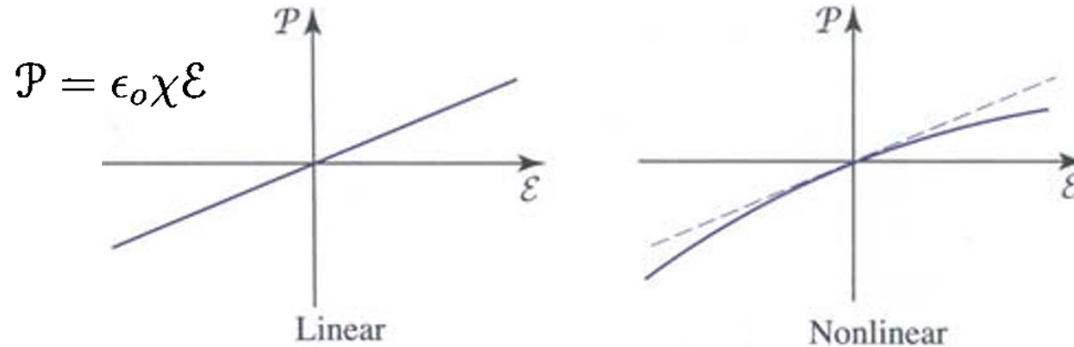


Chapter 21

# **NONLINEAR OPTICS I**

# Nonlinear optical media



Taylor series expansion of polarization  $\mathcal{P}$  about  $\mathcal{E} = 0$ :

$$\mathcal{P} = \epsilon_0 \chi \mathcal{E} + 2d\mathcal{E}^2 + 4\chi^{(3)}\mathcal{E}^3 + \dots = \epsilon_0 \chi \mathcal{E} + \mathcal{P}_{\text{NL}}$$

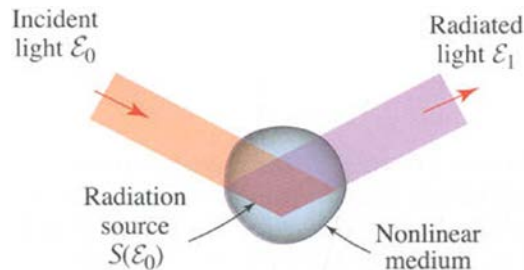
second-order    third-order

Centrosymmetric media are third-order nonlinear, because  $d = 0$  for them.

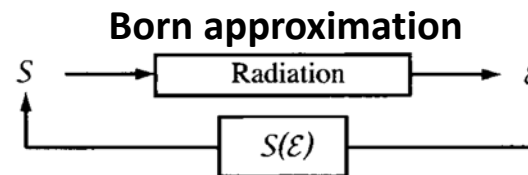
**Nonlinear wave equation:**

$$\nabla^2 \mathcal{E} - \frac{1}{c^2} \frac{\partial^2 \mathcal{E}}{\partial t^2} = -\mathcal{S}$$

$$\mathcal{S} = -\mu_0 \frac{\partial^2 \mathcal{P}_{\text{NL}}}{\partial t^2} \quad \text{-- acts as a radiation source}$$



