plasma
- Somatic mutations or epigenetic modifications in these cfDNA fragments can provide a highly accurate and sensitive non-invasive cancer diagnostics



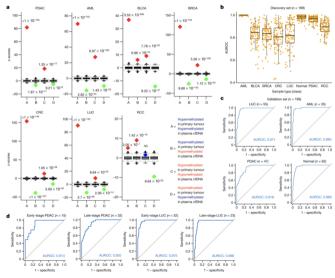
Figures from https://en.wikipedia.org/wiki/Circulating\_tumor\_DNA

# Circulating free/tumor DNA

 ChIP-seq based quantification of DNA methylation shows great potential in cancer diagnostics



# Circulating free/tumor DNA



Figures from (Shen et al., 2018)

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