



# BUILDINGS AND URBAN HEAT ISLANDS

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*CS-E407519 Lecture 5*

*Photo by Austin Scherbarth on Unsplash*





**BUILDINGS  $\neq$  URBAN HEAT  
ISLANDS (UHI)**

# OUTLINE

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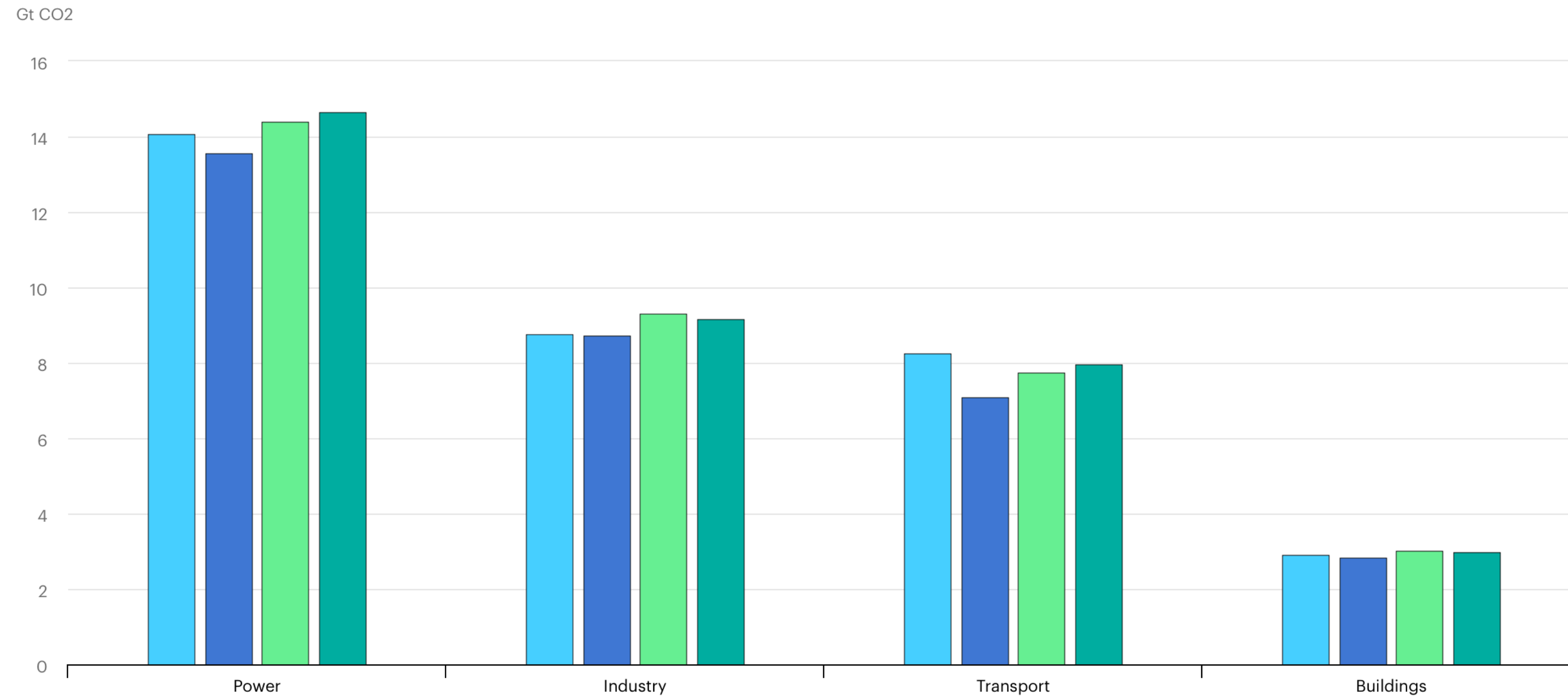
- Buildings
- Urban heat islands
- Time-series modelling
- UHI in Seoul

# BUILDINGS



# WE USED TO FOCUS ONLY ON OPERATIONAL GHG EMISSIONS (HEAT, COOLING, LIGHTING)

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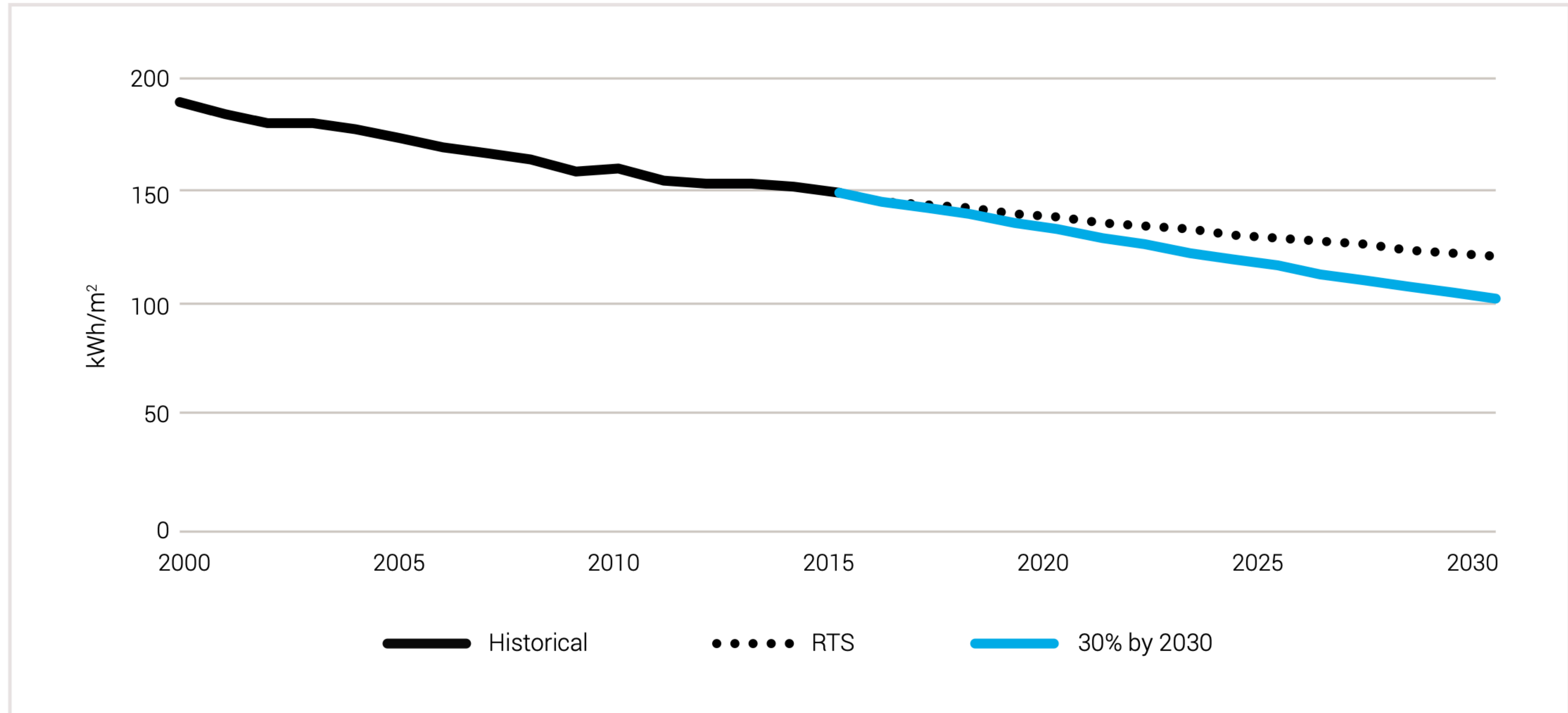


IEA. Licence: CC BY 4.0

● 2019 ● 2020 ● 2021 ● 2022



# GLOBAL FINAL ENERGY USE PER SQUARE METER

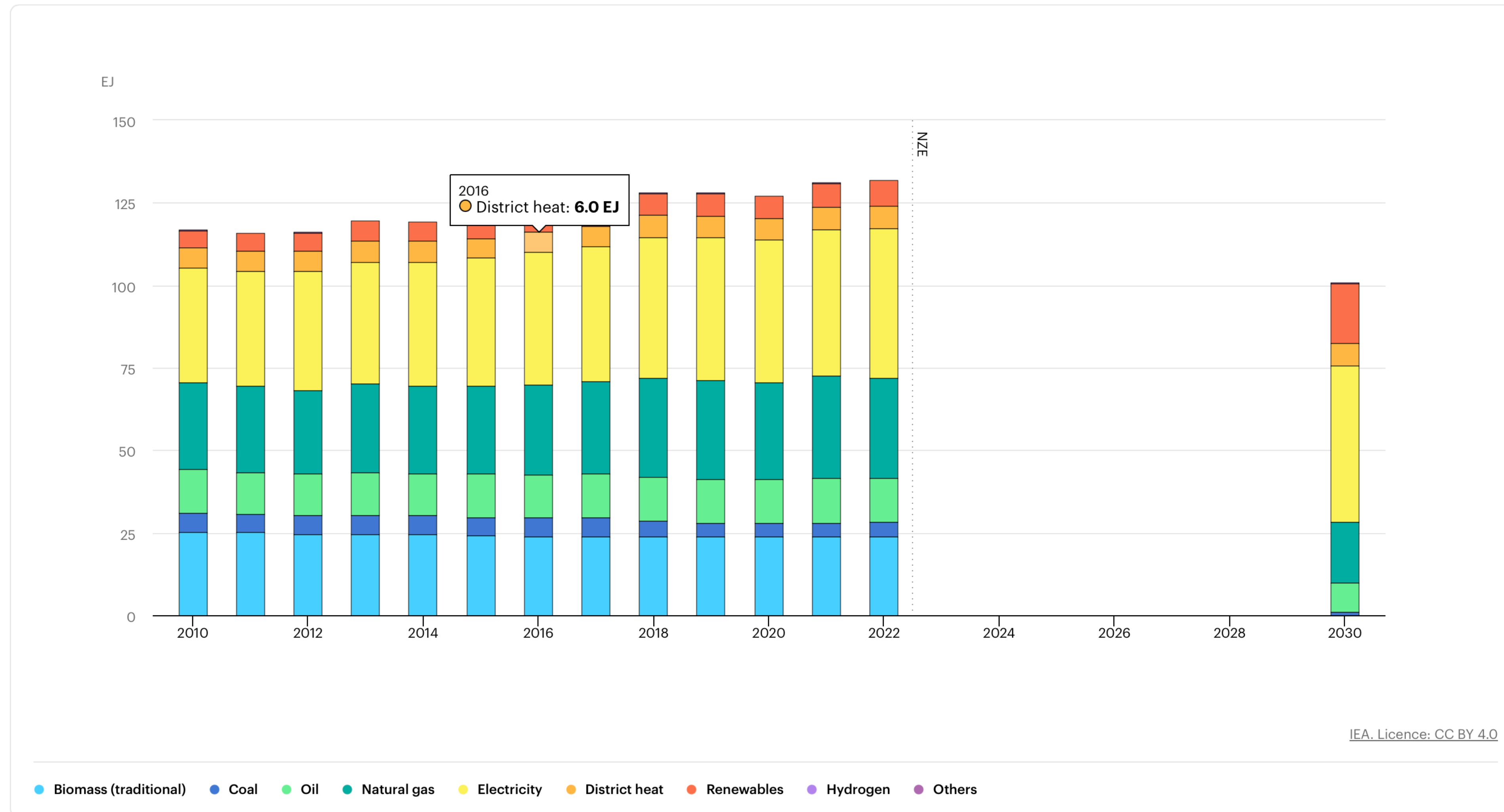


Notes: EJ = exajoules; kWh/m<sup>2</sup> = kilowatt-hours per square metre; RTS = Reference Technology Scenario.

