MINI-REVIEW

Towards industrial pentose-fermenting yeast strains

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Abstract Production of bioethanol from forest and agricultural products requires a fermenting organism that converts all types of sugars in the raw material to ethanol in high yield and with a high rate. This review summarizes recent research aiming at developing industrial strains of Saccharomyces cerevisiae with the ability to ferment all lignocellulose-derived sugars. The properties required from the industrial yeast strains are discussed in relation to four benchmarks: (1) process water economy, (2) inhibitor tolerance, (3) ethanol yield, and (4) specific ethanol productivity. Of particular importance is the tolerance of the fermenting organism to fermentation inhibitors formed during fractionation/pretreatment and hydrolysis of the raw material, which necessitates the use of robust industrial strain background. While numerous metabolic engineering strategies have been developed in laboratory yeast strains, only a few approaches have been realized in industrial strains. The fermentation performance of the existing industrial pentose-fermenting S. cerevisiae strains in lignocellulose hydrolysate is reviewed. Ethanol yields of more than 0.4 g ethanol/g sugar have been achieved with several xylose-fermenting industrial strains such as TMB 3400, TMB 3006, and 424A(LNF-ST), carrying the heterologous xylose utilization pathway consisting of xylose reductase

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C. Fonseca · I. Spencer-Martins Centro de Recursos Microbiológicos (CREM), Biotechnology Unit, Faculty of Sciences and Technology, New University of Lisbon, Caparica 2829-516, Portugal and xylitol dehydrogenase, which demonstrates the potential of pentose fermentation in improving lignocellulosic ethanol production.

Introduction

The energy crisis in the early 1970s initiated research and development aimed at sustainable production of fuels and chemicals from renewable lignocellulosic feedstocks from agriculture and forestry (Tong 1979; Rosenberg 1980). Such feedstocks are composed of cellulose, hemicellulose, and lignin. Cellulose is a homopolymer of glucose, while hemicellulose is composed of the hexose sugars glucose, mannose, and galactose, and the pentose sugars xylose and arabinose (Hayn et al. 1993). The relative proportion of the individual sugars depends on the raw material; the hemicellulose fraction of hardwoods and agricultural raw materials is rich in pentose sugars, while softwood hemicellulose only contains minor fractions of the pentose sugars D-xylose and L-arabinose (Hayn et al. 1993). The increased demand for crude oil, manifested in trading prices approaching \$80/barrel in 2006, has renewed the interest in exploiting lignocellulosic feedstocks not only for liquid transportation fuel but also for the production of chemicals and materials, i.e., the development of carbohydrate-based biorefineries (Ohara 2003; Kamm and Kamm 2004; Farrell et al. 2006; Gray et al. 2006; Herrera 2006).

Complete substrate utilization is one of the prerequisites to render lignocellulosic ethanol processes economically competitive (Galbe and Zacchi 2002). This means that all types of sugars in cellulose and hemicellulose must be converted to ethanol, and that microorganisms must be obtained that efficiently perform this conversion under

industrial conditions. While ethanolic fermentation of glucose, mannose, and galactose using baker's yeast Saccharomyces cerevisiae is well established on large scale (Berg 2002), the conversion of the pentose sugars xylose and arabinose to ethanol was addressed only in the late 1970s (Chiang et al. 1981; Schneider et al. 1981). Most work focused on xylose, which is much more abundant than arabinose (McMillan and Boynton 1994). Metabolic engineering of xylose-fermenting strains has most recently been detailed by Dien et al. (2003) and by Jeffries (2006). Most engineering strategies have been only developed in laboratory strains, and less attention has been given to the development of industrially applicable solutions. The present review focuses on the development of industrial veast strains for fermentation of hydrolyzed lignocellulose to fuel ethanol, with special emphasis on the fermentation of the pentose sugars xylose and arabinose.

Strain benchmarking towards industrial requirements

Despite the wealth of literature in the field of pentose fermentation, relatively little attention has been given to the performance of pentose-fermenting strains in industrial substrates and environments (Hahn-Hägerdal and Pamment 2004). Here we discuss recent development in this field of research in relation to four industrial benchmarks, which were singled out to have the greatest influence on the price of lignocellulosic ethanol (Wingren et al. 2003):

- Process water economy
- Inhibitor tolerance
- Ethanol yield
- Specific ethanol productivity

Process concepts for the conversion of lignocellulosic feedstocks to ethanol generally include a pretreatment or fractionation step in which the chopped raw material is exposed to acidic or alkaline pH, at high temperature, so that the hemicellulose fraction is partially hydrolyzed to monomeric and oligomeric sugars, rendering the cellulose fraction susceptible for hydrolysis (Fig. 1). Then follows either acidic or enzymatic hydrolysis of the cellulose fraction. The hemicellulose and cellulose hydrolysates are fermented to ethanol, and the ethanol is recovered by distillation (Fig. 1). Furthermore, the lignin fraction can be used throughout the process to generate the necessary heat by burning, and the waste streams are evaporated and burned or fermented to methane to recover energy to be used in the process and commercialized as an added-value coproduct (Wingren et al. 2003).

Process water economy

Process water economy means that a minimum of fresh water is permitted at any stage in the process because all water has to be removed or cleaned at a later stage and such unit operations add costs to the final product (Wingren et al. 2003). Process water economy can be improved by recirculation of process water streams (Alkasrawi et al. 2002) and by increasing the dry matter content of the substrate (Öhgren et al. 2006). Minimizing fresh water usage leads to more concentrated solutions with increased osmolality, which requires the use of osmotolerant production strains.

