

## Chapter 5: Deformations with prescribed properties

$\text{supp } C(\bar{P}) = K \Rightarrow \exists R_{\bar{P}}$  universal def. ring,  $\text{Spec}(R_{\bar{P}})$  ( $\leftarrow$  think of it as  $\text{Spec}(R_{\bar{P}})$ !) = deformation space, i.e.  $\forall P \in \text{Spec}(R_{\bar{P}})$ ,  $P \leftrightarrow R_{\bar{P}} \xrightarrow{\pi_P} R_{\bar{P}}/P$   
prime in  $R_{\bar{P}}$

$\Rightarrow \exists!$  deformation of  $\bar{P}$  to  $R_{\bar{P}}/P$ .

e.g. (chapter 5)  $R_{\bar{P}} = \mathbb{Z}_p[[T_1, T_2, T_3]]$  (i.e. unobstructed) 3-dimensional /  $\mathbb{Z}_p$ ,

$T_i \equiv$  coordinates:  $\forall (m_1, m_2, m_3)$  in the max ideal of some  $\mathbb{Z}_p$ -algebra,

map  $T_i \mapsto m_i$  we get a deformation.

But in many circumstances we want only  $P$ , def of  $\bar{P}$  satisfying certain conditions. The universal def ring for these will be a quotient of  $R_{\bar{P}}$ .

### Deformation conditions (Mazur)

want:  $R \mapsto \mathcal{D}$  deformations of  $\bar{P}$  satisfying our conditions to be a subfunctor of  $\mathcal{D}_{\bar{P}}$ .

want: this subfunctor to be relatively representable.

Recall:  $\rho: \Pi \rightarrow \text{GL}_n(A)$  rep  $\Leftrightarrow \Pi \times A^n \mapsto A^n \leftarrow$  after fixing a basis of  $A^n$ .  
 $(\sigma, v) \mapsto \rho(\sigma)v$

Def:  $A, A_1$  artinian coeff  $\Lambda$ -algebras. Given  $\rho: \Pi \rightarrow \text{GL}_n(A)$  and

$d: A \rightarrow A_1$  morphism of coeff  $\Lambda$ -alg.  $\mapsto \rho_1: \Pi \rightarrow \text{GL}_n(A) \xrightarrow{d} \text{GL}_n(A_1)$  [1]

we say that  $p_\alpha (=:\alpha_* p)$  is the pushforward of  $p$  by  $\alpha$

$$\alpha_* = D_{\bar{p}}(\alpha)$$

notice:  $\alpha_*$  is  $\otimes_A A_\lambda$ .

Def: Let  $\bar{p}: \mathbb{T} \rightarrow \text{GL}_n(k)$  a residual rep. A deformation condition on deformations of  $\bar{p}$  is a property  $\mathcal{Q}$  of  $n$ -dimensional reps. of  $\mathbb{T}$

defined over artinian coeff.  $\Lambda$ -algebras s.t.

i)  $\bar{p}$  has property  $\mathcal{Q}$ . ( $k$  is artinian, local, complete)

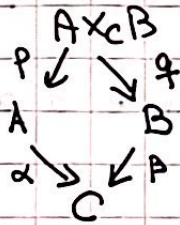
for subfunctor not to be trivial.  $D_{\bar{p}}(k) = \Lambda$

ii) Given  $p: \mathbb{T} \rightarrow \text{GL}_n(A)$  def. of  $\bar{p}$  and  $\alpha: A \rightarrow A_\lambda$ ,

if  $p$  has property  $\mathcal{Q} \Rightarrow \alpha_* p$  has property  $\mathcal{Q}$ .  $\leftarrow$  gives a functor.

iii) Let

Schlessinger criteria. Rel. rep.



be a fiber product diagram and

$p: \mathbb{T} \rightarrow \text{GL}_n(A \times B)$  def. of  $\bar{p}$ .

then  $p$  has prop  $\mathcal{Q} \Leftrightarrow p_* p, q_* p$  have property  $\mathcal{Q}$ .



iv)  $\alpha: A \hookrightarrow A_\lambda$  inj. hom of coeff.  $\Lambda$ -alg.,  $p: \mathbb{T} \rightarrow \text{GL}_n(A)$  def. of  $\bar{p}$ . If  $\alpha_* p$  has prop  $\mathcal{Q} \Rightarrow p$  has so.

Def:  $\mathcal{Q}$  def. condition for  $\bar{p}$ . Define  $D_{\bar{p}, \mathcal{Q}}: \mathcal{C}_\Lambda^0 \rightarrow \text{sets}$

$D_{\bar{p}, \mathcal{Q}}(A) = \{ \text{defs of } \bar{p} \text{ to } A \text{ w/ prop. } \mathcal{Q} \}$ .