Source: IEA (2017), Energy Technology Perspectives 2017, IEA/OECD, Paris [www.iea.org/etp/](http://www.iea.org/etp/).



# ENERGY CONSUMPTION IN BUILDINGS BY FUEL IN THE NET ZERO SCENARIO, 2010–2030



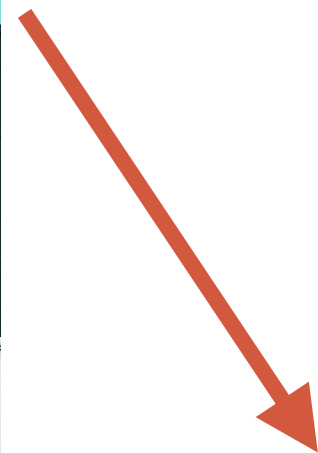
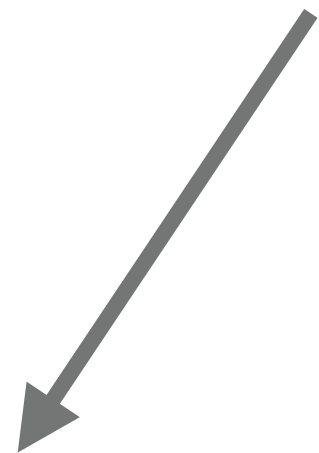
*Renewables include modern biomass, solar and geothermal, while Others refer to non-renewable waste.*



# DIRECT VS INDIRECT GHG EMISSIONS

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- Direct GHG emissions are emitted from sources owned or controlled by the reporting entity
- Indirect GHG emissions are the consequence of activities by the reporting entity but the sources are not owned or controlled by the reporting entity





# DISCUSSION: WHAT ARE THE SOURCES ON INDIRECT EMISSIONS IN BUILDINGS?

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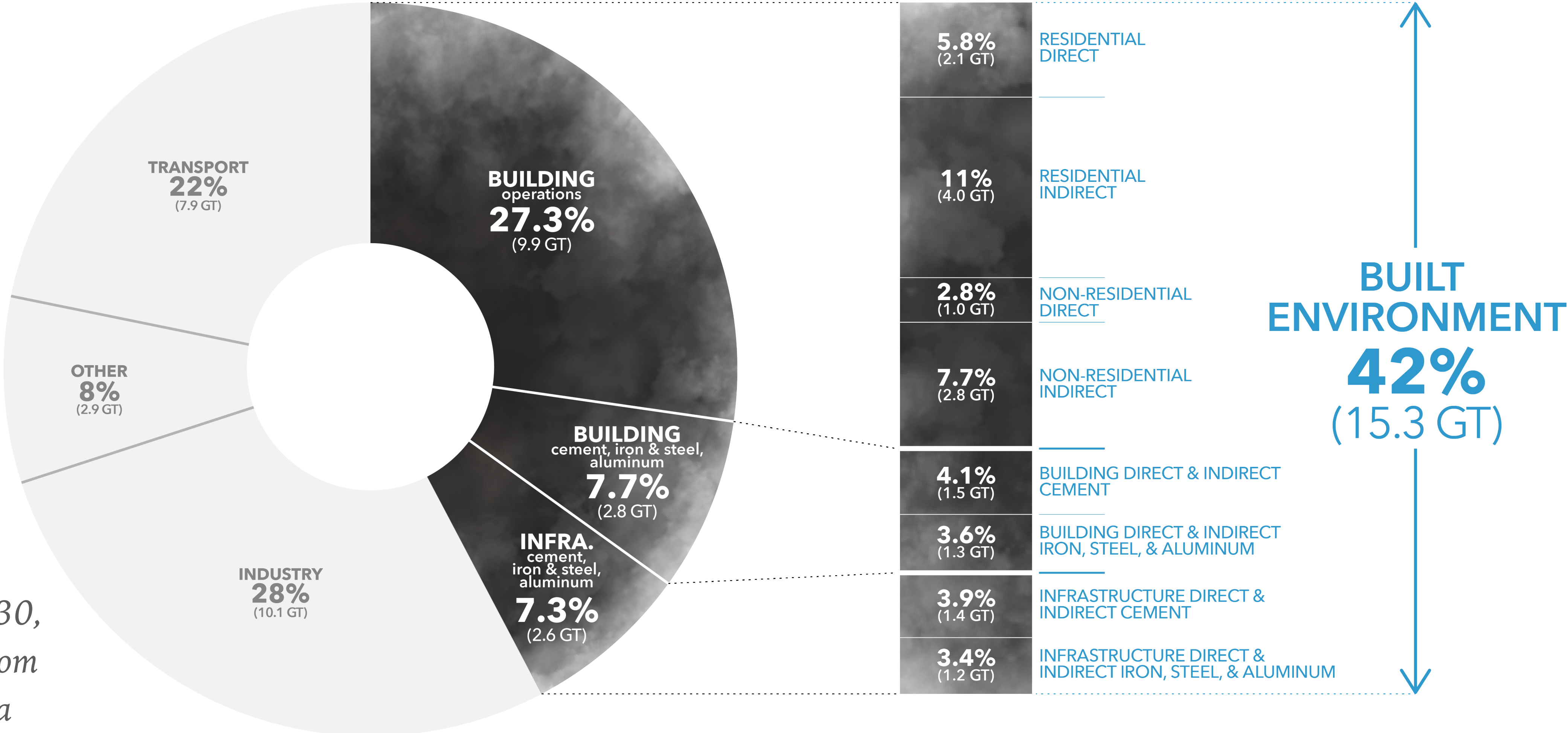
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# NOW: THINK HOLISTICALLY ABOUT THE BUILT ENVIRONMENT

## TOTAL ANNUAL GLOBAL CO<sub>2</sub> EMISSIONS Direct & Indirect Energy & Process Emissions (36.3 GT)

Building operations: direct emissions are from the use of coal, oil and natural gas in buildings, indirect emissions are from the generation of electricity and heat used in buildings.

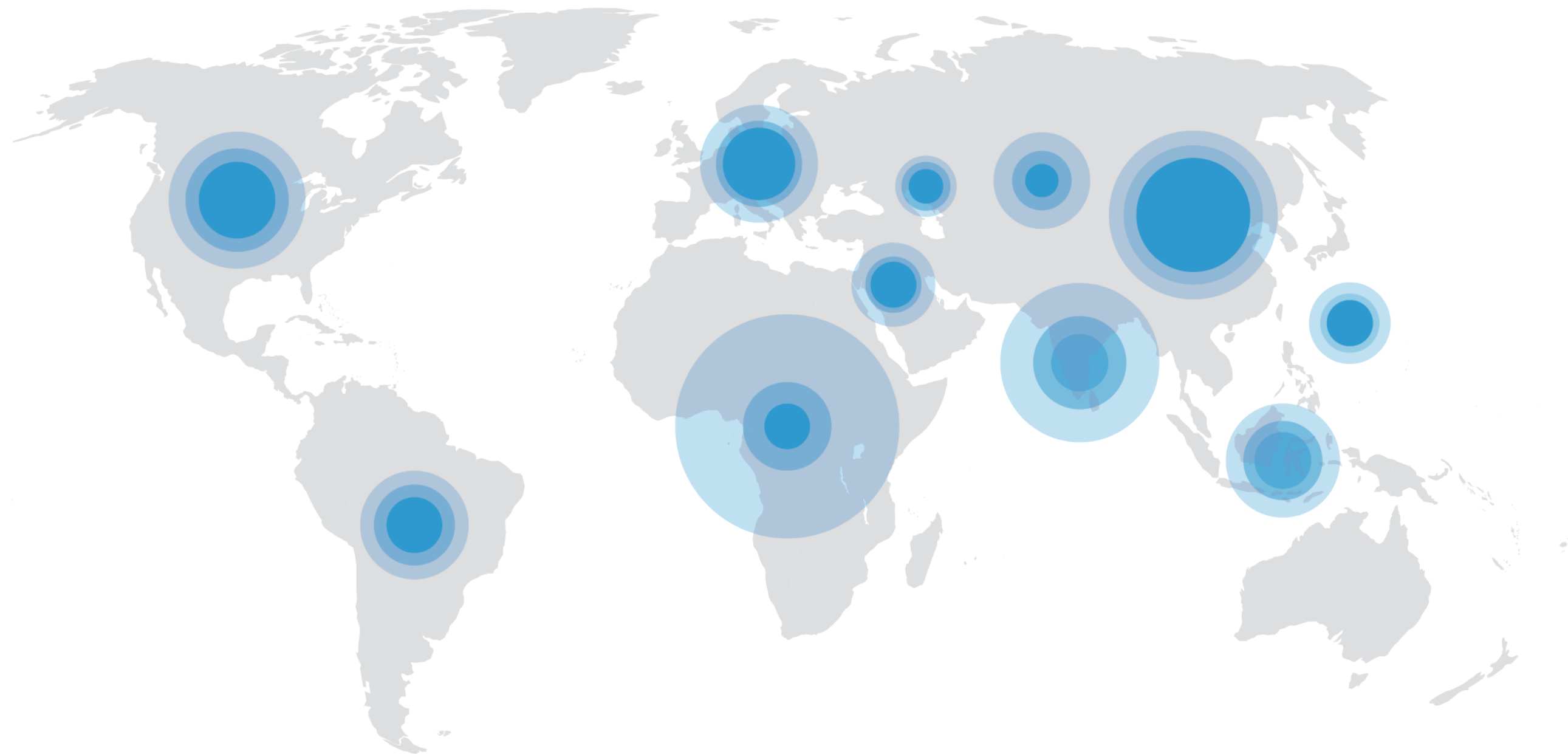


Source:  
Architecture 2030,  
based on data from  
IEA and Statista

# ROLE OF BUILT ENVIRONMENT

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Floor area additions 2017-2060