Inhibitor tolerance

In addition to being tolerant to solutions with high osmolality, the fermenting organism must also *tolerate inhibitors* generated in the pretreatment and hydrolysis steps. In these steps, low molecular weight organic acids, furans, and aromatics are released, and such compounds are potent inhibitors of microbial metabolism (Larsson et al. 1999a; Palmqvist and Hahn-Hägerdal 2000b). Inhibitors can be efficiently removed by various detoxification processes (Larsson et al. 1999b; Palmqvist and Hahn-Hägerdal 2000a). However, in addition to increasing the ethanol production cost (von Sivers et al. 1995), fermentable sugars are lost (Larsson et al. 1999b) and fouling of process



Fig. 1 A schematic illustration of the process design for lignocellulosic bioethanol production. *SHF*: Separate hydrolysis and fermentation; *SSF*: simultaneous saccharification (hydrolysis) and fermentation

equipment may occur (Kadam et al. 2000; Schell et al. 2004). Some pretreatment and hydrolysis concepts, especially those applied to agriculture and herbaceous feedstocks, result in fermentable hydrolysates that do not require detoxification (Mosier et al. 2005). However, in view of process water economy, it is foreseeable that also hydrolysates of such raw materials must be concentrated, and then the pretreatment and hydrolysis by-products are expected to reach inhibitory levels. Therefore, in the industrial context, a robust, osmotolerant, and inhibitortolerant production organism remains a prerequisite.

Ethanol yield

Ethanolic fermentation of sugar and starch generally reaches 90-95% of the theoretical yield, equivalent to 0.45-0.48 g/g sugar in the raw material. Cost analyses of the ethanolic fermentation of lignocellulose hydrolysates have estimated raw material costs to constitute as much as one third of the production cost (von Sivers and Zacchi 1996; Galbe and Zacchi 2002; Wingren et al. 2003). Conversion of all sugars to ethanol is therefore of paramount importance for the cost-effectiveness of the process. The evaluation of microbial strains for the fermentation of lignocellulose hydrolysates must be discussed in relation to the ethanol yield based on total amount of sugars in the hydrolysate. This means that not only must all sugars (glucose, mannose, galactose, xylose, and arabinose) be taken up and metabolized by the fermenting organism, they must also be converted to ethanol with minimal by-product formation. To achieve this goal, metabolic engineering has played an important role in the past 10-15 years of research (Dien et al. 2003; Jeffries 2006).

Specific ethanol productivity

The productivity is the rate by which the product (ethanol) is formed. Two kinds of productivity are generally discussed: *volumetric productivity* (g ethanol $l^{-1} h^{-1}$) and *specific productivity* (g ethanol g biomass⁻¹ h⁻¹). The volumetric productivity relates to the investment costs for the ethanol production plant and is a function of the amount of cells used in the fermentation step. High cell density fermentation (Thomas and Ingledew 1990) increases the volumetric productivity and reduces investment costs. However, it also increases the cost for producing the cell mass, which is a function of the price for added nutrients. The specific productivity measures the efficiency of the fermenting microorganism so that higher specific productivity translates to reduced amount of cell mass required in the fermentation step. More importantly, the specific productivity is a crucial benchmark in industrial strain development because it allows comparison of strains and experimental models.

In today's ethanol production plants the volumetric productivity is in the range of 1–3 g ethanol $l^{-1} h^{-1}$ with the theoretical specific ethanol productivity, e.g., for *S. cerevisiae* being 2 g ethanol g biomass⁻¹ h⁻¹. Whereas volumetric productivities are frequently reported in the literature (Bothast et al. 1999), specific productivities mostly have to be back-traced and indirectly estimated from data on cell growth (Hahn-Hägerdal et al. 2006). However, the specific productivity is mentioned last among the four benchmarks because in bioconversion processes based on enzymatic hydrolysis of lignocellulose, the hydrolysis reaction is one to two orders of magnitude slower than the average ethanolic fermentation rate (Ljunggren 2005).

Microorganisms considered for ethanolic pentose fermentation

Species of bacteria, yeast, and filamentous fungi naturally ferment xylose to ethanol (Jeffries 1983; Toivola et al. 1984; Skoog and Hahn-Hägerdal 1990). In the lignocellulosic context and considering modern molecular strain development strategies, each group of microorganisms has its advantages and disadvantages. In Table 1, the substrate and product ranges of microorganisms most frequently considered for ethanolic fermentation of lignocellulosic biomass are summarized. Also, parameters relating to their industrial performance are indicated.

Table 1 Pros and cons of various natural microorganisms with regard to industrial ethanol production

Organism	Natural sugar utilization pathways					Major products		Tolerance			O ₂ needed	pH range
	Glu	Man	Gal	Xyl	Ara	EtOH	Others	Alcohols	Acids	Hydrolysate		
Anaerobic bacteria	+	+	+	+	+	+	+	-	-	-	-	Neutral
E. coli	+	+	+	+	+	-	+	-	-	_	_	Neutral
Z. mobilis	+	-	-	-	-	+	-	+	-	-	-	Neutral
S. cerevisiae	+	+	+	-	-	+	-	++	++	++	-	Acidic
P. stipitis	+	+	+	+	+	+	-	_	-	-	+	Acidic
Filamentous fungi	+	+	+	+	+	+	_	++	++	++	_	Acidic

Bacteria

Obligate anaerobic bacteria (Table 1) can ferment all lignocellulose-derived sugars, including their oligomers and polymers, to ethanol, other solvents, and acids (Wiegel and Ljungdahl 1986). Because these bacteria are more severely inhibited than other bacteria by high sugar concentrations and moderate concentrations of ethanol and acids, efforts are being made to isolate sugar- and ethanoltolerant variants (Sommer et al. 2004; Fong et al. 2006). So far their fermentative performance has only been investigated in dilute alkali-treated hydrolysate. Nevertheless, anaerobic bacteria have an established industrial record for the production of acetone and butanol, most recently in the former Soviet Union and in South Africa. However, these processes could not compete in the market economy of the 1990s. Also, the use of obligate anaerobic bacteria is hampered by the lack of simple and efficient molecular biology tools for genetic engineering; however, protocols for thermophilic anaerobes are being developed (Tyurin et al. 2005).

