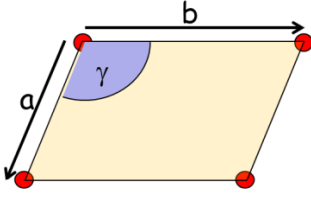
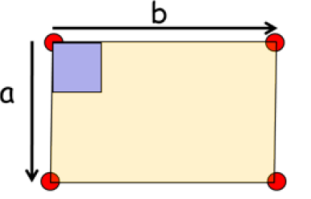
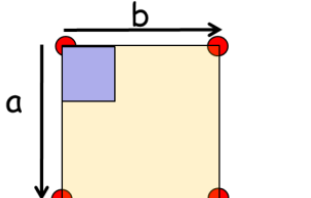
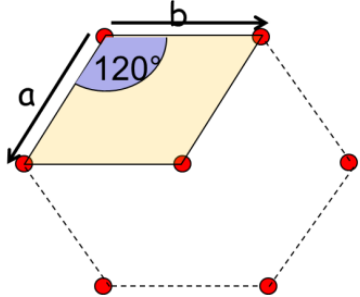
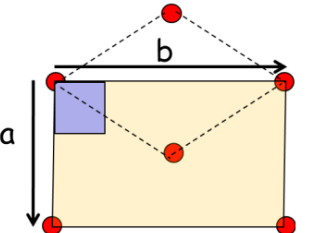


2D lattices

| Lattice: (P=primitive; C=centered) | Max symmetry | Symmetry operation |
|---|--|---|
|  | Oblique P $a \neq b$ $\gamma \neq 90^\circ, 120^\circ$ | 2-fold axis (180°) 2 |
|  | Rectangular P $a \neq b$ $\gamma = 90^\circ$ | 2-fold axis (180°) 2 reflection lines 2mm |
|  | Square P $a = b$ $\gamma = 90^\circ$ | 4-fold axis (90°) 2 reflection lines 2 mirror planes 4mm |
|  | Hexagonal P $a = b$ $\gamma = 120^\circ$ | 6-fold axis (30°) 3 reflection lines 3 mirror planes $3m = 3\text{mm}$ 6 |
|  | Rhombic P (dashed) $a = b$ $\gamma \neq 90^\circ, 120^\circ$ | 2-fold axis (180°) 2 reflection lines |
| | Rectangular C $a \neq b$ $\gamma = 90^\circ$ | |

Hermann–Mauguin:

1,2,3,4,6 for the rotation axis

m for the reflection line

Combining:

3m: a 3-fold rotation axis lying on a reflection line

6mm: a 6 fold axis lying on two symmetry lines

Penrose tiling

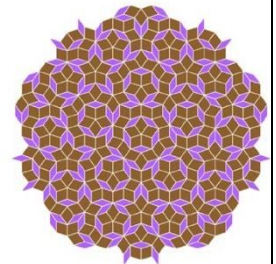
Quasicrystal: repeated

pattern but no lattice

→ no translational

symmetry

(Al-Pd-Mn)



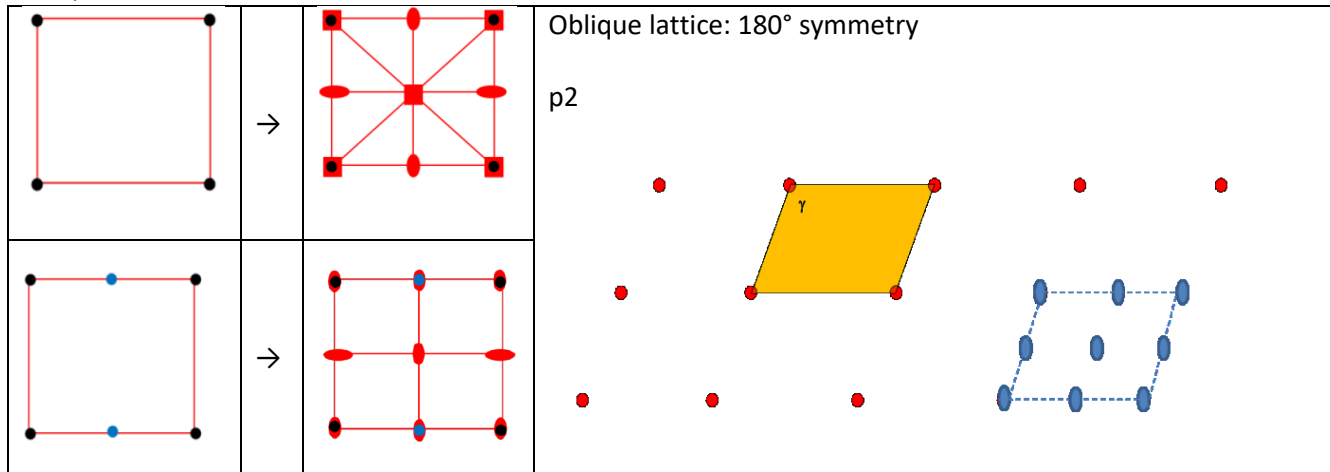
2D symmetry figures

Point symmetry operation: leaves (at least) one point of the crystal fixed

| Symmetry operations: symbols | | |
|------------------------------|-----------------------|--------|
| ☺ | 1-fold: no symmetry | |
| ◐ | 2-fold: 180° symmetry | diad |
| ▲ | 3-fold: 120° symmetry | triad |
| ■ | 4-fold: 90° symmetry | tetrad |
| ⬡ | 6-fold: 60° symmetry | hexad |

| Symmetry operations: symbols | | |
|------------------------------|-----------------------|---|
| ● | Atom at lattice point | |
| — | Mirror line | m |
| - - - | Glide line | g |
| | Primitive | p |
| | Centred | c |

Examples:

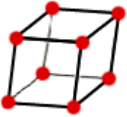
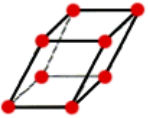
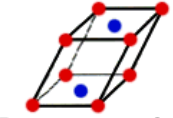
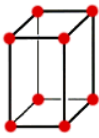
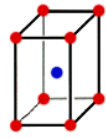
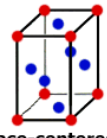
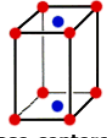
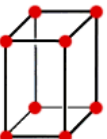
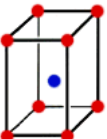
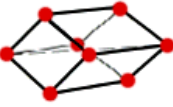
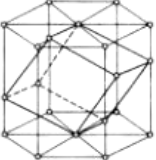
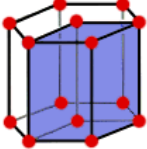
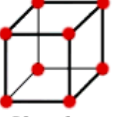
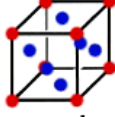
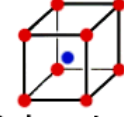


The 17 2D plane groups:

| Lattice type | Symmetry operations | Plane group |
|-------------------------|---------------------|-------------|
| Oblique | | p1 |
| Oblique | | p2 |
| Rectangular | | Pm |
| Rectangular | | Pg |
| Rectangular C (rhombus) | | Cm |
| Rectangular | | Pmm (p2mm) |
| Rectangular | | Pmg (p2mg) |
| Rectangular | | Pgg (p2gg) |











| | | |
|-------------------------|--|------------|
| Rectangular C (rhombus) | | Cmm (c2mm) |
| Square | | P4 |
| Square | | P4m (p4mm) |
| Square | | P4g (p4gm) |
| Hexagonal | | P3 |
| Hexagonal | | P3m |
| Hexagonal | | P31m |
| Hexagonal | | P6 |
| Hexagonal | | P6m (p6mm) |

3D Bravais lattices & 32 point groups

| System | Bravais lattices | Symmetry point groups | | |
|--|--|--|---------------------------------------|------------------------------|
| | | No c.o.sym. | Center o.s. | min. sym. |
| Triclinic $a \neq b \neq c$ $\alpha \neq \beta \neq \gamma \neq 90^\circ$ | 1-fold axis  | 1 | $\bar{1}$ | None |
| Monoclinic $a \neq b \neq c$; $\alpha = \gamma = 90^\circ$; $\beta \neq 90$ | 2-fold axis  Simple  Base-centered | 2 m | $\frac{2}{m}$ | 1 diad or inversion diad |
| Orthorhombic $a \neq b \neq c$ $\alpha = \beta = \gamma = 90^\circ$ | 3*2-fold axis  Simple  Body-centered  Face-centered  Base-centered | 222 2mm | mmm | 3 diads at 90° |
| Tetragonal $a = b \neq c$ $\alpha = \beta = \gamma = 90^\circ$ | 4-fold axis  Simple  Body-centered | 4 $\bar{4}$ 422 4mm $\bar{4}2m$ | $\frac{4}{m}$ $\frac{4}{m}$ mmm | 1 tetrad or inversion tetrad |
| Rhombohedral (=trigonal) $a = b = c$ $\alpha = \beta = \gamma \neq 90^\circ$ | 3-fold axis  =  | 3 32 3m | $\bar{3}$ $\bar{3}m$ | 1 triad or inversion triad |
| Hexagonal $a = b \neq c$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$ | 6-fold axis  | 6 $\bar{6}$ 622 6mm $\bar{6}m2$ | $\frac{6}{m}$ $\frac{6}{m}$ mmm | 1 hexad or inversion hexad |
| Cubic $a = b = c$ $\alpha = \beta = \gamma = 90^\circ$ | 4*3-fold axis  Simple  Face-centered  Body-centered | 23 423 $\bar{4}3m$ | $m\bar{3}$ $m\bar{3}m$ | 4 triads at $109,47^\circ$ |