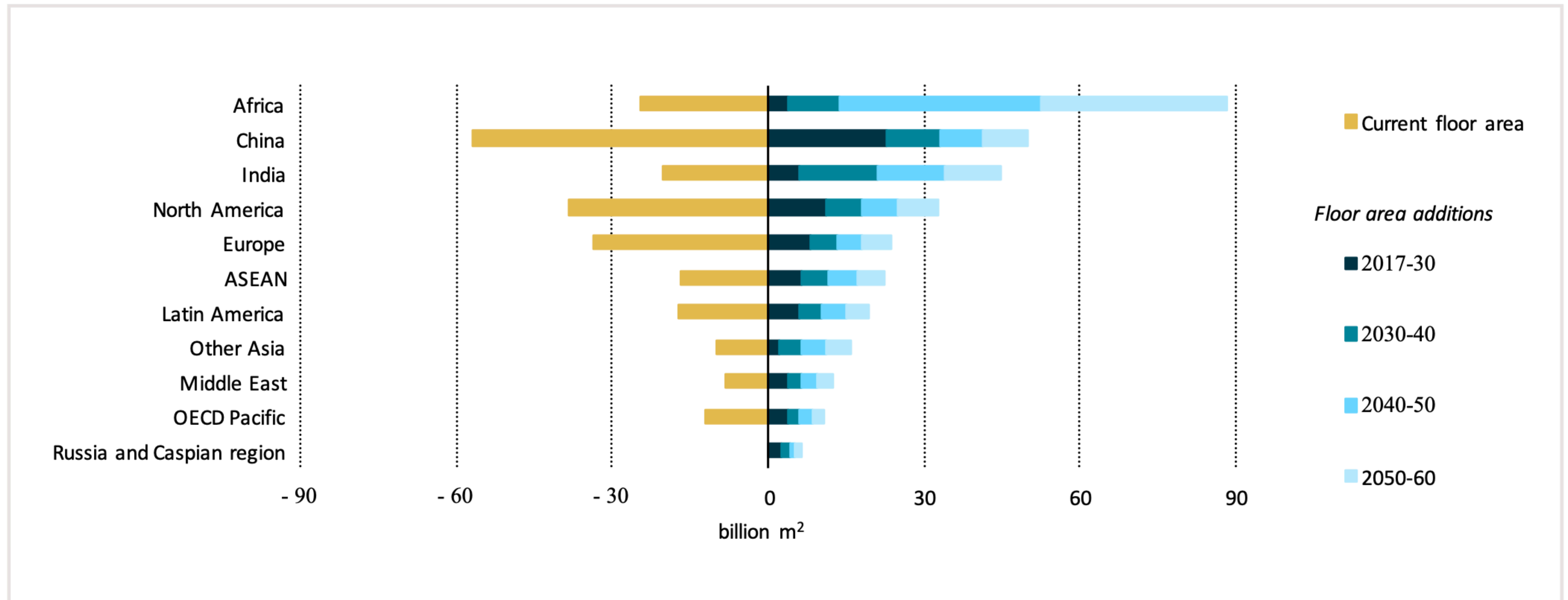


- In 2021, cities consumed 75% of energy and produce 70% of GHG emissions (Source: UN)
- 82% of final energy consumption in buildings was supplied by fossil fuels in 2015 (Source: Global ABC)
- Global floor area will double by 2060 at current rates of building (Source: IEA)
- 3/4 of infra that will exist in 2050 is yet to be built (Source: UN)
- Will return to this in Lecture VI: Urbanisation

*Image: Architecture 2030, based on data from Global ABC, Global Status Report 2017*



# FLOOR AREA ADDITIONS TO 2060 BY KEY REGIONS



Notes: OECD Pacific includes Australia, New Zealand, Japan and Korea; ASEAN = Association of Southeast Asian Nations.

Source: IEA (2017), Energy Technology Perspectives 2017, IEA/OECD, Paris, [www.iea.org/etp](http://www.iea.org/etp)

Source: *Global ABC, Global Status Report 2017*

# EMISSIONS FROM BUILDINGS

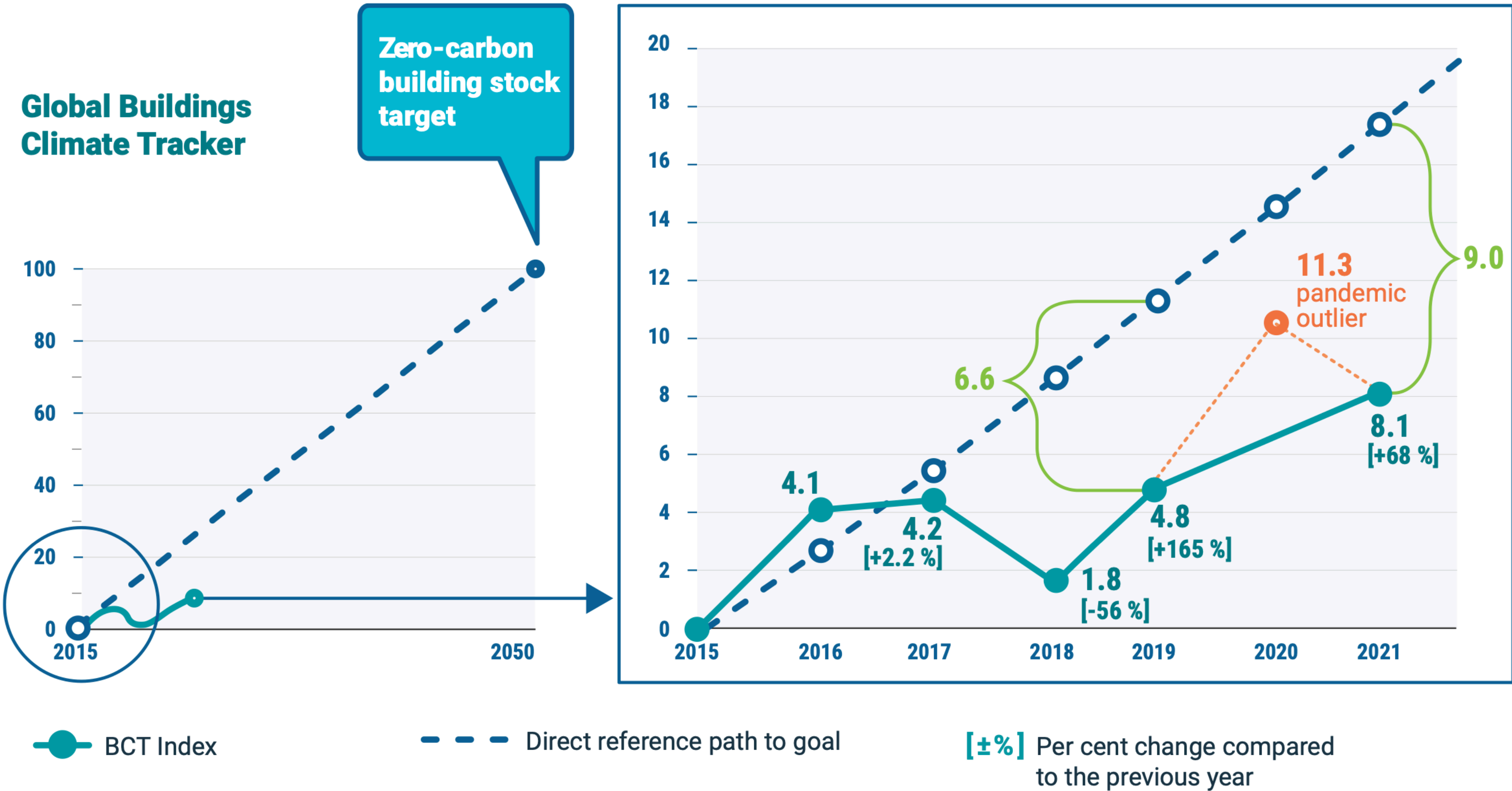


Image: Global ABC, Global Status Report 2022



# STRATEGIES

► Policies:

- ◆ Energy efficiency standard (building energy codes) for new and renovated buildings
- ◆ Urban planning (manage density, improve interconnectedness, traffic management, distributed EV-charging)

► Existing and new buildings:

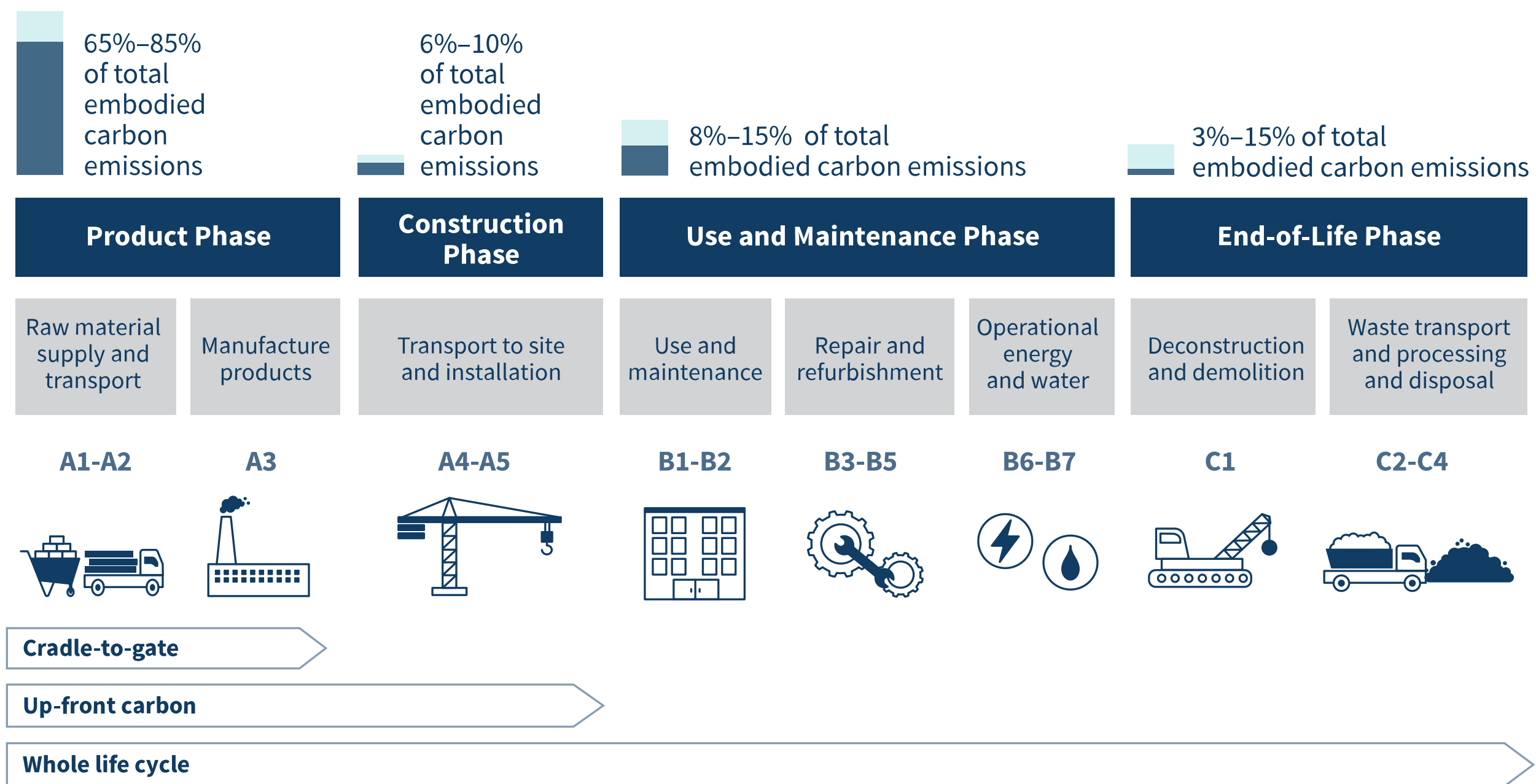
- ◆ energy efficiency
- ◆ electrification
- ◆ district heating/cooling/waste systems
- ◆ renewable energy