Ethanol-producing bacteria (Table 1) generally display mixed acid product formation where ethanol is a minor product. Furthermore, their optimal pH around 6–7 makes bacterial fermentation susceptible to infection and their low tolerance to lignocellulose-derived inhibitors requires a detoxification step to be included in the fermentation process (Hahn-Hägerdal et al. 1994a). Nevertheless, the presently most efficient microorganisms for fermentation of detoxified lignocellulose hydrolysates are recombinant strains of *Escherichia coli* (Ingram et al. 1987; Hespell et al. 1996; Bothast et al. 1999; Dien et al. 2003).

In contrast to other bacteria, *Zymomonas mobilis* (Table 1) produces ethanol with stoichiometric yields (Swings and DeLey 1977). It also displays high specific ethanol productivity (Lee et al. 1979; Rogers et al. 1979). Despite intensive efforts over the past 20 years, the industrial exploitation of *Z. mobilis* has so far not materialized. In relation to the variety of sugars present in lignocellulosic raw materials, the substrate range of *Z. mobilis* is limited. Recombinant xylose- and arabinose-fermenting strains, capable to ferment these sugars in detoxified lignocellulose hydrolysates, have been constructed (Zhang et al. 1995; Deanda et al. 1996; Mohagheghi et al. 2002). However, *Z. mobilis* would also need pathways for the metabolism of mannose and galactose, which constitute a considerable fraction of some lignocellulosic raw materials (Hayn et al. 1993).

Yeast

Whereas a large number of yeast species metabolize xylose and arabinose and display fermentative capacity (Barnett 2000), only approximately 1% of them are capable of fermenting xylose to ethanol (Table 1; Fig. 2a). No



Fig. 2 Utilization and fermentative capacity of D-xylose (a) and Larabinose (b) among 670 yeast species (Barnett 2000). D-Xyl D-Xylose, *L*-Ara L-arabinose, *D*-Glu D-glucose, *F*+ fermentation-positive. Asterisk indicates that ethanol was formed from L-arabinose (and D-xylose) under specific conditions not used in conventional taxonomic characterization (Dien et al. 1996)

arabinose-fermenting yeast was found in an early screening study (McMillan and Boynton 1994), while a subsequent study identified four yeast species able to ferment arabinose to ethanol (Fig. 2b; Dien et al. 1996). The discrepancy between these studies is most likely due to that the latter screen used a complex (YP) medium containing yeast extract and peptone, which contain compounds that may act as electron acceptors and thus aid conversion of arabinose to ethanol. The requirement for electron acceptors translates to very low, carefully controlled, levels of oxygen required for maximum ethanol production from arabinose and xylose by these yeasts (Skoog and Hahn-Hägerdal 1990; Fonseca et al. 2007). However, such precise oxygenation is technically impossible to maintain in large-scale industrial conditions, with concomitant reduced product yield. Also, the naturally pentosefermenting yeasts are generally inhibited by industrial

substrates (Hahn-Hägerdal et al. 1991, 1994a; Olsson et al. 1992; Hahn-Hägerdal and Pamment 2004; Klinke et al. 2004) and do not grow under anaerobic conditions even on hexose sugars (Visser et al. 1990).

Filamentous fungi

Aerobic filamentous fungi tolerate industrial substrates well and ferment pentose sugars (Skoog and Hahn-Hägerdal 1988; Hahn-Hägerdal et al. 1994a), albeit with low rates of sugar consumption and product formation (Skoog and Hahn-Hägerdal 1988). Also, some species of anaerobic filamentous fungi produce ethanol, in addition to acids and hydrogen (Wu et al. 1986; Boxma et al. 2004; Panagiotou et al. 2006). Filamentous fungi also have a proven record in the industry where they are used in large scale for production of antibiotics and acids (Atkinson and Mavituna 1991). It is probably only a question of time before engineering of filamentous fungi for ethanolic fermentation will be attempted; however, the poor ethanol tolerance of these organisms is a drawback in industrial applications.

S. cerevisiae

S. cerevisiae has traditionally been used in large-scale ethanolic fermentation of sugar- and starch-based raw materials and it is therefore well adapted to the industrial

context. It produces ethanol with stoichiometric vields and tolerates a wide spectrum of inhibitors and elevated osmotic pressure. Its superiority in fermenting nondetoxified lignocellulose hydrolysates has been repeatedly demonstrated (Olsson et al. 1992; Hahn-Hägerdal et al. 1994a, 2006; Hahn-Hägerdal and Pamment 2004). In favor of S. cerevisiae as the microorganism for fuel ethanol production speaks also the advantage of integrating large-scale lignocellulosic ethanol processes into the existing sugar caneand starch-based ethanol plants already using this yeast. This was forecast to substantially reduce the production costs of lignocellulosic ethanol (Ångspanneföreningen 1994; Wooley 1999). Sugar- and starch-based ethanol plants today exclusively operate with S. cerevisiae as a production organism. The only, but major, inconvenience to use S. cerevisiae for lignocellulosic fermentation is its inability to metabolize and ferment the pentose sugars xylose and arabinose to ethanol.

In the following, genetic engineering of *S. cerevisiae* aiming at industrial hexose- and pentose-fermenting strains will be summarized. The engineering strategies are based on the metabolism of natural pentose-fermenting microorganisms, which will be discussed first, followed by a summary of the metabolic traits that were explored in laboratory strains of *S. cerevisiae*. Finally, the development and performance of industrial recombinant pentose-fermenting *S. cerevisiae* strains will be discussed in relation to the benchmarks discussed above.

Fig. 3 D-Xylose and L-arabinose utilization pathways in bacteria and fungi



Metabolic engineering of *S. cerevisiae* for ethanolic pentose fermentation

Pentose metabolism in bacteria, yeast, and fungi

Figure 3 schematically illustrates the initial metabolic pathways for D-xylose and L-arabinose utilization in bacteria, yeast, and filamentous fungi. In bacteria, D-xylose and Larabinose are isomerized to D-xylulose and L-ribulose, respectively (Fig. 3a; Patrick and Lee 1968; Chen 1980). D-Xylulose and L-ribulose are phosphorylated to D-xylulose 5-phosphate (Anderson and Wood 1962) and to L-ribulose 5-phosphate (Lee and Bendet 1967), respectively; the latter then being epimerized to D-xylulose 5-phosphate (Lee et al. 1968), connecting the initial pentose metabolism to the central metabolism through the pentose phosphate pathway (PPP). An alternative arabinose utilization pathway in bacteria was also recently described (Watanabe et al. 2006).