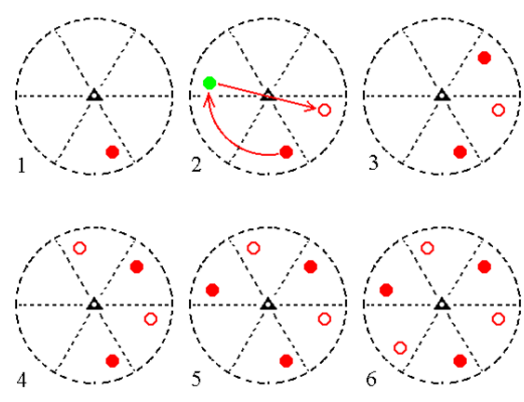
3D symmetry operators

| Symmetry operations: symbols | |
|------------------------------|--|
| $\bar{2} = m$ | Roto-inversion; 180° |
| $\bar{3}$ | Roto-inversion; 120° |
| $\bar{4}$ | Roto-inversion; 90° |
| $\bar{6}$ | Roto-inversion; 60° |
| $\frac{3}{m}$ | Mirror plane is in-plane: <i>diad</i> ($m \perp 3$ is equal to $\bar{6}$) |

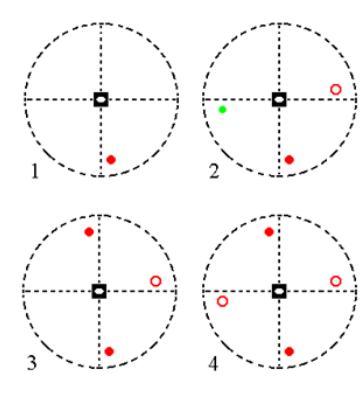
| Symmetry operations: symbols | |
|---|---|
|  |  + inversion ($\bar{2} = m$) |
|  |  + inversion |
|  |  + inversion |
|  |  + inversion |
|  | Point above plane |
|  | Point below plane |

Examples: (Exercise 4.6)



$\bar{3}$: Rotate 120° + invert 180°



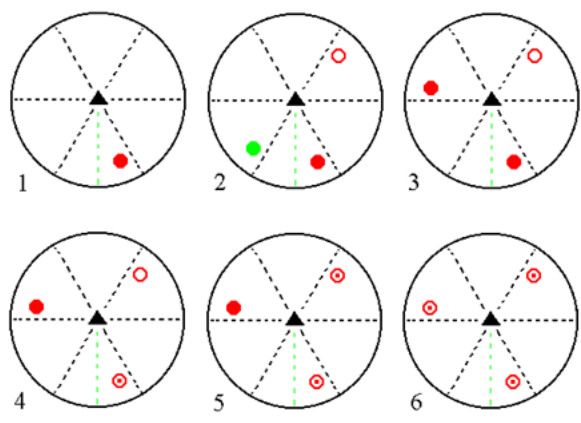
$\bar{4}$: Rotate 90° + invert 180°



$3m$:
Rotate 60° + mirror

| | |
|---|-------------------|
|  | 3-fold |
| Full ring | flat mirror plane |
|  | guiding lines |

Identical to $\bar{6}$
(rotate 60° + invert 180°)



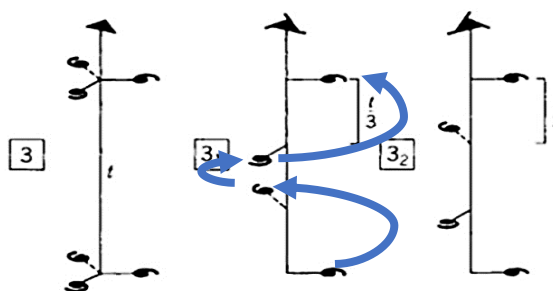
Glide plane




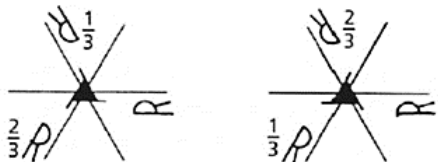

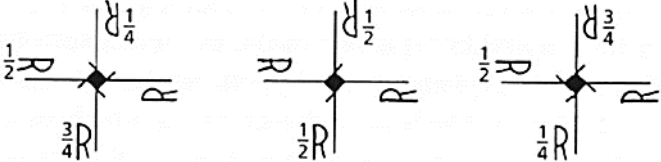
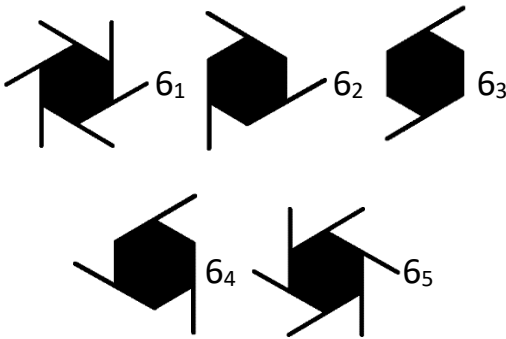
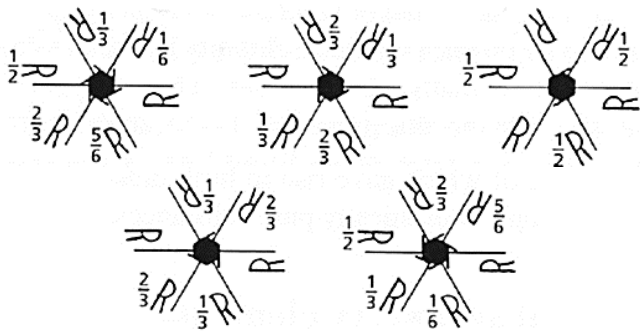
- usually symbolized by a,b,c according to which plane of the unit cell they are parallel to
- the n glide = glide along the half of a diagonal of a face
- the d glide = a fourth of the way along either a face or space diagonal of the unit cell

3D screw axis

Rotation: counter-clockwise & up

Enantiomorphs are NOT each other's mirror images!



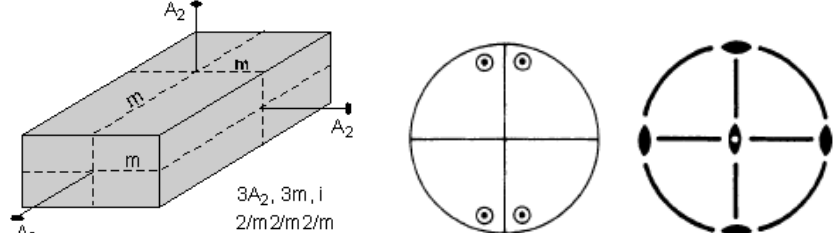
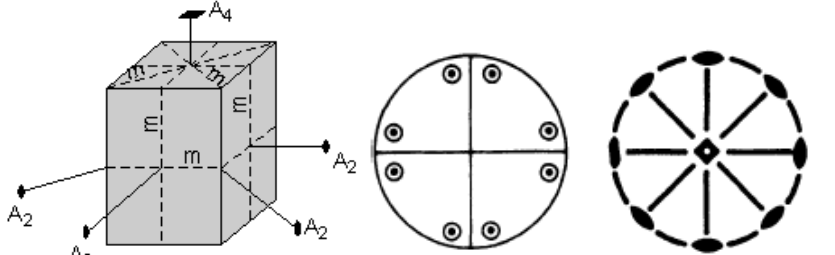
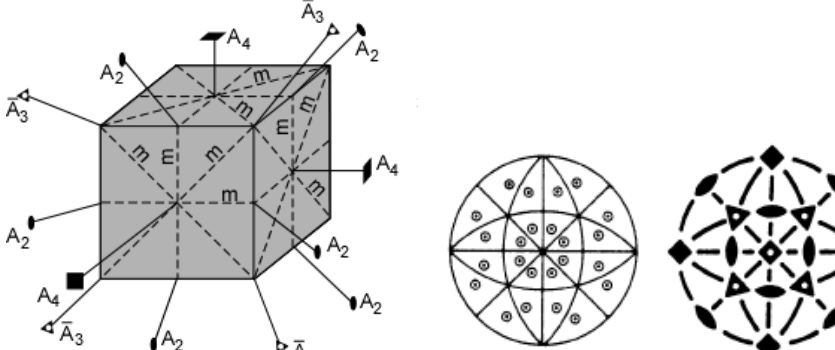
| Enantiomorph symbol | Figures |
|---|--|
| 2_1  |  |
|  3_1 3_2 |  |
|  4_1 4_2 4_3 |  |
|  6_1 6_2 6_3 6_4 6_5 |  |