► Embodied carbon

- ◆ Reuse
- ◆ Reduce
- ◆ Store and sequester

Source: UNEP, Architecture 2030

## Life-Cycle Assessment Phases



Source: RMI

# POLL: HOW MANY COUNTRIES HAVE ENERGY BUILDING CODES?

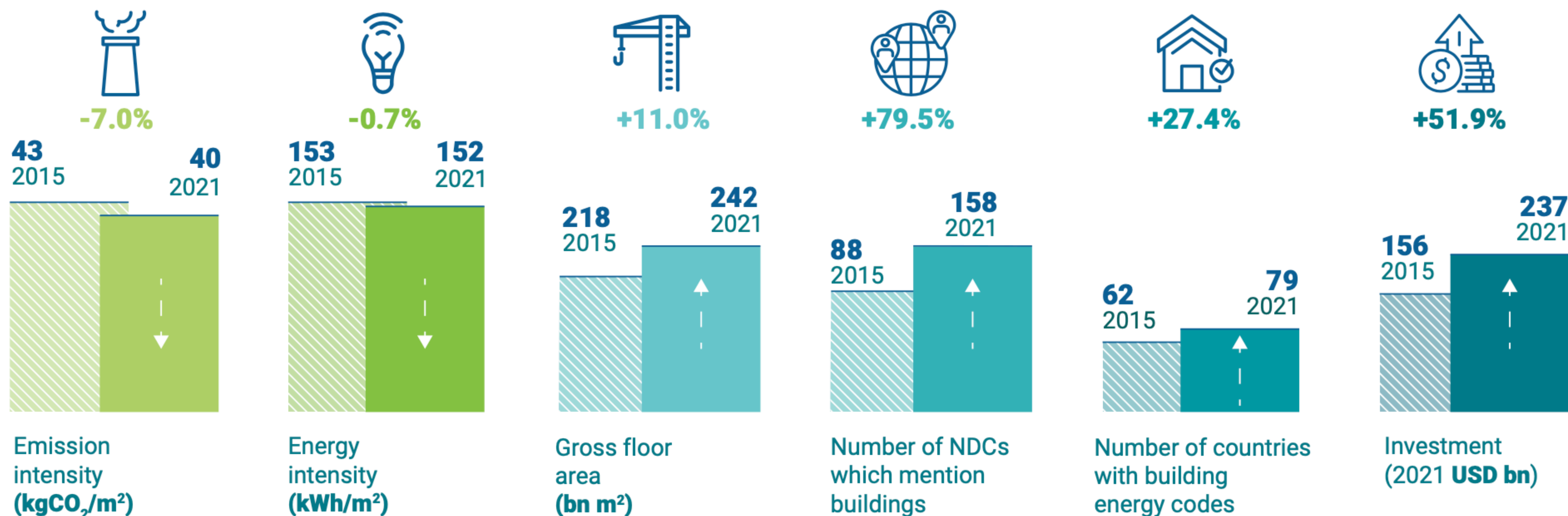
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# KEY TRENDS IN GLOBAL BUILDINGS AND CONSTRUCTION: 2021 VS 2015

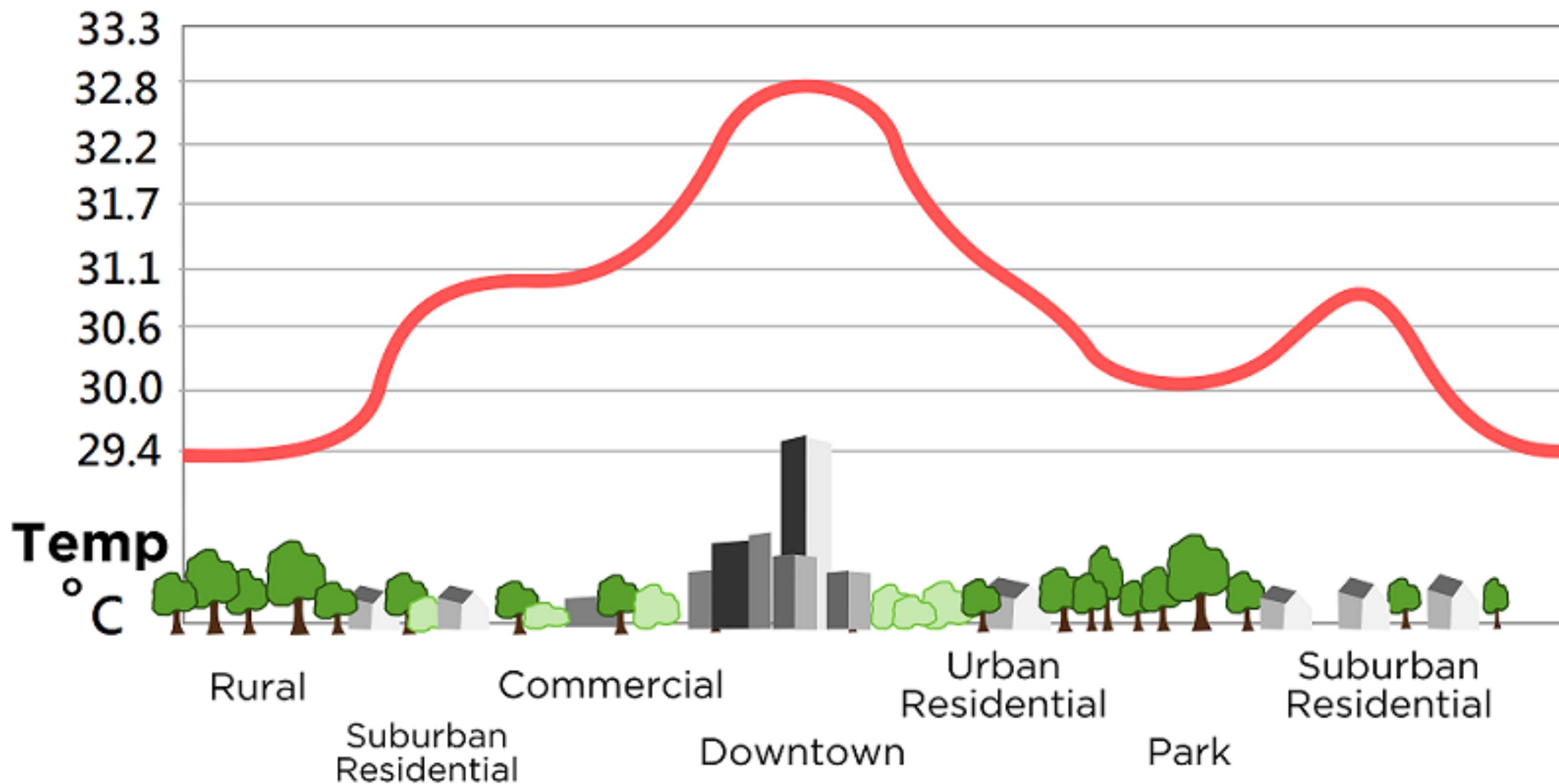


<sup>1</sup> Values included for the baselines have been updated from previous versions of the Buildings-GSR due to both historic input data updates for emissions and floorspace, and also deflation factors for USD. The proportional changes between previous years remains similar.

# URBAN HEAT ISLANDS

# URBAN HEAT ISLAND (UHI)

## URBAN HEAT ISLAND PROFILE



- Urban heat island is an urban area with higher temperature than the surrounding areas
- Causes
  - ◆ Reduced natural landscapes
  - ◆ Material properties (e.g. concrete)
  - ◆ Geometry (dimension and spacing of bldgs & streets)
  - ◆ Heat from human activities
  - ◆ Weather and geography (clouds, winds)
- Impact
  - ◆ Increased energy consumption
  - ◆ Higher emissions of pollutants and GHG (due to energy consumption and higher temperatures)
  - ◆ Complications for health
  - ◆ Impaired water quality