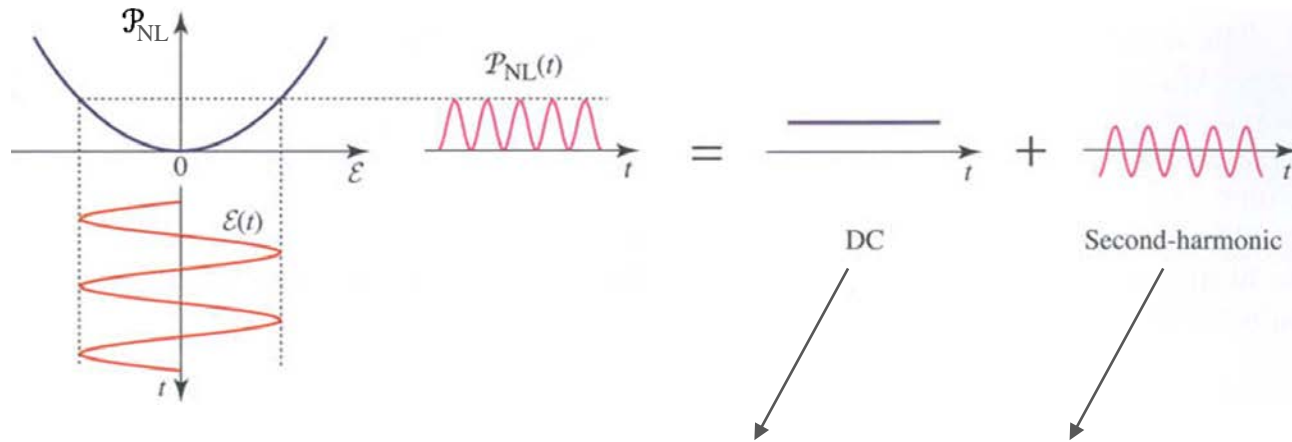
The first Born approximation



# Second-order nonlinear optics

$$\mathcal{P} = \epsilon_0 \chi \mathcal{E} + 2d \mathcal{E}^2$$

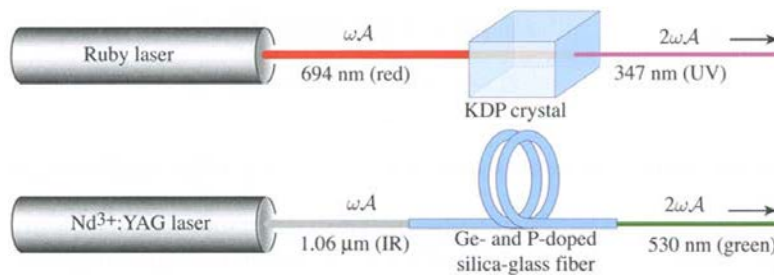
Second-harmonic generation:



$$\mathcal{E}(t) = \text{Re}\{E(\omega) \exp(j\omega t)\} \Rightarrow \mathcal{P}_{\text{NL}}(t) = P_{\text{NL}}(0) + \text{Re}\{P_{\text{NL}}(2\omega) \exp(j2\omega t)\}$$

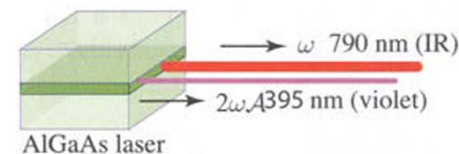
$$P_{\text{NL}}(0) = d E(\omega) E^*(\omega)$$