230 space groups in 3D

Construction and stereographic projection

| Space groups: symbols | | Stereographic projections for all space groups: http://img.chem.ucl.ac.uk/sgp/mainmenu.htm |
|---------------------------|--|--|
| \bigcirc^+ | Point above plane | |
| $\bigcirc^{\frac{1}{2}+}$ | Point $\frac{1}{2}$ above plane | |
| \ominus^- | Point mirrored & below plane | |
| $\ominus^{\frac{1}{4}-}$ | Point mirrored & $\frac{1}{4}$ below plane | |
| \bigoplus^+ | All possibilities present | |

Examples: (Exercise 4.10)

| | |
|--|--|
| <p><i>Orthorhombic:</i></p> <p>3 two-fold axes 3 mirror planes Inversion symmetry: \bigoplus</p> |  <p>$3A_2, 3m, i$ $2/m2/m2/m$</p> |
| <p><i>Tetragonal</i></p> <p>One 4-fold axis 4 twofold axes (2 unique) 5 mirror planes (3 unique) Inversion symmetry: \blacksquare</p> |  |
| <p><i>Cubic</i></p> <p>Three 4-fold axis Four 3-fold axis Six 2-fold axis 9 mirror planes Inversion symmetry</p> |  |

Hexagonal

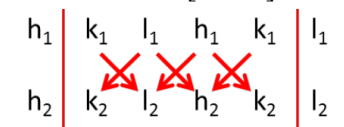
One 6-fold axis
 2 two-fold axis
 7 mirror planes (3 unique)
 Inversion symmetry

Example: Exercise 4.12

The 7 point symmetry groups of the hexagonal system

| | | | | | |
|-----------------|--|--|---------------|--|--|
| 6 | | | $\bar{6}$ | | |
| 622 | | | 6mm | | |
| $\bar{6}m2$ | | | $\frac{6}{m}$ | | |
| $\frac{6}{mmm}$ | | | | | |

Miller indices

| | | | |
|---|--|---|--|
| Point | | Planes | |
| Fraction coordinates are multiplied into integers in the vector notation | | Parallel planes make up families of planes. Intersects are inversed and integers used | |
| <i>Point coordinates</i> | <i>Point vector</i> | <i>Axis intersects</i> | <i>Plane index</i> |
| $\frac{1}{2}, 0, 1$ | $[1\ 0\ 2]$ | $\frac{1}{2}, 0, 1$ | $(2\ 0\ 1)$ |
| $\frac{2}{3}, 1, \frac{1}{2}$ | $[4\ 6\ 3]$ | $\frac{1}{4}, \frac{1}{2}, \frac{2}{3}$ | $(8\ 4\ 3)$ |
| Family of vectors | | Multiplicity of planes | |
| The family of vectors who, due to crystal symmetry, are identical: <i>multiplicity</i> | | Again, dependent on crystal symmetry | |
| $\langle 1\ 0\ 0 \rangle$ <i>cubic</i> | $[1\ 0\ 0], [0\ 1\ 0], [0\ 0\ 1]$ $[\bar{1}\ 0\ 0], [0\ \bar{1}\ 0], [0\ 0\ \bar{1}]$ | $\{1\ 1\ 0\}$ <i>cubic</i> | $(1\ 1\ 0), (1\ 0\ 1), (0\ 1\ 1),$ $(\bar{1}\ 1\ 0), (1\ \bar{1}\ 0), (\bar{1}\ 0\ 1), (1\ 0\ \bar{1}),$ $(0\ \bar{1}\ 1), (0\ 1\ \bar{1}), \dots$ |
| Angle between directions is given by scalar product . For directions $[u_1\ v_1\ w_1]$ and $[u_2\ v_2\ w_2]$ at an angle φ : | | Zone axis: set of planes in a crystal whose intersections are parallel. The intersection of two planes $(h_1\ k_1\ l_1)$ and $(h_2\ k_2\ l_2)$ passing origo: | |
| $\cos \varphi = \frac{u_1 u_2 + v_1 v_2 + w_1 w_2}{\sqrt{u_1^2 + v_1^2 + w_1^2} \cdot \sqrt{u_2^2 + v_2^2 + w_2^2}}$ | | Zone axis $[u\ v\ w]$:  $u = (k_1 l_2 - k_2 l_1),$ $v = (l_1 h_2 - l_2 h_1),$ $w = (h_1 k_2 - h_2 k_1)$ | |

Weiss zone law:

Check whether an axis is parallel to a family of planes:

$$\text{If } uh + vk + wl = 0 \rightarrow \text{axis lies in the family.}$$

Plane made of by two vectors:

Find the plane $(h\ k\ l)$ that gives the Weiss zone law:

$$hu_1 + kv_1 + lw_1 = 0 \text{ and } hu_2 + kv_2 + lw_2 = 0$$

Hexagonal: Miller-Bravais indices

Introduce index $i = -(h+k) \rightarrow (h\ k\ i\ l)$

The planes of the zone belonging to the z-axis can be obtained by permutation!

Zone axis: $[U\ V\ T\ W] \rightarrow$ do it with Miller planes

Weiss law: $hU + kW + iT + lW = 0$

Miller – Miller-Bravais transformations

| Miller-Bravais to Miller | Miller to Miller-Bravais |
|--------------------------|--------------------------|
| $u = (U-T)$ | $U = (2u-v)/3$ |
| $v = (V-T)$ | $V = (2v-u)/3$ |
| $w = W$ | $T = -(u+v)/3 = -(U+V)$ |
| | $W = w$ |

Crystal diffraction: structure factor

When an electromagnetic wave falls in on an electron (charged particle) the electron will start oscillating with the same wavelength as the incoming wave and there will be a defined phase relationship, i.e. the radiation is coherent.

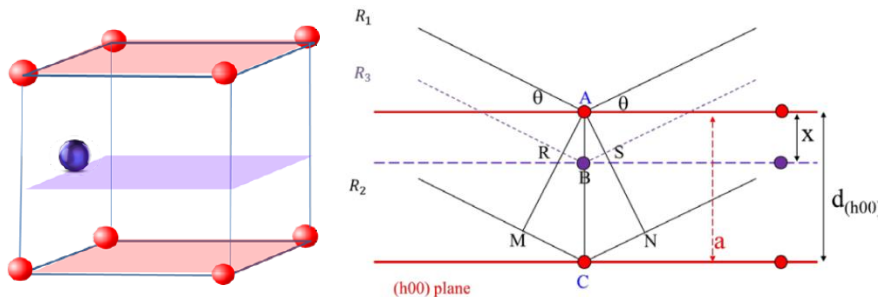
Scattering intensity I is proportional to charge and scattering angle 2θ , and inversely proportional to mass.

$$I \propto \left(\frac{e^2}{c^2 m_e} \right)^2 (1 + \cos^2 2\theta)$$

Atomic scattering factor:

$$f = \frac{\text{amplitude scattered by one atom}}{\text{amplitude scattered by a single electron}}$$

Maximum scattering intensity is forward \rightarrow intensity \propto to Z . In all other directions intensity is lowered. An atom with Z electrons can be expected to scatter Z times \rightarrow lighter elements become 'invisible'. The atom is the size scale of X-rays \rightarrow destructive interferences can occur among the scattered waves.



Detectable:

$$I_{hkl} \propto |F(\vec{h})|^2$$

Red planes are at lattice points, and fulfil the Bragg equation; $d=(h00)$.

If the atom B is situated halfway between A&C, it will destructively interfere with their intensity.

The more similar its form factor is to A&C, the more significant the extinction.

For more than one atom / unit cell \rightarrow *phase difference* of the waves scattered by different atoms

| | | |
|-------------------------------------|--|---|
| Structure factor expression: | $F(hkl) = \sum_0^N f_n e^{i2\pi (hx_n + ky_n + lz_n)}$ | $e^{i\pi n} = \begin{cases} 1 & \text{when } n \text{ is even} \\ -1 & \text{when } n \text{ is odd} \end{cases}$ |
|-------------------------------------|--|---|

Summation over all atoms at all lattice sites!

Example: (Exercise 6.4)

| | | |
|--|--|--|
| | <p>FCC: 4 atoms Equivalent atoms are at: (0 0 0), (1/2 1/2 0), (1/2 0 1/2), (0 1/2 1/2)</p> $F = f[e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(l+h)}]$ | <p>Interference enhancement: ($h k l$) all even or odd: $F=4f$ (111), (200), (220), (333), (420), ...</p> <p>Extinctions: ($h k l$) mixed oddity: $F=0$ (100), (211); (210), (032), (033), ...</p> |
| | <p>BCC: 2 atoms Equivalents: (0 0 0), (1/2 1/2 1/2)</p> | <p>$h+k+l$ even: $F=2f$ $h+k+l$ odd: $F=0$</p> |

Reciprocal lattice

Part II; L 2

The **direct lattice** describes mathematically the arrangement of the motif (atoms or molecules) in the crystal. There are 14 Bravais lattices.