*Picture courtesy of Copernicus*

*Source: EPA*

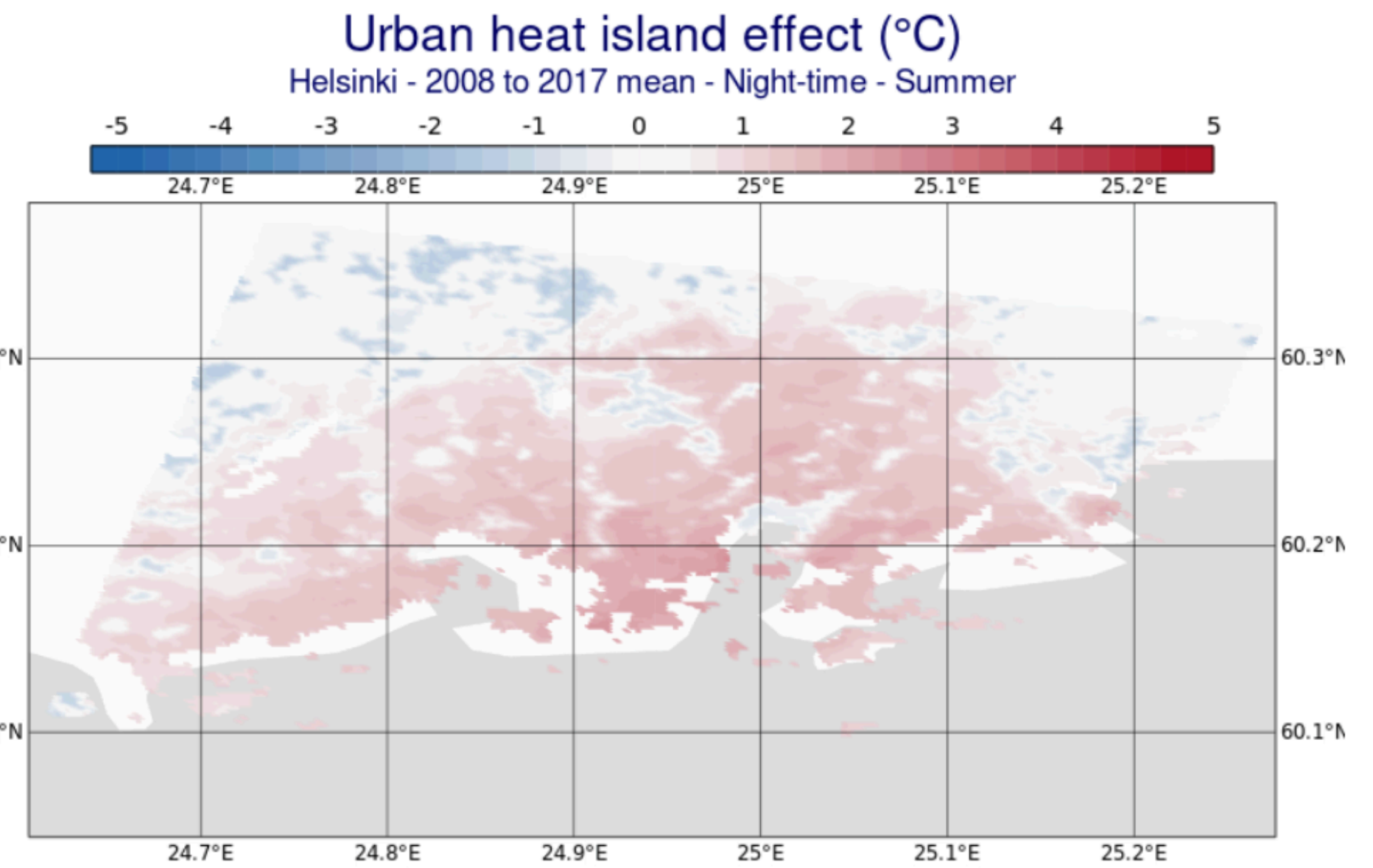
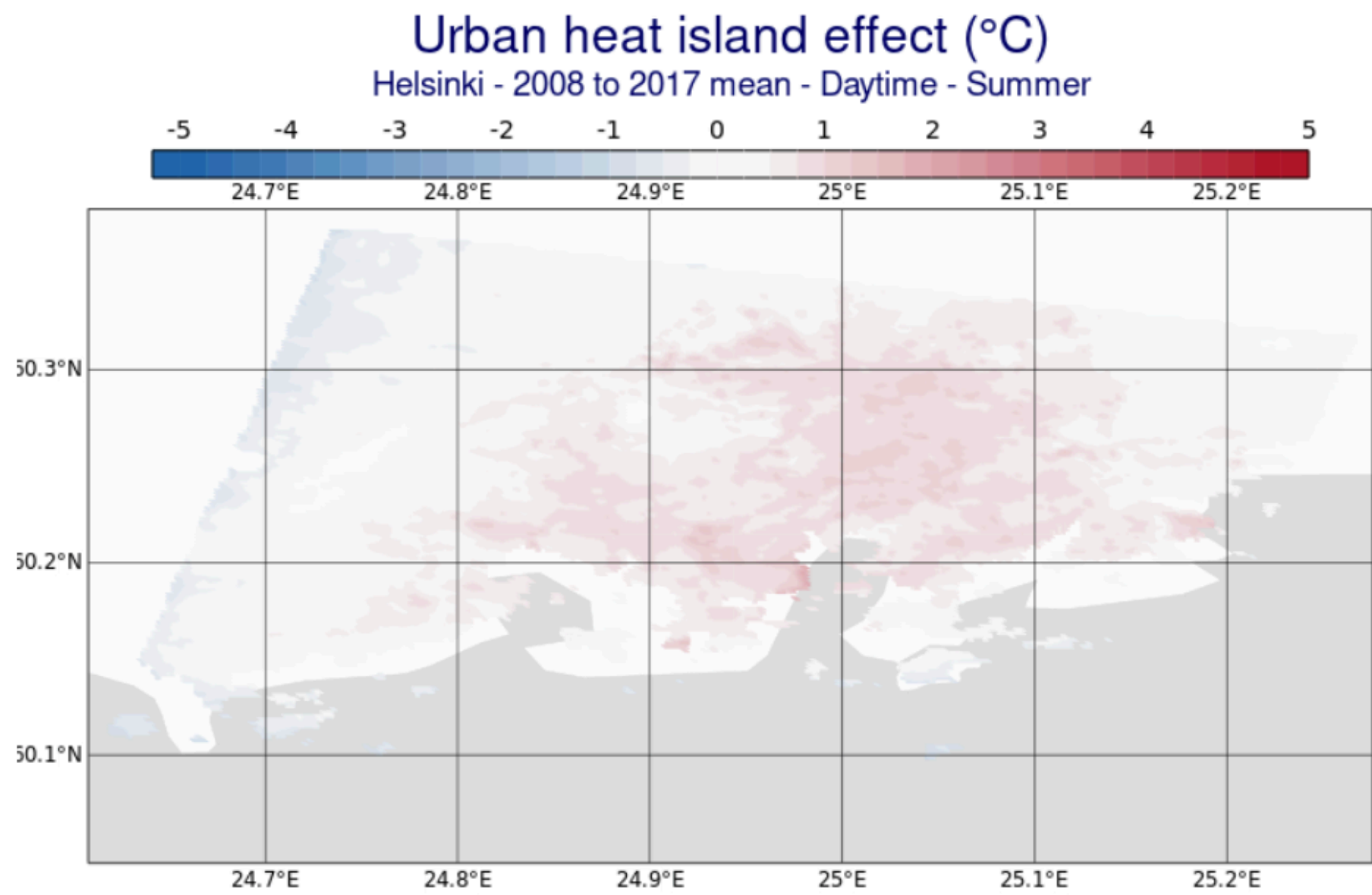
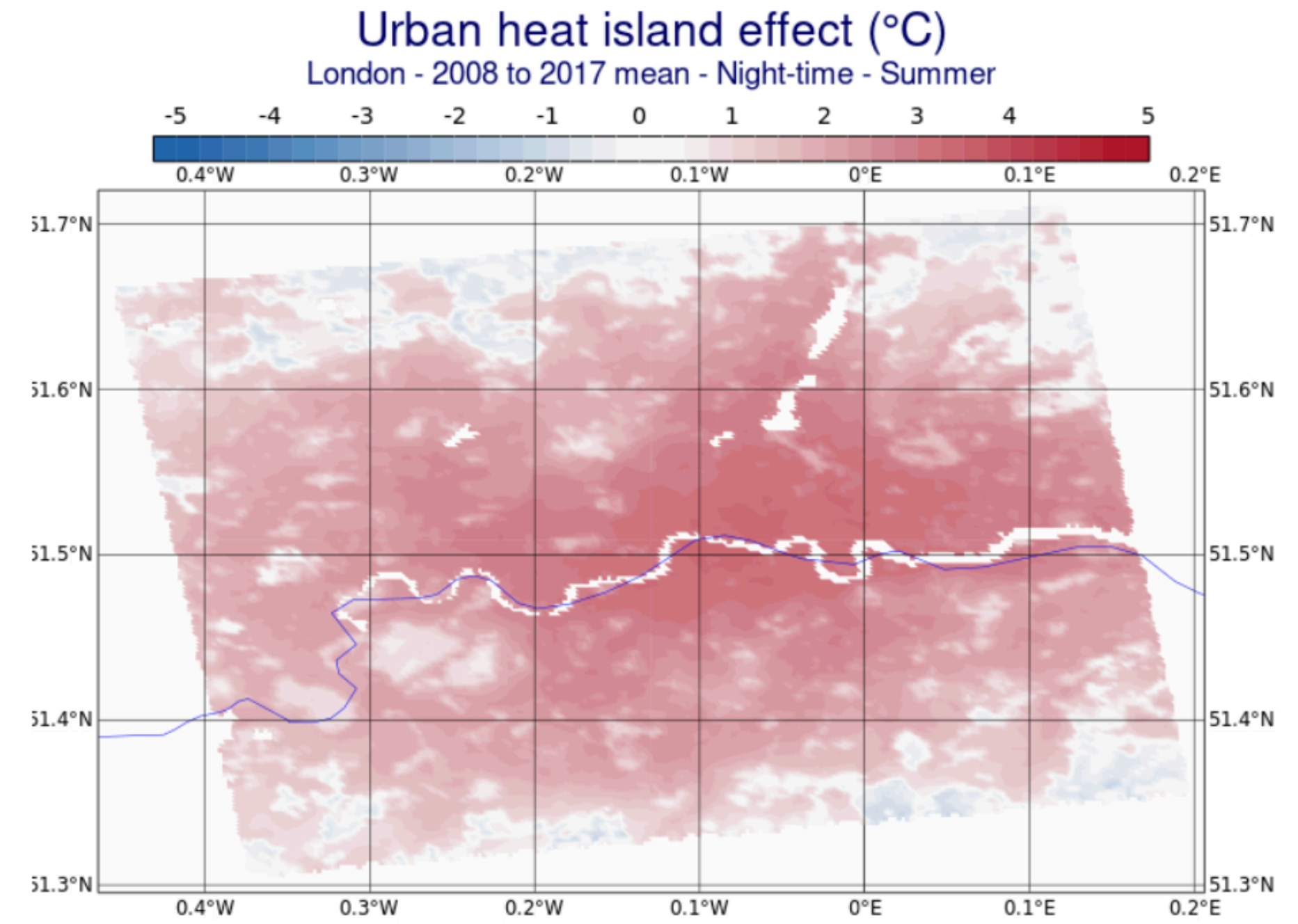
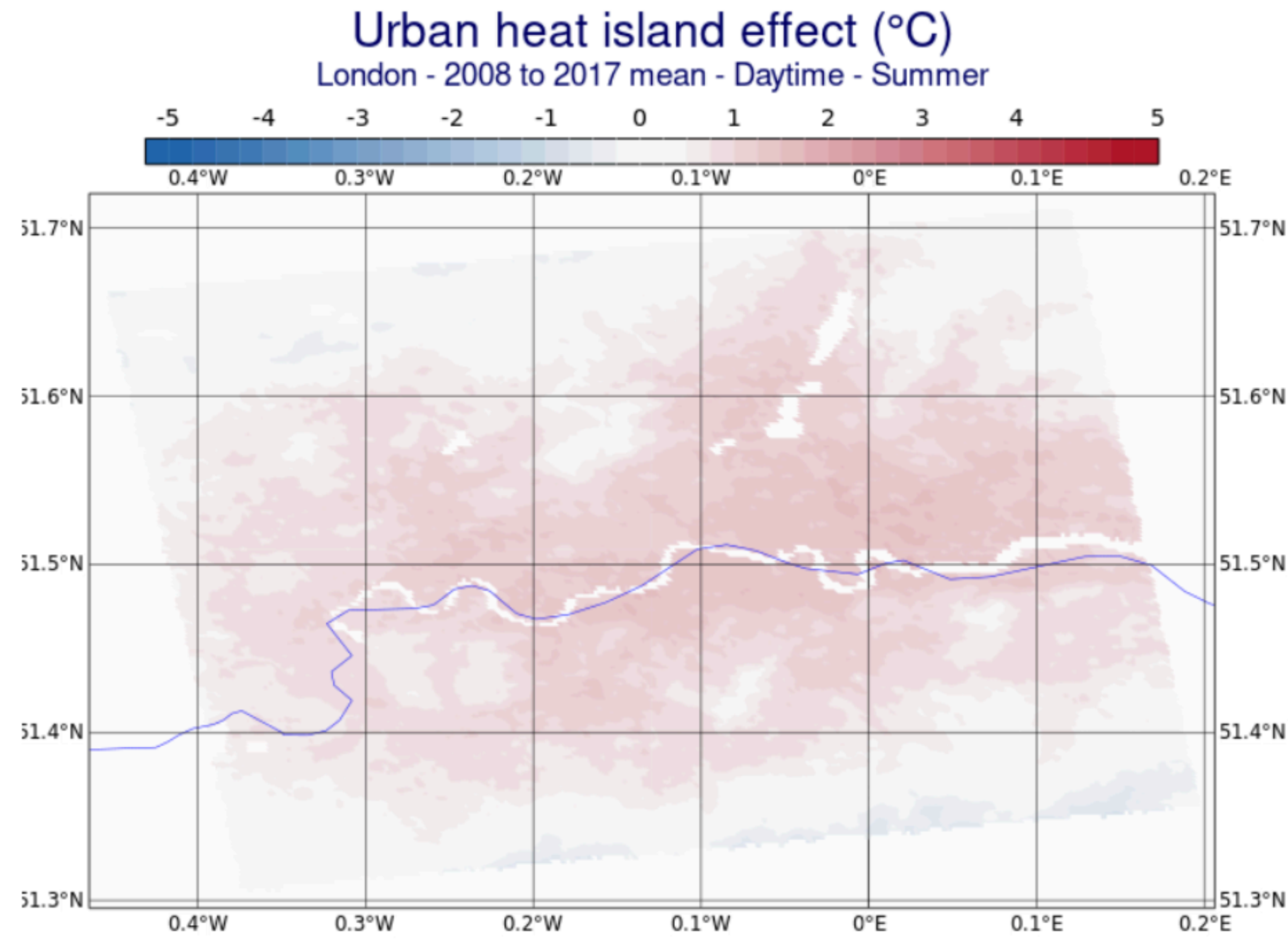


# POLL: WHEN IS THE URBAN HEAT ISLAND EFFECT MOST PRONOUNCED?

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## Co-Benefits of Heat Island Mitigation Strategies