$D_{\bar{p}, \mathcal{Q}}(R) := \varprojlim_k D_{\bar{p}, \mathcal{Q}}(R/m^k) \Rightarrow \text{extend } D_{\bar{p}, \mathcal{Q}}: \mathcal{C}_\Lambda \rightarrow \text{sets}$ .

namely: a def of  $\bar{P}$  to a  $\Lambda$ -algebra  $R$  has condition  $Q \Leftrightarrow$  its reductions

modulo  $\mathfrak{m}^k$  have so  $\forall k$ .

P.6.1  $D_{\bar{P}, Q}$  is a subfunctor of  $D_{\bar{P}}$ .

Thm 6.1 If  $Q$  is a deformation condition for  $\bar{P} \Rightarrow D_{\bar{P}, Q}$  satisfies H1, H2,

H3 in Schlessinger's thm. ( $\Rightarrow$  frames are rep by  $R_{\bar{P}, Q}^{\square} = R_{\bar{P}}^{\square}/P$ )

If  $C(\bar{P}) = k \Rightarrow D_{\bar{P}, Q}$  satisfies H4  $\Rightarrow$  it's rep by  $R_{\bar{P}, Q} = R_{\bar{P}}/P$ .

Supp  $Q$  def. condition. Consider  $D_{\bar{P}, Q}(K[[\epsilon]]) \subseteq D_{\bar{P}}(K[[\epsilon]]) \cong H^1(\pi, \text{Ad}(\bar{P}))$

Def:  $H_{\bar{P}, Q}^1(\pi, \text{Ad}(\bar{P})) =$  subspace of  $H^1(\pi, \text{Ad}(\bar{P}))$  corresponding to  $D_{\bar{P}, Q}(K[[\epsilon]])$ .

• Deformations w/ fixed determinant (most natural condition to impose)

Representations attached to elliptic curves have determinant equal to the cyclotomic

char. (this will be asked in the last assignment)

Def: Let  $\delta: \pi \rightarrow \Lambda^*$  be a cont homomorphism and  $\forall$  coeff  $\Lambda$ -algebra  
i.e. a character.

$$R, \quad \delta_R: \pi \xrightarrow{\delta} \Lambda^* \rightarrow R^*$$

We say that a def.  $\rho$  of  $\bar{P}$  has determinant  $\delta$  if  $\det(\rho) = \delta_R = i \circ \delta$   
to  $R$

$$i: \Lambda \rightarrow R$$

Lemma 6.2. Suppose  $\det(\bar{P}) = \delta k$  i.e. has determinant  $\delta \Rightarrow$

" $\det = \delta$ " is a def. condition (P, 6.4)

Suppose  $C(\bar{P}) = K \Rightarrow \exists \mathbb{R}_P^{\det=\delta}$  universal def. ring corresponding to this condition.

⊗ Tangent space?

$$\text{Ad}^0(\bar{P}) = \{ \gamma \in M_n(K) \text{ s.t. } \text{tr}(\gamma) = 0 \} \cong \mathbb{R}^n \text{ check!}$$

Lemma: If  $p \in \mathbb{R}^n \Rightarrow D_{\det=\delta}(K[\epsilon]) = H^1(\mathbb{T}^1, \text{Ad}^0(\bar{P})) \subseteq H^1(\mathbb{T}^1, \text{Ad}(\bar{P}))$

If  $p \in \mathbb{R}^n \Rightarrow H^1(\mathbb{T}^1, \text{Ad}^0(\bar{P})) \not\subseteq H^1(\mathbb{T}^1, \text{Ad}(\bar{P}))$  but  $\text{Ad}^0(\bar{P}) \hookrightarrow \text{Ad}(\bar{P})$

still induces  $D_{\det=\delta}(K[\epsilon]) = \text{Im}[H^1(\mathbb{T}^1, \text{Ad}^0(\bar{P})) \rightarrow H^1(\mathbb{T}^1, \text{Ad}(\bar{P}))]$ .

Proof: Recall that lifts to  $K[\epsilon]$  give rise to cohomology classes. Observe:

$$\begin{array}{ccc} 1 + \epsilon b & \longrightarrow & b \\ 1 + \epsilon M_n(K) & \longrightarrow & M_n(K) \\ \downarrow \det & \hookrightarrow & \downarrow \text{Tr} \\ 1 + \epsilon K & \longrightarrow & K \end{array}$$

The requirement of fixed det forces the  $1 + \epsilon M_n(K)$  part of the lift to have  $\det 1 \Rightarrow b$  should have trace zero.