The **reciprocal lattice** is an array of points where each lattice point is associated with a particular set of planes of the direct lattice.

- The reciprocal mathematically connects the direct lattice planes, the Bragg law and the directions of the diffracted beam (**Reciprocal**: 'multiplicative inverse')

Reciprocal lattice vector \mathbf{d}_1^*

- Defines the normal of a crystal plane
- Length is inversely proportional to interplanar distance
 - $|\mathbf{d}_1^*| = 1/|d_1|$
- The reciprocal vectors draw up the reciprocal lattice points

Addition rule is valid:

$$\mathbf{d}_{hkl}^* \cdot \mathbf{d}_{mno}^* = \mathbf{d}_{h+m \ k+n \ l+o}^*$$

Reciprocal unit cell

- Defined by \mathbf{a}^* , \mathbf{b}^* , and \mathbf{c}^*

Unit cell volume:

- $V = \mathbf{a} \cdot (\mathbf{b} \times \mathbf{c})$ and $V^* = 1/V$

$$\begin{aligned} \mathbf{a}^* &= \mathbf{d}_{100}^* \perp \mathbf{b}^*, \mathbf{c}^* \text{ and } |\mathbf{a}^*| = a^* = 1/d_{100}^* \\ \mathbf{b}^* &= \mathbf{d}_{010}^* \perp \mathbf{a}^*, \mathbf{c}^* \text{ and } |\mathbf{b}^*| = b^* = 1/d_{010}^* \\ \mathbf{c}^* &= \mathbf{d}_{001}^* \perp \mathbf{a}^*, \mathbf{b}^* \text{ and } |\mathbf{c}^*| = c^* = 1/d_{001}^* \end{aligned}$$

Rule: $\mathbf{a} \cdot \mathbf{a}^* = 1$

Connection to Miller indices and plane families

| Plane axial intercepts | Plane Miller index | Reciprocal vector |
|------------------------|--------------------|--|
| 1/h, 1/k, 1/l | (h k l) | $\mathbf{d}_{hkl}^* = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$ |

Angles and distances

| Interplanar angle | d-spacing between planes (h k l) |
|---|--|
| Scalar product $\mathbf{a}^* \cdot \mathbf{b}^* = \mathbf{a}^* \mathbf{b}^* \cos \theta$ | $(1/d_{hkl}^*)^2 = \mathbf{d}_{hkl}^* \cdot \mathbf{d}_{hkl}^*$ $= (h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*) \cdot (h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*)$ |

| Orthorhombic d-spacing | Tetragonal d-spacing | Cubic d-spacing |
|---|---|---|
| $\frac{1}{d^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$ | $\frac{1}{d^2} = \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2}$ | $\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$ |

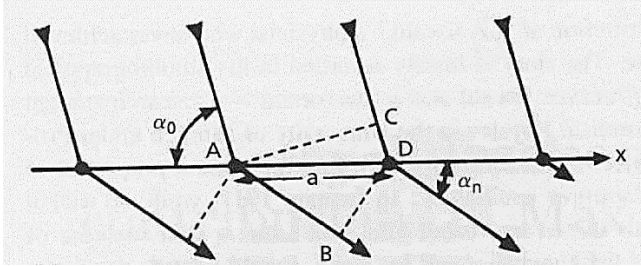
Weiss-zone law

A plane (h k l) lies in a zone [u v w] when $\mathbf{d}_{hkl}^* \perp \mathbf{r}_{uvw}$
 $\mathbf{d}_{hkl}^* \cdot \mathbf{r}_{uvw} = (h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*) \cdot (u\mathbf{a} + v\mathbf{b} + w\mathbf{c}) = 0$

| Relationships to direct space: | Bravais lattice | Simple cubic | FCC | BCC |
|--------------------------------|--------------------|--------------|-----|-----|
| | Reciprocal lattice | Simple cubic | BCC | FCC |

Laue equations: conditions for diffraction

Constructive interference from 3 atoms:



Bragg law:

$$|\mathbf{s} - \mathbf{s}_0| = 2 \sin \theta = \lambda |\mathbf{d}_{hkl}^*|$$

$$2 \sin \theta = \lambda |h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*|$$

Conditions for constructive interference:

$$(AB - CD) = a(\cos \alpha_n - \cos \alpha_0) = n_x \lambda$$

Laue equations:

$$a(\cos \alpha_n - \cos \alpha_0) = \mathbf{a} \cdot (\mathbf{s} - \mathbf{s}_0) = n_x \lambda = h \lambda$$

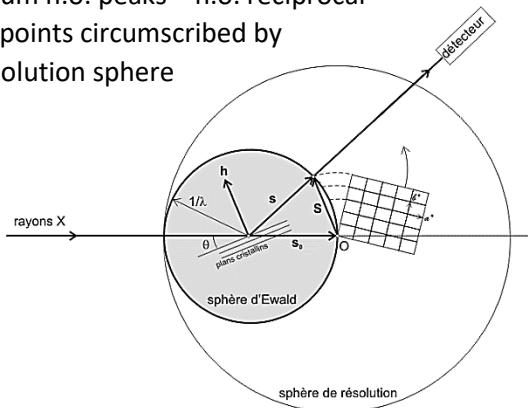
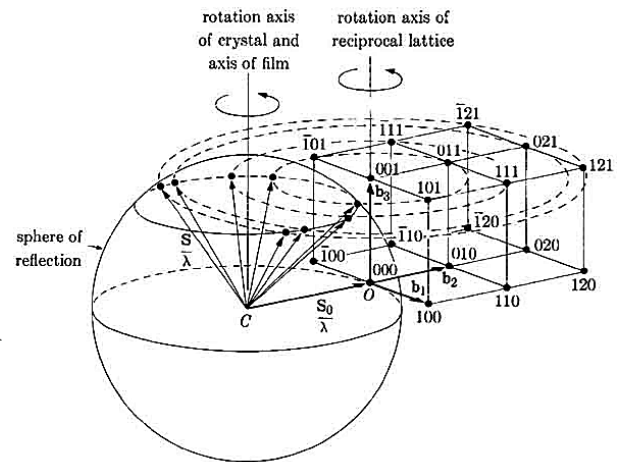
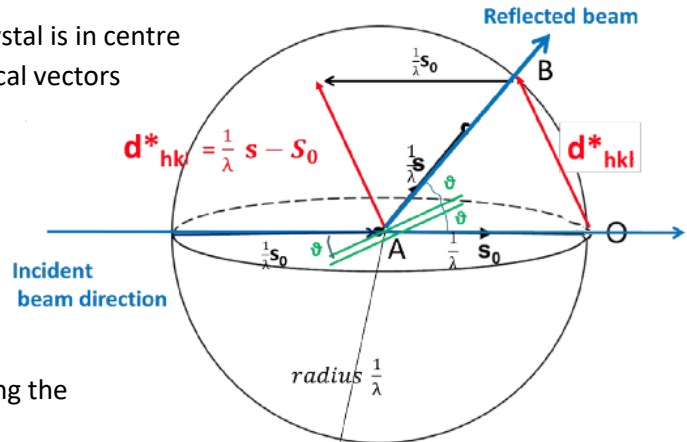
$$b(\cos \beta_n - \cos \beta_0) = \mathbf{b} \cdot (\mathbf{s} - \mathbf{s}_0) = n_y \lambda = k \lambda$$

$$c(\cos \gamma_n - \cos \gamma_0) = \mathbf{c} \cdot (\mathbf{s} - \mathbf{s}_0) = n_z \lambda = l \lambda$$

$$\frac{(\mathbf{s} - \mathbf{s}_0)}{\lambda} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

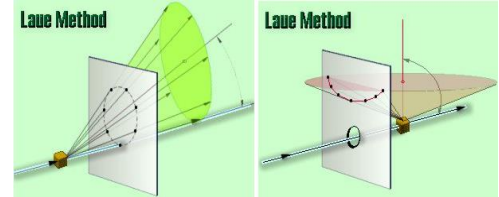
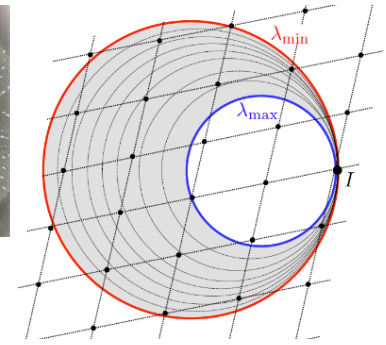
Ewald sphere

- Representation of diffraction on crystal; crystal is in centre
- Peaks in a spectrum = norms of the reciprocal vectors
- Radius = $1/\lambda$ (reciprocal wavelength)
- Draw reciprocal lattice in same scale
- intersections of reciprocal sphere points with sphere surface = *diffracted beam maximi*
- Find all possible diffraction points by rotating the reciprocal lattice
- Equal to rotating the Ewald sphere
- *Resolution sphere* of $R=2/\lambda$
- Maximum n.o. peaks = n.o. reciprocal lattice points circumscribed by the resolution sphere