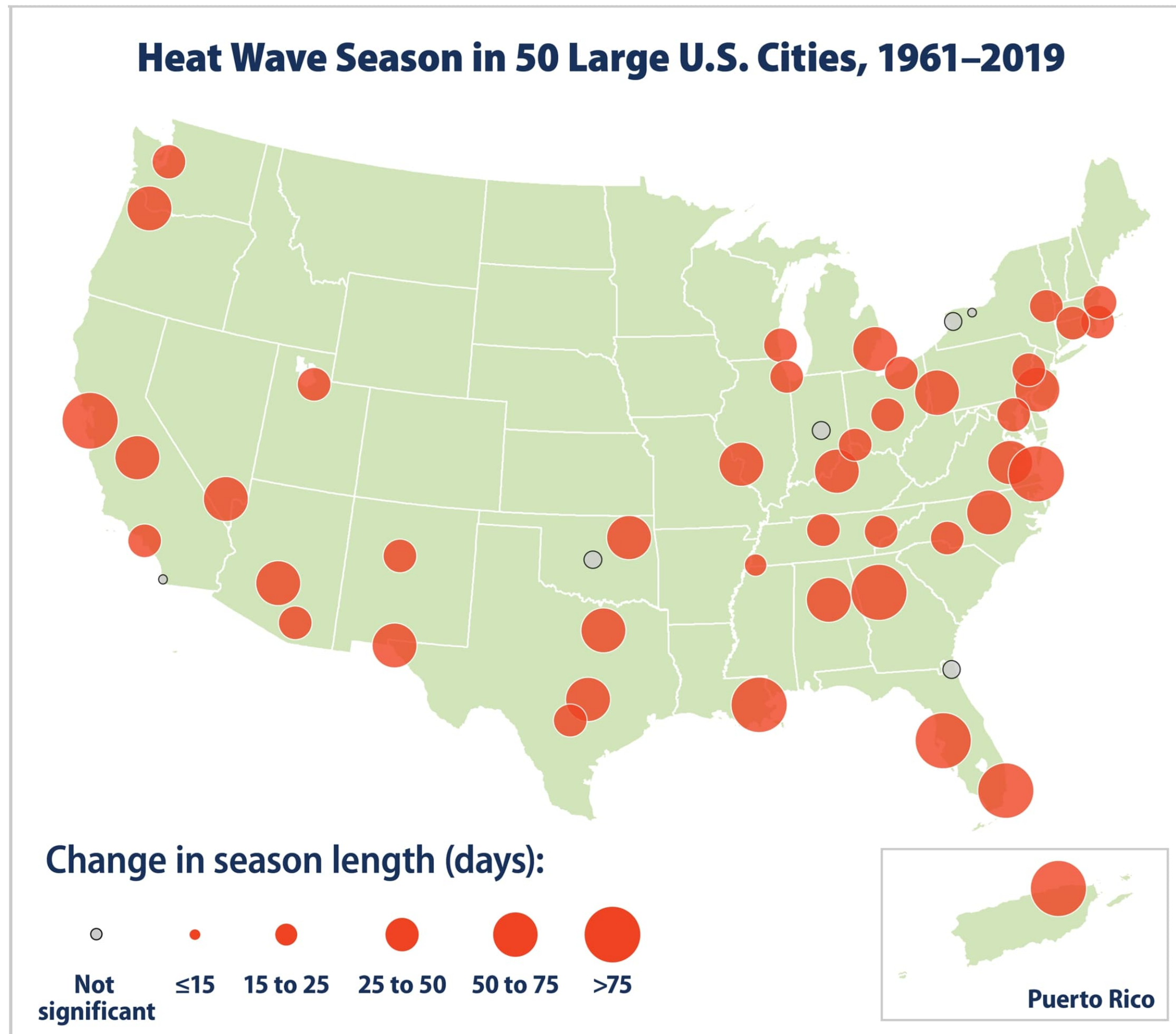
	Green Roofs	Trees and Vegetation	Cool Pavement	Cool Roofs
Air quality				
Energy use				
Greenhouse gas emissions				
Human health and comfort				
Nighttime visibility				
Quality of life				
Safety				
Stormwater management				
Tire noise				
Water quality				

Source: EPA

## STRATEGIES AND TECHNOLOGIES

- Trees and vegetation (5-city study in the US: 1.5-3 USD accrued benefits for 1 USD spent)
- Green roofs (temperature reduction ~ 2 degrees, lower energy use, stormwater runoff, absorption of pollutants and GHG, recreational space)
- Cool roofs (temperature reduction ~ 2 degrees, lower energy use, cheaper than green roofs, but "heating penalty")
- Cool pavements (less developed than other strategies)
- Smart growth

# UHI AND CLIMATE CHANGE



Source: EPA

- Two separate compounding processes
- UHI contribute to climate change by requiring more cooling
- Climate change exacerbates the UHI problem
- Shared mitigation strategies (esp. those involved vegetation)
- Opportunity for collaboration between urban planners (local expertise and decision-making) and climate scientists (global expertise and international regulations), see Corburn (2009)



# TIME-SERIES MODELLING

# POLL: WHAT IS YOUR EXPERIENCE WITH TIME-SERIES MODELLING?

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# TRADITIONAL TIME-SERIES MODELLING

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➤ Weakly stationary processes (time-independent mean, variance and covariance) ~ no trends, no seasonality:

◆ AR (auto-regressive)

$$X_t = \sum_{i=1}^p \varphi_i X_{t-i} + \varepsilon_t, \quad \varphi_i \in \mathbb{R}, \varepsilon_t \sim N(\mu, \sigma) \text{ iid.}$$

◆ MA (moving average)

$$X_t = \mu + \varepsilon_t + \sum_{i=1}^q \theta_i \varepsilon_{t-i}, \quad \mu = \mathbb{E}(X_t), \theta_i \in \mathbb{R}, \varepsilon_t \sim N(\mu, \sigma) \text{ iid.}$$

◆ ARMA

$$X_t = \varepsilon_t + \sum_{i=1}^p \varphi_i X_{t-i} + \sum_{i=1}^q \theta_i \varepsilon_{t-i}.$$

➤ Trends removable by differencing (instead of  $X_t$  model e.g.  $X_t - X_{t-1}$ ) - ARIMA

➤ Seasonality - SARIMA

➤ Time-varying volatility or volatility clustering (conditional heteroscedasticity) - ARCH

# CHALLENGES

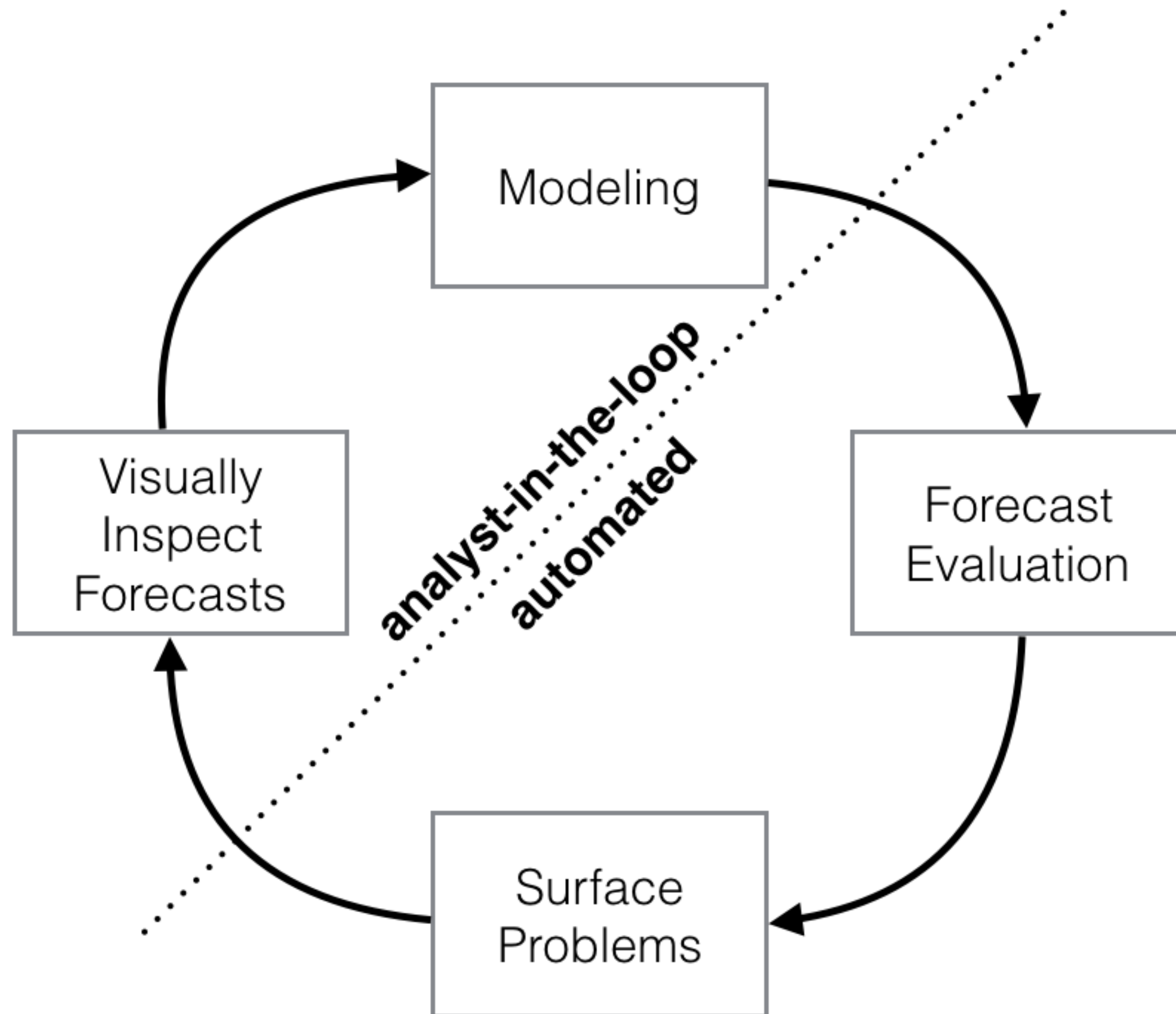
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- While AR and MA are interpretable, the modelling process becomes very complicated very quickly
- Difficult to use for panel data (see, e.g., [these lectures](#))
- Non-trivial choice of parameters
- Automated forecasting (e.g., ARIMA) is brittle and inflexible: fail to predict change of trend at cut-off-period (e.g. end of year) or longer-term seasonality
- Few analysts have the data science skills to build high-quality forecasts

# FACEBOOK PROPHET

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- Origin: Taylor, Sean J., and Benjamin Letham. "Forecasting at scale." *The American Statistician* 72.1 (2018): 37-45.
- Implementation in Python and R
- Practical details on Thu during the exercise session





# FACEBOOK PROPHET

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$$y(t) = g(t) + s(t) + h(t) + \varepsilon_t,$$

- $g(t)$  - trend function (non-periodic changes), a version of the logistic growth model which is traditionally used for modelling natural growth in ecosystems:

$$g(t) = \frac{C}{1 + \exp(-k(t - m))},$$

$C$  - carrying capacity,  $k$  - growth rate,  $m$  - offset parameter. Can

incorporate change points

- $s(t)$  - seasonality, modelled using Fourier series

$$s(t) = \sum_{n=1}^N \left( a_n \cos\left(\frac{2\pi nt}{P}\right) + b_n \sin\left(\frac{2\pi nt}{P}\right) \right)$$

- $h(t)$  - fixed short-term effects of holidays

$$h(t) = Z(t)\kappa, \quad Z(t) = [1(t \in D_1), \dots, 1(t \in D_L)], \quad \kappa \sim N(0, \nu)$$

- $\varepsilon_t \sim N(0, \sigma)$  iid

# UHI IN SEOUL

*Oh, Jin Woo, et al. "Using deep-learning to forecast the magnitude and characteristics of urban heat island in Seoul Korea." Scientific reports 10.1 (2020): 3559.*

# MOTIVATION

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- Need predictive UHI models for mitigation and policy-making
- Currently available simulation models are:
  - ◆ computationally heavy;
  - ◆ require that the person running them has domain expertise;
  - ◆ require data that is not easily available
- Data-driven models:
  - ◆ rely only readily available data, e.g., meteorological data;
  - ◆ can be deployed on edge devices, e.g. temperature sensors
  - ◆ human input unnecessary (?)