In most fungi, the initial conversion of D-xylose and Larabinose to D-xylulose 5-phosphate proceeds through a series of reduction and oxidation steps involving the cofactors NAD(P)⁺/NAD(P)H (Fig. 3b). Whereas D-xylose is directly reduced to xylitol (Bruinenberg et al. 1984; Bolen et al. 1986; Rizzi et al. 1988), L-arabinose is reduced to L-arabitol, which undergoes additional oxidation and reduction steps to be converted to xylitol (Fig. 3b; Chiang and Knight 1960; de Vries et al. 1994; vanKuyk et al. 2001; Richard et al. 2002; Verho et al. 2004). Xylitol is oxidized to D-xylulose (Bolen et al. 1986; Rizzi et al. 1989; Wang and Jeffries 1990), which, similar to bacteria, is phosphorylated to D-xylulose 5-phosphate (vanKuyk et al. 2001). Like in bacterial metabolism, D-xylulose 5-phosphate connects the initial metabolism of arabinose and xylose to the central metabolic pathways. In addition, yeast (Vongsuvanlert and Tani 1988) and filamentous fungi (Banerjee et al. 1994; Harhangi et al. 2003) harboring xylose isomerase (XI) activity have been reported.

Based on current knowledge of pentose metabolism (Fig. 3), numerous metabolic engineering strategies have been explored in laboratory strains of *S. cerevisiae* to determine their effect on fermentation of xylose and arabinose (Table 2). In the following, metabolic engineering for pentose fermentation will first be discussed for the case of laboratory strains and then for industrial strains.

Metabolic engineering for pentose fermentation in laboratory strains of *S. cerevisiae*

While *S. cerevisiae* naturally harbors genes for xylose utilization (Deng and Ho 1990; Kuhn et al. 1995; Richard et al. 1999; Toivari et al. 2004), these are expressed at such low levels that they do not support growth on xylose. However, *S. cerevisiae* grows on D-xylulose and ferments it

to ethanol (Wang and Schneider 1980; Chiang et al. 1981) (Fig. 3), albeit with ten times lower rate than for glucose (Senac and Hahn-Hägerdal 1990). Recently, a strain of *S. cerevisiae* was described in which the endogenous xylose utilization pathway was upregulated through an adaptation protocol (Attfield and Bell 2006). However, the rate of xylose growth and fermentation of this strain has not yet reached industrially competitive levels.

The *Pichia stipitis* genes *XYL1* and *XYL2* encoding XR and xylitol dehydrogenase (XDH), respectively, have been introduced in *S. cerevisiae* (Kötter and Ciriacy 1993; Tantirungkij et al. 1993; Fig. 3), which resulted in growth on xylose. It was recognized that also the endogenous *XKS1* gene encoding xylulokinase (XK) had to be overexpressed for xylose fermentation to occur (Ho et al. 1998; Eliasson et al. 2000; Toivari et al. 2001). Bacterial and fungal XI pathways have been also established in *S. cerevisiae* (Walfridsson et al. 1996; Kuyper et al. 2003).

Arabinose-fermenting *S. cerevisiae* strains have been generated only recently. A functional bacterial pathway could only be established when the *E. coli* L-arabinose isomerase (Sedlak and Ho 2001) was replaced by the corresponding *Bacillus subtilis* enzyme (Becker and Boles 2003), resulting in arabinose fermentation. Also, a fungal arabinose pathway was expressed in *S. cerevisiae* but this did not result in ethanolic fermentation (Richard et al. 2003), in agreement with the inability of natural arabinose-utilizing yeast to produce ethanol in the absence of external electron acceptors (McMillan and Boynton 1994; Dien et al. 1996).

The rate of pentose utilization by recombinant S. cerevisiae is generally one to two orders of magnitude lower than the utilization of hexose sugars (Hahn-Hägerdal et al. 2005). Therefore, additional metabolic engineering strategies were elaborated in S. cerevisiae strains harboring the initial pentose-utilization pathways. Most engineering strategies have aimed at increasing the metabolic flux of xylose and increasing the ethanol yield. While tested in xylose-utilizing strains, many of the strategies developed should also apply to the more recently constructed arabinose-utilizing strains (Becker and Boles 2003; Richard et al. 2003; Karhumaa et al. 2006). The engineering approaches are summarized in Table 2, including engineering of sugar transport, initial conversion of the pentose sugars, utilization of redox cofactors, enhancing the PPP, and random improvement by evolutionary methods. Generally, the physiological results of these endeavors are in accordance with metabolic control analysis (MCA) (Cornish-Bowden et al. 1995), which predicts that the flux increases by "pulling" rather than "pushing" the metabolic flow and that the demand for the end-product controls the flux (Hofmeyr and Cornish-Bowden 2000).