$$P_{\text{NL}}(2\omega) = d E^2(\omega)$$

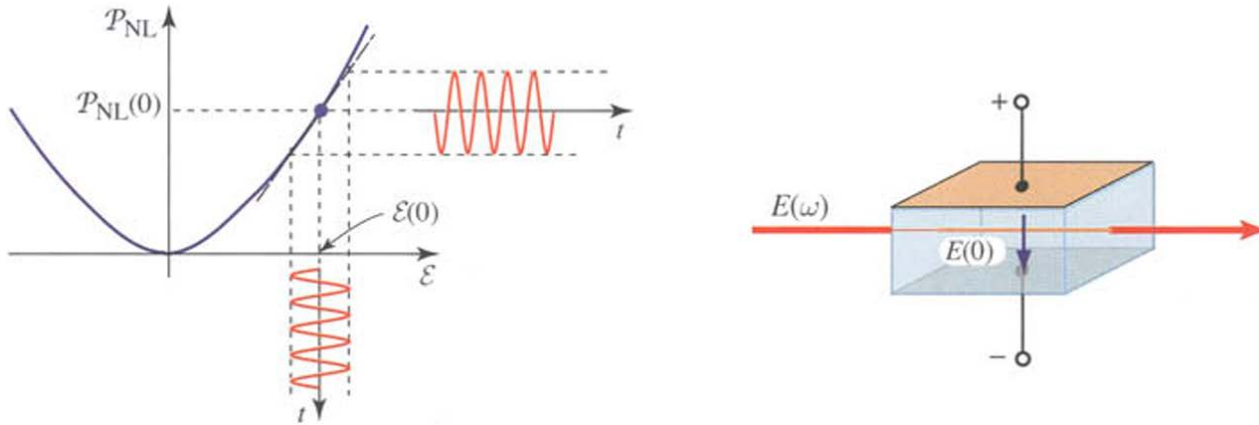


$$\text{efficiency} \rightarrow \eta_{\text{SHG}} = C^2 \frac{L^2}{A} P \leftarrow \text{power}$$

length  
transverse area



# Electro-optic (Pockels) effect



$\mathcal{E}(t) = E(0) + \text{Re}\{E(\omega) \exp(j\omega t)\}$ , where usually  $|E(0)| \gg |E(\omega)|$ .

$\mathcal{P}_{\text{NL}}(t) = P_{\text{NL}}(0) + \text{Re}\{P_{\text{NL}}(\omega) \exp(j\omega t)\} + \text{Re}\{P_{\text{NL}}(2\omega) \exp(j2\omega t)\}$

$$P_{\text{NL}}(0) = d [2E^2(0) + |E(\omega)|^2] \quad P_{\text{NL}}(2\omega) = d E^2(\omega)$$

$$P_{\text{NL}}(\omega) = 4d E(0)E(\omega)$$

$$\Rightarrow P_{\text{NL}}(\omega) = \epsilon_o \Delta\chi E(\omega), \text{ where } \Delta\chi = (4d/\epsilon_o)E(0)$$

$$\Rightarrow n^2 = 1 + \chi \Rightarrow 2n \Delta n = \Delta\chi$$

$$\Rightarrow \Delta n = \frac{2d}{n\epsilon_o} E(0)$$

# Wave mixing

## Frequency conversion in three-wave mixing

$$\mathcal{E}(t) = \text{Re}\{E(\omega_1) \exp(j\omega_1 t) + E(\omega_2) \exp(j\omega_2 t)\}$$

Frequency components of  $\mathcal{P}_{\text{NL}}(t)$ :

$$P_{\text{NL}}(0) = d [ |E(\omega_1)|^2 + |E(\omega_2)|^2 ]$$

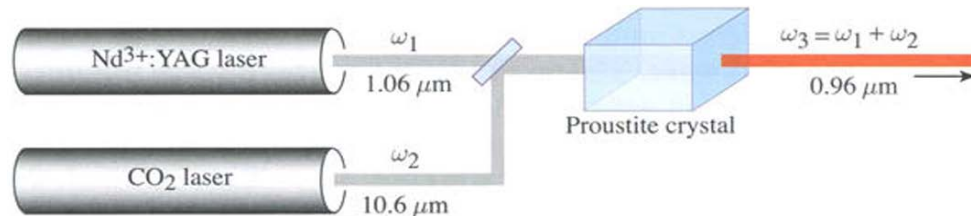
$$P_{\text{NL}}(2\omega_1) = d E(\omega_1)E(\omega_1)$$

$$P_{\text{NL}}(2\omega_2) = d E(\omega_2)E(\omega_2)$$

$$P_{\text{NL}}(\omega_+) = 2d E(\omega_1)E(\omega_2) \quad \leftarrow \quad \omega_+ = \omega_1 + \omega_2$$

$$P_{\text{NL}}(\omega_-) = 2d E(\omega_1)E^*(\omega_2) \quad \leftarrow \quad \omega_- = \omega_1 - \omega_2$$