# PRIOR WORK

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- Regression methods - do not reflect non-linear relations easily, require a lot of work to fit a specific dataset
- ANNs, but so far:
  - Shallow
  - Short time period (1 year)
  - Limited sources of observations (few automated weather stations - AWSs)

Oh et al. (2022) DNNs

## Temporal

- Date
- Time
- Air temperature
- Wind speed
- Wind direction

## Spatial

- Sky view factor
- Total floor areas of entire buildings
- Area covered with green vegetation
- Building footprints
- Area covered with water and crops
- Bare land area
- Spectral radiance
- Surface albedo

# APPROACH

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## ➤ Data

- ◆ 54 AWSs (1 km radius, 2009–2017)
- ◆ Geographic Information System (GIS) data from ministry of Land, Infrastructure and Transport Korea (2009-2017)

- ◆ Landsat 8 (2014-2017)

## ➤ Contribution

- ◆ 2 deep neural networks (temporal and spatial) with penalised loss function (squared error + lasso + ridge)
- ◆ New metric: UHI hours - # hours of UHI in a given area



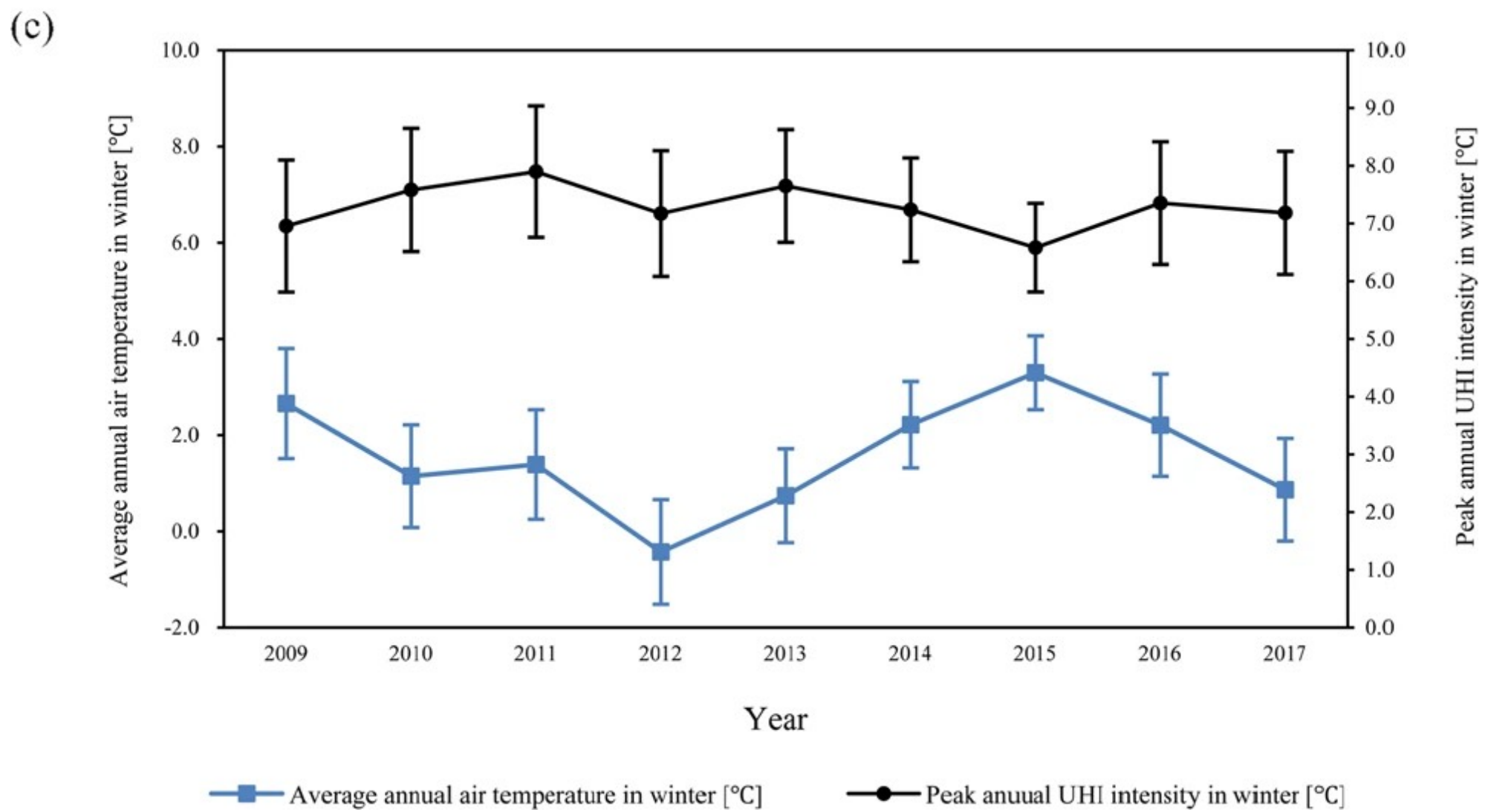
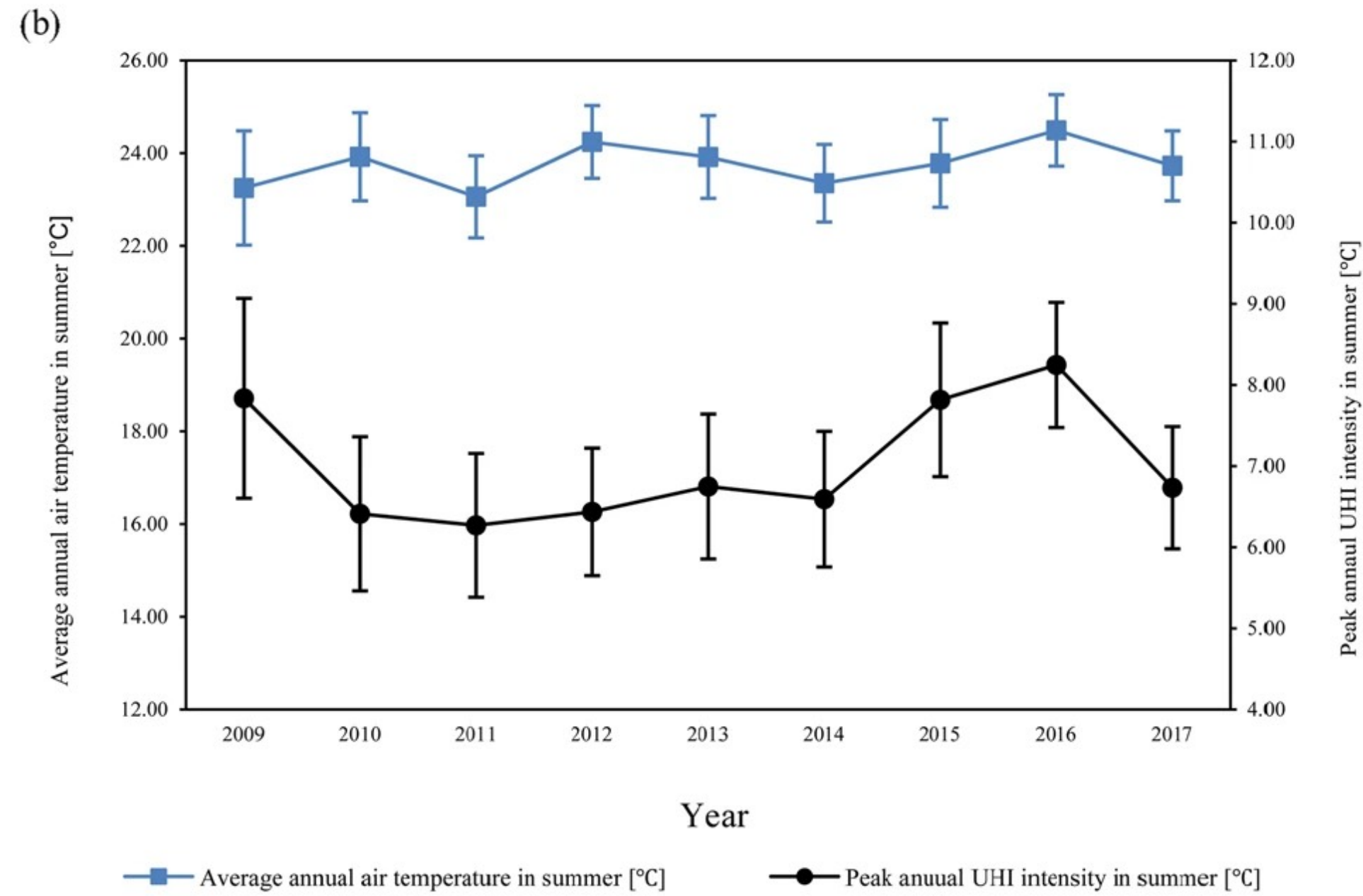
Locations of the 54 Automatic Weather Stations (AWS) in Seoul city.