As for other sugars, pentose uptake by yeasts requires transport proteins. Xylose is transported by the high- and

Category	Modification	Reference
Transport	Xylose transport	(Hamacher et al. 2002)
		(Leandro et al. 2006)
Xylose utilization pathways	XR/XDH	(Kötter and Ciriacy 1993)
		(Tantirungkij et al. 1993)
		(Walfridsson et al. 1997)
		(Ho et al. 1998)
		(Toivari et al. 2001)
	Mutated XR	(Jeppsson et al. 2006)
	XI	(Walfridsson et al. 1996)
		(Kuyper et al. 2003)
	Mutated XI	(Lönn et al. 2002)
	XK	(Deng and Ho 1990)
		(Toivari et al. 2001)
		(Johansson et al. 2001)
		(Jin et al. 2003)
Arabinose utilization pathways	Fungal arabinose pathway	(Richard et al. 2003)
	E. coli arabinose pathway	(Sedlak and Ho 2001)
	B. subtilis/E. coli arabinose pathway	(Becker and Boles 2003)
Xylose and arabinose pathways	XR/XDH/XK and B. subtilis/E. coli	(Karhumaa et al. 2006)
combined	arabinose pathways	
Reducing xylitol formation	Aldose reductase Gre3 deletion	(Träff et al. 2001)
		(Lönn et al. 2003)
		(Träff-Bjerre et al. 2004)
		(Kuyper et al. 2005a)
	Oxidative PPP deletion	(Jeppsson et al. 2002)
		(Verho et al. 2003)
	GDH1-GDH2, GsGoGAT	(Roca et al. 2003)
	NADP(H)-dependent G3PDH	(Verho et al. 2003)
		(Bro et al. 2006)
	Transhvdrogenase	(Jeppsson et al. 2003a)
	Optimization of XR/XDH levels	(Eliasson et al. 2001)
	I	(Jin and Jeffries 2003)
		(Jeppsson et al. 2003b)
		(Karhumaa et al. 2007)
Improving the efficiency	Overexpression of TAL	(Walfridsson et al. 1995)
of metabolism	I I I I I I I I I I I I I I I I I I I	(Jin et al. 2005)
	Overexpression of the non-oxidative PPP	(Johansson and Hahn-Hägerdal 2002b)
	I I I I I I I I I I I I I I I I I I I	(Johansson and Hahn-Hägerdal 2002a)
		(Karhumaa et al. 2005)
		(Kuyper et al. 2005a)
	Phosphoketolase pathway	(Wahlbom 2002)
		(Sonderegger et al. 2004c)
	Mig1/Mig2 deletion	(Roca et al. 2004)
		(Thanyantri Gururaian et al
		submitted for publication)
	Evolutionary engineering/	(Wahlbom et al 2003)
	adaptation/mutagenesis	(Kuyper et al. 2003)
	adaptation/matageneois	(Kuyper et al. $2005b$)
		(Pitkänen et al. 2005)
		(Attfield and Bell 2006)
Anaeropic growth on vylose	Evolutionary engineering	(Sonderegger and Sauer 2003)
Anderobile growth oll Xylose	Evolutionary engineering	(Kuyner et al. 2004)
		(Kuyper et al. 2004)

Table 2 Metabolic engineering strategies applied in laboratory strains of *S. cerevisiae* to improve xylose fermentation under laboratory (academic) conditions

intermediate-affinity hexose transporters in *S. cerevisiae* (Hamacher et al. 2002; Lee et al. 2002). A MCA study indicated that xylose transport controls the xylose flux at low sugar concentration in strains with high activity of the subsequent xylose-utilization pathway (Gárdonyi et al. 2003a). The affinity of the hexose transporters for xylose is one to two orders of magnitude lower than the affinity for glucose (Kötter and Ciriacy 1993). Therefore, in sugar mixtures, xylose is usually consumed only after depletion of hexose sugars.

Naturally xylose-utilizing yeasts transport xylose by a facilitated diffusion mechanism, for which the sugar gradient is the only driving force, or through an active transport system, usually sugar/proton symports, driven by the proton motive force that allows the intracellular accumulation of substrate (Spencer-Martins 1994). They are strongly regulated by the extracellular substrate concentration (Gárdonyi et al. 2003a; Rodrigues de Sousa et al. 2004). At first glance a heterologous active transport protein would be the prime choice for metabolic engineering of xylose-fermenting S. cerevisiae. However, this transport mechanism concomitantly consumes 1 mol of ATP for each proton cotransported with the sugar to pump out the proton and prevent intracellular acidification (Fig. 4). This functions under aerobic conditions without creating major energetic constraints; however, the depletion of a significant fraction of the conserved ATP considerably reduces anaerobic growth (Weusthuis et al. 1993). Therefore, better prospects for the development of pentose-



Fig. 4 Illustration of transport mechanisms for sugars present in hemicellulosic hydrolysates and found in a *S. cerevisiae* and in b other yeasts and filamentous fungi. *D-Glu* D-glucose, *D-Xyl* D-xylose, *D-Gal* D-galactose, *L-Ara* L-arabinose

fermenting *S. cerevisiae* are to consider heterologous expression of specific pentose-transporting facilitated diffusion proteins. The recently reported heterologous expression in *S. cerevisiae* (Leandro et al. 2006) of both the facilitated diffusion and the proton symporter proteins from the xylose-utilizing yeast *Candida intermedia* PYCC 4715 (Gárdonyi et al. 2003b) offers great promise for future development of pentose fermenting strains of *S. cerevisiae*.

In defined mineral medium (Hahn-Hägerdal et al. 2005), almost all recombinant D-xylose- and L-arabinose-utilizing strains of S. cerevisiae produce the corresponding sugar alcohols xylitol and L-arabitol, which translates into reduced ethanol yield-one of the four benchmarks. In the absence of oxygen and other electron acceptors, xylitol and arabitol formation is caused by the inability of the cell to provide NAD⁺ for the XDH reaction and the additional NAD⁺-consuming reactions in the arabinose pathway (Fig. 3). Xylitol formation has been reduced by introducing the XI pathway (Walfridsson et al. 1996; Kuyper et al. 2003; Lönn et al. 2003; Fig. 3) instead of the XR/XDH pathway. So far, XI enzymes have been only expressed under strong promoters in multicopy plasmids, suggesting that the specific activity of the present XIs is insufficient to generate stable chromosomally integrated recombinant strains.