**Example:**

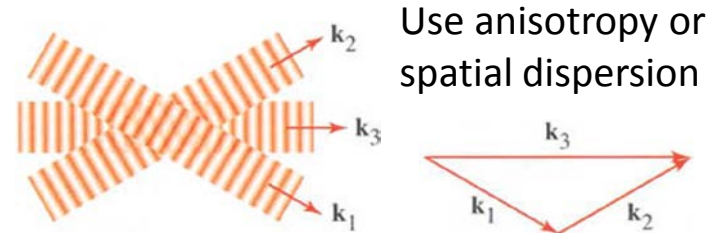


*Frequency and phase matching in this case:*

$$\omega_1 + \omega_2 = \omega_3 \quad \text{and} \quad \mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3.$$

If the waves co-propagate,  $n_1\omega_1 + n_2\omega_2 = n_3\omega_3$ .

$n_1 \neq n_2 \neq n_3$  due to dispersion



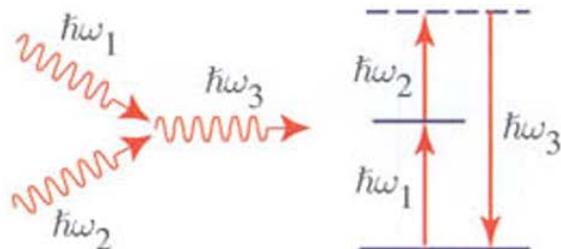
Use anisotropy or spatial dispersion

# Wave mixing as a photon interaction process

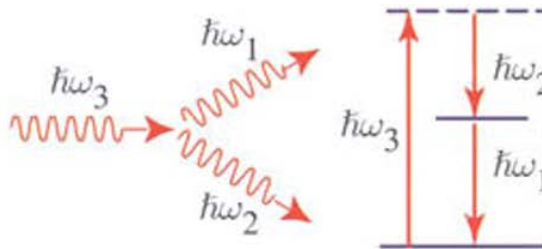
Conservation of energy and momentum:

$$\hbar\omega_1 + \hbar\omega_2 = \hbar\omega_3$$

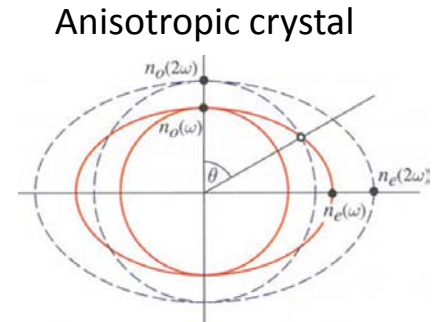
$$\hbar\mathbf{k}_1 + \hbar\mathbf{k}_2 = \hbar\mathbf{k}_3$$



up-conversion (UC)



down-conversion (DC)



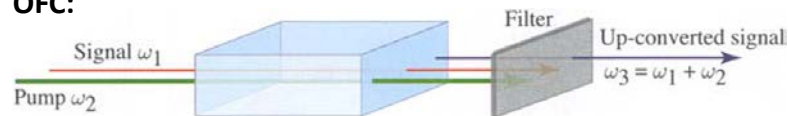
SHG phase matching (type-I)

Photon number conservation = *Manley-Rowe relation*:

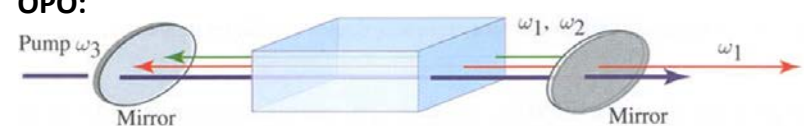
$$\frac{d\phi_1}{dz} = \frac{d\phi_2}{dz} = -\frac{d\phi_3}{dz} \Rightarrow \frac{d}{dz} \left( \frac{I_1}{\omega_1} \right) = \frac{d}{dz} \left( \frac{I_2}{\omega_2} \right) = -\frac{d}{dz} \left( \frac{I_3}{\omega_3} \right)$$

## Optical parametric devices

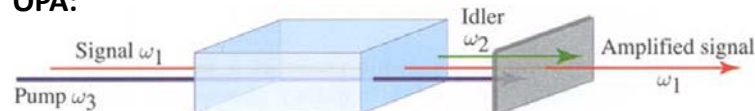
OFC:



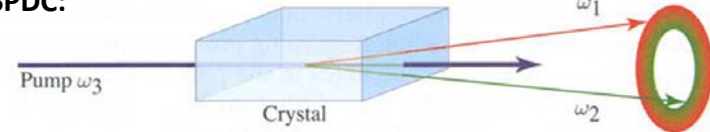
OPO:



OPA:



SPDC:



## Tolerable phase mismatch:

$$\Delta \mathbf{k} = \mathbf{k}_3 - \mathbf{k}_1 - \mathbf{k}_2 \neq 0$$

$$P_{\text{NL}}(\omega_3) = 2dE(\omega_1)E(\omega_2) = 2dA_1A_2 \exp[-j(\mathbf{k}_1 + \mathbf{k}_2) \cdot \mathbf{r}]$$

$$= \underline{2dA_1A_2 \exp(j\Delta \mathbf{k} \cdot \mathbf{r}) \exp(-j\mathbf{k}_3 \cdot \mathbf{r})}.$$

$$\Rightarrow I_3 \propto \left| \int_V dA_1A_2 \exp(j\Delta \mathbf{k} \cdot \mathbf{r}) d\mathbf{r} \right|^2$$

For a plane wave:  $I_3 \propto \left| \int_0^L \exp(j\Delta k z) dz \right|^2 = L^2 \text{sinc}^2(\Delta k L/2\pi)$

- maximum at  $\Delta k = 0$  and vanishing at  $L_c = 2\pi/\Delta k$

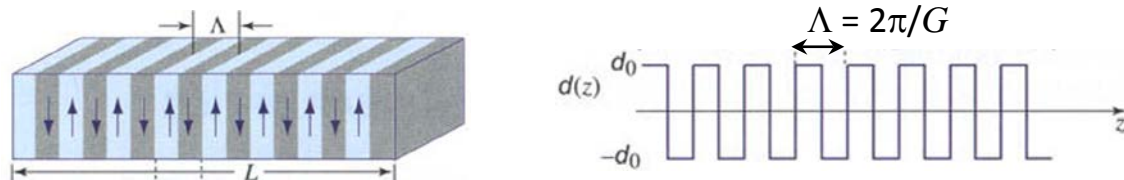
## Quasi-phase matching:

Let  $d$  be a periodic function  $d(\mathbf{r}) = d_o \exp(-j\mathbf{G} \cdot \mathbf{r})$ , with  $\mathbf{G} = \Delta \mathbf{k}$ .

$$\Rightarrow I_3 \propto \left| \int_V d(\mathbf{r}) \exp(j\Delta \mathbf{k} \cdot \mathbf{r}) d\mathbf{r} \right|^2 \propto \left| \int_V d_o \exp(j\Delta \mathbf{k} \cdot \mathbf{r} - j\mathbf{G} \cdot \mathbf{r}) d\mathbf{r} \right|^2$$

$\Rightarrow$  A new phase-matching condition is  $\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{G} = \mathbf{k}_3$ .

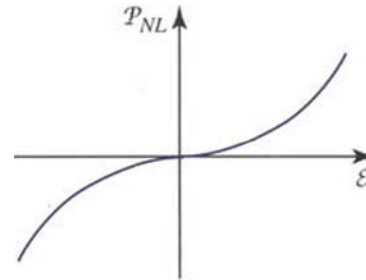
Example of a periodically *poled ferroelectric crystal*:



# Third-order nonlinear medium

$$\mathcal{P}_{\text{NL}} = 4\chi^{(3)}\mathcal{E}^3$$

Kerr medium



## Kerr electro-optic effect:

A steady field  $E(0)$  causes a refractive-index change  $\Delta n = -\frac{1}{2}\mathfrak{s}n^3E^2(0)$ ,

where  $\mathfrak{s} = -\frac{12}{\epsilon_0 n^4}\chi^{(3)}$  is the Kerr coefficient.