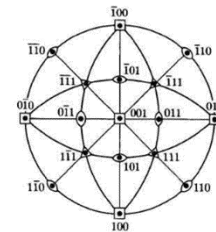
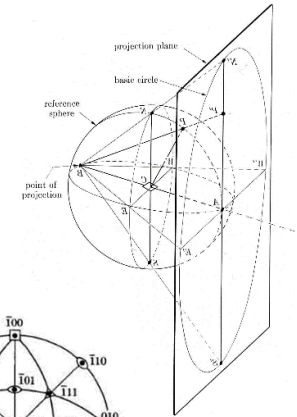
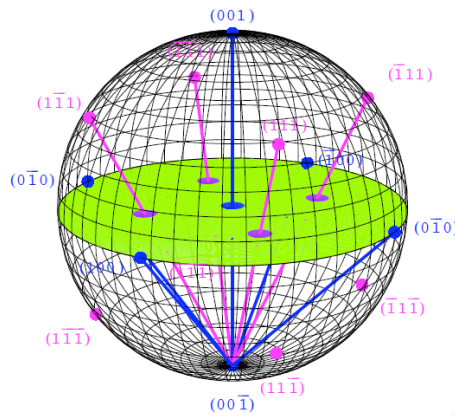
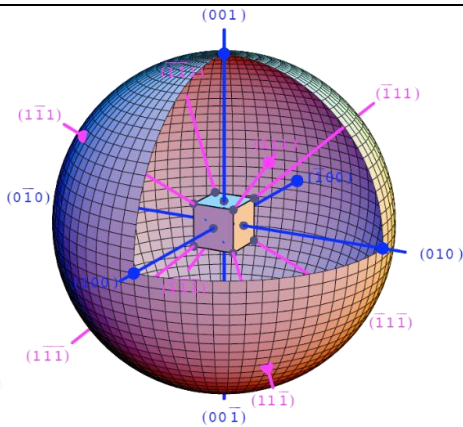


Laue technique

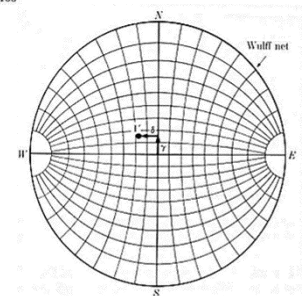
- Varies the size of the Ewald sphere by varying λ
 - Min/max spheres, peaks in between
- Used for thin single crystals, fixed in place
- Transmission or reflection mode measurement
 - Film placed behind (T) or before (R) sample
- Cannot measure lattice constants or peak intensity
 - Crystal orientation analysis & quality evaluation
 - Phase distribution and grain orientation mapping
 - Strain/stress mapping
- The curves of points represent zone groups of planes
 - T-mode gives circles; R-mode gives hyperbolae
- Laue pattern can be converted to a *stereographic projection*
 - Done by software



Stereographic projection



- Crystal is imagined in the middle of the sphere
- Crystal plane normal (poles) are projected onto the sphere
- Projected points are transferred to the equatorial plane
 - Projecting towards the sphere's south pole
 - *Alternatively:* extend from south pole to the north tangential surface
- The resulting *stereographic projection* represents the 3D crystal in 2D
- Angles between axes/poles can be measured with the Wulff net
 - Rotate net until the points to compared are on the same circle
 - Count the difference in latitude (1 line = 10°)



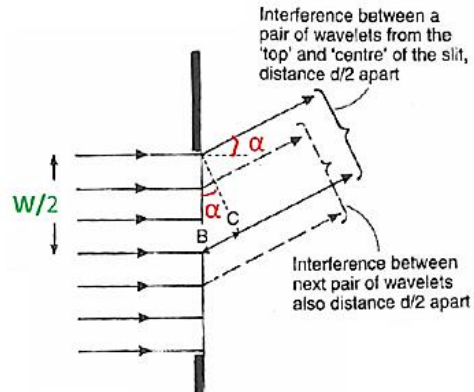
Diffraction & interference

Narrow gap diffraction:

Interference occurs when the width is of the order of the wavelength

Destructive:
 $BC = (n + 1/2) \lambda$

Destructive:
 $BC = n \lambda$

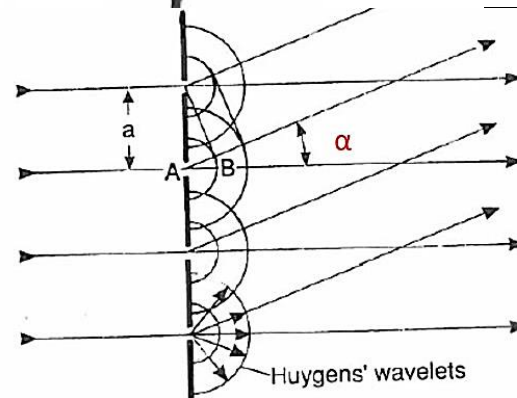


Diffraction from grating:

Distance between slits on the order of magnitude of the wavelength, slits very narrow
 → Huygen's wavelet approximation

Destructive:
 $A \sin(\alpha) = (n + 1/2) \lambda$

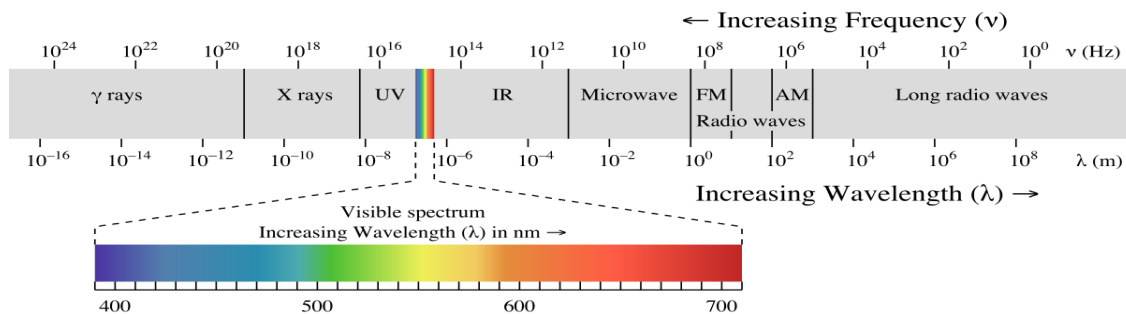
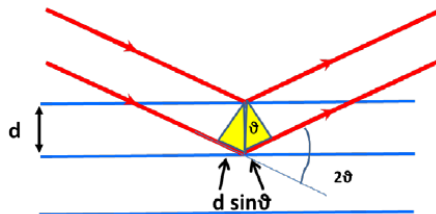
Constructive:
 $A \sin(\alpha) = n \lambda$



If the wavelength is too small (scale of diffraction gap/grating is too big), the interference fringes will be too close together to discern → continuous irradiation

Bragg law:

$$2 d \sin(\theta) = n \lambda$$



Diffraction methods

- **X-ray diffraction (XRD):** interference of multiple (layered) reflections
 - A *collective* phenomenon, only occurring at specific angles
- Bragg diffraction: diffraction via imaginary diffraction planes (geometrical construction)
 - **Laue indices:** planes with common factors
- Diffractometer → diffracted spectrum (diffractogram): intensity vs. angle 2θ
 - Lattice type and parameters, atomic structure, strain, crystallites; texture & size & quality, ...
 - Small sources (tube, lab diffractometer) and big ones (synchrotrons)

| methods | λ | θ |
|---------------------|-----------|----------|
| Laue method | Variable | fixed |
| Rotation techniques | fixed | Variable |
| Powder diffraction | fixed | Variable |

Electron diffraction

Wavelength by de Broglie $\lambda = h/p$ (h: Planck)

Electrons accelerated by voltage U

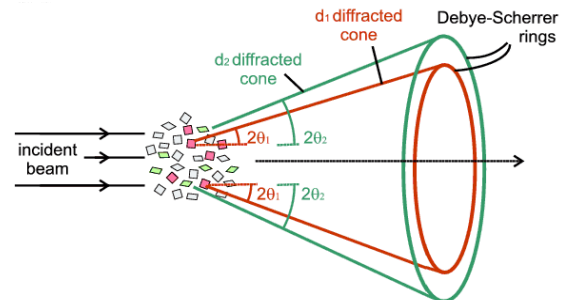
→ $E_{pot} = eU$ and $p = (2m_e eU)^{1/2}$

TEM: Tunnelling Electron Microscopy

Another possibility: Neutron diffraction

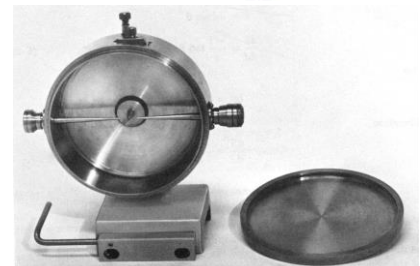
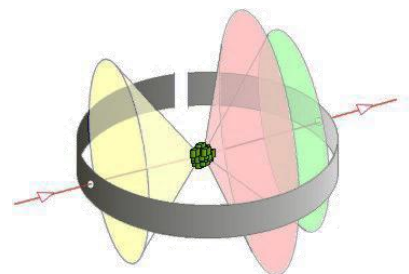
Powder diffraction