Very little xylitol is formed by XR/XDH-carrying strains in lignocellulose hydrolysate (Moniruzzaman et al. 1998; Martin et al. 2002; Sedlak and Ho 2004; Katahira et al. 2006; Öhgren et al. 2006), in contrast to defined mineral medium. Similarly, xylitol formation has been reduced by adding electron acceptors such as ketones and aldehydes in the medium (Bruinenberg et al. 1983; Alexander 1986; Wahlbom and Hahn-Hägerdal 2002). Such compounds are present also in lignocellulose hydrolysates (Larsson et al. 1999a; Palmqvist and Hahn-Hägerdal 2000b) and most likely also in YP medium (Dien et al. 1996; Ho et al. 1998). Xylitol formation is also significantly lower when xylose is cofermented with glucose (Meinander et al. 1999; Karhumaa et al. 2007; Öhgren et al. 2006). Under these conditions, the rate of xylose utilization is increased suggesting that xylitol formation is not only a consequence of an insufficient pool of oxidized cofactors but also a function of an insufficient xylose flux (Hofmeyr and Cornish-Bowden 2000).

Other strategies to reduce xylitol formation and to increase the ethanol yield are (1) to alter the pool of oxidized cofactors by engineering the levels of XR and XDH (Walfridsson et al. 1997; Eliasson et al. 2001; Jeppsson et al. 2003b; Karhumaa et al. 2007), (2) to express a mutant XR with reduced affinity for NADPH (Jeppsson et al. 2006), and (3) to downregulate the *ZWF1* gene encoding the NADPH producing glucose 6-phosphate dehydrogenase enzyme (Jeppsson et al. 2002) (Table 2). In addition,

S. cerevisiae harbors a number of endogenous reductases, such as the aldose reductase GRE3 (Kuhn et al. 1995; Träff et al. 2002), which naturally convert xylose to xylitol (Kuhn et al. 1995). Strains in which the *GRE3* gene was deleted produce less xylitol (Träff et al. 2001; Träff-Bjerre et al. 2004), which is of particular importance for XI-carrying strains because xylitol is a potent inhibitor of this enzyme (Yamanaka 1969; Lönn et al. 2003; Kuyper et al. 2005a).

The activity of PPP in S. cerevisiae is lower than in other yeast species (Gancedo and Lagunas 1973; Fiaux et al. 2003), most likely due to the domestication of S. cerevisiae for ethanolic fermentation of hexose sugars. Overexpression of the gene encoding transaldolase (Walfridsson et al. 1995) and overexpression of the entire non-oxidative PPP (Johansson and Hahn-Hägerdal 2002a,b; Karhumaa et al. 2005, 2007; Kuyper et al. 2005a) concomitantly increased the rate of xylose consumption. Overexpression of PPP in S. cerevisiae is probably one of the most illustrative examples of the advantage of a pulling strategy over a pushing strategy for redirecting metabolism (Cornish-Bowden et al. 1995; Eliasson et al. 2001). The importance of high PPP activity was recently verified in a proteome analysis of recombinant xylose-fermenting S. cerevisiae (Karhumaa et al., submitted for publication).

Anaerobic growth reflects cell viability and is thus a desirable quality in industrial fermentation. Neither natural nor recombinant xylose-metabolizing yeast grow anaerobically on xylose, the molecular reasons for which are not entirely known. Therefore, evolutionary engineering strategies (Sauer 2001) have been applied to achieve growth on xylose in the absence of oxygen (Sonderegger and Sauer 2003; Kuyper et al. 2004) (Table 2). Transcription analysis performed on a mutant strain indicated that a concerted upregulation of central metabolism was necessary to permit anaerobic growth on xylose (Sonderegger et al. 2004a).

The phosphoketolase pathway is generally considered to be present only in bacteria. However, phosphoketolase activity was detected in a *Rhodotorula* sp. strain (Evans and Ratledge 1984). This pathway can theoretically increase the ethanol yield from xylose from 0.51 g ethanol/g xylose to 0.61 g ethanol/g xylose. However, when the bacterial genes encoding phosphoketolase and phosphotransacetylase were expressed in *S. cerevisiae* and the metabolism was further manipulated with a deletion of a acetaldehyde dehydrogenase, the ethanol yield increased only slightly (Sonderegger et al. 2004c).

In addition to xylitol, glycerol is another by-product that reduces the ethanol yield. Glycerol is formed by *S. cerevisiae* during anaerobic growth to oxidize surplus NADH formed in biosynthesis of amino acids (Oura 1977; Albers et al. 1996). NADH accumulates because *S. cerevisiae* does not harbor a transhydrogenase to convert NADH into NADPH in response to cellular demand (Bruinenberg et al. 1983). Artificial transhydrogenase activities have been introduced in *S. cerevisiae* by engineering endogenous reactions of ammonia and amino acid metabolism (Moreira dos Santos et al. 2003; Roca et al. 2003), which reduced xylitol formation in recombinant xylose-utilizing strains of *S. cerevisiae* (Roca et al. 2003; Verho et al. 2003). Also, the choice of nitrogen source can affect xylitol formation (Panagiotou et al. 2006; Slininger et al. 2006). However, industrial media contain various nitrogen sources, which may considerably limit the industrial exploitation of such metabolic engineering strategies (Bro et al. 2006).

S. cerevisiae consumes glucose preferentially to any other sugar, which results from carbon catabolite repression (Gancedo 1998) and allosteric competition of the sugars for transport. However, simultaneous consumption of all sugars in the lignocellulose hydrolysates would enhance the specific ethanol productivity. Several recombinant strains were reported to consume xylose in the presence of glucose. For example, the recombinant S. cerevisiae strains TMB 3057 (Karhumaa et al. 2007) and 1400(pLNH32) (Ho et al. 1998) coconsume glucose and xylose at glucose concentrations below 10 g/l. Furthermore, signaling elements of the carbon catabolite repression cascade have been engineered to overcome glucose repression, resulting in increased coconsumption of glucose and xylose with a concomitantly increased ethanol production (Roca et al. 2004; Thanvantri Gururajan et al., submitted for publication).