## Third-harmonic generation:

A monochromatic field  $E(\omega)$  leads to terms  $P_{\text{NL}}(\omega) = 3\chi^{(3)}|E(\omega)|^2E(\omega)$  and

$$\underline{P_{\text{NL}}(3\omega) = \chi^{(3)}E^3(\omega)}$$

## Optical Kerr effect:

$$\epsilon_0\Delta\chi = \frac{P_{\text{NL}}(\omega)}{E(\omega)} = 3\chi^{(3)}|E(\omega)|^2 = 6\chi^{(3)}\eta I,$$

$$n^2 = 1 + \chi \Rightarrow \Delta n = \frac{3\eta}{\epsilon_0 n}\chi^{(3)}I \equiv n_2 I$$

$$\underline{n(I) = n + n_2 I}$$

Optical Kerr coefficient

Impedance

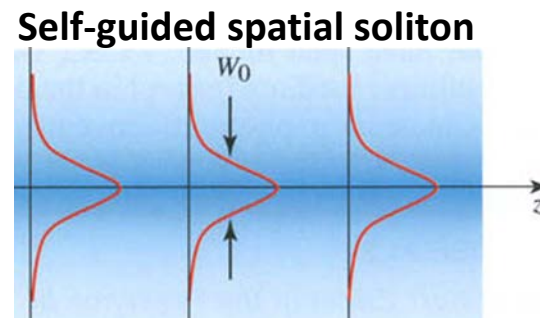
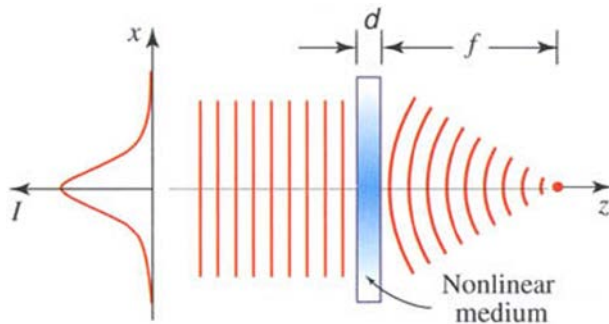


# Self-phase modulation due to optical Kerr effect

A wave of intensity  $I$  travelling over a distance  $L$  undergoes a nonlinear phase shift

$$\Delta\varphi = \frac{2\pi}{\lambda_0} n_2 I L.$$

If two waves co-propagate in the medium, a *cross-phase modulation* takes place. An optical beam “sees” a higher refractive index in the center  $\Rightarrow$  *Self-focusing*:



The Helmholtz equation,  $[\nabla^2 + (n + n_2 I)^2 k_0^2]E = 0$ , can be written in the slowly-varying envelope approximation for  $n_2 I \ll n$  as

$$\frac{\partial^2 A}{\partial x^2} + \frac{n_2}{\eta_0} k^2 |A|^2 A = 2jk \frac{\partial A}{\partial z} \quad - \text{nonlinear Schrödinger equation}$$

One of its solutions describes a non-diverging beam (*spatial soliton*):

$$A(x, z) = A_0 \operatorname{sech} \left( \frac{x}{W_0} \right) \exp \left( -j \frac{z}{4z_0} \right) \Rightarrow I(x, z) = \frac{|A(x, z)|^2}{2\eta} = \frac{A_0^2}{2\eta} \operatorname{sech}^2 \left( \frac{x}{W_0} \right).$$