$\Rightarrow$  we get elements in  $H^1(\mathbb{T}^1, \text{Ad}(\bar{P}))$  represented by cocycles taking values

in  $\text{Ad}^0(\bar{P}) \Rightarrow \in H^1(\mathbb{T}^1, \text{Ad}^0(\bar{P}))$ . If  $p \in \mathbb{R}^n$  the image is itself.  $\neq$   
 $H^1(\mathbb{T}^1, \text{Ad}(\bar{P}))$

P.6.5.  $(\bar{P}) = \kappa \dots$ ,  $\det(\bar{P}) = \delta$ .

$R = R_{\bar{P}}$ ,  $P = P_{\text{univ}}$ .  $R_{\bar{P}}^{\delta} \cong$  univ def ring of  $\det \delta$ .

$$\delta_{R} : \Pi \xrightarrow{\delta} \Lambda^* \xrightarrow{\Pi} R_{\bar{P}}^*$$

$$\Rightarrow R_{\bar{P}}^{\delta} = R_{\bar{P}} / \langle \delta_{R_{\bar{P}}}(g) - \det P(g) \rangle$$

Obs: ptn,  $(\bar{P}) = \kappa \Rightarrow R_{\bar{P}}^{\delta} = R_{\bar{P}}^{\delta} \hat{\otimes}_{\Lambda} \Lambda[[\Gamma]]$

Categorical deformation conditions (Rama Krishna)

idea: require that art (artinian) defs define  $\Pi$ -modules belonging to certain subcategory of  $\Lambda$ -modules of finite length.

$$\text{i.e. } \sigma(\lambda v) = \lambda \sigma(v)$$

$\mathcal{P} \cong$  subcategory of  $\Lambda$ -modules of finite length w/ cont  $\Lambda$ -linear  $\Pi$ -action.

Assume  $\mathcal{P}$  closed by sub-objects, quotients and finite  $\oplus$ .  
(e.g. abelian category)

Def

Given  $\bar{P}$ , a def.  $\rho: \Pi \rightarrow \text{GL}_n(R)$  is of type  $\mathcal{P}$  if the  $\Pi$ -modules

defined by  $\rho_k: \Pi \rightarrow \text{GL}_n(R/\mathfrak{m}^k)$  are in  $\mathcal{P}$ .

$$\text{i.e. } (R/\mathfrak{m}^k)^n \supset \Pi$$

Thm (Rama Krishna) If  $\bar{P}$  is of type  $\mathcal{P} \Rightarrow$  the condition "being of type  $\mathcal{P}$ "

is a def condition. (Self study)

e.g.

$\Pi = G_{\mathbb{Q}_\ell}$ ,  $\mathcal{P} = \mathcal{P}_{fl} = G_{\mathbb{Q}_\ell}$ -reps  $\rho$  over artinian  $A$  s.t.  $A^n$  isomorphic

as  $G_{\mathbb{Q}_\ell}$ -module to the generic fiber of a finite flat group scheme

over  $\text{Spec}(\mathbb{Z}_\ell)$

$$\rightsquigarrow \text{Spec}(\mathbb{Z}_\ell[x,y]/(y^2 - x^2 - ax - b)) \xrightarrow{\mathbb{Z}_\ell} \mathbb{F}_\ell[x,y]$$

$\downarrow$  ← finite flat morphism.

$$\text{Spec}(\mathbb{Z}_\ell) = \{(\mathfrak{o}), (\ell)\}$$

$\uparrow$  generic.

deformations satisfying  $\mathcal{P}_{fl}$  are called flat deformations.

$K$  is artinian. (downin).

$I_2 \cong \dots$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

have  $\det \delta: \Pi \rightarrow \Lambda^*$

$$\rho: \Pi \rightarrow GL_n(K[\epsilon])$$

$$\begin{array}{ccc} & & GL_n(\Lambda^*) \\ & \nearrow \delta & \downarrow \pi \\ \bar{\rho}: \Pi & \rightarrow & GL_n(K) \end{array}$$

$$\det(\bar{\rho}) = \pi \circ \delta$$

$$\begin{array}{ccc} \Pi & \xrightarrow{\delta} & GL_n(\Lambda^*) \\ & \searrow \rho & \downarrow \pi \\ & & GL_n(K) \subseteq GL_n(K[\epsilon]) \end{array}$$

$$\det(\rho) = \pi \circ \delta$$

$$\textcircled{p} \quad \rho: \Pi \rightarrow GL_n(K[\epsilon]) = K \times (1 + \epsilon M_n(K)) \rightarrow$$

$$\det(\rho) = \det(\bar{\rho}) \Rightarrow 1 + \epsilon K_0 = 1$$