Industrial pentose-fermenting strains of S. cerevisiae

Fermentation of lignocellulosic hydrolysates requires production strains that combine osmotolerance with tolerance towards lignocellulose degradation products as recently reviewed by Liu (2006) and by Almeida et al. (2007). These traits are generally summarized in the term inhibitor tolerance, which is defined in relation to a particular set of hydrolysates from a particular raw material prepared with a particular fractionation and hydrolysis procedure. Inhibitor tolerance has not been rationalized in molecular terms (Liu 2006), which has called for empiric selection of fermentation microorganisms with improved tolerance in relation to particular hydrolysates (Nigam 2001; Hahn-Hägerdal et al. 2005). Rational engineering approaches to improve inhibitor tolerance have so far been limited to overexpression of specific enzymes including laccase (Larsson et al. 2001a), phenylacrylic acid decarboxylase (Larsson et al. 2001b), glucose 6-phosphate dehydrogenase (Gorsich et al. 2006), and alcohol dehydrogenase 6 (Petersson et al. 2006). The influence of lignocellulose hydrolysate on growth of a number of xylose-fermenting strains has been described (Sonderegger et al. 2004b), confirming the general observation that lignocellulose hydrolysate inhibits growth before it

Table 3 Chara	cteristics and fermentation perfor-	rmance of industrial xylose-ferm	nenting S. cerevisiae	strains in la	iboratory c	conditions in defined minera	ıl medium	
Strain	Genotype	Strain background	Anaerobic specific xylose consumption rate	Ethanol yield/ xylose	Xylitol yield	Setup	Aerobic growth rate on xylose	Reference
TMB 3400	XR/XDH/XK integrated and random mutagenesis	USM21 (van der Westhuizen and Pretorius 1997)	0.18 ^a (Sonderegger et al 2004b)	0.18	0.25	Batch 8 g/l xylose	0.14	(Wahlbom et al. 2003)
TMB 3006	XR/XDH/XK integrated in	TMB 3000 (Linden et al. 1992)	0.04 ^a	I	0.30^{a}	Batch 20 g/l glucose + 50 g/l xvlose	I	(Johansson 2001)
Adapted TMB 3006	improved hydrolysate tolerance by evolutionary enoineerino	TMB 3000 (Linden et al. 1992)	I	I	I		I	(Hahn-Hägerdal et al. 2005)
F 12	XR/XDH/XK integrated	S. cerevisiae F	0.18	0.26	0.40	Batch 50 g/l glucose + 50 g/l xvlose	I	(Sonderegger et al. 2004b)
BH42	bred strain	I	0.17	0.28	0.36	Batch 50 g/l glucose + 50 g/l xylose	I	Isabel Spencer-Martins/ (Sonderegger et al.
A4	XR/XDH/XK integrated in S cerevisiae A+	S. cerevisiae A	0.21	0.19 ^b	0.26^{a}	Batch 50 g/l glucose + 50 g/l xvlose	I	(Zaldivar et al. 2002)
424A LNH-ST	XR/XDH/XK multiply integrated	S. cerevisiae ATCC 4124	I	I	0.11 ^a	Batch	I	(Sedlak and Ho 2004)
1400 (pLNH32)	XR/XDH/XK on plasmid	Saccharomyces diastaticus/ Saccharomyces uvarum hybrid	I	0.3 ^a	0.1 ^a	Batch 50 g/l xylose in YPD	1	(Ho et al. 1998)

YPD Yeast, peptone, and dextrose ^a Approximated from figure ^b Recalculated from reference

inhibits ethanolic fermentation (Hahn-Hägerdal et al. 1994b). Industrial yeast strains tolerate many hydrolysates better than laboratory strains (Sonderegger et al. 2004b), which is why generating pentose-fermenting *S. cerevisiae* strains with an industrial background is necessary.

Whereas laboratory strains of S. cerevisiae are useful for evaluating metabolic engineering strategies, they do not possess the robustness required in the industrial context (Garay-Arroyo et al. 2004; Sonderegger et al. 2004b; Nilsson et al. 2005). Laboratory strains are usually haploid and have a defined genetic composition, and their genetic modification has been eased by the introduction of auxotrophic selection markers, which facilitates metabolic engineering strategies involving plasmids (Entian and Kötter 1998; Walker 1998). However, multicopy plasmids are incompatible with the industrial context primarily because of their inherent instability (Meinander and Hahn-Hägerdal 1997). Furthermore, legal regulations and public perception will create obstacles to the acceptance of antibiotic selection markers on the industrial scale, even though these can be removed (Guldener et al. 1996; Johansson and Hahn-Hägerdal 2002b). Instead of plasmid usage, conserved genomic regions, such as ribosomal DNA (rDNA) sequences, can be useful targets for chromosomal gene integration in industrial yeast strains. In the pentose fermentation context, where the necessary heterologous enzymes have low specific activities, an advantage of the rDNA locus is the possibility to chromosomally integrate multiple gene copies (Szostak and Wu 1979; Lopes et al. 1996; Wery et al. 1997; Ho et al. 1999; Klabunde et al. 2003; Karhumaa et al. 2006).

In contrast to the well-defined genetic make-up of laboratory strains, industrial strains are diploid, polyploid, or aneuploid, and often contain genetic segments from several yeast species (Groth et al. 1999; Marinoni et al. 1999; Gonzalez et al. 2006; Karhumaa et al., submitted for publication). The presence of multiple chromosome sets makes gene deletions in particular challenging because the target gene must be deleted from all chromosomes containing it. Only recently have auxotrophic mutants in a diploid strain of S. cerevisiae been generated (Hashimoto et al. 2005), but such strains will most likely only be used for exploratory metabolic engineering approaches rather than for the design of industrial production strains for reasons given above. However, genomic integration of genes encoding the pentose utilization pathway enzymes into industrial strains is relatively straightforward because positive selection for growth on xylose or arabinose can be used (Ho et al. 1998; Johansson 2001; Wahlbom et al. 2003: Karhumaa et al. 2006).