# Four-wave mixing

A superposition of three real-valued waves can be written in terms of their complex amplitudes as

$$\mathcal{E}(t) = \sum_{q=\pm 1, \pm 2, \pm 3} \frac{1}{2} E(\omega_q) \exp(j\omega_q t),$$

where  $\omega_{-q} = -\omega_q$  and  $E(-\omega_q) = E^*(\omega_q)$ . This leads to  $6^3 = 216$  terms in

$$\mathcal{P}_{\text{NL}}(t) = \frac{1}{2} \chi^{(3)} \sum_{q,r,l=\pm 1, \pm 2, \pm 3} E(\omega_q) E(\omega_r) E(\omega_l) \exp[j(\omega_q + \omega_r + \omega_l)t].$$

corrected

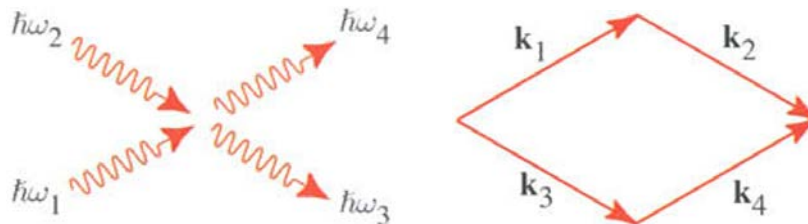
A harmonic component of frequency  $\omega_3 + \omega_4 - \omega_1$  has six terms above so that

$$P_{\text{NL}}(\omega_1 + \omega_2 - \omega_3) = 6\chi^{(3)} E(\omega_1) E(\omega_2) E^*(\omega_3).$$

Hence, four waves are mixed by the medium, if  $\omega_4 = \omega_1 + \omega_2 - \omega_3$ . The frequency- and phase-matching conditions are

$$\omega_1 + \omega_2 = \omega_3 + \omega_4,$$

$$\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4.$$



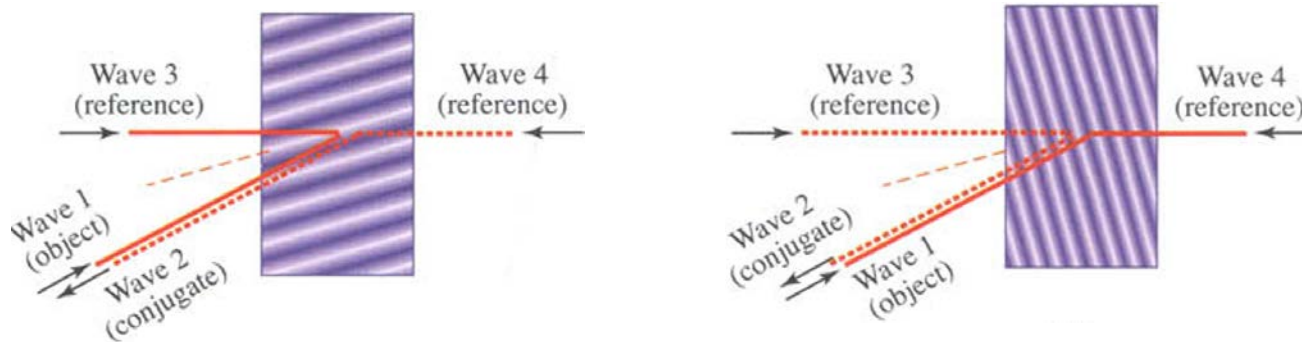
# Three-wave mixing and optical phase conjugation

The condition  $\omega_1 + \omega_2 = \omega_3 + \omega_4$  is satisfied, if  $\omega_3 = \omega_4 \equiv \omega_0$  and therefore  $\omega_1 + \omega_2 = 2\omega_0$ . This results in *three-wave mixing* that still involves 4 photons.

The frequency-matching condition is satisfied also if  $\omega_1 = \omega_2 = \omega_3 = \omega_4 = \omega$ . This *degenerate four-wave mixing* leads to *phase conjugation*. If two of the waves are counter-propagating, i.e.,  $\mathbf{k}_4 = -\mathbf{k}_3$ , we obtain

$$E_2(\mathbf{r}) \propto A_3 A_4 E_1^*(\mathbf{r}),$$

which means that the generated wave is a conjugate of  $E_1(\mathbf{r})$ .



Wave restoration:  
*dynamic holography*