- Randomly oriented microcrystals, often in a powder
- All planes of d_{hkl} spacing diffract at the same 2θ angle
 - Intensity cone of semi-angle 2θ about the direct beam: *Debye-Scherrer rings*
- Disadvantage: conversion of 3D to 2D data array
 - → inevitable loss of information
- Assumptions: perfect statistical distribution
 - Ignore possibility of preferential break planes
- Find out: lattice (type, parameters → phase), strain & deformation, size of microcrystals, quality



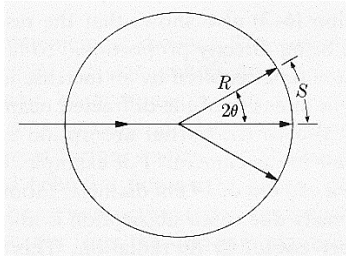
The Debye-Scherrer method (1930)

- Classic method: sample in cylindrical camera
 - Debye-Scherrer rings captured on photographic film
- Sample is a rod shape or powder in a capillary tube
 - Added feature: sample rotation
- Chambers typically 57,3 mm ($1\text{mm} = 2^\circ$) or 114,6 mm ($1\text{mm} = 1^\circ$)
 - Can control ambience (inert gas/vacuum)
- Very small samples can give very good resolution ($0,001 \text{ \AA}$)
- Beam leftovers collected and absorbed by lead
 - 'parasitic beam'
- Modern equivalent: film replaced with detector array



Resolving power

Increases with increasing R and θ



$$\lambda = 2d \sin \theta$$

$$0 = 2d \cos \theta \delta \theta + 2 \sin \theta \delta d$$

$$\frac{\delta \theta}{\delta d} = -\frac{1}{d} \tan \theta$$

Resolution increases with θ

$$S = 2\theta R; \quad \delta S = \frac{\delta S}{2R}$$

δS is the distance between 2 close peaks measured on the detector (film) surface

$$\frac{\delta S}{\delta d} = \frac{-2R}{d} \tan \theta$$

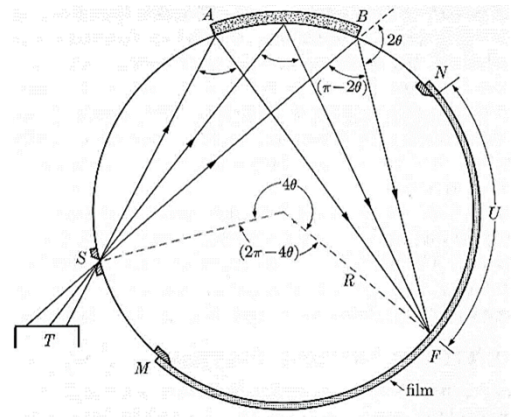
$$\frac{d}{\delta d} = \frac{-2R}{\delta S} \tan \theta, \text{ or } \delta S = -2R \frac{\delta d}{d} \tan \theta$$

Seemann-Bohlin chamber

Euclides:

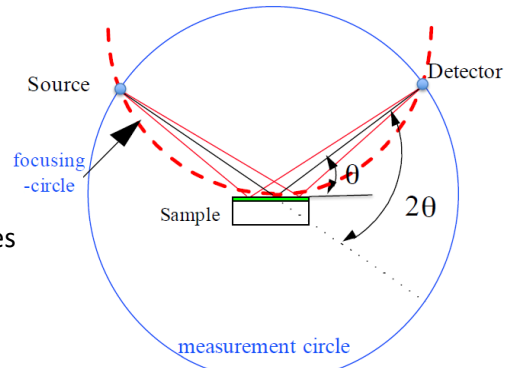
“the angles in the same segment of a circle are equal”

- Polycrystalline sample fixed between A & B
- Photographic film mounted between N & M
- All beams diffracted by a select plane will focus at F
 - Regardless of where they refracted
- Much higher intensity than Debye-Scherrer: larger sample
- Higher resolution than Debye-Scherrer (factor 2)



Bragg-Brentano geometry

- Most common in modern diffractometers
- Approximates parallel beam at large radius
 - As 2θ is varied, the focusing circle shrinks
- With a flat sample, focusing is never perfect
- Focus improves by smaller sample areas and larger machines
- Detector is electronic: scintillation counter or similar
 - Digitized signal, step scattered intensity

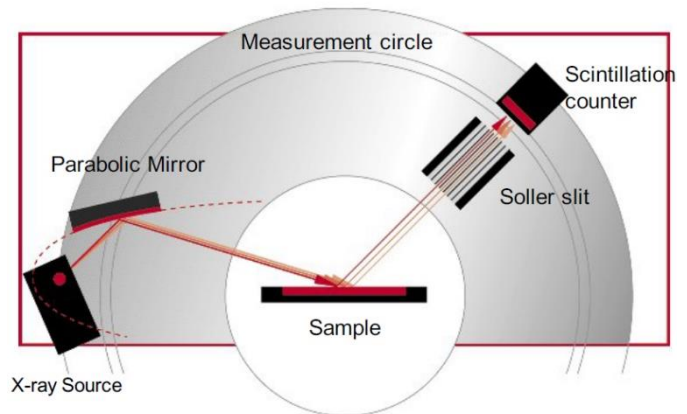


Grazing incidence geometry

- Measuring ultra-thin layers (<10-20 nm)
- Thin film epitaxy, film quality, ...
- **Parabolic mirror:** parallel beams
 - Can be replaced by **Soller slit**

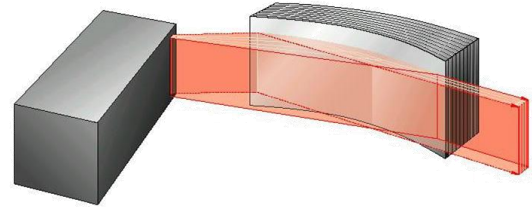
Parallel beam geometry

- Same geometry as above
- Measure irregular shapes without distortion

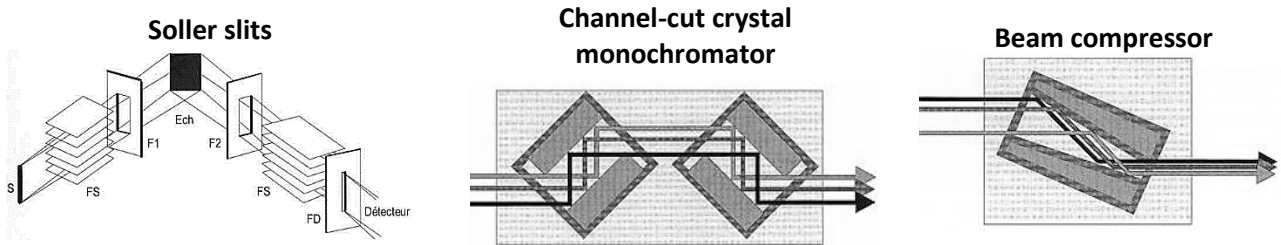


Parabolic Göbel mirrors

- Multilayer crystal mirror: works by Bragg diffraction
 - d -spacing varies in a controlled manner
- Picks up a slice (ca 1°) of the divergent primary beam
 - High-energy parallel beam produced
- Extremely expensive to manufacture
 - Specific to wavelength and machine geometry



Other parallelization methods

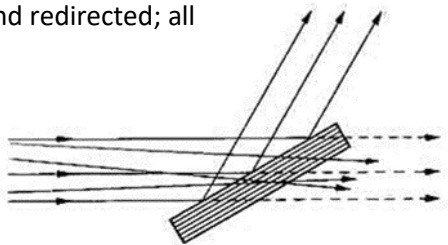


- Channel-cut crystal monochromators are used for high-resolution measurements.
- They provide highly monochromatic beam (better than curved crystals or parabolic mirror)
- The disadvantage is a significant loss of intensity and rather complicated and delicate alignment

Monochromators

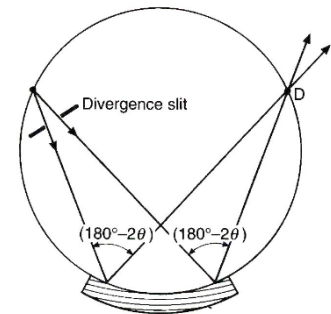
Flat crystal monochromator

Simplest and cheapest: rather low efficiency. Only the selected wavelength is diffracted and redirected; all others pass.



Curved crystal monochromator (Johansson)

Improvement of the flat crystal. The crystal's curvature radius is twice that of the focusing circle; then cut to fit the focusing circle.