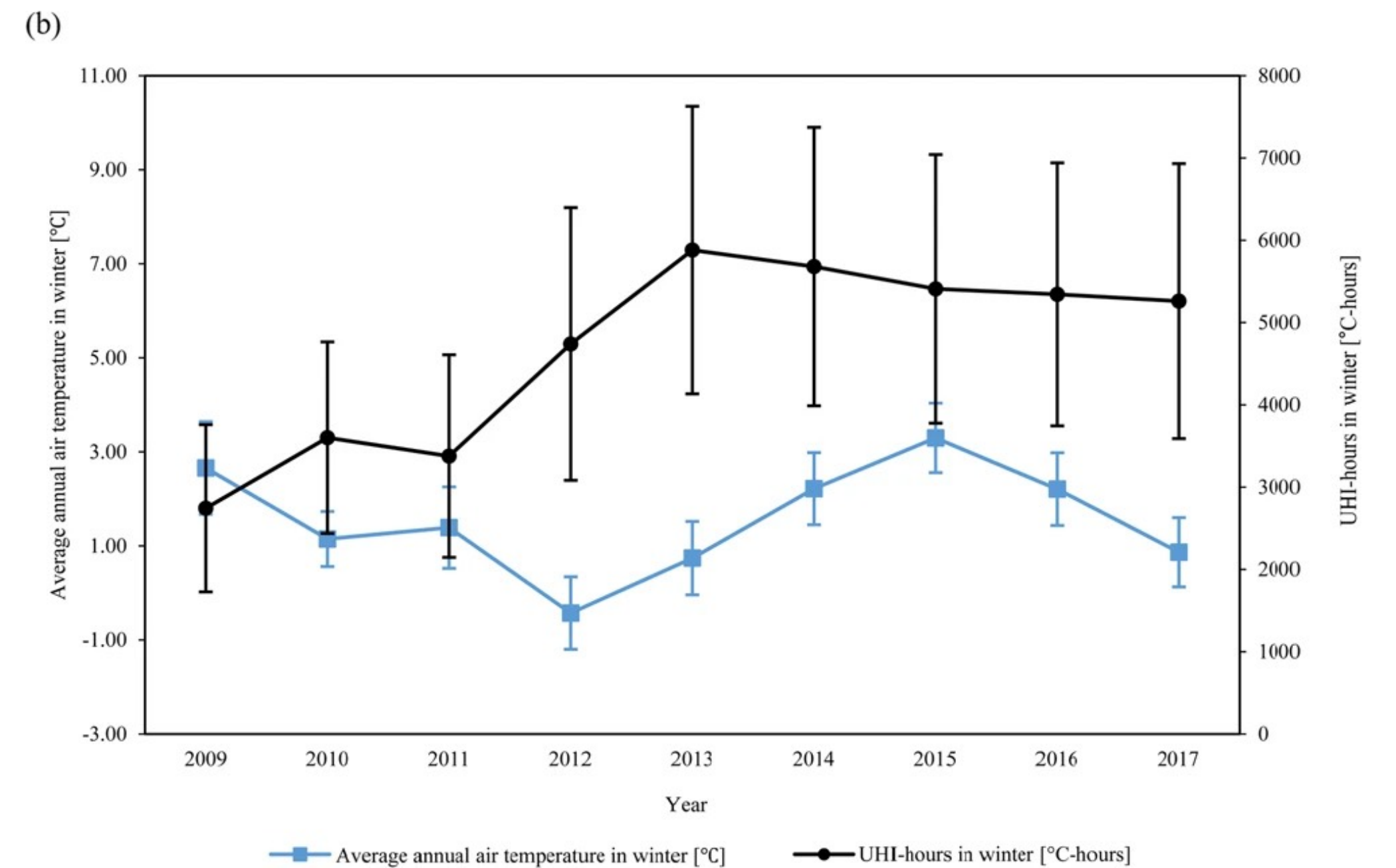
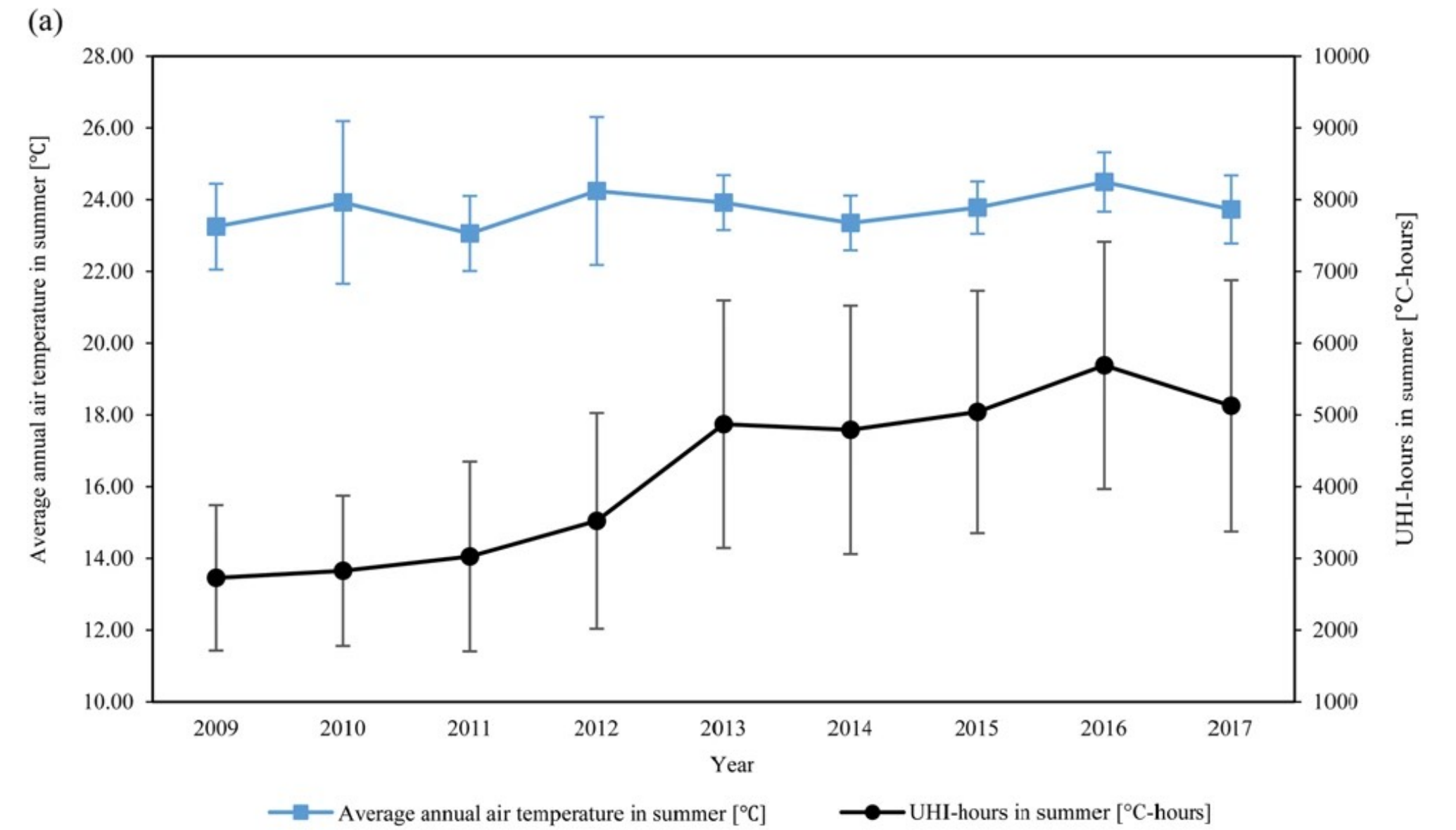
Source: Oh et al. (2022)



## Air temp vs UHI intensity



## Air temp vs UHI hours



# SPATIAL MODEL

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## Performance of spatial model

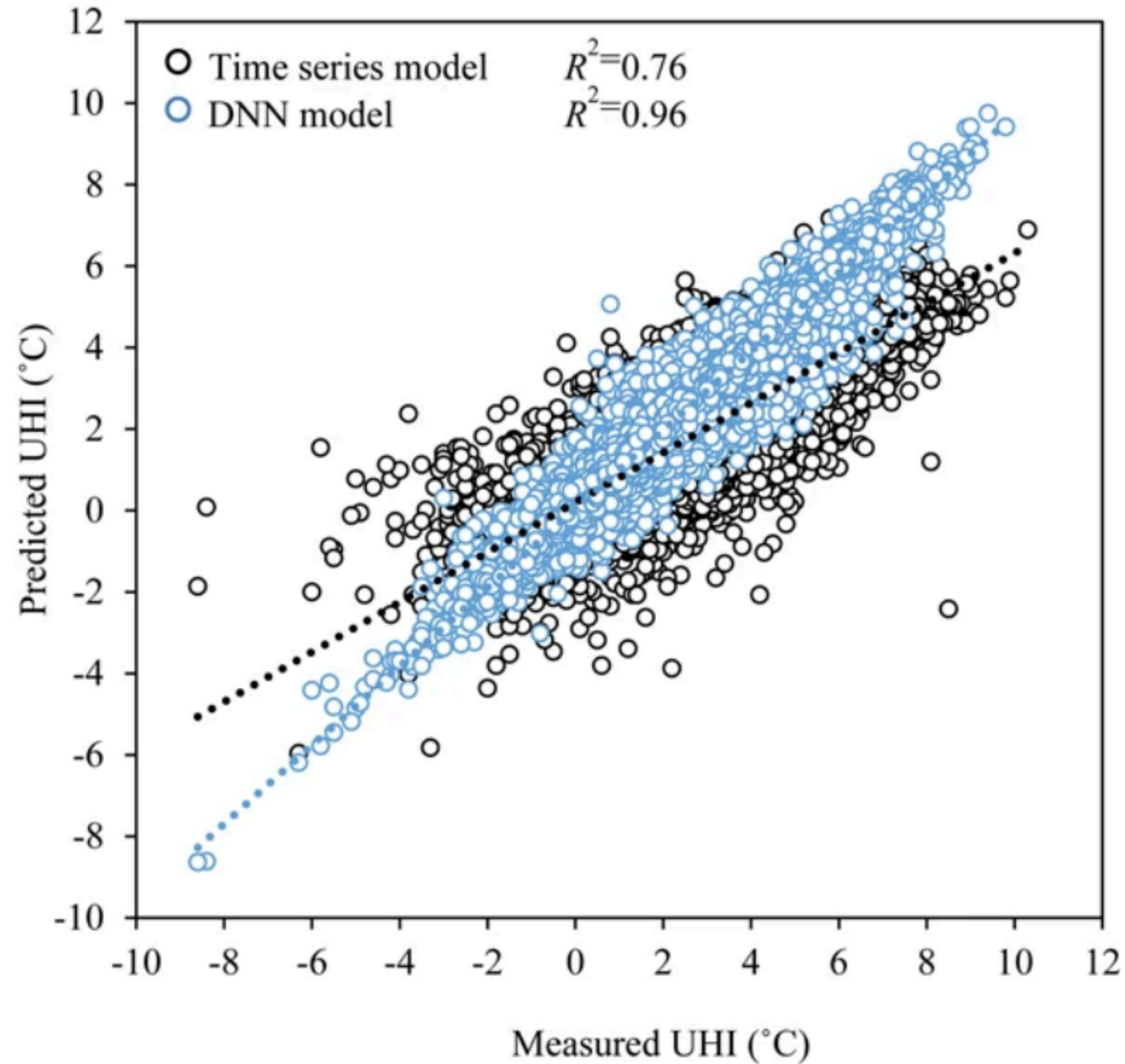
Spatial model	Season	R2	RMSE
UHI intensity	Summer	0.98	0.08
	Winter	0.99	0.09
UHI-hours	Summer	0.99	145.52
	Winter	0.99	170.68

Source: Oh et al. (2022)

- Predict UHI for the entire Seoul
- Analyse the relative importance of different features in the hidden neurons and show the the most important are
  - ◆ In summer - green-area, road-area, cropland-area, and bare-area ratios
  - ◆ In winter - green-area and road-area ratios
  - ◆ Water-area ratio important in the summer but less so in the winter
  - ◆ Causality (?)



## ARIMA vs temporal DNN for predicting medians



Source: Oh et al. (2022)

## TEMPORAL MODEL

- ▶ Max (AWS-17), min (AWS - 35), median (AWS - 45)
- ▶ Built a reference ARIMA model and showed that DNN performed better



# PRACTICALITIES

# ANNOUNCEMENTS

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- Lecture VI - Urbanisation
  - ◆ Zhu, Xiao Xiang et al. "The urban morphology on our planet -- Global perspectives from space". *Remote Sensing of Environment*, vol. 269, p. 112794 (2022)
- Exercise session V - R-CNN and Prophet
- Online exercise session on Fri (poll)
- Theses, projects (10 ECTS), conference papers