Only a limited number of industrial pentose-fermenting strains have been described in literature, and all genetic engineering has been limited to the introduction of the initial xylose and arabinose utilization pathways. Further improvement of the recombinant strains has been achieved by adaptation strategies, including random mutagenesis (Wahlbom et al. 2003), evolutionary engineering (Sonderegger et al. 2004b), and breeding (Sonderegger et al. 2004b). Table 3 summarizes the fermentative performance of publicly known xylose-fermenting industrial strains in laboratory conditions, and Table 4 in lignocellulose hydrolysates. The parental strains of the strains summarized in Tables 3 and 4 originated

Table 4 Fermentation performance of industrial xylose-fermenting S. cerevisiae strains in lignocellulose hydrolysates

Strain	Hydrolysate	Detoxification	Setup	Ethanol productivity	Ethanol yield on total sugar	Reference
TMB 3400	Spruce	Nondetoxified	Fed-batch	0.25	0.43	(Hahn-Hägerdal and Pamment 2004)
TMB 3006	Spruce	Nondetoxified	Fed-batch	0.66	0.37	(Hahn-Hägerdal and Pamment 2004)
424A LNH-ST	Corn stover	Overliming	Batch	_	0.41	(Sedlak and Ho 2004)
424A LNH-ST	Corn stover	Not known	Batch	_	0.44	(Sedlak and Ho 2004)
Adapted TMB 3006	Northern spruce 70%	Nondetoxified	continuous, D 0.1	_	0.41 (on glucose)	(Hahn-Hägerdal et al. 2005)
MT8-1/Xyl/ BGL	wood chip hydrolysate	Overliming	Batch	0.42	0.41	(Katahira et al. 2006)
F 12	Still bottoms fermentation residue (vinasse)	Not known	Batch	0.005-0.24	0.27 ^a	(Olsson et al. 2006)
TMB 3400	Corn stover, steam pretreatment	Nondetoxified	Batch SSF	-	0.32	(Öhgren et al. 2006)
TMB 3400	Corn stover, steam pretreatment	Nondetoxified	Fed-batch SSF	_	0.30	(Öhgren et al. 2006)

^a Recalculated from reference

either from the ethanol industry and were obtained by hybridization (D'Amore et al. 1989; van der Westhuizen and Pretorius 1992) or by natural selection in industrial environments (Linden et al. 1992). All strains are genetically modified to carry the *P. stipitis* XR and XDH enzymes and to overexpress the endogenous XK (Ho et al. 1998; Johansson 2001; Wahlbom et al. 2003). XI-carrying industrial strains or the performance of such strains in lignocellulose hydrolysate have not yet been reported. More recently, the first xylose and arabinose coutilizing industrial *S. cerevisiae* strain was described (Karhumaa et al. 2006). The performance of this strain in lignocellulose hydrolysate has not yet been reported.

The existing data enables us to assess the strains summarized in Tables 3 and 4 with regard to the four criteria discussed in the beginning of this review. Only strain TMB 3400 was exposed to a protocol of improved process water economy, when it was used in a simultaneous saccharification and fermentation (SSF) setup with 12% water insoluble solids (Öhgren et al. 2006). With respect to inhibitor tolerance, strains TMB3006 and TMB3400 ferment both spruce and corn stover hydrolysates without prior detoxification (Hahn-Hägerdal and Pamment 2004), while strain 424A(LNF-ST) requires detoxification of the hydrolysate (Sedlak and Ho 2004). Only when a mildly treated and dilute hydrolysate was investigated could the detoxification step be omitted for this strain (Mosier et al. 2005). Strains TMB 3006 and 3400 were used for fermentation of nondetoxified lignocellulose hydrolysate both in batch and fed-batch mode (Hahn-Hägerdal and Pamment 2004; Öhgren et al. 2006). The fed-batch mode of fermentation has proven to be a very valuable technology making use of the intrinsic capacity of yeast to convert the inhibitory compounds present in hydrolysates (Taherzadeh et al. 2000) because the slow addition of hydrolysate minimizes the concentrations of the inhibitory compounds at a given time. Furthermore, the concentration of glucose is kept at a low enough level to allow simultaneous xylose utilization.

Ethanol yields above 0.4 g ethanol/g consumed sugar have been obtained both with strain 424A(LNF-ST), strain TMB3006, and strain TMB 3400 in lignocellulose hydrolysates (Table 4; Hahn-Hägerdal and Pamment 2004; Öhgren et al. 2006). For strain 424A(LNF-ST) this can partially be ascribed to high levels of yeast extract and peptone in the medium (Sedlak and Ho 2004; Hahn-Hägerdal et al. 2005), whereas relatively high hexose content of the spruce hydrolysate most likely contributed to the ethanol yield obtained with TMB3006. The ethanol yields observed in lignocellulose hydrolysates (Table 4) are generally higher than those observed in defined mineral medium due to the presence of electron acceptors and the absence of xylitol production in most lignocellulose hydrolysates (Moniruzzaman et al. 1998; Martin et al. 2002; Sedlak and Ho 2004; Katahira et al. 2006; Öhgren et al. 2006). However, the specific ethanol productivity for the industrial xylose-fermenting strains is an order of magnitude lower than what is achieved in hexose fermentation. Still, this may not pose a problem in the bioconversion of lignocellulosic raw material to ethanol, because the rate of the enzymatic hydrolysis of the raw material is considerably lower than the rate of hexose consumption by the yeast (Ljunggren 2005).

In conclusion, inhibitor-tolerant strains capable of fermenting nondetoxified hydrolysates are an absolute requirement for the development of lignocellulosic fermentation processes. In addition, such strains must ferment all hemicellulose-derived monosaccharides, including the pentose sugars xylose and arabinose, to ethanol. Industrial xylose-fermenting strains of S. cerevisiae are now reaching levels of fermentation performance that approach economically feasible ethanol production from lignocellulose, as demonstrated for strain TMB 3400 in a fed-batch SSF fermentation setup of nondetoxified corn stover hydrolysate (Öhgren et al. 2006). Only recently has the development of industrial arabinose-fermenting S. cerevisiae strains been initiated (Karhumaa et al. 2006). Moreover, the simultaneous cofermentation of hexose and pentose sugars constitutes a major strain engineering challenge.

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