Beam absorption

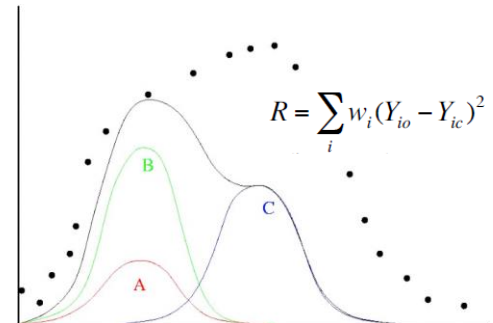
| | | | |
|----------|--|--|---|
| I | Intensity (E/s) | $G_x(x) = 1 - e^{\frac{-2\mu x}{\sin \theta}}$ | $-\frac{dI}{I} = \mu dx$ $I_x = I_0 e^{-\mu x}$ $\mu^* = \frac{\mu}{\rho}$ $\mu^* = \sum_{i=1}^N w_i \mu_i^*$ |
| μ | Linear absorption coefficient | | |
| μ^* | Mass absorption coefficient (cm ² /g) | | |
| w_i | Substance i weight fraction | | |
| θ | Angle of incidence | | |
| ρ | Density | | |
| x | Depth | | |
| G_x | Energy fraction absorbed at depth x | | |

Powder diffraction

- Identification of d_{hkl} values and corresponding intensities
 - Intensity generally drop with increasing 2θ
- Compare spectrum with the reference or search in the database;
 - Phase identification software, Hanawalt tables
- For a known structure, peaks can normally be identified by logic – extinctions make it harder
- **Problems:**
 - Peaks missing (weak, hidden, extinct), extra peaks
 - Mismatch of relative intensities, peaks shifted (sample misalignment)
 - Distorted / too wide peaks (crystal size)
 - High background (amorphous phase, fluorescence)
- **ICDD:** International Centre for Diffraction Data
 - **PDF:** Powder Diffraction File (L3, s.20-22)

Rietveld method

- Fit the whole spectrum, not the individual peaks
- Include background and peak shapes in the algorithm
 - → minimize intensity difference
- Possible even when peaks overlap completely



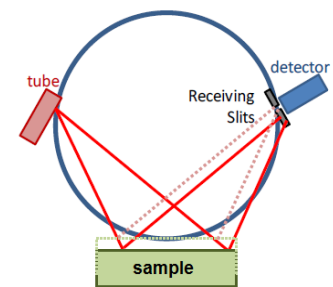
Graphic technique

1. Know the basic lattice structure (cubic, orthorhombic, ...)
2. Combine Bragg's law with the expression for interplanary distance
3. Plot $\sin^2(\vartheta)$ as a function of $(h^2+k^2+l^2)$ and find k as an expression of a
4. Solve for a by substituting the other parameters with experimental data
5. Requirement: All abscissa values (y-values) of the plotted line must be integers

Errors in Bragg-Brentano geometry

Sample displacement

- Greatest source of errors in PXRD: beam is refracted too low/high
→ no convergence at detector → observed peak is shifted
- Use the right sample holders & correct sample preparation
- Internal calibrations and correction algorithms
- Error is avoided using parallel-beam optics



Flat specimen

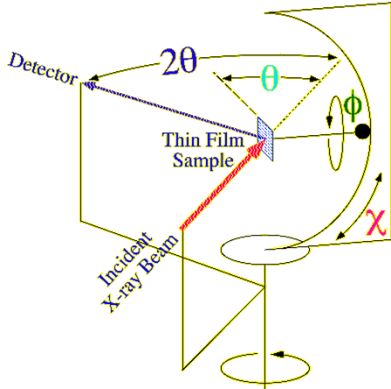
- Sample is flat; focusing circle is not → surface misalignment
 - Asymmetric broadening at low angles 2θ
- Use narrow divergence slits, smaller sample
- Error is avoided using parallel-beam optics

Other:

- Poor counting statistics, scan speed, grain size problem, ...

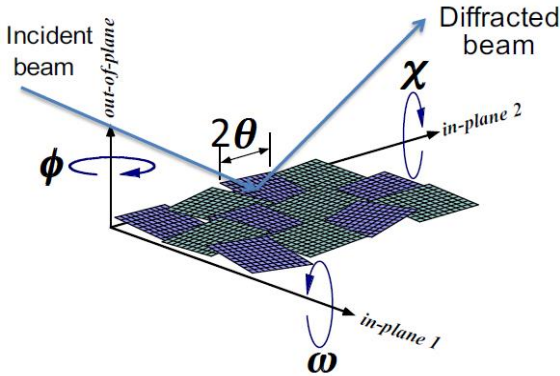
$$\Delta 2\theta = -\frac{2l \cos \theta}{R}$$

| | |
|------------------|-------------------------|
| l | Displacement distance |
| R | Goniometer radius |
| $\Delta 2\theta$ | Peak displacement (rad) |



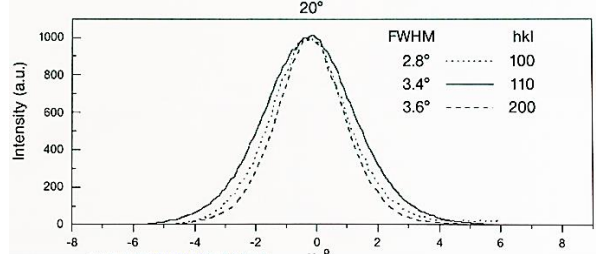
Pole figures

- Diffractometer equipped with Eulerian cradle needed
- Measuring preferred orientation of crystals (powder/film)
- XRD already known, suitable 2θ peak selected
 - Fix 2θ and χ , and rotate around φ ; φ -scan
- Obtain the stereographic projection (contour maps) of the distribution of poles for a chosen set of planes
 - Width of distribution indicates strength of preference

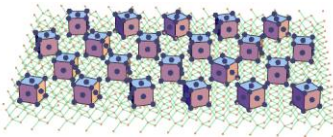


Rocking curve scan: Ω-scan

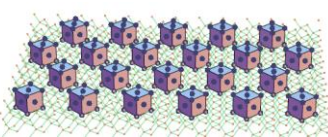
- Fix 2θ and scan a range of ω while rotating φ
- Grain surface alignment (textured thin films)



Fiber texture

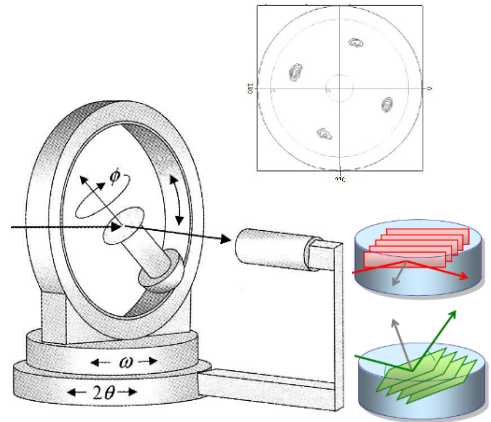


Epitaxial film



Azimuthal scan: φ-scans

- Distinguish between textured and epitaxial films
- Must use planes NOT parallel to the sample surface
- If the scan shows peaks, crystallites are aligned
- χ is found as the angle between the film normal and the normal of the chosen plane (angle between d_{1^*} and d_{2^*})



Fixed 2θ and χ , vary φ

Beam broadening: sources of peak shape distortions

- Distribution of d-spacings for every plane family
 - Surface tensions of nanoparticles
 - Impurities, shape, surface treatment
 - Instrument effects
- Measured as peak half-height width distortion β
- Peak width can indicate nanocrystallite size!
- Instrument calibration is important!
 - Gauss: $\beta_{meas}^2 = \beta_{peak}^2 + \beta_{instr}^2$
 - Cauchy: $\beta_{meas} = \beta_{peak} + \beta_{instr}$

Distortion by deformation:

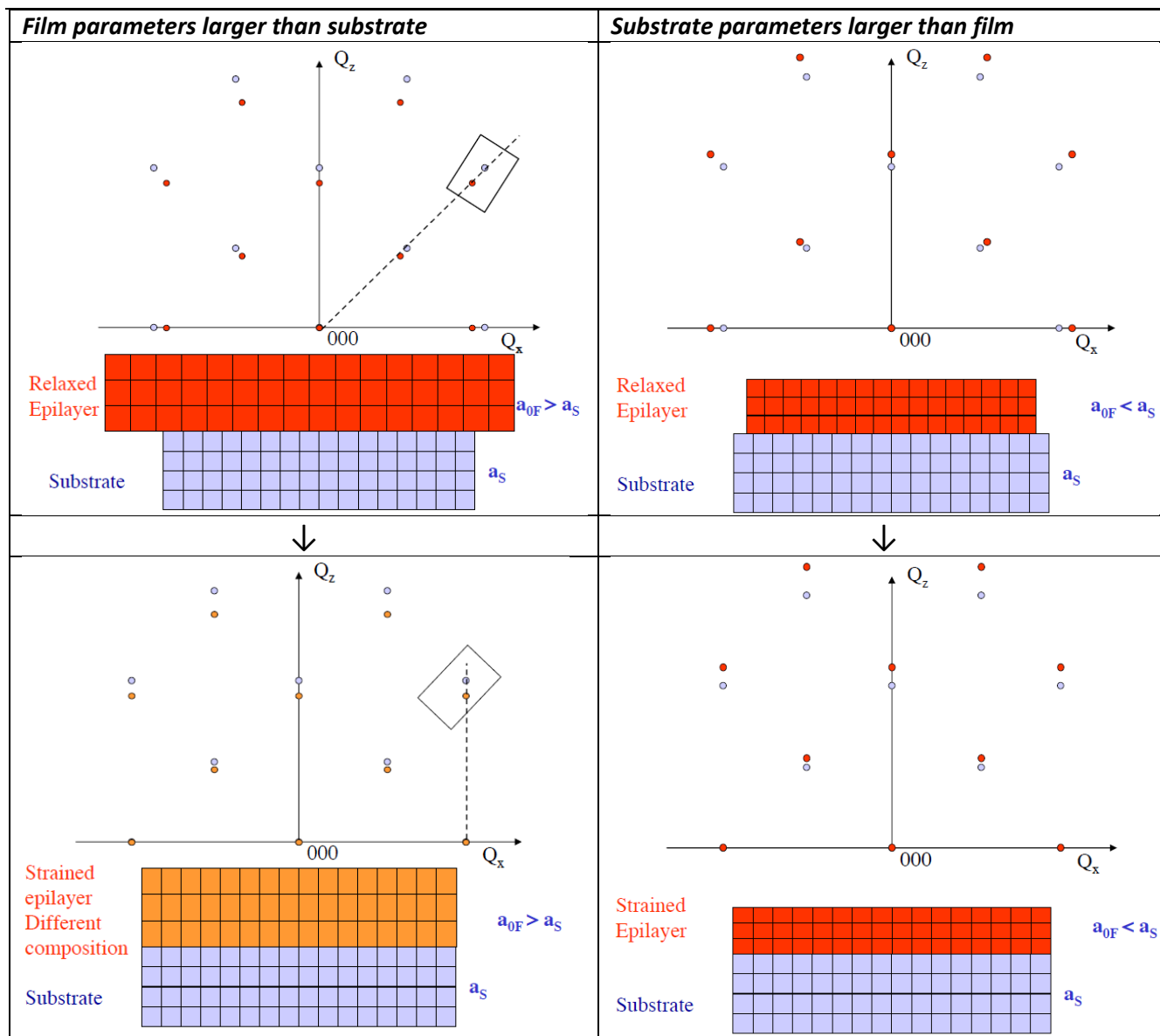
$$\beta_{def} = \delta(2\theta) = 2 \frac{\delta d}{d} \tan \theta$$

$$\epsilon = \frac{\delta d}{d} \quad \sigma = \epsilon E = \frac{1}{2} \beta E \cot \theta$$

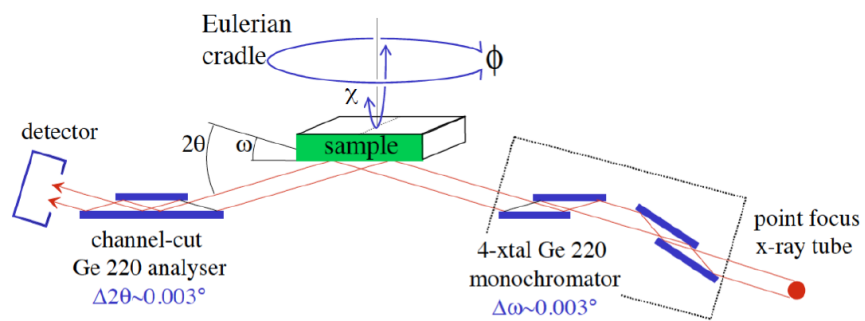
Nanocrystallite size D: (P. Scherrer formula)

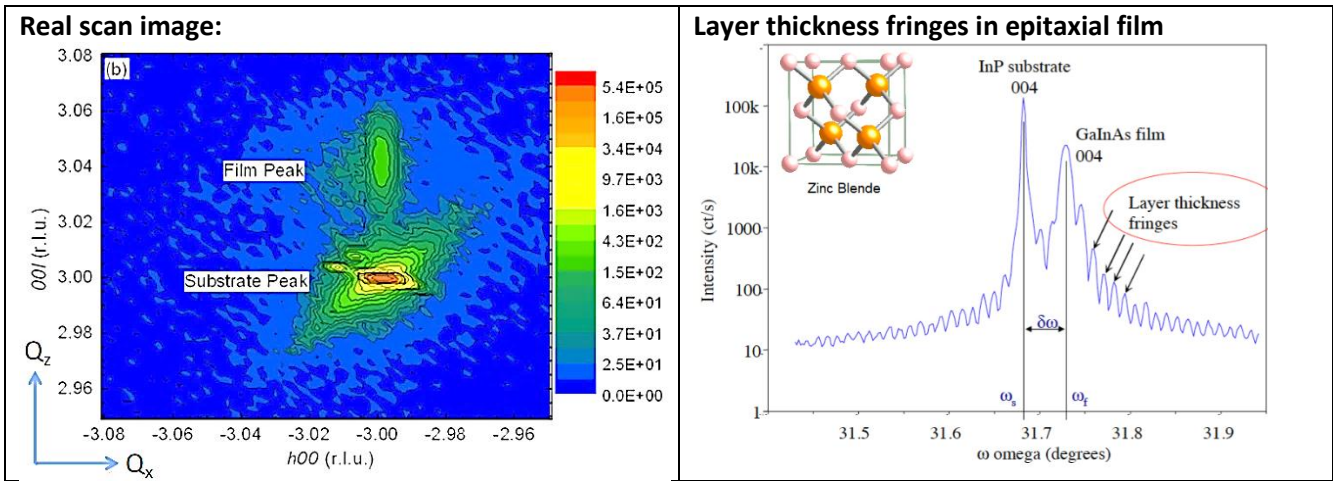
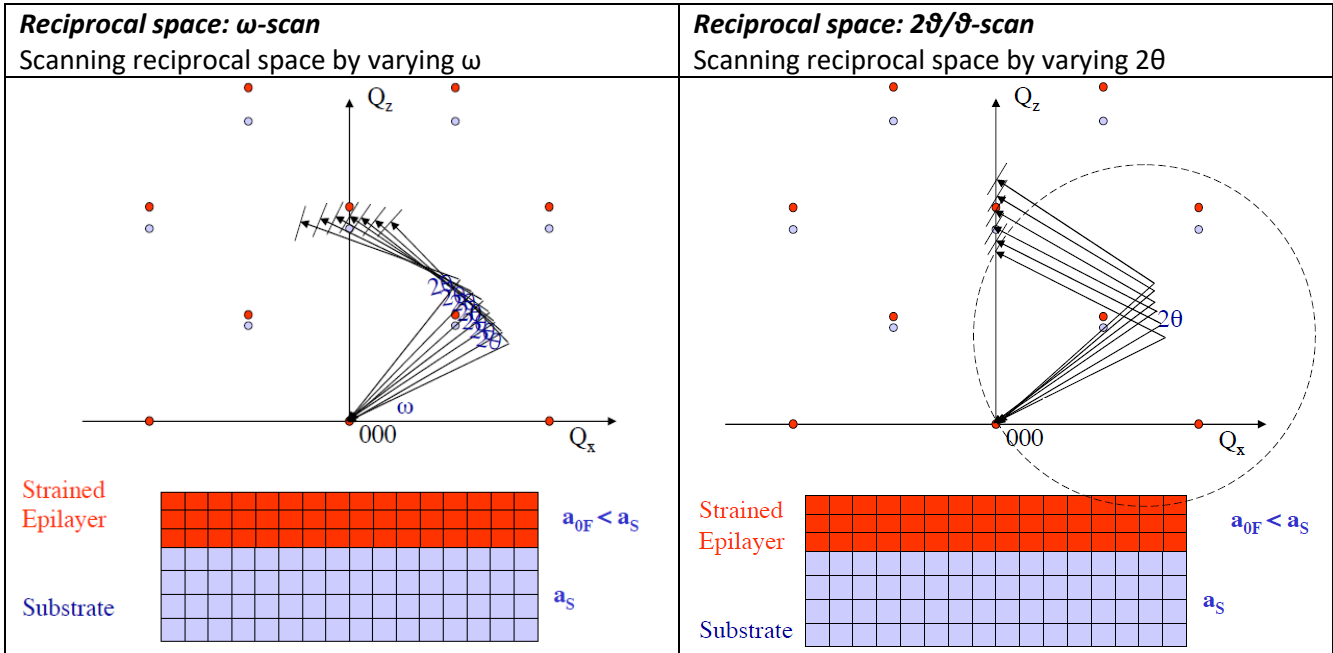
$$\beta_{ncr} = \frac{K\lambda}{D \cos \theta} \quad K = \frac{2}{\sqrt{\pi}} \sqrt{\ln 2} \approx 0,9$$

Reciprocal space mapping of epitaxial films



High-resolution diffraction optics





Rocking curves for epitaxial layers

- Normal is perpendicular to the substrate surface
 - ω is also the diffraction angle ($\omega = \vartheta$)
- Double-axis measurement: total intensity refracted over a range of 2ϑ is mapped as a function of ω

